UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF ALABAMA SOUTHERN DIVISION

United States of America,)	
)	
Plaintiff,)	
)	
vs.)	2:08-CV-01422-KOB
)	
Alabama Plating Company, Inc.,)	
et al.,)	
)	
Defendants.)	

CONSENT DECREE

I. BACKGROUND

A. The United States of America ("United States"), on behalf of the Administrator of the United States Environmental Protection Agency ("EPA"), filed a complaint in this matter pursuant to Section 107 of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, 42 U.S.C. § 9607, as amended ("CERCLA"), seeking reimbursement of response costs incurred or to be incurred for response actions taken or to be taken at or in

connection with the release or threatened release of hazardous substances at the Alabama Plating Superfund Site in Vincent, Shelby County, Alabama ("the Site").

B. The defendants that have entered into this Consent Decree ("Settling Defendants") do not admit any liability to Plaintiff arising out of the transactions or occurrences alleged in the Complaint.

C. The United States has reviewed the Financial Information submitted by Settling Defendants to determine whether Settling Defendants are financially able to pay response costs incurred and to be incurred at the Site. Based upon this Financial Information, the United States has determined that Settling Defendants are able to pay the amounts specified in Section VII.

D. The United States and Settling Defendants agree, and this court by entering this Consent Decree finds, that this Consent Decree has been negotiated by the Parties in good faith, that settlement of this matter will avoid prolonged and complicated litigation between the Parties, and that this Consent Decree is fair, reasonable, and in the public interest.

THEREFORE, with the consent of the Parties to this Decree, the court ORDERS as follows:

II. JURISDICTION

1. This court has jurisdiction over the subject matter of this action pursuant to 28 U.S.C. §§ 1331 and 1345 and 42 U.S.C. §§ 9607 and 9613(b) and also has personal jurisdiction over Settling Defendants. Settling Defendants consent to and shall not challenge entry of this Consent Decree or this court's jurisdiction to enter and enforce this Consent Decree.

III. PARTIES BOUND

2. This Consent Decree is binding upon the United States, and upon Settling Defendants and their heirs, successors and assigns. Any change in ownership or corporate or other legal status, including, but not limited to, any transfer of assets or real or personal property, shall in no way alter the status or responsibilities of Settling Defendants under this Consent Decree.

IV. **DEFINITIONS**

3. Unless otherwise expressly provided herein, terms used in this Consent Decree which are defined in CERCLA or in regulations promulgated under CERCLA shall have the meaning assigned to them in CERCLA or in such regulations. Whenever terms listed below are used in this Consent Decree or in any appendix attached hereto, the following definitions shall apply:

- a. "CERCLA" shall mean the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, 42 U.S.C. § 9601, et seq.
- b. "Consent Decree" shall mean this Consent Decree and all appendices attached hereto. In the event of conflict between this Consent Decree and any appendix, this Consent Decree shall control.
- c. "Day" shall mean a calendar day. In computing any period of time under this Consent Decree, where the last day would fall on a Saturday, Sunday, or federal holiday, the period shall run until the close of business of the next working day.
- d. "DOJ" shall mean the United States Department of Justice and any successor departments, agencies or instrumentalities of the United States.
- e. "Effective Date" shall mean the later of the date this Consent

 Decree is entered by the court, or the date of dismissal with prejudice of Settling

 Defendants' related Shelby County Circuit Court case against their insurers (Case

 No. CV 92-0623).
- f. "EPA" shall mean the United States Environmental Protection

 Agency and any successor departments, agencies or instrumentalities of the United

 States.

- g. "EPA Hazardous Substance Superfund" shall mean the Hazardous Substance Superfund established by the Internal Revenue Code, 26 U.S.C. § 9507.
- h. "Financial Information" shall mean those financial documents identified in Appendix B.
- i. "Interest" shall mean interest at the rate specified for interest on investments of the EPA Hazardous Substance Superfund established by 26 U.S.C. § 9507, compounded annually on October 1 of each year, in accordance with 42 U.S.C. § 9607(a). The applicable rate of interest shall be the rate in effect at the time the interest accrues. The rate of interest is subject to change on October 1 of each year.
- j. "Paragraph" shall mean a portion of this Consent Decree identified by an Arabic numeral or an upper or lower case letter.
 - k. "Parties" shall mean the United States and the Settling Defendants.
 - 1. "Plaintiff" shall mean the United States.
- m. "RCRA" shall mean the Solid Waste Disposal Act, 42 U.S.C. § 6901, et seq. (also known as the Resource Conservation and Recovery Act).
- n. "Section" shall mean a portion of this Consent Decree identified by a Roman numeral.

- o. "Settling Defendants" shall mean Alabama Plating Company, Inc., the Estate of James M. Rowe, Jr., and James M. Rowe, III.
- p. "Site" shall mean the Alabama Plating Superfund Site, in Vincent, Shelby County, Alabama. The Site is comprised of the Main Facility, encompassing approximately 5.68 acres, located at the northeast corner of the intersection of U.S. Highway 231 and County Highway 60, and the Satellite Facility, encompassing approximately 20 acres, located at 1900 U.S. Highway 231, both generally shown on the maps included in Appendix A.
- q. "United States" shall mean the United States of America, including its departments, agencies and instrumentalities.

V. STATEMENT OF PURPOSE

4. By entering into this Consent Decree, the mutual objective of the Parties is for Settling Defendants to make a cash payment to address their liability for the Site as provided in the Covenant Not to Sue by Plaintiff in Section IX, and subject to the Reservations of Rights by United States in Section X.

VI. DISMISSAL OF STATE COURT ACTION

5. Within 10 days of the date this Consent Decree is entered by the court, Settling Defendants shall move to dismiss with prejudice Settling Defendants'

related Shelby County Circuit Court case against their insurers (Case No. CV 92-0623).

VII. PAYMENT OF RESPONSE COSTS

- 6. Within 45 days of the Effective Date, Settling Defendants shall pay to EPA \$720,000.00.
- 7. Payment shall be made by FedWire Electronic Funds Transfer ("EFT") to the U.S. Department of Justice account in accordance with current EFT procedures, referencing USAO File Number 2008V00891, EPA Region 4 and the Site Spill ID Number A4G7, and DOJ Case Number 90-7-1-06380/1. Payment shall be made in accordance with instructions provided to Settling Defendants by the Financial Litigation Unit of the U.S. Attorney's Office in the Northern District of Alabama following lodging of the Consent Decree. Any payment received by the Department of Justice after 4:00 p.m. Eastern Time shall be credited on the next business day.
- 8. At the time of payment, Settling Defendants shall send notice that payment has been made to EPA and DOJ in accordance with Section XIV (Notices and Submissions).
- 9. The total amount to be paid pursuant to Paragraph 6 shall be deposited in the EPA Hazardous Substance Superfund.

VIII. FAILURE TO COMPLY WITH CONSENT DECREE

10. <u>Interest on Late Payments</u>. If Settling Defendants fail to make any payment under Paragraph 6 by the required due date, Interest shall continue to accrue on the unpaid balance through the date of payment.

11. Stipulated Penalty.

- a. If any amount due under Paragraph 6 is not paid by the required date, Settling Defendants shall be in violation of this Consent Decree and shall pay, as a stipulated penalty, in addition to the Interest required by Paragraph 10, \$5,000 per violation per day that such payment is late.
- b. Stipulated penalties are due and payable within 30 days of the date of the demand for payment of the penalties by EPA. All payments to EPA under this Paragraph shall be identified as "stipulated penalties" and shall be made by certified or cashier's check made payable to "EPA Hazardous Substance Superfund." The check, or a letter accompanying the check, shall reference the name and address of the party making payment, the Site name, EPA Region 4 and the Site Spill ID Number A4G7, and DOJ Case Number 90-7-1-06380/1, and shall be sent to:

U.S. Environmental Protection Agency Fines and Penalties Cincinnati Finance Center

- c. At the time of each payment, Settling Defendants shall send notice that payment has been made to EPA and DOJ in accordance with Section XIV (Notices and Submissions).
- d. Penalties shall accrue as provided in this Paragraph regardless of whether EPA has notified Settling Defendants of the violation or made a demand for payment, but need only be paid upon demand. All penalties shall begin to accrue on the day after payment is due and shall continue to accrue through the date of payment. Nothing herein shall prevent the simultaneous accrual of separate penalties for separate violations of this Consent Decree.
- 12. If the United States brings an action to enforce this Consent Decree,
 Settling Defendants shall reimburse the United States for all costs of such action,
 including but not limited to costs of attorney time.
- 13. Payments made under this Section shall be in addition to any other remedies or sanctions available to Plaintiff by virtue of Settling Defendants' failure to comply with the requirements of this Consent Decree.
- 14. Notwithstanding any other provision of this Section, the United States may, in its unreviewable discretion, waive payment of any portion of the stipulated penalties that have accrued pursuant to this Consent Decree. Payment of

stipulated penalties shall not excuse Settling Defendants from payment as required by Section VII or from performance of any other requirements of this Consent Decree.

IX. COVENANT NOT TO SUE BY PLAINTIFF

15. Except as specifically provided in Section X (Reservation of Rights by United States), the United States covenants not to sue or to take administrative action against Settling Defendants pursuant to Sections 106 and 107(a) of CERCLA, 42 U.S.C. §§ 9606 and 9607(a) with regard to the Site. With respect to present and future liability, this covenant shall take effect upon receipt by EPA of all amounts required by Section VII (Payment of Response Costs) and any amount due under Section VIII (Failure to Comply with Consent Decree). This covenant not to sue is conditioned upon the satisfactory performance by Settling Defendants of its obligations under this Consent Decree. This covenant not to sue is also conditioned upon the veracity and completeness of the Financial Information provided to EPA by Settling Defendants. If the Financial Information is subsequently determined by EPA to be false or, in any material respect, inaccurate. Settling Defendants shall forfeit all payments made pursuant to this Consent Decree and this covenant not to sue and the contribution protection in Paragraph 22 shall be null and void. Such forfeiture shall not constitute liquidated damages

and shall not in any way foreclose the United States' right to pursue any other causes of action arising from Settling Defendants' false or materially inaccurate information. This covenant not to sue extends only to Settling Defendants and does not extend to any other person.

X. RESERVATION OF RIGHTS BY UNITED STATES

- 16. The United States reserves, and this Consent Decree is without prejudice to, all rights against Settling Defendants with respect to all matters not expressly included within the Covenant Not to Sue by United States in Paragraph 15. Notwithstanding any other provision of this Consent Decree, the United States reserves all rights against Settling Defendants with respect to:
- a. liability for failure of Settling Defendants to meet a requirement of this Consent Decree;
 - b. criminal liability;
- c. liability for damages for injury to, destruction of, or loss of natural resources, and for the costs of any natural resource damage assessments;
- d. liability, based upon Settling Defendants' ownership or operation of the Site, or upon Settling Defendants' transportation, treatment, storage, or disposal, or the arrangement for the transportation, treatment, storage, or disposal,

of a hazardous substance or a solid waste at or in connection with the Site, after signature of this Consent Decree by Settling Defendants; and

- e. liability arising from the past, present, or future disposal, release or threat of release of a hazardous substance, pollutant, or contaminant outside of the Site.
- 17. Notwithstanding any other provision of this Consent Decree, EPA reserves, and this Consent Decree is without prejudice to, the right to reinstitute or reopen this action, or to commence a new action seeking relief other than as provided in this Consent Decree, if the Financial Information provided by Settling Defendants, or the financial certification made by Settling Defendants in Paragraph 27, is false or in any material respect inaccurate.

XI. COVENANT NOT TO SUE BY SETTLING DEFENDANTS

- 18. Settling Defendants covenant not to sue and agree not to assert any claims or causes of action against the United States, or its contractors or employees, with respect to the Site or this Consent Decree, including but not limited to:
- a. any direct or indirect claim for reimbursement from the Hazardous Substance Superfund based on Sections 106(b)(2), 107, 111, 112, or 113 of

CERCLA, 42 U.S.C. §§ 9606(b)(2), 9607, 9611, 9612, or 9613, or any other provision of law;

b. any claim arising out of response actions at or in connection with the Site, including any claim under the United States Constitution, the Tucker Act, 28 U.S.C. § 1491, the Equal Access to Justice Act, 28 U.S.C. § 2412, as amended, or at common law; or

c. any claim against the United States pursuant to Sections 107 and 113 of CERCLA, 42 U.S.C. §§ 9607 and 9613, relating to the Site.

Except as provided in Paragraph 20 (Waiver of Claims) and Paragraph 24 (Waiver of Claim-Splitting Defenses), these covenants not to sue shall not apply in the event the United States brings a cause of action or issues an order pursuant to the reservations set forth in Paragraph 16 (c) - (e), but only to the extent that Settling Defendants' claims arise from the same response action or response costs that the United States is seeking pursuant to the applicable reservation.

- 19. Nothing in this Consent Decree shall be deemed to constitute approval or preauthorization of a claim within the meaning of Section 111 of CERCLA, 42 U.S.C. § 9611, or 40 C.F.R. 300.700(d).
- 20. Settling Defendants agree not to assert any CERCLA claims or causes of action that they may have for all matters relating to the Site, including for

contribution, against any other person. This waiver shall not apply with respect to any defense, claim, or cause of action that Settling Defendants may have against any person if such person asserts a claim or cause of action relating to the Site against Settling Defendants.

XII. EFFECT OF SETTLEMENT/CONTRIBUTION PROTECTION

- 21. Except as provided in Paragraph 20, nothing in this Consent Decree shall be construed to create any rights in, or grant any cause of action to, any person not a Party to this Consent Decree. The preceding sentence shall not be construed to waive or nullify any rights that any person not a signatory to this Decree may have under applicable law. Except as provided in Paragraph 20, the Parties expressly reserve any and all rights (including, but not limited to, any right to contribution), defenses, claims, demands, and causes of action which they may have with respect to any matter, transaction, or occurrence relating in any way to the Site against any person not a Party hereto.
- 22. The Parties agree, and by entering this Consent Decree this court finds, that Settling Defendants are entitled, as of the date of entry of this Consent Decree, to protection from contribution actions or claims as provided by Section 113(f)(2) of CERCLA, 42 U.S.C. § 9613(f)(2), for "matters addressed" in this Consent Decree. The "matters addressed" in this Consent Decree are all response actions

taken or to be taken and all response costs incurred or to be incurred, at or in connection with the Site, by the United States or any other person. The "matters addressed" in this Consent Decree do not include those response costs or response actions as to which the United States has reserved its rights under this Consent Decree (except for claims for failure to comply with this Decree), in the event that the United States asserts rights against Settling Defendants coming within the scope of such reservations.

- 23. Settling Defendants agree that, with respect to any suit or claim for contribution brought by it for matters related to this Consent Decree, they will notify EPA and DOJ in writing no later than 60 days prior to the initiation of such suit or claim. Settling Defendants also agrees that, with respect to any suit or claim for contribution brought against them for matters related to this Consent Decree, they will notify EPA and DOJ in writing within 10 days of service of the complaint or claim upon them. In addition, Settling Defendants shall notify EPA and DOJ within 10 days of service or receipt of any Motion for Summary Judgment, and within 10 days of receipt of any order from a court setting a case for trial, for matters related to this Consent Decree.
- 24. In any subsequent administrative or judicial proceeding initiated by the United States for injunctive relief, recovery of response costs, or other relief

relating to the Site, Settling Defendants shall not assert, and may not maintain, any defense or claim based upon the principles of waiver, *res judicata*, collateral estoppel, issue preclusion, claim-splitting, or other defenses based upon any contention that the claims raised by the United States in the subsequent proceeding were or should have been brought in the instant case; provided, however, that nothing in this Paragraph affects the enforceability of the Covenant Not to Sue by Plaintiff set forth in Section IX.

XIII. RETENTION OF RECORDS

- 25. Until 10 years after the entry of this Consent Decree, Settling

 Defendants shall preserve and retain all records now in their possession or control,
 or which come into their possession or control, that relate in any manner to
 response actions taken at the Site or the liability of any person under CERCLA
 with respect to the Site, regardless of any corporate retention policy to the
 contrary.
- 26. After the conclusion of the document retention period in the preceding Paragraph, Settling Defendants shall notify EPA and DOJ at least 90 days prior to the destruction of any such records, and, upon request by EPA or DOJ, Settling Defendants shall deliver any such records to EPA. Settling Defendants may assert that certain records are privileged under the attorney-client privilege or any other

privilege recognized by federal law. If Settling Defendants assert such a privilege, they shall provide Plaintiff with the following: 1) the title of the record; 2) the date of the record; 3) the name and title of the author of the record; 4) the name and title of each addressee and recipient; 5) a description of the subject of the record; and 6) the privilege asserted. However, no records created or generated pursuant to the requirements of this or any other settlement with the United States shall be withheld on the grounds that they are privileged.

- 27. Settling Defendants hereby certify that, to the best of their knowledge and belief, after thorough inquiry, they have:
- a. not altered, mutilated, discarded, destroyed or otherwise disposed of any records, reports, or other information relating to their potential liability regarding the Site since notification of potential liability by the United States or the State or the filing of suit against them regarding the Site, and that they have fully complied with any and all EPA requests for information regarding the Site and Settling Defendants' financial circumstances pursuant to Sections 104(e) and 122(e) of CERCLA, 42 U.S.C. §§ 9604(e) and 9622(e), and Section 3007 of RCRA, 42 U.S.C. § 6927; and
- b. submitted to EPA Financial Information that fairly, accurately, and materially sets forth their financial circumstances, and that those circumstances

have not materially changed between the time the Financial Information was submitted to EPA and the time Settling Defendants executes this Consent Decree.

XIV. NOTICES AND SUBMISSIONS

28. Whenever, under the terms of this Consent Decree, notice is required to be given or a document is required to be sent by one party to another, it shall be directed to the individuals at the addresses specified below, unless those individuals or their successors give notice of a change to the other Parties in writing. Written notice as specified herein shall constitute complete satisfaction of any written notice requirement of the Consent Decree with respect to the United States, EPA, DOJ, and Settling Defendants, respectively.

As to the United States:

As to DOJ:

Chief, Environmental Enforcement Section Environment and Natural Resources Division U.S. Department of Justice (DJ # 90-7-1-06380/1) P.O. Box 7611 Washington, D.C. 20044-7611

As to EPA:

Paula V. Painter Superfund Enforcement and Information Management Branch U.S. Environmental Protection Agency, Region 4 61 Forsyth Street, S.W. Atlanta, GA 30303 Karen B. Singer Associate Regional Counsel U.S. Environmental Protection Agency, Region 4 61 Forsyth Street, S.W. Atlanta, GA 30303

As to Settling Defendants:

Richard E. Davis Starnes Atchison LLP P.O. Box 598512 Birmingham, AL 35259-8512

XV. RETENTION OF JURISDICTION

29. This court shall retain jurisdiction over this matter for the purpose of interpreting and enforcing the terms of this Consent Decree.

XVI. INTEGRATION/APPENDICES

- 30. This Consent Decree and its appendices constitute the final, complete and exclusive Consent Decree and understanding between the Parties with respect to the settlement embodied in this Consent Decree. The Parties acknowledge that there are no representations, agreements or understandings relating to the settlement other than those expressly contained in this Consent Decree. The following appendices are attached to and incorporated into this Consent Decree:
- a. "Appendix A" contains maps of the Main Facility and Satellite Facility which comprise the Site; and

b. "Appendix B" is a list of the financial documents submitted to EPA by Settling Defendants.

XVII. LODGING AND OPPORTUNITY FOR PUBLIC COMMENT

- 31. This Consent Decree shall be lodged with the court for a period of not less than 30 days for public notice and comment. The United States reserves the right to withdraw or withhold its consent if the comments regarding the Consent Decree disclose facts or considerations which indicate that this Consent Decree is inappropriate, improper, or inadequate. Settling Defendants consent to the entry of this Consent Decree without further notice.
- 32. If for any reason this court should decline to approve this Consent

 Decree in the form presented, this Consent Decree is voidable at the sole

 discretion of any party and the terms of the Consent Decree may not be used as

 evidence in any litigation between the Parties.

XVIII. SIGNATORIES/SERVICE

33. Each undersigned representative of Settling Defendants to this Consent Decree and the Deputy Chief, Environmental Enforcement Section of the United States Department of Justice, certifies that he or she is authorized to enter into the terms and conditions of this Consent Decree and to execute and bind legally such Party to this document.

- 34. Settling Defendants hereby agree not to oppose entry of this Consent Decree by this court or to challenge any provision of this Consent Decree, unless the United States has notified Settling Defendants in writing that it no longer supports entry of the Consent Decree.
- 35. Settling Defendants shall identify, on the attached signature page, the name and address of an agent who is authorized to accept service of process by mail on their behalf with respect to all matters arising under or relating to this Consent Decree. Settling Defendants hereby agree to accept service in that manner and to waive the formal service requirements set forth in Rule 4 of the Federal Rules of Civil Procedure and any applicable local rules of this court, including but not limited to, service of a summons.

XIX. FINAL JUDGMENT

36. Upon approval and entry of this Consent Decree by the court, this Consent Decree shall constitute the final judgment between the United States and Settling Defendants. The court finds no just reason for delay and therefore enters this judgment as a final judgment under Fed. R. Civ. P. 54 and 58.

DONE and ORDERED this 30th day of December, 2009.

KARON OWEN BOWDRE UNITED STATES DISTRICT JUDGE

Karon O. Boudse

THE UNDERSIGNED PARTY enters into this Consent Decree in the matter of U.S. v. Alabama Plating Company, Inc., et al., 2:08-CV-01422-KOB, relating to the Alabama Plating Superfund Site.

FOR THE UNITED STATES OF AMERICA:

ELLEN M. MAHAN

Deputy Section Chief

Environmental Enforcement Section

Environment and Natural Resources Division

United States Department of Justice

By:

QUENTIN C. PAIR

DAVIS H. FORSYTHE

Trial Attorneys

Environmental Enforcement Section

Environment and Natural Resources Division

United States Department of Justice

P.O. Box 7611

Washington, D.C. 20044-7611

JOYCE WHITE VANCE

United States Attorney

Northern District of Alabama

EDWARD Q. KAGLAND

Assistant United States Attorney

Northern District of Alabama

1801 Fourth Avenue North

Birmingham, AL 35203-2101

THE UNDERSIGNED PARTY enters into this Consent Decree in the matter of U.S. v. Alabama Plating Company, Inc., et al., 2:08-CV-01422-KOB, relating to the Alabama Plating Superfund Site.

FOR THE UNITED STATES OF AMERICA (cont.):

FRANKLIN E. HILL

Director, Superfund Division

U.S. Environmental Protection Agency, Region 4

61 Forsyth Street, S.W.

Atlanta, GA 30303

KAREN B. SINGER

Associate Regional Counsel

Kan Bdiger

U.S. Environmental Protection Agency, Region 4

61 Forsythe Street, S.W.

Atlanta, GA 30303

THE UNDERSIGNED PARTY enters into this Consent Decree in the matter of U.S. v. Alabama Plating Company. Inc., et al., 2:08-CV-01422-KOB, relating to the Alabama Plating Superfund Site.

FOR DEFENDANT ALABAMA PLATING COMPANY, INC.:

Date: 10-18-09

AMES M. ROWE, III

Vice-President, Alabama Plating Co., Inc.

3613 Mariner Drive

Panama City Beach, FL 32408

Agent Authorized to Accept Service on Behalf of Above-signed Party:

Richard E. Davis Starnes Atchison LLP P.O. Box 598512 Birmingham, AL 35259-8512 THE UNDERSIGNED PARTY enters into this Consent Decree in the matter of U.S. v. Alabama Plating Company. Inc., et al., 2:08-CV-01422-KOB, relating to the Alabama Plating Superfund Site.

FOR DEFENDANT THE ESTATE OF JAMES M. ROWE, JR.:

Date: 10/18/09

ORRAINE N. ROWE

Administratrix ad litem, Estate of James M. Rowe, Jr.

3260 Country Club Dr Lynn Haven, FL 3244-5127

Agent Authorized to Accept Service on Behalf of Above-signed Party:

Richard E. Davis Starnes Atchison LLP P.O. Box 598512 Birmingham, AL 35259-8512 THE UNDERSIGNED PARTY enters into this Consent Decree in the matter of U.S. v. Alabama Plating Company. Inc., et al., 2:08-CV-01422-KOB, relating to the Alabama Plating Superfund Site.

FOR DEFENDANT JAMES M. ROWE, III:

Date: 10-18-17

AMES M. ROWE, III

3613 Mariner Drive

Panama City Beach, FL 32408

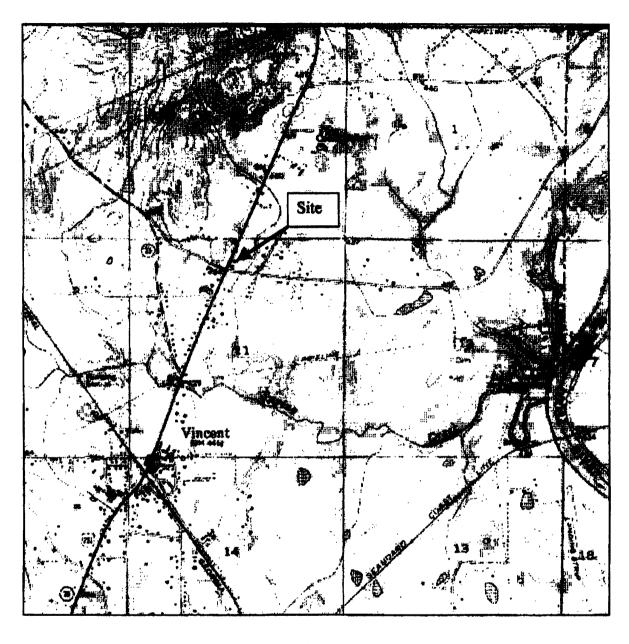
Agent Authorized to Accept Service on Behalf of Above-signed Party:

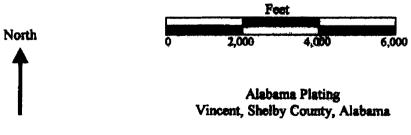
Richard E. Davis Starnes Atchison LLP P.O. Box 598512 Birmingham, AL 35259-8512

APPENDIX A

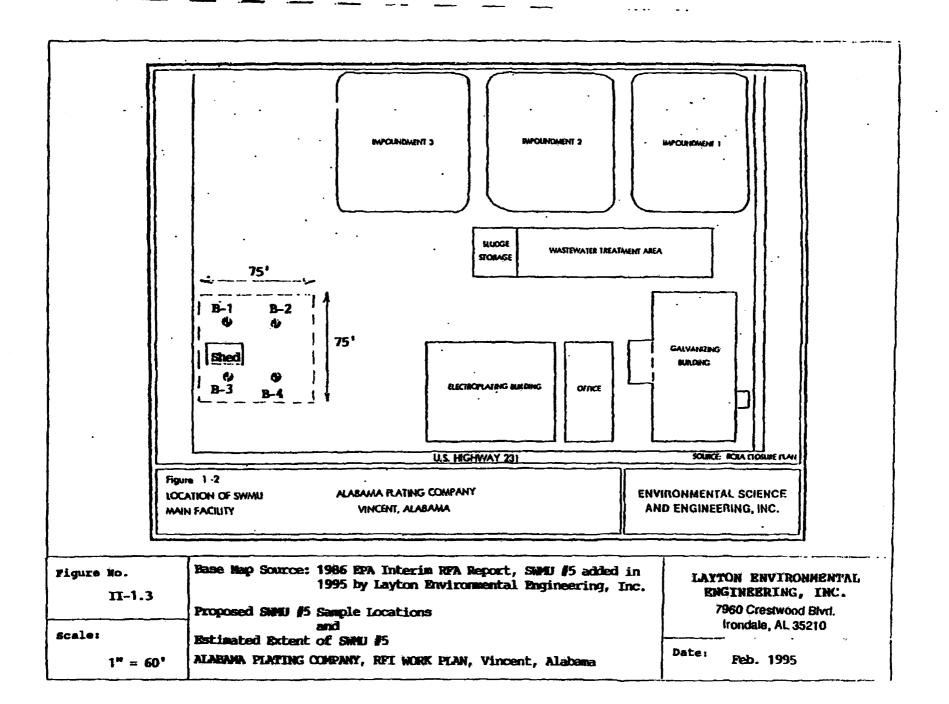
Site Maps

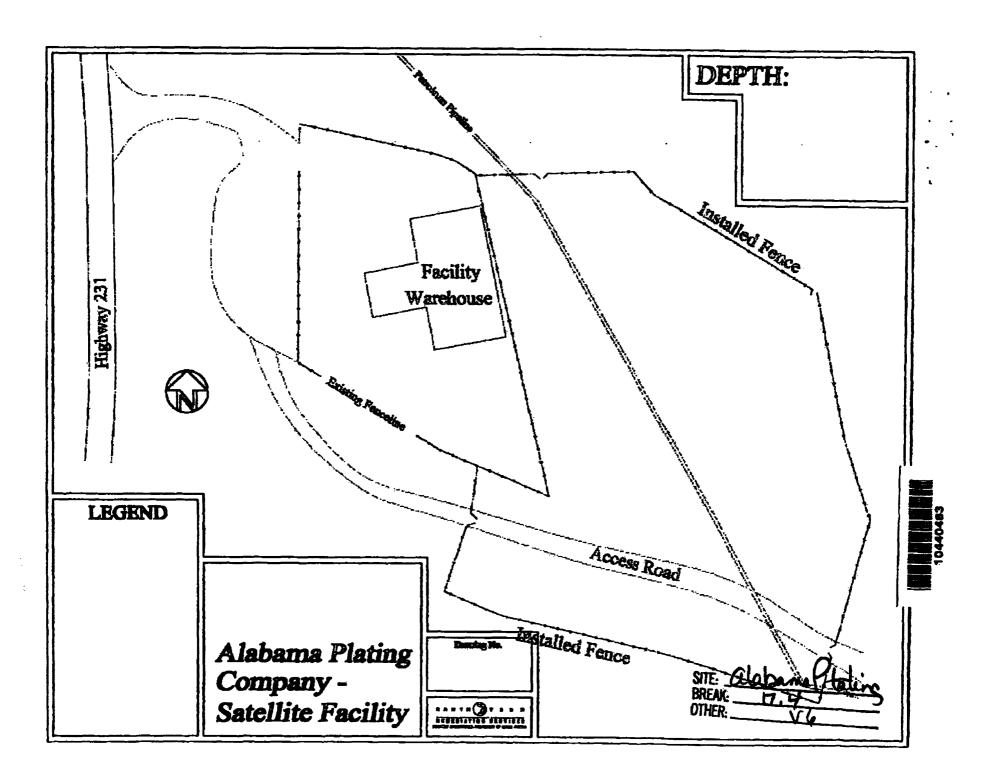
Alabama Plating Site Location Map





Vincent, Alabama U.S.G.S. Topographic Map





APPENDIX B

List of Financial Documents Submitted by Settling Defendants

Defendant Alabama Plating Company, Inc. submitted the following financial information to EPA:

Financial Statement of Corporate Debtor

Defendant the Estate of James M. Rowe, Jr. submitted the following financial information to EPA:

- Financial Statement of Debtor
- Last Will and Testament of James M. Rowe, Jr.
- 2005 Tax Return for James M. Rowe, Jr.

Defendant <u>James M. Rowe, III</u> submitted the following financial information to EPA:

- Financial Statement of Debtor
- Pay Stubs for James M. Rowe, III
- Bank and Investment Statements for James M. Rowe, III
- Life Insurance Policies for James M. Rowe, III
- Balance Statements for Auto, Boat and Real Estate Loans
- 2007 Tax Return for James M. Rowe, III
- Warranty Deed for Lynn Haven Mini-Storage Facility
- Broker letter addressing marketability of boat