



# **ILSI Health and Environmental Sciences Institute (HESI)**

## **Opportunities for Collaboration on In Vitro Testing Proposal**

February 28, 2008

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**Meeting with HESI Genomics Committee  
Representatives, and EPA, NIEHS Staff**

Research Triangle Park, NC



# HESI Representatives

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**Dr. Jiri Aubrecht** (Pfizer)

*HESI Genomics Committee Chair*

**Dr. Albert Fornace** (Georgetown University)

*HESI Scientific Advisor*

**Dr. Robert Schiestl**, (UCLA)

*HESI Scientific Advisor*

**Sybil Pettit, M.E.M.**

*HESI Senior Scientific Program Manager*



# Why This Meeting?

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- **The HESI Committee on Genomics shares common goals with EPA, NIEHS, NCGC, and NTP of facilitating the best science to improve mechanism-based chemical risk assessment**
- **HESI Committee in Genomics is seeking partners to develop and execute a mechanistically-based in vitro testing program.**
  - 2007 NRC Report on Toxicity Testing in 21<sup>st</sup> Century
  - ToxCast
  - January 2008 MOU on HTS
- **HESI seeking to engage input from:**
  - NTP's Biomolecular Screening Branch,
  - EPA's ToxCast Program
  - NIH Chemical Genomics Center
  - NIEHS staff
  - Others!



# What Is HESI?

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- **Non-profit organization that stimulates and supports scientific research and education.**
- **A collaborative forum for government, academic, and industrial scientists to work in concert to develop innovative and high quality approaches to resolving complex scientific issues that cross all sectors.**
- **HESI programs result in high quality, unbiased science that is published in the peer-reviewed literature.**



# HESI Committee on Genomics

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- **Long Track Record of Successful Collaboration and Leader in the Field: (since 1999)**
- **Committee has developed and implemented successful, prospective experimental programs. >15 peer reviewed publications.**
- **Respected internationally for open, balanced approach and multi-sector, international membership. Ability to reach a well-informed consensus.**
- **Demonstrated ability to create partnerships.**
- **Committee structure provides both technical expertise, ‘sweat-equity’, and funding.**



# Participants

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## Private Sector

Actelion Pharmaceuticals  
Amgen, Inc.  
AstraZeneca  
Bayer HealthCare  
Biogen Idec MA, Inc.  
Boehringer-Ingelheim Pharmaceuticals, Inc.  
Bristol-Myers Squibb Co.  
The Dow Chemical Company  
Eli Lilly and Company  
GlaxoSmithKline  
Hoffmann-La Roche, Inc.  
Institut de Recherches Int. SERVIER  
Johnson & Johnson Pharmaceutical  
Research and Development, LLC  
Novartis Pharmaceuticals Corporation  
Pfizer Inc  
Sankyo Co., Ltd.  
sanofi-aventis  
Schering-Plough Research Institute  
Sumitomo Chemical Co., Ltd.  
Syngenta Central Toxicology Laboratory  
Tanabe Seiyaku Co., Ltd.  
Taiho

## Government

U.S. Environmental Protection Agency  
U.S. Food and Drug Administration  
U.S. National Cancer Institute  
U.S. National Center for Toxicological  
Research  
U.S. National Institute of Environmental  
Health Sciences – National Center for  
Toxicogenomics  
  
European Agency for the Evaluation of  
Medicinal Products  
Netherlands - RIVM National Institute of  
Public Health and the Environment

## Academia

Harvard University  
University of Surrey  
Michigan State University  
Georgetown University



# Proposal Presentation

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- **We would value your input on the following:**
  - **Technical merit of proposal**
    - Comments on the scientific rigor?
  - **Relevance of the proposal to current testing/regulatory paradigms**
    - Would the results of this program be valuable in addressing the needs of ToxCast, NRC report, etc.?
  - **Level of engagement**
    - Where would you/your organization most like to engage on this project (e.g., planning, design, oversight, execution/lab work, database, etc.)
      - ToxCast computational tox
  - **Support**
    - What options for financial or in-kind support might be available if there is interest?



# Proposal Presentation

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## Short-term (mechanistically-based) testing for Genetic Toxicity and Carcinogenicity

TOXICOLOGICAL SCIENCES 99(1), 20–25 (2007)  
doi:10.1093/toxsci/kfm147  
Advance Access publication June 4, 2007

### FORUM SERIES

Genetic Toxicity Assessment: Employing the Best Science for Human  
Safety Evaluation Part VII: Why Not Start with a Single Test:  
A Transformational Alternative to Genotoxicity Hazard  
and Risk Assessment

Warren W. Ku,<sup>\*1</sup> Jiri Aubrecht,<sup>†</sup> Robert J. Mauthe,<sup>‡</sup> Robert H. Schiestl,<sup>‡</sup> and Albert J. Fornace Jr<sup>§</sup>

<sup>\*</sup>Exploratory Medicinal Sciences; <sup>†</sup>Drug Safety, Pfizer Global Research and Development, Groton, Connecticut, 06340; <sup>‡</sup>Department of Pathology,  
Environmental Health and Radiation Oncology, School of Medicine and Public Health, University of California, Los Angeles, California 90095; and  
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Harvard School of Public Health, Boston, Massachusetts 02115

Received March 5, 2007; accepted May 30, 2007





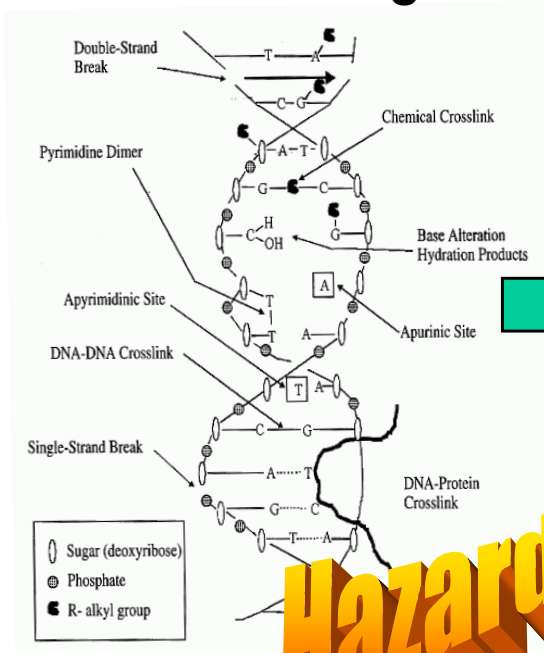
# Outline

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- **Gaps and challenges for predicting chemical carcinogenicity**
- **Proposed testing paradigm**
- **Proof of concept studies**
  - DEL
  - Toxicogenomics
- **Proposed research and partnership**

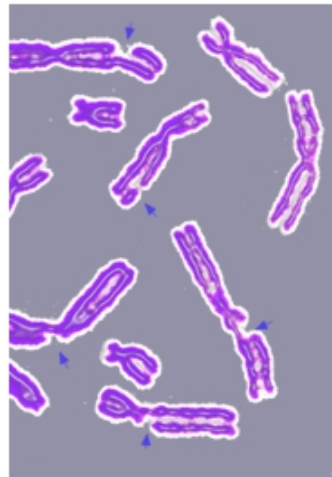
# Genetic Toxicity and Carcinogenesis

## DNA damage

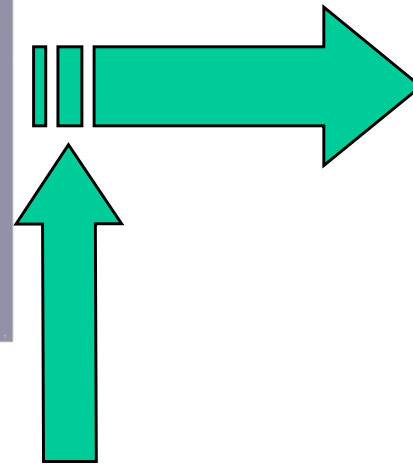


**Hazard**

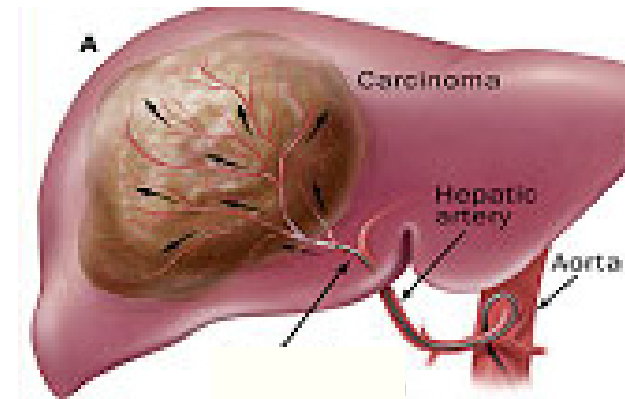
- Required for IND
- Genetox battery
- Cost: \$60K/cmpd
- Time: 1-3 month



## Carcinogenesis Multistage process



## Cancer



**Risk**

- Required for NDA
- 2-year bioassay
- Cost: \$3M/cmpd
- Time: 3 years

## Non-genotoxic mechanisms

- Proliferation
  - Direct/regenerative hyperplasia
  - Hormone changes
- Nuclear hormone receptor activation
- Epigenetics
  - DNA Methylation
  - Histone modifications

# Gaps and challenges

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- **Bridge genetox and carci testing**
  - Hazard ID and risk assessment
  - Relevance of positive genetox findings
- **Providing insights into mechanisms of genotoxicity and their to risk assessment, relevance to cancer**
  - Relevance of mechanisms of action to cancer development in vivo
    - Relation to genotoxic vs. non-genotoxic carcinogenesis
  - Biomarkers
- **Speed and throughput**
  - REACH etc., EPA – ToxCast, NTP...
- **Animal usage - 3R!!!**
- **Funding for translational research**
  - Opportunity to make a difference via partnership



# Considerations for in vitro testing

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## ■ Hallmarks of cancer

- Genome instability
  - LOH, mutation, chromosome aberration, deletion, translocation, micro-satellite instability
- **Malfunctioning checkpoints for proliferation**
  - Cell signaling, apoptosis, differentiation...

## ■ Challenge

- Predicting long term and complex nature of chemical carcinogenesis using short term vitro testing

# Current testing paradigm

## Genetic damage Genome instability

### Genetox battery

- AMES
- Chromosome damage
  - HLA, MLA, IVMN
  - In vivo MN

## Relevance and Risk Malfunctioning of checkpoints

### Follow-up assays

- Other genetox endpoints in vitro or in vivo
  - Comet, UDS, DNA adducts,...
  - Second in vivo test (transgenic)
- Mechanistic carcinogenicity studies in vivo
  - Hormonal analysis, proliferation,.....
  - Transgenics

- **Current testing battery addresses mainly genetic damage**
  - Relevance of findings in absence of understanding underlying mechanisms is difficult
- **Follow-up assays**
  - Some use similar endpoint lack of mechanistic information
  - Available mechanistic carcinogenicity studies restricted by current knowledge
  - Low throughput and high cost



# Proposed testing paradigm

Ku et al.: Why not start with a single test. Tox Sci, 2007

**Genetic damage**  
**Genome instability**

## **DEL recombination assay in yeast**

- Addresses all spectrum of genetic lesions
- High throughput
- Initial mechanistic insights

**HT screen**

**Relevance and Risk**  
**Malfunctioning of checkpoints**

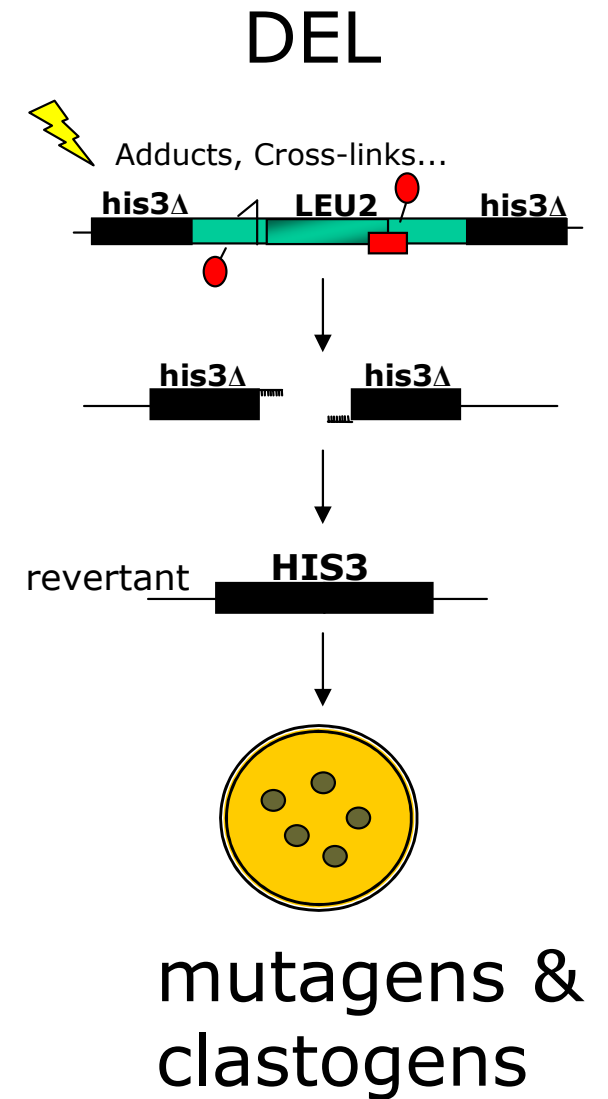
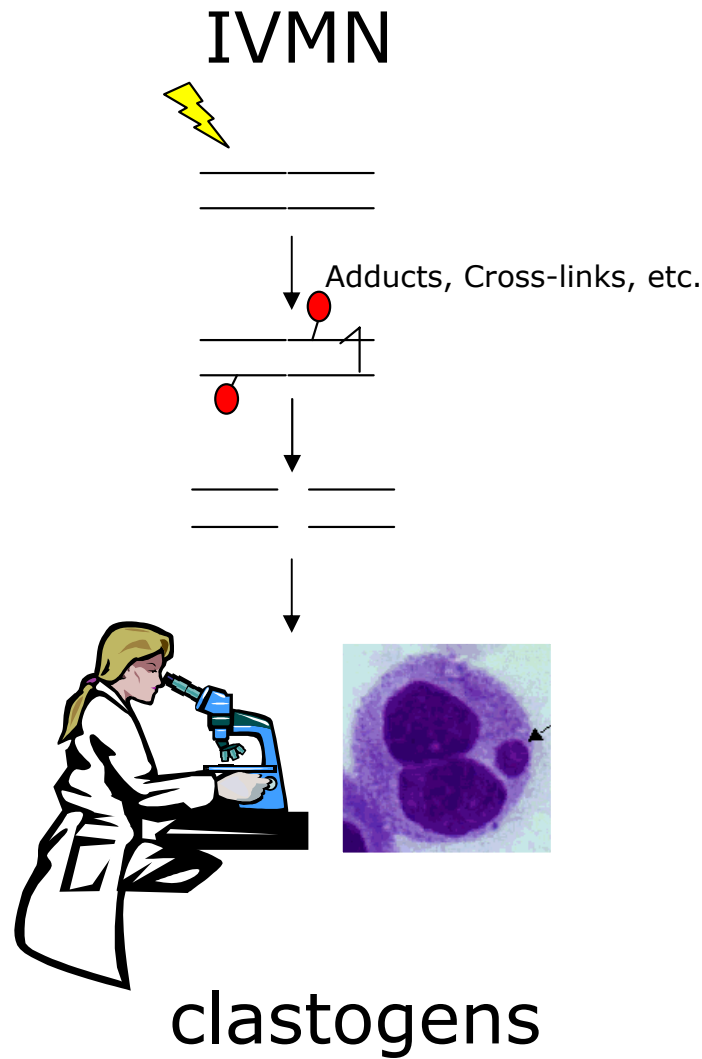
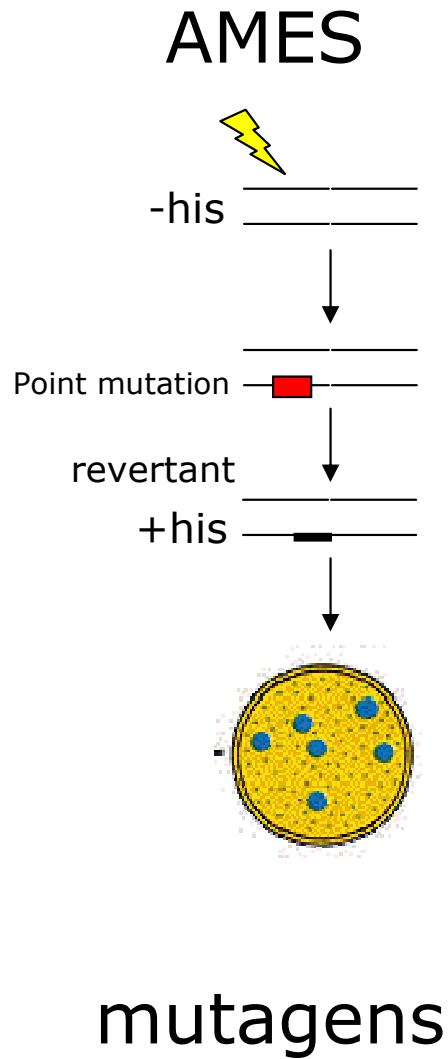
## **System biology approach**

- **Transcriptomic analysis of stress response**
  - Insight into underlying mechanisms
  - Enables evaluating relevance to humans
  - Compound specific biomarkers

**Address relevance**

- **Address underlying processes in chemical carcinogenesis**
  - Genetic damage and underlying biological processes
- **Facilitate understanding relevance of findings**
  - Mechanistic information is central to risk assessment for drugs and chemicals!!!
- **High throughput and low cost paradigm, 3R principle**

# What does AMES IVMN and DEL Detect?





# Response of carcinogens and noncarcinogens in the yeast DEL recombination assay and the Ames assay

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Compound	Carcinogen	Assay Response		Compound	Carcinogen	Assay Response	
		DEL	Salmonella			DEL	Salmonella
Safrole	+	+	-	EMS	+	+	+
Ethionine	+	+	-	Nitrogen mustard	+	+	+
Urethane	+	+	-	Epichlorohydrin	+	+	+
Auramine O	+	+	-	Aflatoxin B <sub>1</sub>	+	+	+
Methylene chloride	+	+	-	Ethylene dibromide	+	+	+
Carbon tetrachloride	+	+	-	Dimethylhydrazine	+	+	+
Cadmium chloride	+	+	-	Cyclophosphamide	+	+	+
Cadmium sulfate	+	+	-	Formaldehyde	+	+	+
3-Amino-1,2,4-triazole	+	+	-	Ethylene oxide	+	+	+
Acetamide	+	+	-	Propylene oxide	+	+	+
Thioacetamide	+	+	-	2,4-Diaminotoluene	+	+	+
Thiourea	+	+	-	TPA	+	-	-
DDE	+	+	-	Diethylstilbestrol	+	-	-
Ethylenethiourea	+	+	-	Peroxisome			
Aniline	+	+	-	Proliferators	+	-	-
<i>o</i> -Toluidine	+	+	-	Diethylhexylphthalate	+	-	-
<i>o</i> -Anisidine	+	+	-	Phenobarbital	+	-	-
Hexamethyl phosphoramidate	+	+	-	2,6-Diaminotoluene	-	-	+
Acrylonitrile	+	+	-	Hydroxylamine HCl	-	-	+
Benzene	+	+	-	Sodium azide	-	-	+
Arsenate	+	+	-	5-Bromouracil	-	-	+
Catechol	+	+	-	2-Aminopurine	-	+	+
Aroclor 1221 (PCB)	+	+	-	Ethidium bromide	-	+	+
UV irradiation	+	+	+	Benzoin	-	-	-
$\gamma$ -ray exposure	+	+	+	Methionine	-	-	-
4-NQO	+	+	+	Ethanol	-	-	-
MMS	+	+	+	Acetone	-	-	-
2-amino anthracene	+	+	+	Caprolactam	-	+	+
2-nitrofluorene	+	+	+				
2-acetoamidofluorene	+	+	+				



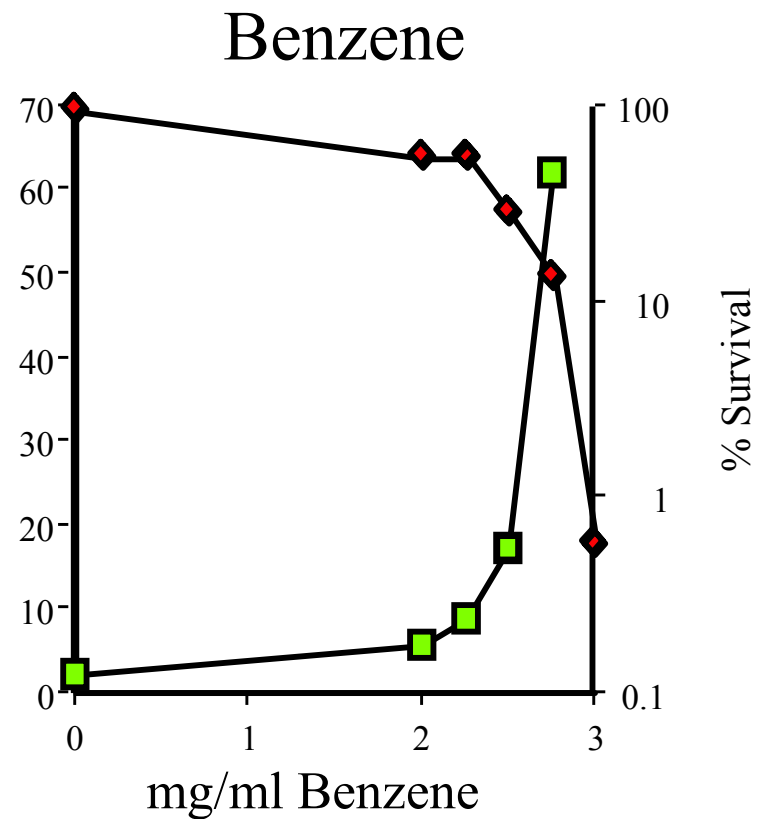
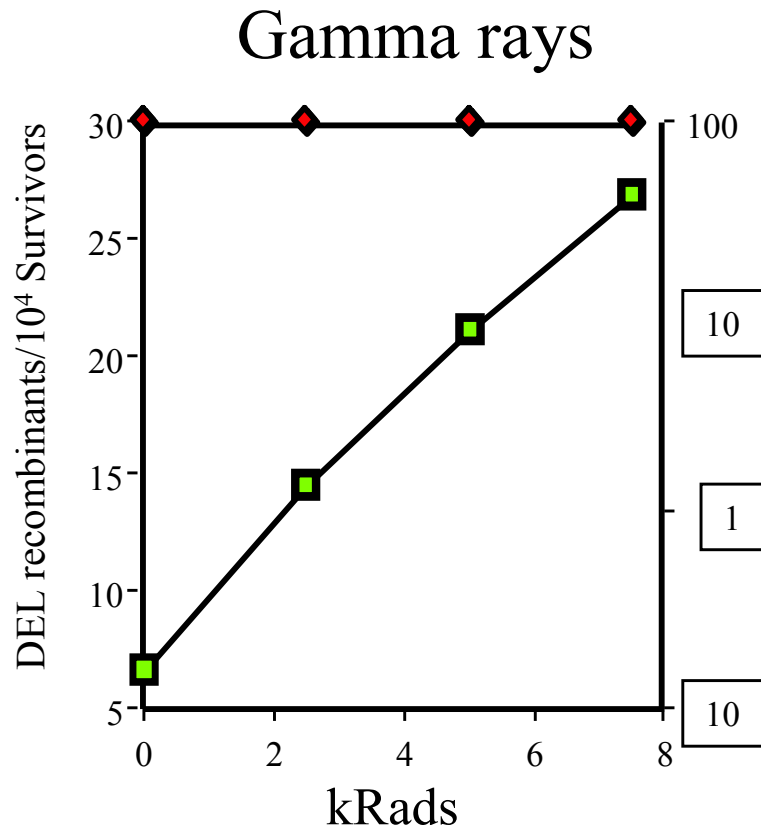


# Validation of the yeast DEL assay

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- **60 chemicals tested (most of them false negatives or false positives with the Salmonella assay) DEL assay accuracy: 92%, sensitivity: 94%, specificity: 80%**
- **Accuracy of the Salmonella assay with these chemicals: 62%**
- **The yeast DEL assay reduces the number of false negatives and false positives of the Salmonella assay**
- **Carcinogen/Noncarcinogen pairs correctly identified: o-Toluidine/2,4-Dimethoxy aniline 2,4-Diamino toluene/2,6-Diamino toluene Ethionine/Methionine**
- **Very High correlation with clastogenicity of chemicals**

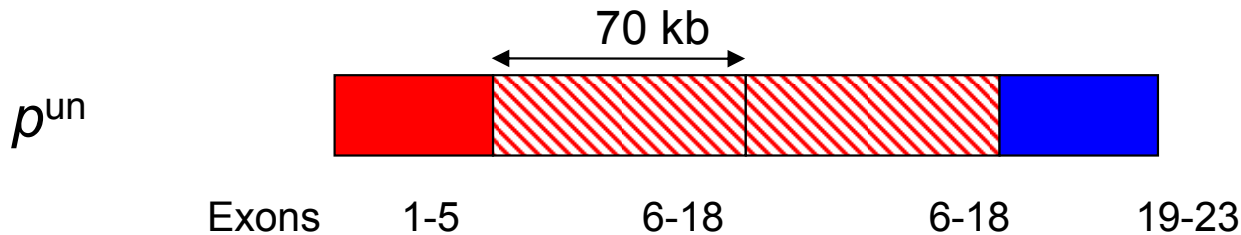
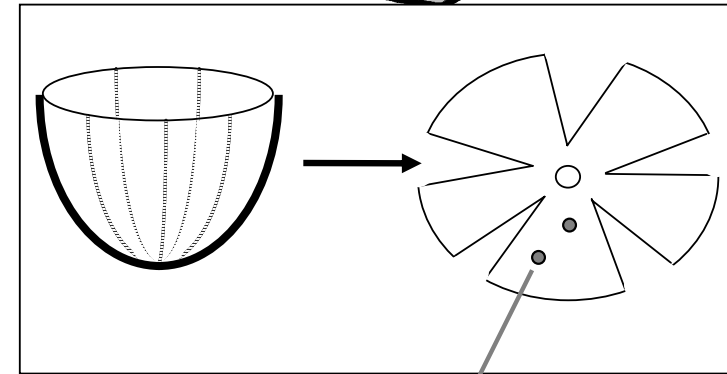
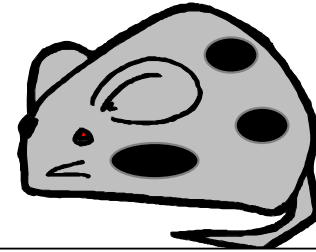
# The DEL assay detects Salmonella positive and negative carcinogens with different dose responses



# How DNA deletions are scored in vivo?

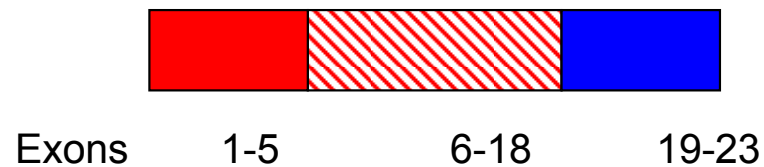
Mouse strain:  $p^{un}$  mouse (C57BL/6J- $p^{un}/p^{un}$ )

- dilute gray fur color
- pink eyes
- $p^{un}$  mutation



homologous  recombination (in embryonic life)

wild type  $p$



HR leads to a deletion of exons 6-18

# High-throughput yeast format

- **Quantitative colorimetric assay for yeast growth**
  - MTS- a compound that is reduced by cells to form a colored product.
  - Cell proliferation is proportional to the quantity of product.
  - Absorbance is recorded at 490 nm.



# Validated the well-based assay by using chemicals known to induce DEL events

Hontzas N., Hafer K., and Schiestl R., *Mutat. Res.* 634 (2007) 228–234

Compound	DEL (fold increase) <sup>1</sup>	DEL significance <sup>2</sup>
EMS (10µg/ml)	2.65	***
4NQO <sup>4</sup> (0.08µg/ml)	3.39	***
MMS (0.11µg/ml)	3.09	***
Camptothecin (13.93µg/ml)	1.77	***
ActD (25.11µg/ml)	1.26	**
Cr (3) (221.7µg/ml)	1.44	***
Cr (6) (69.99µg/ml)	3.78	***
Benzene (400µg/ml)	2.31	***
Cyclophosphamide (55.82µg/ml)	0.95	ns
Mitomycin C (13.37µg/ml)	1.29	**
Chlorambucil (9.13µg/ml)	3.58	***
Carmustine (10.70µg/ml)	7.62	***
Cisplatin (300µg/ml)	4.57	***
DMSO 1% <sup>4</sup>	0.96	ns
Acetone 0.4% <sup>4</sup>	0.96	ns
HCL-methanol (1:50) 0.5% <sup>4</sup>	0.95	ns

<sup>1</sup>Fold DEL increase was calculated by dividing the DEL induction measured for the respective compound concentration by that of the controls performed on the same plate. Each experiment was repeated at least 3 times on separate plates and similar results were attained in each measurement.

<sup>2</sup>Significance \* (p<0.05), \*\* (p<0.01), \*\*\* (p<0.005). ns-not significant (p>0.05).



# Summary of the development of the DEL assay

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- Proof of concept, the DEL assay detects all DNA lesions such as direct acting as well as indirect acting carcinogens
- Different dose response can differentiate between direct and indirect acting carcinogens
- The same chemicals induce DNA deletions in mammalian cells as well as in vivo in mice
- Isolated five mutants sensitive to hydrophobic toxins from a screen of the whole genomic mutant library and constructed a DEL tester strain with the best performing mutation
- Developed a High Throughput Format of the DEL assay and shown that it is as sensitive as the regular plating format
- The next step is to thoroughly validate the HTS assay



# Toward agent-specific signatures:

Global analysis of molecular responses to stress with a functional genomics approaches

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Provide insight into mechanisms of action of genotoxicants and carcinogens



# Development of Gene-Tox Signatures

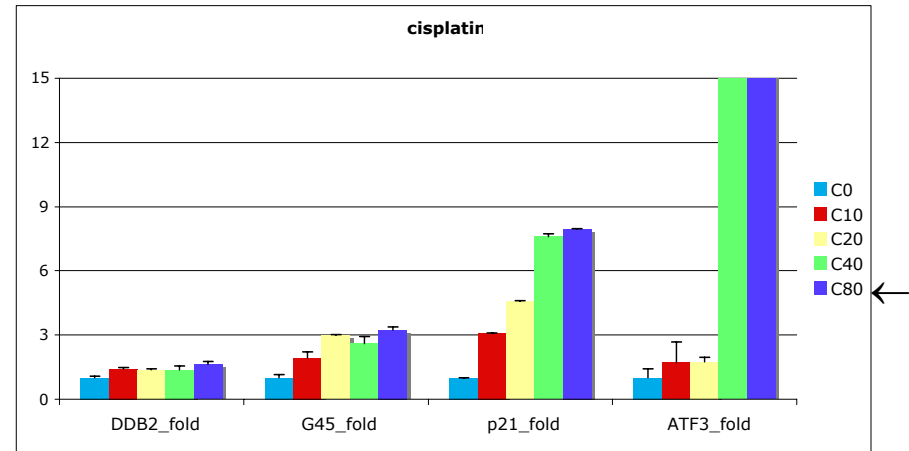
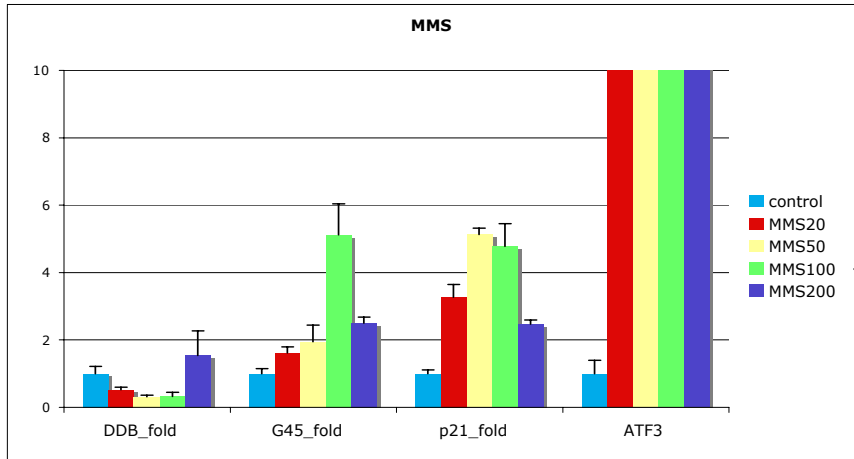
- **TK6 cells**
- **acute response**
  - little to no toxicity at this time, so secondary effects minimized
- **optimize dose for signaling studies**
  - typically robust responses at higher “physiologic” doses
  - very high doses can block transcription and general cell integrity
- **agents from various classes/types of damaging agents**

<b>Genotoxic</b>		<b>Non-genotoxic</b>
<b>DNA damage</b>	<b>antimetabolites</b>	
nitrogen mustard cisplatin camptothecin VP-16 bleomycin MMS x rays hydrogen peroxide cadmium chloride potassium chromate sodium arsenate	hydroxyurea Ara-C methotrexate PALA caffeine methotrexate 5-FU	heat shock NaCl thapsigargin (ER stress, intracellular calcium pump inhibitor) tunicamycin (ER stress, disrupt glycosylation of newly-synthesized proteins) 2-deoxyglucose ("glucose" poison) Antimycin A (mitochondria respiration inhibitor) AICAR (AMPK activator, mimic, mitochondrial dysfunction and energy stress) p38 kinase activity inhibitor Microtubule inhibitors (stabilizers and destabilizers) HDAC inhibitors

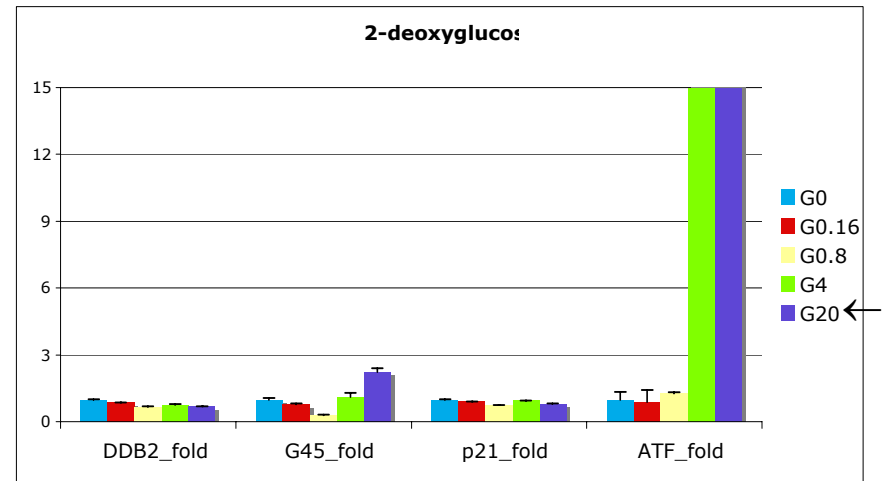
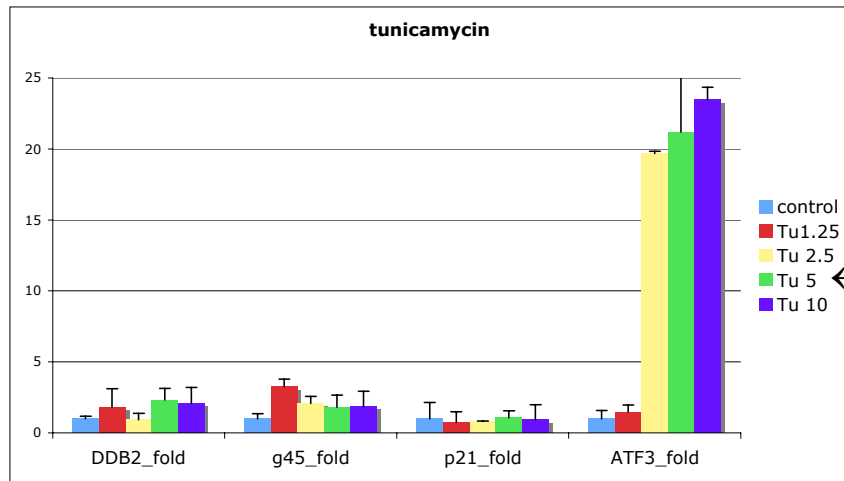


# Pre-array RT-PCR for dose-optimization

DNA damaging:



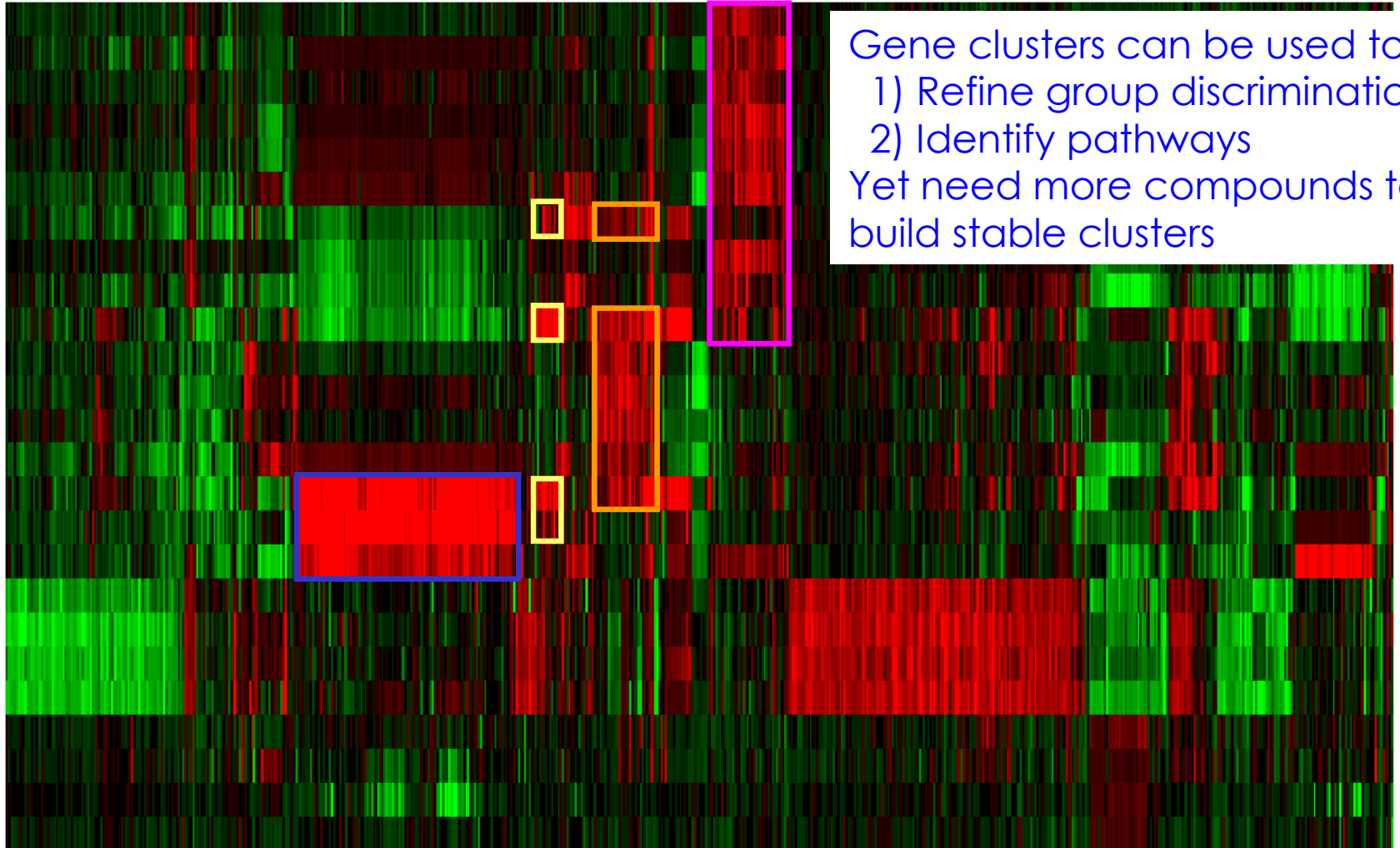
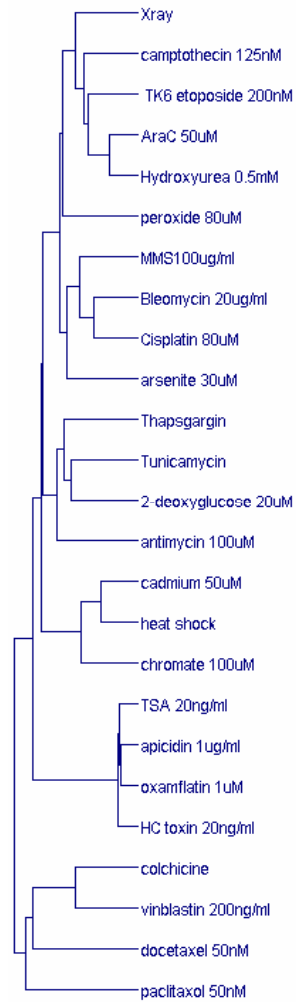
non-DNA damaging:



# Pathway analysis of gene expression profiles

- Oxidative Stress Response
- p53 Signaling
- Acute Phase Response Signaling

- p53 Signaling
- Cell Cycle: G2/M DNA Damage Checkpoint Regulation



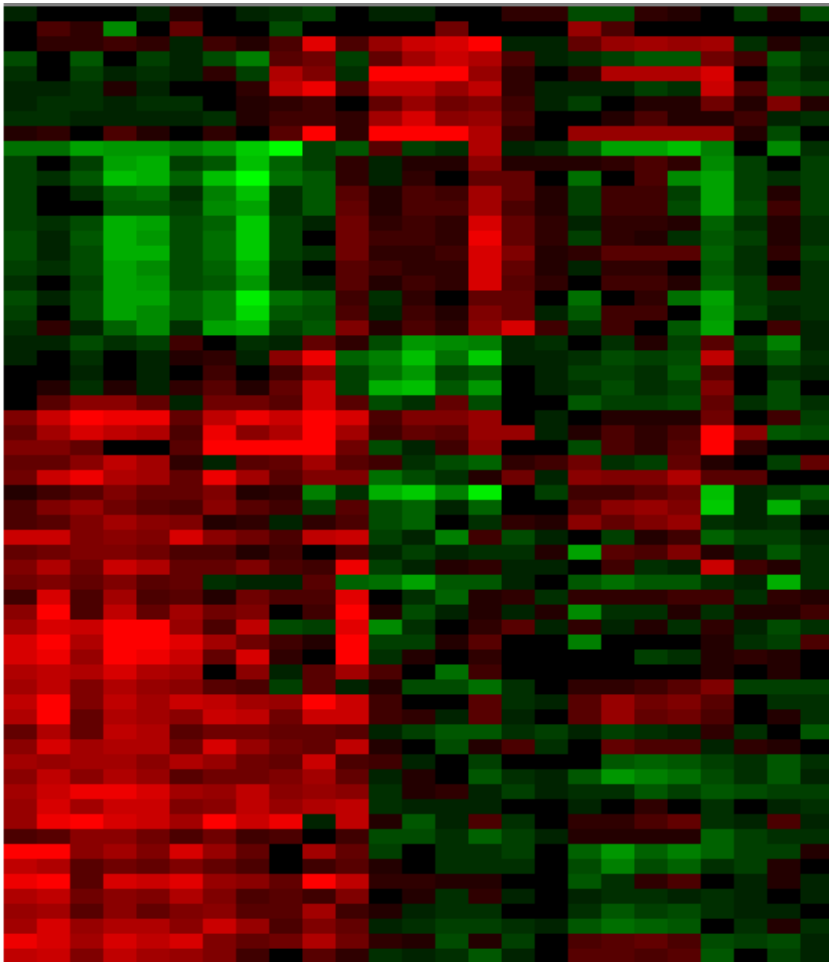
Gene clusters can be used to:  
 1) Refine group discrimination.  
 2) Identify pathways  
 Yet need more compounds to build stable clusters

- Metabolism
- Protein perturbations
- NFKB signaling

- Endoplasmic Reticulum Stress Pathway
- p38 MAPK Signaling
- Glycine, Serine and Threonine Metabolism

# Visualization of Genotoxic Classifier

Heatmap of 58 Gene Genotoxic Classifier



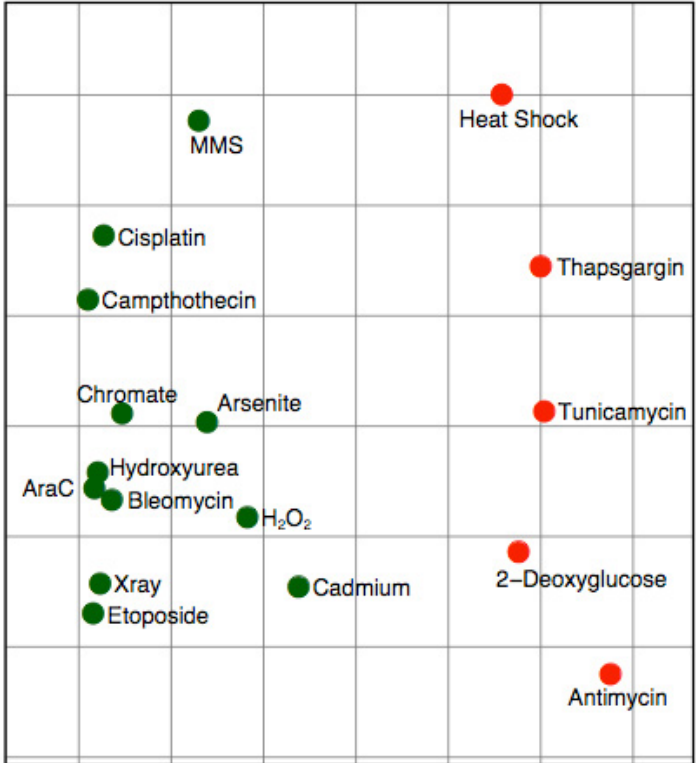
Genotox Repressed

Genotox Induced

- Xray
- Bleomycin 20ug/ml
- camptothecin 1.25nM
- AraC 50uM
- Hydroxyurea 0.5mM
- TK8 etoposide 200nM
- Cisplatin 80uM
- chromate 100uM
- MMS 100ug/ml
- arsenite 30uM
- peroxide 80uM

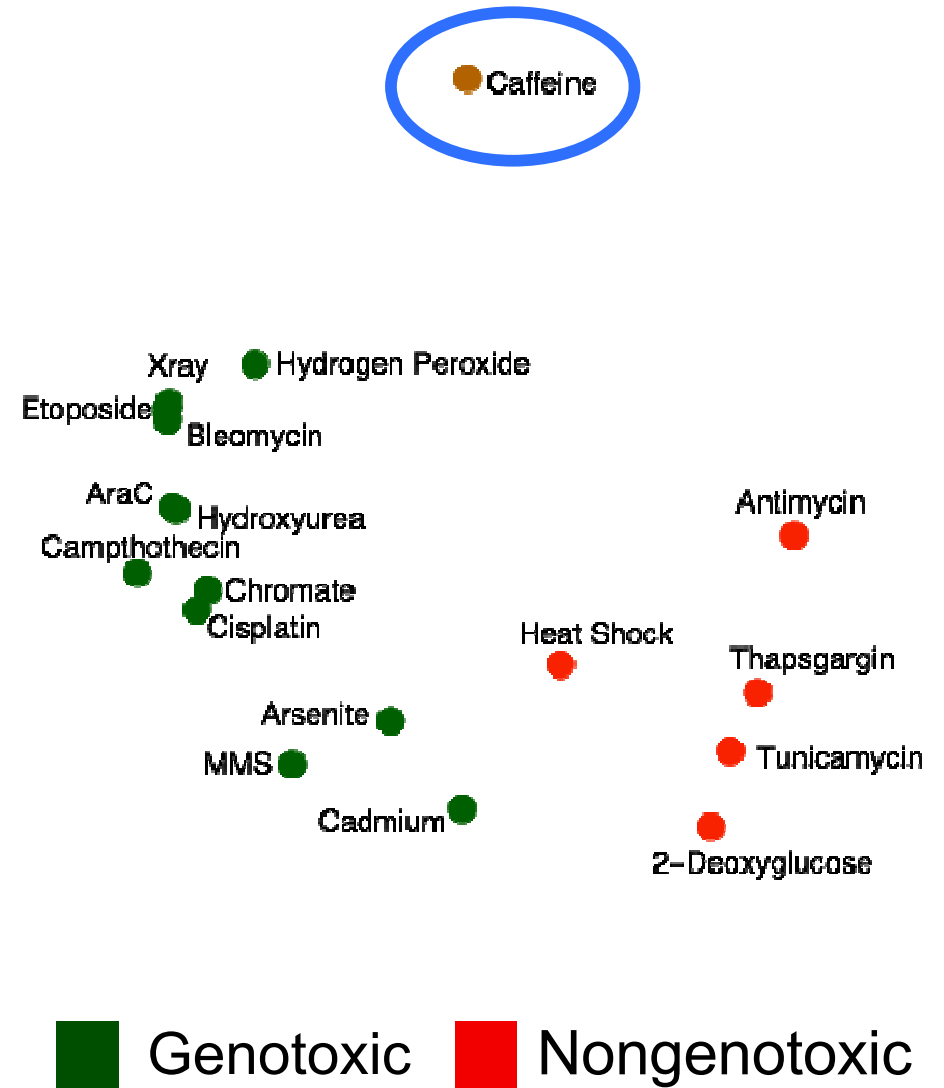
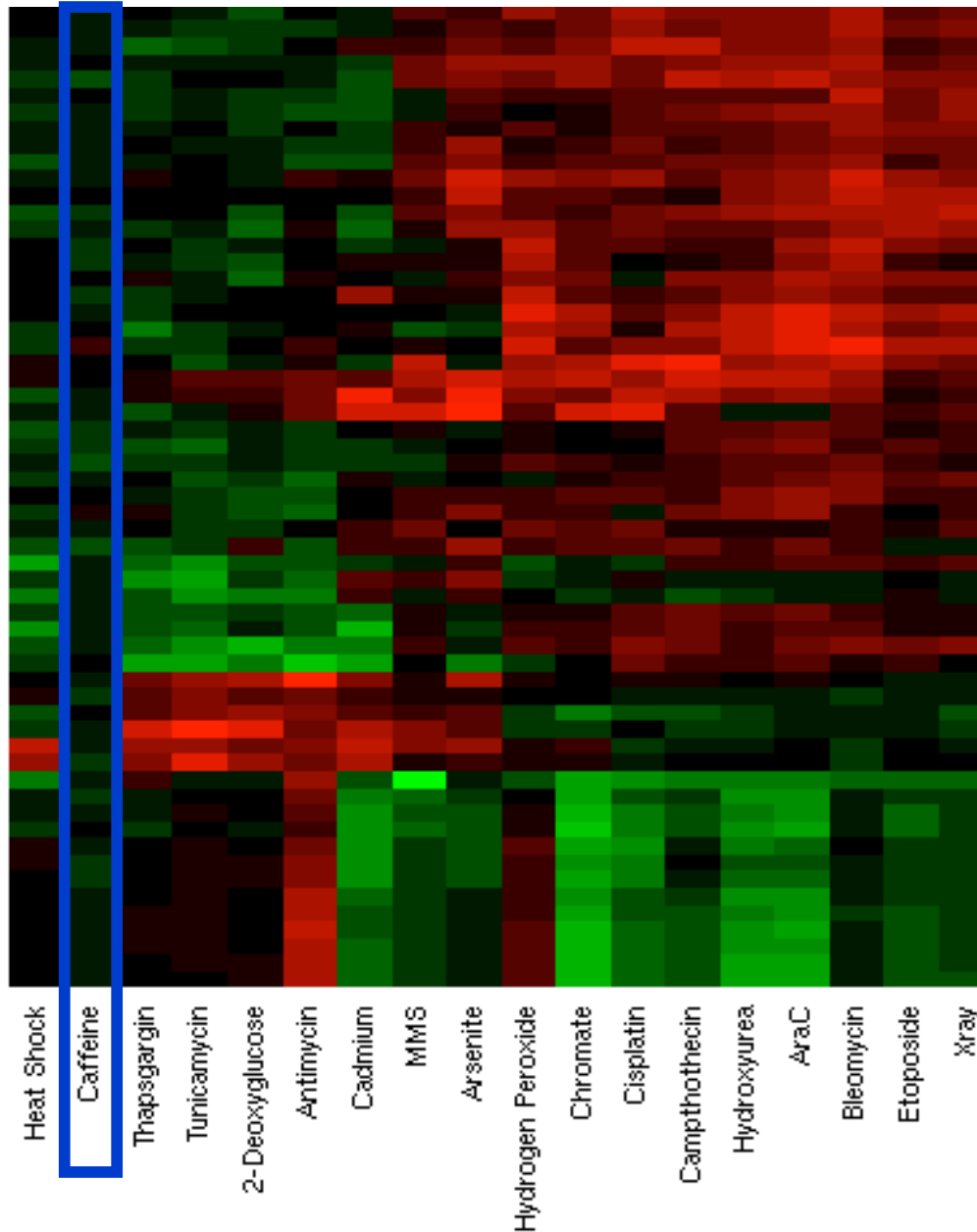
- Thapsalgargin
- Tunicamycin
- 2-deoxyglucose 20uM
- antimycin 100uM
- vinblastin 200ng/ml
- docetaxel 50nM
- TSA 20ng/ml
- apicidin 1ug/ml
- oxamflatin 1uM
- HC toxin 20ng/ml
- cadmium 50uM
- colchicine
- heat shock
- paclitaxol 50nM

MDS Using Genotoxic Classifier



■ Genotoxic ■ Nongenotoxic

# Caffeine Is Non-genotoxic





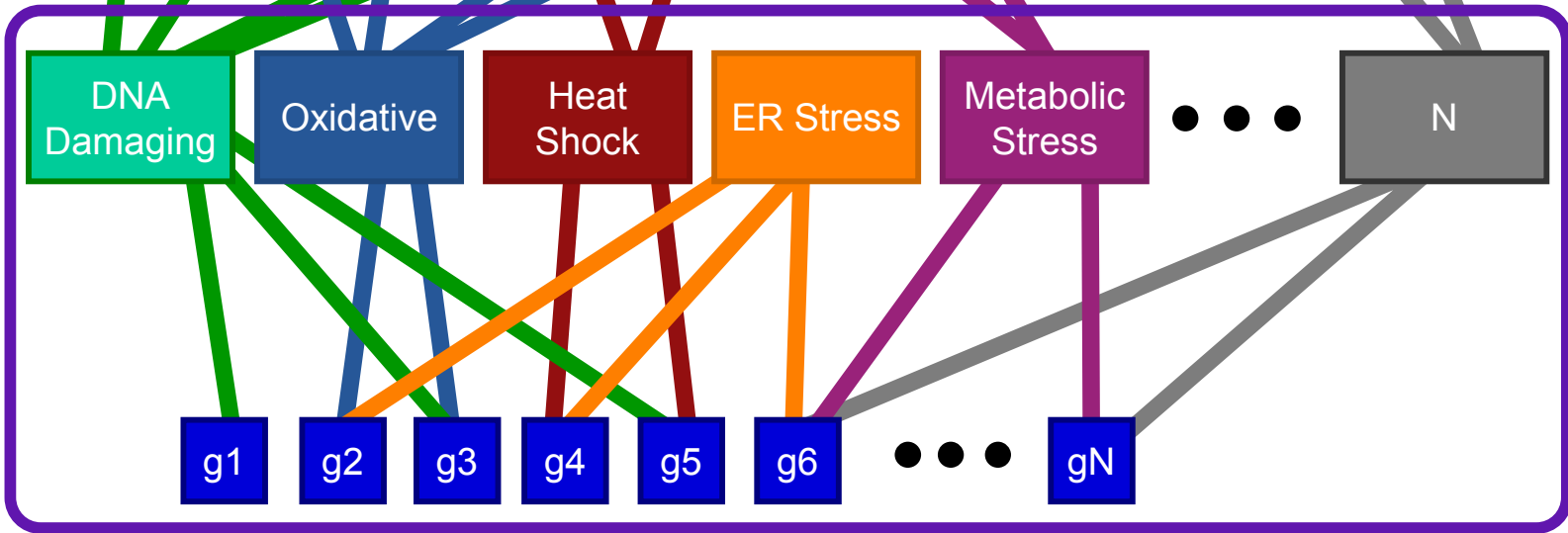
H E S  
Treatments



Gene Sets

Genes

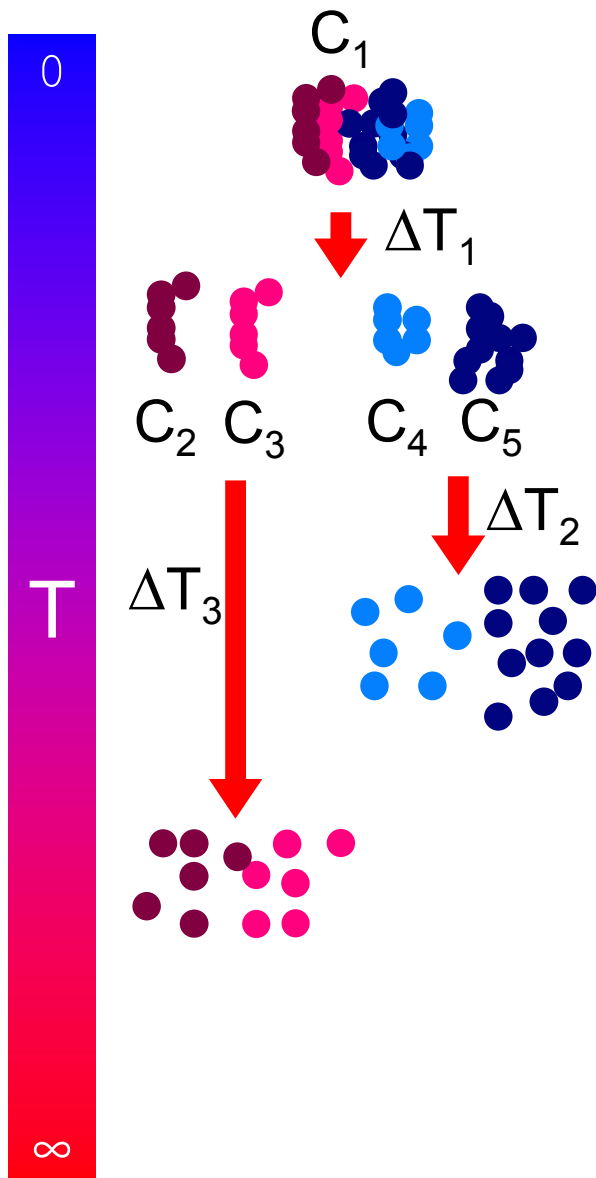
Arsenite Chromate Heat Shock MMS X-Ray



Expression Data

Active Gene Sets

# Superparamagnetic Clustering (SPC)



Based on statistical mechanics model of inhomogeneous ferromagnet.

Hierarchical and nonparametric.

Insensitive to initial conditions.

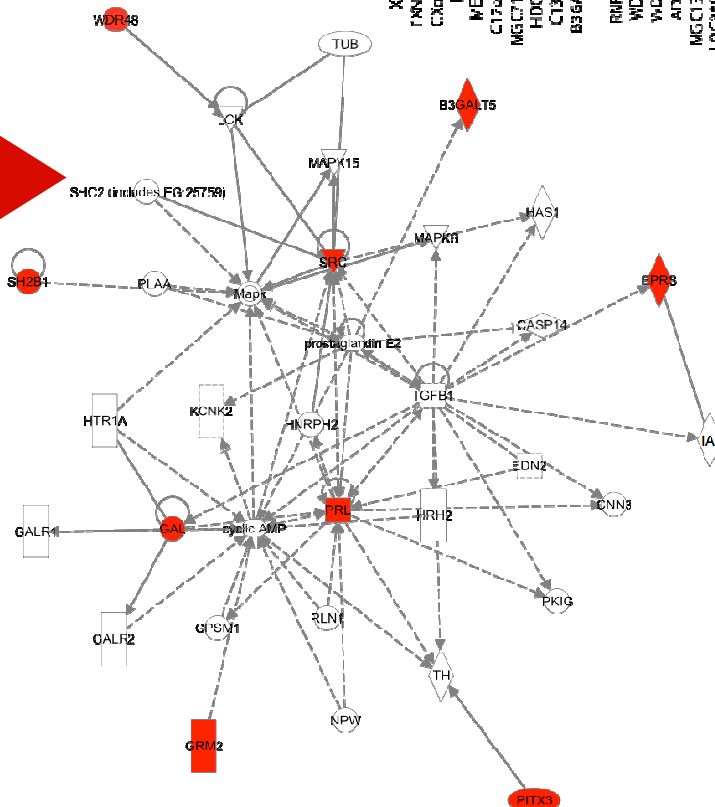
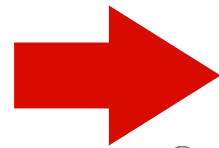
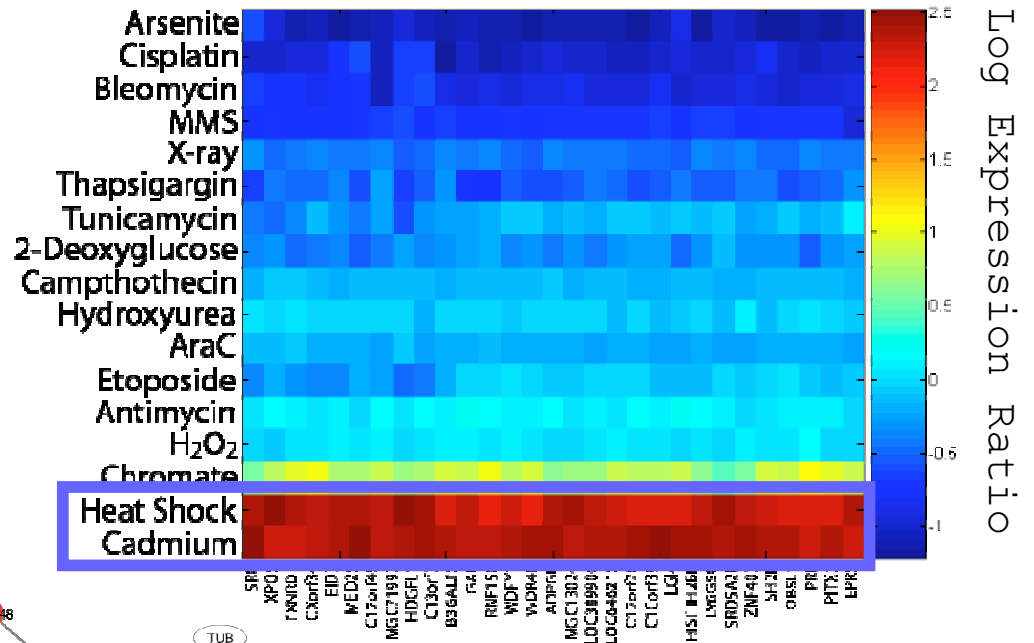
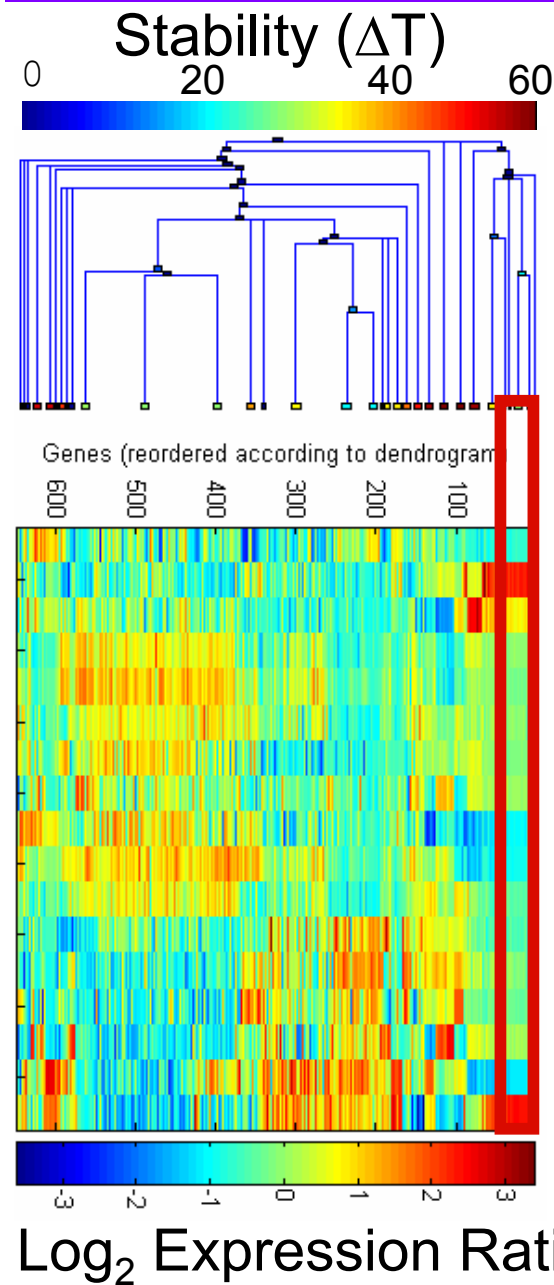
Does not spuriously identify clusters.

Control parameter ( $T$ ) indicates the stability of the clusters.

Example:  $\Delta T_3 > \Delta T_2 > \Delta T_1$  therefore clusters  $C_2$  and  $C_3$  are more stable than clusters  $C_1$ ,  $C_4$ , and  $C_5$ .

# Heat Shock / Cadmium Responsive Cluster

## Gene Clusters



cAMP-Mediated Signaling

# Summary

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- **Gene expression profiles provide insights into mechanisms**
  - Pleiotropic effects
  - Pathway analysis provides substrate for human relevance and suggests links to carcinogenesis
- **Phenotypic anchoring using stress genes enabled to compare gene expression signatures across compound classes**
  - **More agents** will provide better resolution of toxic mechanisms
- **Feasible approach for testing large set of chemicals**
  - Single dose with a stress gene-based phenotypic anchor





# Proposed testing paradigm

Ku et al.: Why not start with a single test. Tox Sci, 2007

**Genetic damage**  
**Genome instability**

## **DEL recombination assay in yeast**

- Addresses all spectrum of genetic lesions
- High throughput
- Initial mechanistic insights

**HT screen**

**Relevance and Risk**  
**Malfunctioning of checkpoints**

## **System biology approach**

- **Transcriptomic analysis of stress response**
  - Insight into underlying mechanisms
  - Enables evaluating relevance to humans
  - Compound specific biomarkers

**Address relevance**

- Achieved proof of principle for DEL and toxicogenomic
- Understanding modes/mechanisms of action is common theme in risk assessment for drugs and chemicals
- Validation needed



# Research Proposal

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- **Validate DEL assay as a high throughput alternative to genetox battery**
  - Assess concordance with genetox battery and rodent carcinogenicity testing
- **Validate transcriptomic systems toxicology approach for assessing genotoxic mechanisms**
  - Evaluate gene expression signatures across wide range of mechanisms and compounds
  - Mechanism-based gene signatures
  - Biomarkers
- **Research managed by collaborators**
  - Compound set 300-1500



# Research implementation

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- **Establish “consortium” of partners**
  - Government, academia and industry
  - Compound selection for testing
  - Data analysis and interpretation
  - Provide data and concepts for use in risk assessment
    - Drugs
    - Environmental chemicals
  
- **Laboratory work performed in academic labs or others**
  - DEL - Schiestl (UCLA)
  - Toxicogenomics – Fornace (Georgetown)
  - NIEHS or EPA?

# Expected outcome

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- **Testing paradigm suitable for validation by regulatory agencies eg. ICVAM/ECVAM validation, FDA etc**
  - High throughput
  - 3R compliant
- **Improved testing methods for chemical carcinogenicity**
  - Environmental chemicals and drugs
- **Decision on suitability of emerging technologies (DEL and toxicogenomics) in toxicological research**



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