



1998 Compliance Report

Acid Rain Program

A screenshot of a Netscape browser window displaying the "US EPA Acid Rain Home Page - Netscape". The title bar shows the page name and the browser type. The main content area features the EPA logo and the "Acid Rain Program" logo with a water droplet icon. A descriptive text block explains the program's goal of reducing sulfur dioxide and nitrogen oxide emissions. On the left, a vertical sidebar lists links: "What's New", "Students and Teachers", "Laws, Regulations, and U.S.-Canada Agreement", "Permits and Applicability", "Forms", "Publications", and "Contact Us". The main content area contains a list of program components with corresponding icons: "Program Overview" (globe), "Environmental Effects" (bar chart), "SO₂ Emissions Trading" (bar chart with upward arrow), "NO_x Reduction Program" (map), "Emissions Monitoring and Reporting" (bar chart), "Conservation and Renewable Energy Incentives" (leaf). To the right, there are four additional links with icons: "NO_x Trading Programs" (map), "SO₂ Allowance Data" (bar chart with upward arrow), "Electric Utility Emissions Data" (bar chart with upward arrow), and "NAPAP Integrated Assessment" (bar chart). At the bottom, a green navigation bar offers links to "Related Articles, Reports, and Papers", "Other Information Resources", and "Opportunities in Emissions Trading". The footer includes links to "EPA Home", "Office of Air and Radiation Home", and "Disclaimer", along with standard browser navigation buttons.

Visit us at www.epa.gov/acidrain

BACKGROUND

The Acid Rain Program was established under Title IV of the 1990 Clean Air Act Amendments. The program calls for major reductions of sulfur dioxide (SO_2) and nitrogen oxides (NO_x), the pollutants that cause acid rain, while establishing a new approach to environmental protection through the use of market incentives. The program sets a permanent cap on the total amount of SO_2 that may be emitted by electric utilities nationwide at about one half of the amount emitted in 1980, and allows flexibility for individual utility units to select their own methods of compliance. The program also sets NO_x emission limitations (in lb/mmBtu) for electric utilities, representing about a 27 percent reduction from 1990 levels. The Acid Rain Program is being implemented in two phases: Phase I began in 1995 for SO_2 and 1996 for NO_x , and will last until 1999; Phase II for both pollutants begins in 2000 and is expected to involve over 2,000 units. In 1998, there were 408 units affected by the SO_2 provisions of the Acid Rain Program, 235 of which were also affected for NO_x , and an additional 305 utility units affected only by the NO_x provisions.

Acid rain causes acidification of lakes and streams and contributes to the damage of trees at high elevations. In addition, acid rain accelerates the decay of building materials, paints, and cultural artifacts, including irreplaceable buildings, statues, and sculptures. While airborne, SO_2 and NO_x gases and their particulate matter derivatives, sulfates and nitrates, contribute to visibility degradation and impact public health.

The SO_2 component of the Acid Rain Program represents a dramatic departure from traditional command and control regulatory methods that establish source-specific emissions limitations. Instead, the program introduces a trading system for SO_2 that facilitates lowest-cost emissions reductions and an overall emissions cap that ensures the maintenance of the environmental goal. The program features tradable SO_2 emissions allowances, where one allowance is a limited authorization to emit one ton of SO_2 . Allowances may be bought, sold, or banked by utilities, brokers, or anyone else interested in holding them. Existing utility units were allocated allowances for each future compliance year and all participants of the program are obliged to surrender to EPA the number of allowances that correspond to their annual emissions starting either in Phase I or Phase II of the program.

The NO_x component of the Acid Rain Program is more traditional, and establishes an emission rate limit for all affected utilities. Flexibility is introduced to this command and control measure, however, through compliance options such as emissions averaging, whereby a utility can meet the standard emission limitations by averaging the emissions rates of two or more boilers. This allows utilities to over-control at units where it is technically easier to control emissions, thereby achieving emissions reductions at a lower cost. Additionally, beginning in 1997, certain Phase II units could elect to become affected for NO_x early. By complying with Phase I limits, these early election units can delay meeting the more stringent Phase II limits until 2008.

At the end of each year, utilities must demonstrate compliance with the provisions of the Acid Rain Program. For the NO_x portion of the program, utilities must achieve an annual emission limitation at or below mandated levels. For SO_2 , utilities are granted a 60-day grace period during which additional SO_2 allowances may be purchased, if necessary, to cover each unit's emissions for the year. At the end of the grace period (the Allowance Transfer Deadline), the allowances a unit holds in its Allowance Tracking System (ATS) account must equal or exceed the unit's annual SO_2 emissions. In addition, in 1995-1999 (Phase I of the program), units must have sufficient allowances to cover certain other deductions as well. Any remaining SO_2 allowances may be sold or banked for use in future years.

Table of Contents

| | |
|--|----|
| Letter from the Director..... | 1 |
| Summary..... | 2 |
| Affected Population in Phase I..... | 4 |
| SO ₂ Results | |
| Program..... | 4 |
| Compliance Results..... | 5 |
| Allowance Market..... | 8 |
| NO _x Results | |
| Program..... | 11 |
| Phase I Units..... | 12 |
| Phase I Compliance Results..... | 13 |
| Phase II Early Election Units..... | 14 |
| Phase II Early Election Compliance Results..... | 15 |
| Monitoring Update..... | 17 |
| Conclusion..... | 18 |
| Appendix A: Phase I Affected And Early Election Units in 1998 | |
| Appendix B-1: Table 1 Units Designating Substitution and Compensating Units - 1998 | |
| Appendix B-2: List of Phase I Extension Units and 1998 Deductions for Exceeding 1998 Projected Emissions Limitations | |
| Appendix B-3: Emissions and Utilization of Phase I Units, 1997 and 1998 | |
| Appendix B-4: Emissions and Allowance Holdings of Phase I Units | |
| Appendix C-1: List of Averaging Plans and Results in 1998 | |
| Appendix C-2: Compliance Results for the 265 Phase I NO _x Affected Units in 1998 | |
| Appendix C-3: Compliance Results for the 275 Early Election Units in 1998 | |

TO THE READER:

The Acid Rain Program 1998 Compliance Report summarizes compliance results that, for the fourth consecutive year since the Acid Rain Program began, show 100 percent compliance with both sulfur dioxide (SO₂) and nitrogen oxide (NO_x) requirements. Over the past year there were also a number of significant Program improvements.

First, the allowance transfer deadline, the date by which a unit's allowance account is required to hold enough allowances to account for the previous year's SO₂ emissions, was changed from January 30th to March 1 (Feb. 29 for leap years). This allows affected facilities additional time to determine their previous year's SO₂ emissions and to ensure the availability of sufficient allowances to account for those emissions.

Second, in order to expedite transfers and reduce transaction costs the Acid Rain Program revised its regulations to allow an authorized account representative to specify allowance accounts to which allowances can be transferred without requiring the buyer's signature on each individual allowance transfer form.

Third, to avoid the imposition of extremely large excess emissions penalties for minor, inadvertent accounting errors, the Acid Rain Program now allows for the transfer of unused allowances from unit accounts at the same source to account for the emissions at a unit that lacks sufficient allowances. This leads to a smaller penalty, more in line with the violation, while still ensuring the environmental objective.

Fourth, the monitoring rule have was revised to enhance flexibility for industry by reducing monitoring requirements for certain units with low mass emissions, creating new monitoring options for some units, reducing certain quality assurance requirements, and increasing fuel sampling flexibility for certain units. The sum of these changes make the rule more efficient and less burdensome for the regulated community, EPA, and the States.

Finally, the Acid Rain Program permits regulation was revised to make new and retired unit exemptions easier for sources to comply with and simpler for the States to administer. These changes provide States with additional flexibility in meeting public notice requirements in the issuance of Acid Rain permits and allow for "direct/final" issuance of draft and proposed Acid Rain permits. The Program also eased public notice requirements related to the appointment of, and changes to, the designated representative and alternate designated representative.

We will continue to look for ways to improve the Acid Rain Program as we prepare for the year 2000 and the beginning of Phase II, and will work with all interested persons in ensuring that the Acid Rain Program meets its environmental goals with minimum cost and burden for affected sources and States.

Brian J. McLean, Director
Acid Rain Program

SUMMARY

100 Percent Compliance with both SO₂ and NO_x Requirements in 1998

All 713 boilers and combustion turbines (referred to as “units”) affected by the SO₂ and NO_x regulations of the Acid Rain Program in 1998 successfully met their emissions compliance obligations.

- ◆ All 408 units subject to SO₂ requirements in 1998 held sufficient allowances to cover their emissions. Of the 5,300,861 allowances deducted from compliance accounts almost all (5,298,498 or 99.96 percent) were for emissions, but other deductions were also made as required by the Acid Rain Program regulations.
- ◆ All 540 units subject to the NO_x requirements in 1998 demonstrated compliance with applicable annual emission limitations. Of these 540 units, 235 were also subject to SO₂ requirements, while 305 units were affected only for NO_x (30 Phase I units and 275 Phase II “early election” units).

1998 SO₂ Emissions of Phase I Units were 24 Percent Below Allowable Level

SO₂ emissions in 1998 were 1.7 million tons (or 24 percent) below the 7 million ton allowable level as determined by 1998 allowance allocations. Since an additional 7.9 million allowances were carried over, or banked, from 1997, the overall number of allowances available in 1998 was 14.9 million, of which affected units consumed only about 35 percent. Actual emissions for the 408 units participating in 1998 were 5.3 million tons, down 180,000 tons from emissions of the 423 units affected in 1997.

1998 Phase I Unit NO_x Emission Rates 41 Percent Below 1990; NO_x Tons 29 Percent Lower Than in 1990

Emission rates for the 265 Phase I utility units dropped by 41 percent below 1990 levels, from an average of 0.70 pounds of NO_x per million Btu of heat input (lb/mmBtu) to an average of 0.41 lbs/mmBtu; this rate is 16 percent below the compliance rate of 0.49 lbs/mmBtu for these units. NO_x emission levels for these units were 390,254 tons (or 29 percent) below 1990 levels.

1998 NO_x Emission Rates of Early Election Units Even Lower Than Rates for Phase I Units

For the 275 Phase II units which elected to meet Phase I NO_x rates early, emission rates dropped from an average of 0.46 lbs/mmBtu in 1990 to 0.38 lbs/mmBtu in 1998, a 17 percent decrease and 19 percent below the compliance rate of 0.47 lbs/mmBtu for these units. Therefore, while utilization of these units increased by 28 percent between 1990 and 1998, NO_x tons increased by only 8 percent.

Monitoring Performance Excellent Once Again

For the fourth year of the Acid Rain Program, the continuous emission monitors used by participants continue to provide some of the most accurate and complete data ever collected by the EPA. Statistics reflect excellent monitor operation of all units affected by both Phase I and Phase II of the program.

- Accuracy: SO₂ monitors achieved a median relative accuracy (i.e., deviation from the reference test method) of 3.0 percent; flow monitors, 3.0 percent; and NO_x monitors, 3.1 percent.
- Availability: SO₂ and flow monitors achieved a median availability of 99.5 and 99.7 percent, respectively, while NO_x monitors achieved a median reliability of 99.2 percent.

SO₂ Market Active; Volume of Allowances Transferred Between Distinct Entities in 1998 Continues to Increase

Activity in the allowance market continued to increase in 1998. The volume of allowances transferred between unrelated parties in economically significant trades increased from 7.9 million in 1997 to 9.5 million in 1998.

AFFECTED POPULATION IN PHASE I

Exhibit 1 provides a summary of the affected population of units under the Acid Rain Program from 1995 through 1999. The table illustrates that although the units listed in Table 1 of the CAAA are consistently affected for both SO₂ and NO_x beginning in 1997, the total universe of affected units varies year to year because of the flexibility offered by the program.

Exhibit 1
Affected Units During Phase I of the Acid Rain Program

| | | 1995 | 1996 | 1997 | 1998 | 1999 |
|-----------------------|-------------------------------|------------|------------|------------|------------|-----------------|
| SO₂ | Table 1 | 263 | 263 | 263 | 263 | 263 |
| | Substitution and Compensating | 182 | 161 | 153 | 135 | Variable |
| | Opt-in | 0 | 7 | 7 | 10 | Variable |
| | TOTAL | 445 | 431 | 423 | 408 | Variable |
| NO_x | Table 1 | NA | 144 | 170 | 171 | 171 |
| | Substitution | NA | 95 | 95 | 94 | 94 |
| | Early-Election | NA | NA | 272 | 275 | Variable |
| | TOTAL | NA | 239 | 537 | 540 | Variable |

SO₂ PROGRAM

408 Units Underwent Annual Reconciliation for SO₂ in 1998

There were 398 affected utility units and 10 opt-in units that underwent annual reconciliation in 1998 to determine whether sufficient allowances were held to cover emissions. These 408 units are listed in Appendix A and include 263 utility units specifically required to participate during Phase I, 135 utility units not initially required to participate until Phase II, but electing to participate early as part of

multi-unit compliance plans¹, and 10 other units that elected to join as part of the Opt-in Program². There were 8 fewer units undergoing annual reconciliation than in 1997.

1998 SO₂ Emissions Target was 6.97 Million Tons

The number of allowances allocated in a particular year, the amount representing that year's allowable SO₂ emissions level, is the sum of allowance allocations granted to sources under several provisions of the Act. In 1998, the emissions target established by the program for the 408 participating units was 6.97 million tons. However, the total allowable SO₂ emission level in 1998 was actually 14.93 million tons, consisting of the 6.97 million 1998 allowances granted through the program and an additional 7.96 million allowances carried over, or banked, from 1997.

The initial allocation and the allowances for substitution and compensating units represent the basic allowances granted to units that authorize them to emit SO₂ under the Acid Rain Program. Additional allowances for the year 1998 were also made available through the allowance auctions, held annually since 1993. Other allowances issued in 1998 were from special provisions in the Act, which are briefly explained in Exhibit 2 on the following page. In addition, any allowances carried over from previous years (banked allowances) are available for compliance and included in the allowable total.

Beginning in the year 2000 at the onset of Phase II, the volume of allowances allocated annually to the Phase I units will be reduced and the requirement to hold allowances will be extended to smaller, cleaner plants. Nationwide, the cap for all utilities with an output capacity of greater than 25 megawatts will be 9.48 million allowances from 2000-2009. In 2010, the cap will be reduced further to 8.95 million allowances, a level approximating one half of industry-wide emissions in 1980.

SO₂ COMPLIANCE RESULTS

Phase I Units Better 1998 SO₂ Allowable Emissions Level by 24 Percent

The Phase I units affected in 1998 emitted at a level approximately 24 percent below 1998 allocations, as shown in Exhibit 3. This percentage is about the same as in 1997, with both emissions and

¹ During Phase I of the Acid Rain Program, a unit not originally affected until Phase II may elect to enter the program early as a substitution unit or a compensating unit to help fulfill the compliance obligations for one of the Table 1 units targeted by Phase I. A unit brought into Phase I as a substitution unit can assist a Table 1 unit in meeting its emissions reductions obligations. Utilities may make cost-effective emissions reductions at the substitution unit instead of at the Table 1 unit, achieving the same overall emissions reductions that would have occurred without the participation of the substitution unit. A Table 1 unit may designate a Phase II unit as a substitution unit only if both units are under the control of the same owner or operator. Additionally, Table 1 units that reduce their utilization below their baseline may designate a compensating unit to provide compensating generation to account for the reduced utilization of the Table 1 unit. (A unit's baseline is defined as its heat input averaged over the years 1985-1987). A Table 1 unit may designate a Phase II unit as a compensating unit if the Phase II compensating unit is in the Table 1 unit's dispatch system or has a contractual agreement with the Table 1 unit, and the emissions rate of the compensating unit has not declined substantially since 1985. See Appendix B-1 for the relationship of these units to their Table 1 counterparts.

² The Opt-in Program gives sources not required to participate in the Acid Rain Program the opportunity to enter the program on a voluntary basis, install continuous emission monitoring systems (CEMS), reduce their SO₂ emissions, and receive their own allowances.

allocations registering slight decreases. Appendix B-3 reports the 1998 emission and utilization levels for all Phase I affected units, as well as a comparison to these levels in 1997.

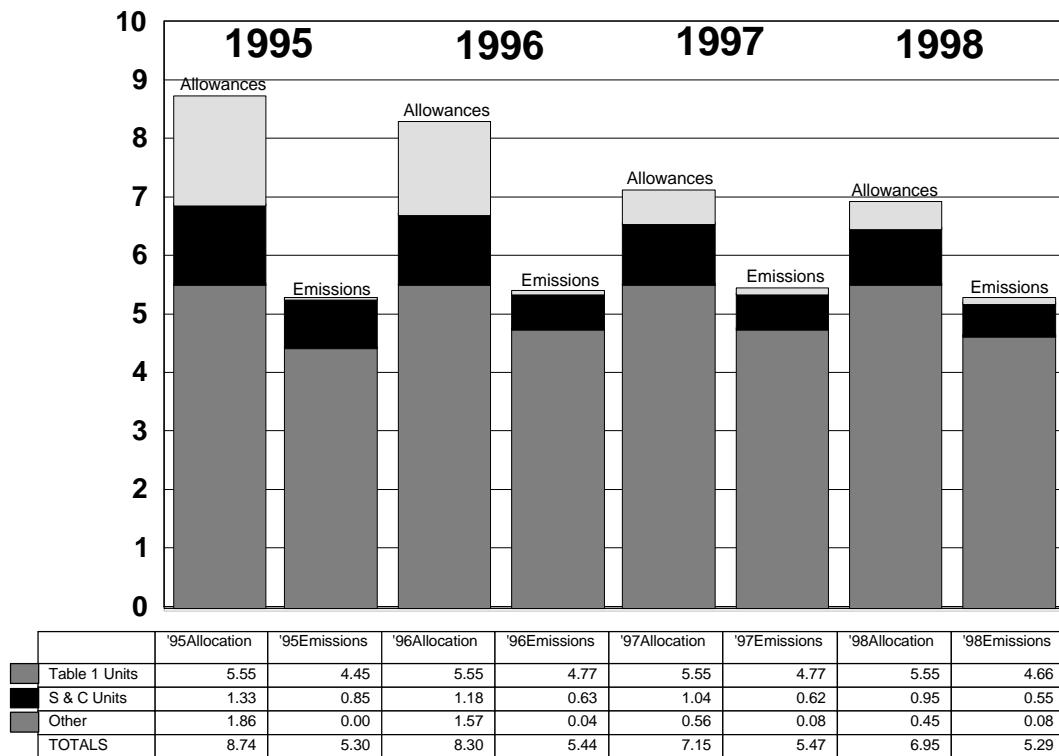
Relative to 1997, the 263 Table 1 units decreased their emissions by about 110,000 tons, or more than two percent in 1998, while increasing their utilization by just over one half of one percent. The 4.7 million tons emitted by these Table 1 units were still substantially below their 1998 allocation of 5.6 million allowable tons.

Exhibit 2
Origin of 1998 Allowable Emissions Level

| Type of Allowance Allocation | Number of Allowances | Explanation of Allowance Allocation Type |
|-----------------------------------|----------------------|---|
| Initial Allocation | 5,550,820 | Initial Allocation is the number of allowances granted to units based on their historic utilization, emissions rates specified in the Clean Air Act and other provisions of the Act. |
| Phase I Extension | 178,211 | Phase I Extension allowances are given to Phase I units that reduce their emissions by 90 percent or reassign their emissions reduction obligations to units that reduce their emissions by 90 percent. |
| Allowances for Substitution Units | 948,708 | Allowances for Substitution Units are the initial allocation granted to Phase II units which entered Phase I as substitution units. |
| Allowance Auctions | 150,000 | Allowance Auctions provide allowances to the market that were set aside in a Special Allowance Reserve when the initial allowance allocation was made. |
| Allowances for Compensating Units | 15,838 | Allowances for Compensating Units are the initial allocation granted to Phase II units which entered Phase I as compensating units. |
| Opt-in Allowances | 97,932 | Opt-in Allowances are provided to units entering the program voluntarily. |
| Small Diesel Allowances | 27,656 | Small Diesel Allowances are allocated annually to small diesel refineries that produce and desulfurize diesel fuel during the previous year. These allowances can be earned through 1999. |
| TOTAL 1998 ALLOCATION | 6,969,165 | |
| BANKED 1997 ALLOWANCES | 7,959,676 | Banked Allowances are those held over from 1995 through 1997 which can be used for compliance in 1998 or any future year. |
| TOTAL 1998 ALLOWABLE | 14,928,841 | |

Substitution and compensating units in 1998 expended about the same percentage of their annual allocation as in 1997. In 1998, these 135 units were responsible for emitting approximately 550,000 tons of SO₂, about 58 percent of their 950,000 allocation. In 1997, 153 substitution and compensating

Exhibit 3
Summary of SO₂ Emissions versus Allocations
(Millions of Tons)



units emitted approximately 620,000 tons of SO₂, or 60 percent of their 1.04 million allowable level.

Three new opt-in units joined the program in 1998, raising the total allocation to 98,000 allowances and the emissions level to 80,000 tons. The percentage of emissions to allowances allocated to opt-in units in 1998 increased by approximately 1% compared to 1997.

Deducting Allowances for Compliance

The total number of allowances deducted in 1998 was 5,300,861 which represents approximately 76 percent of all 1998 allowances issued. Almost all (99.95 percent) of the deducted allowances were for emissions. Exhibit 4 displays these allowance deductions, as well as the remaining bank of 1995 through 1998 allowances.

At an individual unit, the number of allowances surrendered was equal to the number of tons emitted at the unit, except where the unit shared a common stack with other units. For the purposes of surrendering allowances for emissions at a common stack, the utility was allowed to choose the proportion of allowances deducted from each unit sharing the stack, as long as enough allowances were

surrendered to cover the total number of tons emitted. If no apportionment was made, EPA deducted allowances equally among the units sharing the stack to cover total emissions reported by the stack. Appendix B-4 reflects the deductions for emissions at each unit after the common stack apportionment was made. Units sharing a common stack are listed directly under the entry for their common stack.

Under the Acid Rain Program, certain units applied for and received approval of Phase I Extension plans during the Phase I permitting process. These units fell into two categories: "control units" which were required to cut their emissions by 90 percent using qualifying technology³ by 1997, and "transfer units" which reassigned their emissions reduction obligations to a control unit. Both kinds of units received extra SO₂ emissions allowances to cover the SO₂ they emitted beyond their basic Phase I allocations during 1995 and 1996. In addition, the control units were given Phase I extension allowances for 1997, 1998, and 1999. A total of 3.5 million allowances was distributed to all Phase I extension control and transfer units⁴.

For 1998, all 19 control units demonstrated meeting the 90 percent reduction requirement and, therefore, did not surrender any 1998 extension allowances. The 1998 tonnage emissions limitation, though, was exceeded by five control units and eleven transfer units and resulted in a surrender of a total of 99,240 vintage 1999 allowances. The deduction amounts for each Phase I extension unit are included in Appendix B-2.

SO₂ ALLOWANCE MARKET

The flexibility provided by the Acid Rain Program enabled the 408 units affected in 1998 to pursue a variety of compliance options to meet their SO₂ reduction obligations, including scrubber installation, fuel switching, energy efficiency, and allowance trading. The presence of the allowance market has given some sources the incentive to overcontrol their SO₂ emissions in order to bank their allowances for use in future years. Other sources have been able to postpone and possibly avoid expenditures for control by acquiring allowances from sources that overcontrolled. The flexibility in compliance options is possible because of the accountability provided through strict monitoring requirements for all affected units that ensure one allowance is equivalent to one ton of SO₂. The program's flexibility enabled all 408 sources to be in compliance in 1998 and significantly reduced the cost of achieving these emissions reductions as compared to the cost of a technological mandate.

³Qualifying technology is defined in 40 CFR 72.2

⁴ Beginning in 1997, each of the 19 units designated as control units was required to show it had reduced its annual emission by at least 90 percent using qualifying control technology. If a unit could not make this demonstration, all or a portion of the extension allowances it received for the year under the Phase I Extension provisions were required to be surrendered. In addition, also beginning in 1997, each of the same 19 control units and each of the 61 other units designated as transfer units was required to meet a tonnage emission limitation approved in its permit. A unit that exceeded its limitation was required to surrender allowances for the following year.

Exhibit 4
SO₂ Allowance Reconciliation Summary

| Total Allowances Held in Accounts as of 3/1/99 (1995 through 1998 Vintages)* | 14,928,841 |
|--|------------------|
| Table 1 Unit Accounts | 8,585,043 |
| Substitution & Compensating Unit Accounts | 1,306,220 |
| Opt-in Accounts | 83,962 |
| Other Accounts** | 4,953,616 |
| 1998 Allowances Deducted for Emissions | 5,298,498 |
| Table 1 Unit Accounts | 4,664,898 |
| Substitution & Compensating Unit Accounts | 553,349 |
| Opt-in Unit Accounts | 80,251 |
| 1998 Allowances Deducted Under Special Phase I Provisions*** | 2,363 |
| Table 1 Unit Accounts | 65 |
| Substitution & Compensating Unit Accounts | 1,755 |
| Opt-in Unit Accounts | 543 |
| Banked Allowances | 9,627,980 |
| Table 1 Unit Accounts | 3,920,080 |
| Substitution & Compensating Unit Accounts | 751,116 |
| Opt-in Unit Accounts | 3,168 |
| Other Accounts** | 4,953,616 |

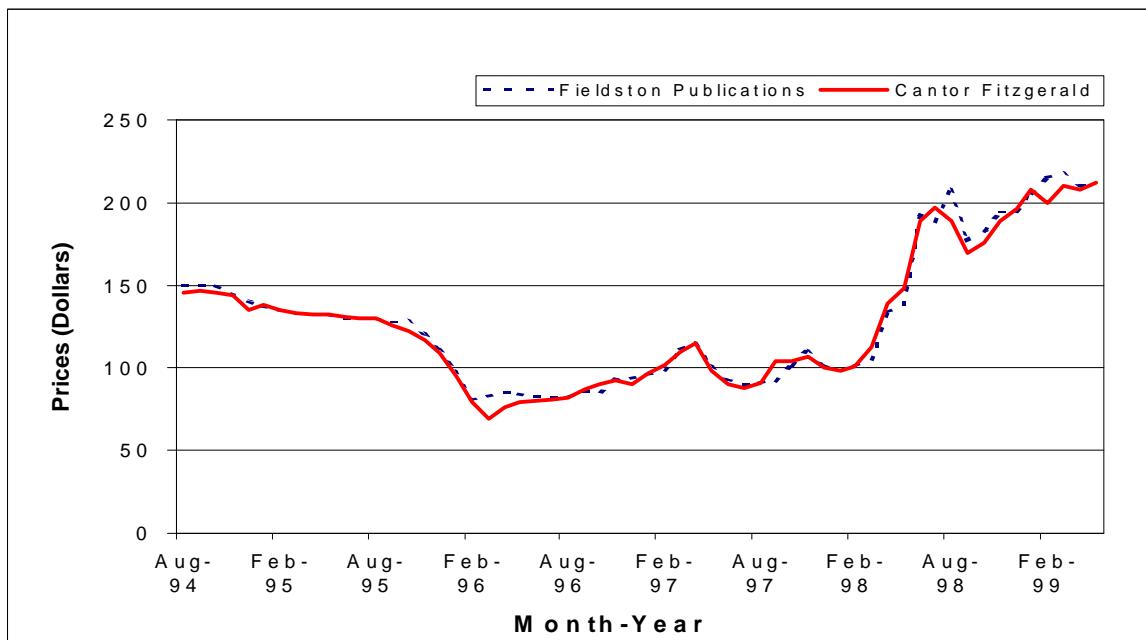
* The number of allowances held in the Allowance Tracking System (ATS) accounts equals the number of 1998 allowances allocated (see Exhibit 2) plus the number of 1997 banked allowances. March 1, 1999 represents the Allowance Transfer Deadline, the point in time at which the 1998 Phase I affected unit accounts are frozen and after which no transfers of 1995 through 1998 allowances will be recorded. The freeze on these accounts is removed when annual reconciliation is complete.

** Other accounts refers to general accounts within the ATS that can be held by any utility, individual or other organization, and unit accounts for units not affected in Phase I.

***Allowances were deducted for both underutilization and state cap provisions in 1998 (see Appendix B-4 for a thorough explanation).

The marginal cost of reducing a ton of SO₂ from the utility sector should be reflected in the price of an allowance. The cost of reductions continues to be lower than anticipated when the Clean Air Act Amendments were enacted, and the price of allowances reflects this. The cost of compliance was initially estimated at \$400-1000/ton, but was \$207/ton at the 1999 allowance auction. Prices have remained in the \$205 to \$215 range since January of 1999. Some market observers believe lower than expected allowance prices during the first several years of the program were due primarily to lower than expected compliance costs and larger than expected emission reductions, which have increased the supply of allowances and put downward pressure on prices. Exhibit 5 displays the price trend since mid-1994, based on monthly price reports from Cantor Fitzgerald Environmental Brokerage Services, and a market survey conducted by Fieldston Publications.

Exhibit 5
SO₂ Allowance Prices

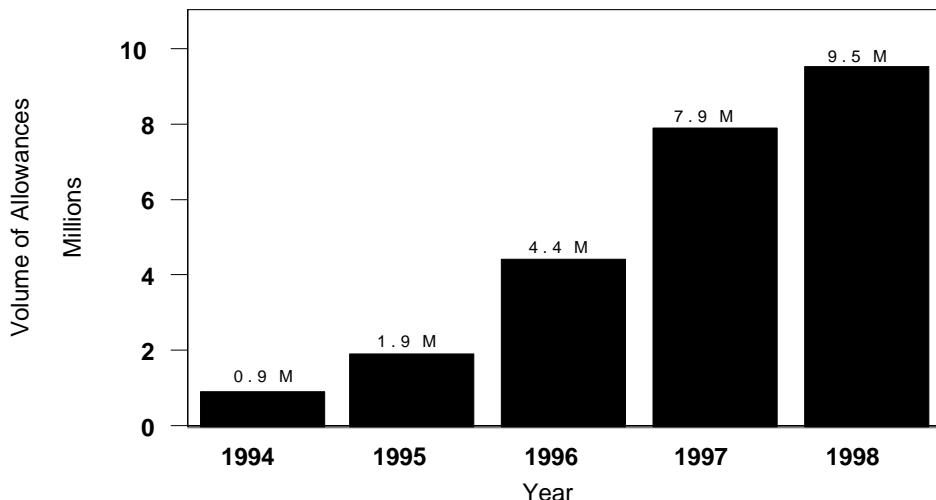


Activity in the allowance market created under the Acid Rain Program remained strong in 1998, with 1,584 transactions moving about 13.5 million allowances in the Allowance Tracking System (ATS), the accounting system developed to track holdings of allowances. In terms of economically significant transfers, or those between unrelated parties, the volume of allowances transferred rose from 7.9 million in 1997 to 9.5 million in 1998. A record 70 percent of annual activity consisted of allowances transferred between economically distinct organizations, with more than half representing allowances directly acquired by utilities.

The most active market segment in 1998 in terms of allowance volume was composed of exchanges between brokers/traders and utilities, accounting for 6.3 million allowances. The next most active was the reallocation category, which covered an additional 3.2 million allowances. The category of transfers between unrelated utilities increased to 1.9 million allowances.

All transactions, along with data on account balances and ownership, are posted on the Acid Rain Division's Internet site (www.epa.gov/acidrain) on a daily basis in order to better inform trading participants. Also available are cumulative market statistics and analysis.

Exhibit 6
Volume of SO₂ Allowances in Economically Significant Transfers



NO_x PROGRAM

Instead of using allowance trading to facilitate emissions reductions, the Title IV NO_x program establishes standard emission limitations for affected units. Title IV of the 1990 Clean Air Act Amendments required EPA to establish NO_x annual average emission limits (in pounds of NO_x per million British thermal units of fuel consumed (lb/mmBtu)) for coal-fired electric utility units in two phases.

In April 1995, EPA promulgated 40 CFR Part 76 which established NO_x emission limits beginning on January 1, 1996 for Group 1 boilers that were also part of the Phase I SO₂ program. (Group 1 boilers are dry bottom, wall-fired boilers and tangentially-fired boilers.) Phase I dry bottom wall-fired boilers are subject to a NO_x emission limit of 0.50 lb/mmBtu; Phase I tangentially-fired boilers are subject to a NO_x emission limit of 0.45 lb/mmBtu.

In addition, the April 1995 regulations allowed Phase II Group 1 units to use an "Early Election" Compliance Option. Under this regulatory provision, Group 1, Phase II NOx affected units can demonstrate compliance with the higher Phase I limits for their boiler type from 1997 through 2007 and not meet the more stringent Phase II limits until 2008. If the utility fails to meet this annual limit for the boiler during any year, the unit is subject to the more stringent Phase II limit for Group 1 boilers beginning in 2000, or the year following the exceedance, whichever is later.

In December 1996, EPA revised the NO_x emission limits for Phase II, Group 1 boilers (0.46 lb/mmBtu for dry bottom wall-fired boilers and 0.40 lb/mmBtu for tangentially-fired boilers) and established emission limits for cell burner, cyclones, wet bottom and vertically-fired boilers (referred to as "Group 2 boilers") effective on January 1, 2000. As a result of the April 1995 and December 1996 rulemakings,

NO_x reductions were projected to be approximately 400,000 tons per year in 1996 through 1999 (Phase I), and 2,060,000 tons per year in 2000 and subsequent years (Phase II).

PHASE I NO_x UNITS

265 Phase I Units Were Subject to Emission Limitations in 1998

In 1998, 265 coal-fired utility units were subject to the Title IV Phase I emission limitations for NO_x.⁵ The 265 Phase I NO_x affected units include 171 Table 1 units and 94 substitution units whose owners chose to participate in Phase I as part of an SO₂ compliance strategy. This group of units is subject to the Phase I emission limitations throughout Phase I and Phase II. Exhibit 7 shows the number of Phase I NO_x affected units by boiler type.

Exhibit 7
Phase I NO_x Units by Boiler Type

| Boiler Type | Standard Emission Limit | Table 1 Units | Substitution Units | All Units |
|-------------------------------|-------------------------|---------------|--------------------|-----------|
| Tangentially-fired Boilers | 0.45 | 94 | 41 | 135 |
| Dry Bottom Wall-fired Boilers | 0.50 | 77 | 53 | 130 |

Phase I NO_x Compliance Options

For each Phase I NO_x affected unit, a utility can comply with the applicable standard emission limitation, or may qualify for one of two additional compliance options which add flexibility to the rate-based compliance requirements:

- ! **Emissions Averaging.** A utility can meet the standard emission limitation by averaging the heat-input weighted annual emission rates of two or more units.
- ! **Alternative Emission Limitation (AEL).** A utility can petition for a less stringent alternative emission limitation if it uses properly installed and operated low NO_x burner technology (LNBT) designed to meet the standard limit, but is unable to achieve that limit. EPA determines whether an AEL is warranted based on analyses of emissions data and information about the NO_x control equipment.

Exhibit 8 summarizes the compliance options chosen by Phase I affected NO_x units for 1998. As in 1996 and 1997, averaging was the most widely chosen compliance option. For 1998, there were 24 averaging plans involving 204 Phase I NO_x units. See Appendix C-1: List of Averaging Plans and

⁵ Compared with 1997, the universe of units remained the same, except that Mt. Storm Unit 2 (WV) was added because its compliance extension expired and Gadsby Unit 3 (UT) was deleted because it was mistakenly identified in previous years as a coal-fired utility unit.

Results in 1998.

Exhibit 8
Compliance Options Chosen in 1998

| Compliance Option | Number of Units |
|--|-----------------|
| Compliance with Standard Emission Limitation | 51 |
| Emissions Averaging | 204 |
| Alternative Emission Limitation | 10 |
| TOTAL | 265 |

PHASE I NO_x COMPLIANCE RESULTS

For 1998, EPA has determined that all 265 Phase I NO_x units met the required emission limit through compliance with either the standard emission limitation, emissions averaging, or an alternative emission limitation. See Appendix C-2: Compliance Results for the 265 NO_x Affected Units. For a more detailed description of EPA's methodology for determining compliance with Phase I NO_x limits, see Appendix C-4 in the Acid Rain Program 1996 Compliance Report.

NO_x Emission Rate Reduction

From 1990⁶ to 1998, the average NO_x emission rate of the 265 Phase I units declined by 41% (from 0.70 lb/mmBtu to 0.41 lb/mmBtu). As shown in Exhibit 9, on average, both Table 1 and substitution units were below the average Phase I emission limit of 0.49 lb/mmBtu (the heat input weighted average of the applicable limits).

NO_x Mass Emissions Reduction

Exhibit 10 illustrates the change in NO_x mass emissions since 1990 for Table 1 and substitution units. For the 265 units, total NO_x mass emissions in 1998 were 29 percent lower than in 1990, but 3 percent higher than in 1997. While this is the second year total NO_x mass emissions have increased, the ascent can be attributed in part to greater electrical production, as evidenced by an increase in heat input in 1997 and 1998 of 3 percent and 6 percent, respectively, compared to 1996. Without further reductions in emissions rates, NO_x emissions would be expected to rise with increased utilization.

⁶ For a more detailed description of the 1990 baseline refer to the Acid Rain Program 1996 Compliance Report.

Exhibit 9
Average NO_x Emission Rates for 265 Phase 1 Units

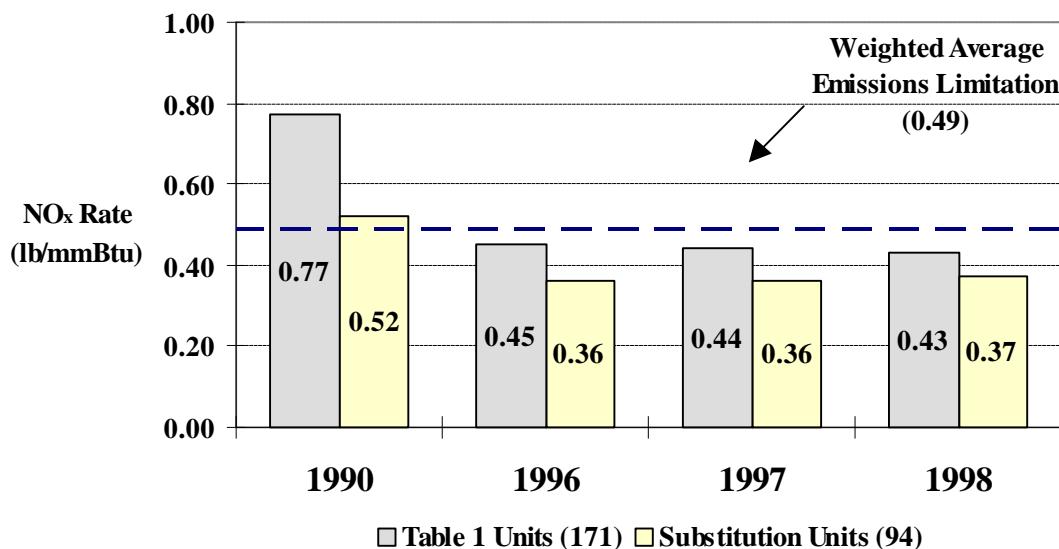
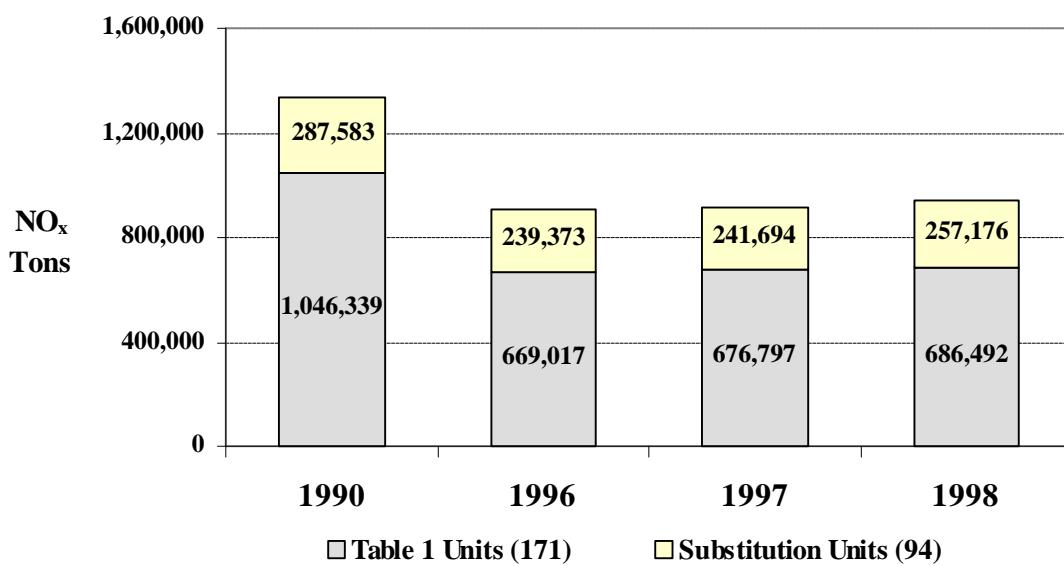


Exhibit 10
NO_x Mass Emissions for 265 Phase I Units



PHASE II EARLY ELECTION UNITS

275 Units Were Subject to Early Election Requirements in 1998

Nineteen ninety-eight was the second year in which early election utility units were required to meet the

Phase I NO_x limit⁷. Exhibit 11 shows the number of Early Election units by boiler type and their corresponding emission limit.

Exhibit 11
Distribution of 1998 Early Election Units by Boiler Type

| Boiler Type | Standard Emission Limit | Operating Group 1, Phase 2 Units | Early Election Units | Percent of Units Electing |
|-----------------------|-------------------------|----------------------------------|----------------------|---------------------------|
| Tangentially-fired | 0.45 | 300 | 171 | 57% |
| Dry Bottom Wall-fired | 0.50 | 314 | 104 | 33% |
| Total | | 614 | 275 | 45% |

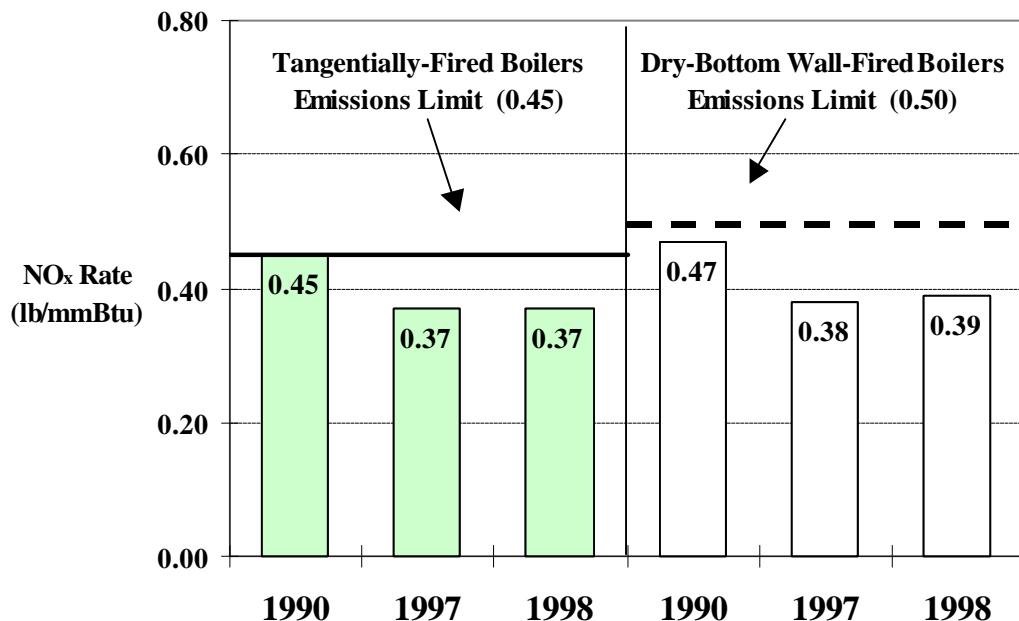
EARLY ELECTION COMPLIANCE RESULTS

For 1998, EPA determined that all 275 units complied with the Phase I, Group 1 emission limitations and have continued eligibility for Early Election in 1999 through 2007. See Appendix C-3: Compliance Results for the 275 Early Election Units in 1998.

Average NO_x emission rates for Early Election units have declined by 17%, from 0.46 lb/mmBtu in 1990 to 0.38 lb/mmBtu in 1998. This decline is less dramatic than the decline at Phase I NO_x units because 51% of the Early Election units are newer units already subject to the New Source Performance Standards (NSPS) NO_x emission limits. The overall NO_x emission rate for these units is comparable to the average rate of 0.41 lb/mmBtu for all Phase I NO_x units. Exhibit 12 summarizes the NO_x emission rate reductions from 1990 to 1998 by boiler type for the 265 Early Election units that were operating in 1990.

⁷ Compared with 1997, the universe of early election units remained the same, except for W C Dale Units 3 and 4 (KY) and H L Spurlock Unit 2 (KY), which were added after being inadvertently omitted in 1997.

Exhibit 12
Average NO_x Emission Rate for 265 Early Election Units (Operating in 1990)

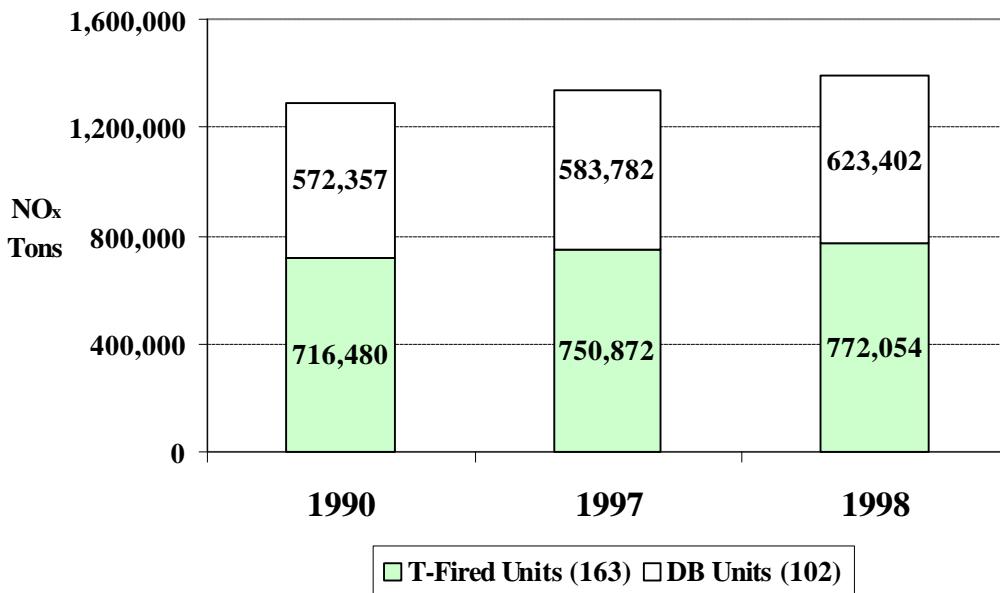


NO_x Mass Emissions Reduction

The total NO_x mass emissions from the operating Early Election units increased by 106,619 tons (or 8 percent) from 1990⁸ to 1998, reflecting an increase in utilization (see Exhibit 13). For the 265 Early Election units operating in 1990, heat input increased during the eight year period by approximately 28%.

⁸ The 1990 NO_x mass emissions value differs slightly from the value in the 1997 NO_x Compliance report due to corrected estimates of heat input for ten units.

Exhibit 13
NO_x Mass Emissions for 265 Early Election Units (Operating in 1990)



SO₂ AND NO_x MONITORING IN 1998

In order to verify the reductions of SO₂ and NO_x emissions mandated under the Clean Air Act and to support the SO₂ allowance trading program, a fundamental objective of the Acid Rain Program is to ensure accurate accounting of pollutant emissions from affected boilers and turbines. To implement this objective, concentrations of emitted SO₂ and NO_x from each affected unit (boiler or turbine) are measured and recorded using Continuous Emissions Monitoring Systems (CEMS) (or an approved alternate measurement method) certified by EPA to meet the high accuracy standards of the Acid Rain Program.

CEMS are used to determine SO₂ mass emissions and NO_x emission rates. SO₂ mass emissions are determined using CEMS to measure SO₂ concentration and stack flow rate. NO_x emission rates, on the other hand, are determined with NO_x and diluent gas (CO₂ or O₂) concentration monitors. These monitors are required to meet strict initial and on-going performance standards to demonstrate the accuracy, precision, and timeliness of their measurement capability.

One measure of the accuracy of a CEMS is the relative accuracy test audit (RATA), which is required for initial certification of a CEMS and for on-going quality assurance. The relative accuracy test audit ensures that the installed monitor measures the "true" value of the pollutant by comparing the monitor to a reference method which simultaneously measures the stack gas pollutant. Thus, the lower the relative accuracy resulting from the test audit, the more accurate the monitor. All monitoring systems must meet a certain relative accuracy standard in order to be qualified to report emissions to the Acid Rain Program; 10 percent for SO₂ and NO_x, and 15 percent for flow (beginning January 1, 2000, the flow standard will also be 10 percent). As a further incentive for high quality maintenance, CEMS that achieve a superior accuracy result, less than or equal to 7.5 percent for SO₂ and NO_x and less than or

equal to 10 percent for flow (beginning January 1, 2000, the flow standard for superior accuracy will also be 7.5 percent), are granted a reduced frequency annual RATA requirement in place of the semiannual requirement. Because the RATA determines relative accuracy as an absolute value, it does not detect whether the difference between the reference method values and the readings from the CEMS being tested is due to random error or to systematic bias. Therefore, an additional test is required to ensure that emissions are not underestimated: the bias test. This test determines if the CEMS is systematically biased low compared to the reference method and if so, a bias adjustment factor is calculated and applied to all reported data from that monitoring system to ensure there is no systematic underreporting. Exhibit 16 highlights the relative accuracy results achieved by Acid Rain CEMS in 1998.

Exhibit 14
1998 Relative Accuracy Test Audit (RATA) Results

| | SO ₂ Concentration | Volumetric Flow Rate | NO _x Rate |
|--|-------------------------------|----------------------|----------------------|
| Mean Relative Accuracy | 4.2% | 3.7% | 4.1% |
| Median Relative Accuracy | 3.0% | 3.0% | 3.1% |
| Percent Meeting Relative Accuracy Standard | 95% | 97% | 91% |

Another metric used to determine the effectiveness of a CEMS is the percentage of hours that a monitoring system is operating properly and meeting all performance standards and therefore, able to record and report an emissions value. This metric is defined as the percent monitor availability (PMA). Exhibit 17 shows the monitor availabilities reported in 1998 and indicates that the CEMS used to determine SO₂ mass emissions and NO_x emission rates are well maintained and fulfilling the high performance standards required by the Acid Rain Program.

Exhibit 15
1998 CEMS Availability

| Parameter | Median % Availability at End of 1998 | |
|-----------------|--------------------------------------|-------------------|
| | Coal-Fired Units | Oil and Gas Units |
| SO ₂ | 99.5 | 98.5 |
| Flow | 99.7 | 98.8 |
| NO _x | 99.2 | 98.0 |

CONCLUSION

1998 proved to be another successful year for both the Acid Rain Program's rate-based approach to NO_x reduction and cap-and-trade approach to SO₂ reduction. In 1998, all Phase I affected utility units not only met their compliance goals, but exceeded them, achieving an overall reduction of 390,254 tons

of NO_x from 1990 levels, and maintaining the extraordinary reductions of more than 5 million tons of SO₂ from 1980 levels, first achieved in 1995. Additionally, the 275 Phase II NO_x early election units had increased emissions of eight percent since 1990, while their utilization increased by 28 percent during the same period.

Exceedance of compliance goals translates into additional environmental and health benefits. For example, the greater and earlier reductions of SO₂ have resulted in a 10 - 25 percent drop in rainfall acidity in the Northeast in 1995⁹.

One factor mitigating the benefit of the overcompliance in the SO₂ program, of course, is the ability to use banked allowances in the future. The 40 percent of 1995 allowances, 35 percent of 1996 allowances, 23 percent of 1997 allowances, and 24 percent of 1998 allowances that were not retired for compliance purposes can be used to cover emissions in a later year. However, immediate health and environmental benefits are arguably more valuable than a benefit several years from now.

The NO_x program, based on the more traditional rate-based approach, offers less flexibility and displays a lesser degree of overcompliance. It requires each unit to achieve reductions or, at a minimum, for a group of units to achieve an average emission rate equal to or lower than their individual limits. This approach does not allow emission reductions in one year to be used in another year, and as a result, the incentive to overcomply is diminished.

The pattern and certainty of emissions reductions over time will also differ between the two programs. After the year 2000 when both programs are in full implementation, SO₂ emissions are expected to decline steadily to the emissions cap level of 8.95 million tons, whereas NO_x emissions, in the absence of an emissions cap, are expected to rise as existing sources are utilized more and new sources, which are not required to offset their emissions, are built and operated.

Despite these differences, both the SO₂ and NO_x components of the Acid Rain Program are continuing the success in 1998. The significant progress evident at this stage of the program is encouraging. Through the continued efforts of Phase I participants and by additional reductions from Phase II units beginning in 2000, the long term goals of the Acid Rain Program -- a 10 million ton reduction of SO₂ emissions and two million ton reduction of NO_x emissions -- will be achieved.

⁹ U.S. Geological Survey, Trends in Precipitation Chemistry in the United States, 1983-94 - An Analysis of the Effects in 1995 of Phase I of the CAAA of 1990, Title IV, USGS 96-0346, Washington, DC, June 1996.

Appendices A to C-3 were created using a variety of tools. They are not available in PDF; please download them at:

<http://www.epa.gov/acidrain/cmprpt98/appendix.zip>

APPENDIX A: PHASE I AFFECTED (X) AND EARLY ELECTION (E) UNITS IN 1998

| ST | Plant Name | Unit ID | SO2 | NOx | ST | Plant Name | Unit ID | SO2 | NOx |
|-----------|-------------------|----------------|------------|------------|-----------|-------------------|----------------|------------|------------|
| AL | Charles R Lowman | 2 3 | | E E | CO | Cherokee | 3 4 | | E E |
| AL | Colbert | 1 | X | X | CO | Pawnee | 1 | | E |
| | | 2 | X | X | CO | Rawhide | 101 | | E |
| | | 3 | X | X | CO | Ray D Nixon | 1 | | E |
| | | 4 | X | X | | | | | |
| | | 5 | X | X | FL | Big Bend | BB01 BB02 | X X | |
| AL | E C Gaston | 1 | X | X | CO | Valmont | 5 | | E |
| | | 2 | X | X | CT | Bridgeport Harbor | BHB3 | | E |
| | | 3 | X | X | | | | | |
| | | 4 | X | X | | | | | |
| | | 5 | X | X | FL | | BB03 BB04 | X X | X |
| AL | Gadsden | 1 | X | X | | | | | |
| | | 2 | X | X | | | | | |
| AR | Flint Creek | 1 | | E | FL | C D McIntosh | 3 | | E |
| AR | Independence | 1 | | E | FL | Crist | 4 | X | X |
| | | 2 | | E | | | 5 | X | X |
| | | | | | | | 6 | X | X |
| AR | White Bluff | 1 | | E | | | 7 | X | X |
| | | 2 | | E | FL | Crystal River | 2 | | |
| AZ | Apache | 2 | | E | | | 4 | | |
| | | 3 | | E | | | 5 | | |
| AZ | Cholla | 1 | | E | FL | Deerhaven | B2 | | |
| | | 2 | | E | | | | | |
| | | 3 | | E | FL | St Johns River | 1 | | |
| | | 4 | | E | | | 2 | | |
| AZ | Coronado | U1B | | E | FL | Scholz | 1 | X | X |
| | | U2B | | E | | | 2 | X | X |
| AZ | Navajo | 1 | | E | FL | Seminole | 1 | | |
| | | 2 | | E | | | 2 | | |
| | | 3 | | E | GA | Arkwright | 1 | X | X |
| AZ | Springerville | 1 | | E | | | 2 | X | X |
| | | 2 | | E | | | 3 | X | X |
| CO | Craig | C1 | | E | GA | Bowen | 1BLR | X | X |
| | | C2 | | E | | | 2BLR | X | X |
| | | C3 | | E | | | 3BLR | X | X |
| CO | Comanche | 1 | | E | | | 4BLR | X | X |
| | | 2 | | E | | | | | |
| GA | Hammond | 1 | X | X | IA | George Neal South | 4 | | E |

APPENDIX A: PHASE I AFFECTED (X) AND EARLY ELECTION (E) UNITS IN 1998

| <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> | <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> |
|-----------|-------------------|----------------|------------|------------|-----------|-------------------|----------------|------------|------------|
| GA | Harllee Branch | 2 | X | X | | Lansing | 4 | | E |
| | | 3 | X | X | IA | | | | |
| | | 4 | X | X | IA | Louisa | 101 | | E |
| | | 1 | X | | | | | | |
| | Jack McDonough | 2 | X | X | IA | Milton L Kapp | 2 | X | X |
| | | 3 | X | | IA | | | | |
| | | 4 | X | | IA | Ottumwa | 1 | | E |
| | | MB1 | X | X | IA | Prairie Creek | 4 | X | X |
| | Kraft | MB2 | X | X | | | | | |
| | | 1 | X | X | | | | | |
| | | 2 | X | X | IL | Baldwin | 1 | X | |
| | Mcintosh | 3 | X | X | | | | | |
| | | 1 | X | X | | | | | |
| | | 2 | | | IL | Coffeen | 1 | X | |
| | Mitchell | 3 | X | X | | | 2 | X | |
| | Scherer | 3 | | X | | | | | |
| | | 4 | | E | IL | Crawford | 7 | | E |
| | Wansley | 1 | X | X | | | 8 | | E |
| | | 2 | X | X | IL | Dallman | 33 | | E |
| GA | Yates | Y1BR | X | X | | | | | |
| | | Y2BR | X | X | | | | | |
| | | Y3BR | X | X | | | | | |
| | | Y4BR | X | X | | | | | |
| | | Y5BR | X | X | | | | | |
| | | Y6BR | X | X | IL | Havana | 1 | X | |
| | | Y7BR | X | X | | | 2 | X | |
| IA | Ames | 7 | | | | | | | |
| | | 8 | | E | | | | | |
| IA | Burlington | 1 | X | X | | | | | |
| | | 2 | | | | | | | |
| IA | Council Bluffs | 1 | | E | | | | | |
| | | 2 | | E | | | | | |
| | | 3 | | E | IL | Hennepin | 1 | X | |
| IA | Des Moines | 11 | X | | | | | | |
| | | 2 | | | IL | Hutsonville | 5 | X | X |
| IA | George Neal North | 1 | X | | | | | | |
| | | 2 | | E | | | | | |
| | | 3 | | E | | | | | |
| IL | Joppa Steam | 1 | X | X | IN | Dean H Mitchell | 4 | | E |

APPENDIX A: PHASE I AFFECTED (X) AND EARLY ELECTION (E) UNITS IN 1998

| <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> | <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> |
|-----------|-------------------|----------------|------------|------------|-----------|-------------------|----------------|------------|------------|
| IL | Kincaid | 2 | X | X | | | 5 | | E |
| | | 3 | X | X | | | 6 | | E |
| | | 4 | X | X | | | 11 | | E |
| | | 5 | X | X | | | | | |
| | | 6 | X | X | IN | Elmer W Stout | 50 | X | X |
| | | | | | | | 60 | X | X |
| IL | Meredosia | 1 | X | | | | 70 | X | X |
| | | 2 | X | | | | | | |
| | | | | | IN | F B Culley | 2 | X | X |
| | | 01 | | X | | | 3 | X | X |
| | | 02 | | X | | | | | |
| IL | Newton | | | | IN | Frank E Ratts | 1SG1 | X | X |
| | | 03 | | X | | | 2SG1 | X | X |
| | | 04 | | X | | | | | |
| | | 05 | X | X | | Gibson | 1 | X | X |
| | | | | | | | 2 | X | X |
| IL | Vermilion | 1 | X | X | | | 3 | | |
| | | 2 | X | X | IN | H T Pritchard | 4 | | X |
| IL | Waukegan | 7 | | E | | | 5 | X | X |
| | | 8 | | E | | | 6 | X | X |
| IL | Will County | 3 | | E | IN | Merom | 1SG1 | | E |
| | | 4 | | E | | | 2SG1 | | E |
| IL | Wood River | 1 | X | | IN | Michigan City | 12 | X | |
| IN | A B Brown | 1 | | E | IN | Petersburg | 1 | X | X |
| | | 2 | | E | | | 2 | X | X |
| IN | Bailly | 7 | X | | | | 3 | | X |
| | | 8 | X | | | | 4 | | X |
| IN | Breed | | | | IN | R M Schahfer | 15 | | E |
| | | 1 | X | | | | 17 | | E |
| | | | | | | | 18 | | E |
| IN | Cayuga | 1 | X | X | IN | R Gallagher | 1 | X | X |
| | | 2 | X | X | | | 2 | X | X |
| IN | Clifty Creek | 1 | X | | | | 3 | X | X |
| | | 2 | X | | | | 4 | X | X |
| | | 3 | X | | | | | | |
| | | 4 | X | | IN | Rockport | MB1 | | E |
| | | 5 | X | | | | MB2 | | E |
| | | 6 | X | | | IN | State Line | 3 | |
| | | | | | | | | | |
| | | | | | IN | Tanners Creek | U4 | X | |
| IN | Wabash River | 1 | X | X | KY | H L Spurlock | 1 | X | X |

APPENDIX A: PHASE I AFFECTED (X) AND EARLY ELECTION (E) UNITS IN 1998

| <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> | <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> |
|-----------|-------------------|----------------|------------|------------|-----------|-------------------|----------------|------------|------------|
| IN | Warrick | 2 | X | X | KY | Hmp&L Station 2 | H1 | X | E |
| | | 3 | X | X | | | | | X |
| | | 5 | X | X | | | | | X |
| | | 6 | X | X | | | | | X |
| IN | Warrick | 1 | X | | KY 1 | Mill Creek | 1 | | E |
| | | 2 | X | | | | 2 | | E |
| | | 3 | X | | | | 3 | | E |
| | | 4 | X | | | | 4 | | E |
| IN | Whitewater Valley | 1 | | E | KY | Paradise | 3 | X | |
| | | 2 | | E | | | | | |
| KS | La Cygne | 1 | X | | KY | R D Green | G1 | X | |
| | | 2 | | X | | | G2 | X | X |
| KS | Nearman Creek | 1 | | E | KY | Shawnee | 10 | X | |
| | | | | | | | | | |
| KS | Quindaro | 2 | X | X | KY | Trimble County | 1 | | E |
| | | | | | | | | | |
| KS | Riverton | 39 | | E | KY | W C Dale | 3 | | E |
| | | 40 | | E | | | 4 | | E |
| KY | Cane Run | 4 | | E | LA | Big Cajun 2 | 2B1 | | E |
| | | 5 | | E | | | 2B2 | | E |
| | | 6 | | E | | | 2B3 | | E |
| KY | Coleman | C1 | X | X | LA | Dolet Hills | 1 | | E |
| | | C2 | X | X | | | 6 | | E |
| | | C3 | X | X | | | | | |
| KY | Cooper | 1 | X | X | LA | Rodemacher | 2 | | E |
| | | 2 | X | X | | | | | |
| KY | D B Wilson | W1 | | E | MA | Brayton Point | 2 | X | |
| KY | E W Brown | 1 | X | X | MD | C P Crane | 1 | X | |
| | | 2 | X | X | | | 2 | X | |
| | | 3 | X | X | | | | | |
| KY | East Bend | 2 | X | X | MD | Chalk Point | 1 | X | |
| | | | | | | | 2 | X | |
| | | | | | | | 3 | X | X |
| KY | Elmer Smith | 1 | X | | MD | Morgantown | 1 | X | |
| | | 2 | X | X | | | 2 | X | X |
| KY | Ghent | 1 | X | X | MD | R P Smith | 9 | X | X |
| KY | Green River | 5 | X | X | | | 11 | X | X |
| MI | B C Cobb | 4 | | E | MO | Montrose | 1 | X | X |

APPENDIX A: PHASE I AFFECTED (X) AND EARLY ELECTION (E) UNITS IN 1998

| <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> | <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> |
|-----------|-------------------|----------------|------------|------------|-----------|--------------------|----------------|------------|------------|
| | | 5 | | E | | | 2 | X | X |
| | | | | | | | 3 | X | X |
| MI | Dan E Karn | 2 | X | | | | | | |
| MI | J B Sims | 3 | | E | MO | New Madrid | 1 | X | |
| MI | J C Weadock | 7 | | E | MO | Rush Island | 1 | X | X |
| | | 8 | | E | | | 2 | X | X |
| MI | J H Campbell | 1 | X | X | MO | Sibley | 1 | X | |
| | | 2 | X | | | | 2 | X | |
| | | | | | | | 3 | X | |
| MI | J R Whiting | 1 | | E | MO | Sioux | 1 | X | |
| | | 3 | X | E | | | 2 | X | |
| MI | Presque Isle | 7 | | E | | | | | |
| | | 8 | | E | MO | Sikeston | 1 | | E |
| | | 9 | | E | | | | | |
| MN | Clay Boswell | 3 | | E | MO | Southwest | 1 | X | X |
| MN | High Bridge | 3 | X | X | | | MB1 | X | |
| | | 4 | X | X | | | MB2 | X | |
| | | 5 | X | X | | | MB3 | X | X |
| | | 6 | X | X | MS | Jack Watson | 4 | X | X |
| | | | | | | | 5 | X | X |
| MN | Hoot Lake | 2 | | E | MS | R D Morrow | 1 | X | X |
| MN | Sherburne County | 1 | X | X | | | 2 | X | X |
| | | 2 | X | X | | | | | |
| MO | Asbury | 1 | X | | MS | Victor J Daniel Jr | 1 | X | X |
| MO | Hawthorn | 5 | X | X | MT | Colstrip | 1 | | |
| MO | Iatan | 1 | | X | | | 2 | | |
| | | | | | | | 3 | | |
| | | | | | | | 4 | | |
| MO | James River | 3 | X | X | | | | | |
| | | 4 | X | X | MT | Lewis & Clark | B1 | | |
| | | 5 | X | X | | | | | |
| MO | Labadie | 1 | X | X | NC | Buck | 5 | | |
| | | 2 | X | X | | | 6 | | |
| | | 3 | X | X | | | 7 | | |
| | | 4 | X | X | | | 8 | | |
| MO | Meramec | 1 | X | X | | | 9 | | |
| | | 2 | X | X | | | | | |
| | | 3 | X | X | | | | | |
| | | 4 | X | X | | | | | |
| NC | Cliffside | 1 | | E | NV | Mohave | 1 | | |

APPENDIX A: PHASE I AFFECTED (X) AND EARLY ELECTION (E) UNITS IN 1998

| <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> | <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> |
|-----------|-------------------|----------------|------------|------------|-----------|-------------------|----------------|------------|------------|
| | | 2 | | E | | | 2 | | E |
| | | 3 | | E | | | | | |
| | | 4 | | E | NV | North Valmy | 1 | | E |
| | | 5 | | E | | | 2 | | E |
| NC | Dan River | 1 | | E | NV | Reid Gardner | 4 | | E |
| | | 2 | | E | | | | | |
| | | 3 | | E | NY | C R Huntley | 67 | | E |
| | | | | | | | 68 | | E |
| NC | G G Allen | 1 | | E | | | | | |
| | | 2 | | E | NY | Dunkirk | 1 | | E |
| | | 3 | | E | | | 2 | | E |
| | | 4 | | E | | | 3 | X | X |
| | | 5 | | E | | | 4 | X | X |
| NC | Marshall | 1 | | E | NY | Greenidge | 6 | X | X |
| | | 2 | | E | | | | | |
| | | 3 | | E | NY | Kintigh | 1 | | E |
| | | 4 | | E | | | | | |
| NC | Riverbend | 7 | | E | | | | | |
| | | 8 | | E | | | | | |
| | | 9 | | E | NY | Northport | 1 | X | |
| | | 10 | | E | | | 2 | X | |
| | | | | | | | 3 | X | |
| ND | Antelope Valley | B1 | | E | | | 4 | X | |
| | | B2 | | E | | | | | |
| ND | Leland Olds | 1 | | E | | | | | |
| | | | | | NY | Oswego | 4 | X | |
| | | | | | | | 5 | X | |
| | | | | | | | 6 | X | |
| ND | Stanton | 10 | | E | | | | | |
| NE | Gerald Gentleman | 1 | | E | | | | | |
| | | 2 | | E | NY | Port Jefferson | 3 | X | |
| | | | | | | | 4 | X | |
| NE | Gerald Whelan | 1 | | E | | | | | |
| | | | | | NY | Rosetom | 1 | X | |
| | | | | | | | 2 | X | |
| NE | Nebraska City | 1 | | E | | | | | |
| | | | | | NY | S A Carlson | 9 | | E |
| | | | | | | | 10 | | E |
| NE | North Omaha | 4 | | E | | | | | |
| | | | | | | | 11 | | E |
| | | | | | | | 12 | | E |
| NE | Platte | 1 | | E | | | | | |
| | | | | | OH | Acme | 13 | X | |
| NH | Merrimack | 1 | X | | | | 14 | X | |
| | | 2 | X | | | | 15 | X | |
| | | | | | | | 16 | X | |
| NJ | B L England | 1 | X | | | | 91 | X | |
| | | 2 | X | | | | 92 | X | |
| NM | Escalante | 1 | | E | | | | | |
| OH | Ashatabula | 7 | X | X | OH | Kyger Creek | 1 | X | |

APPENDIX A: PHASE I AFFECTED (X) AND EARLY ELECTION (E) UNITS IN 1998

| <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> | <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> |
|-----------|-------------------|----------------|------------|------------|-----------|-------------------|----------------|------------|------------|
| | | 8 | X | | | | 2 | X | |
| | | 9 | X | | | | 3 | X | |
| | | 10 | X | | | | 4 | X | |
| | | 11 | X | | | | 5 | X | |
| OH | Avon Lake | 9 | X | | OH | Lake Shore | 18 | X | |
| | | 10 | X | | | | 91 | X | |
| | | 11 | X | | | | 92 | X | |
| | | 12 | X | | | | 93 | X | |
| | | | | | | | 94 | X | |
| OH | Bay Shore | 1 | X | | OH | Miami Fort | 5-1 | X | |
| | | 2 | X | | | | 5-2 | X | |
| | | 3 | X | | | | 6 | X | X |
| | | 4 | X | | | | 7 | X | |
| OH | Cardinal | 1 | X | | OH | Muskingum River | 1 | X | |
| | | 2 | X | | | | 2 | X | |
| OH | Conesville | 1 | X | | | | 3 | X | |
| | | 2 | X | | | | 4 | X | |
| | | 3 | X | X | | | 5 | X | |
| | | 4 | X | X | OH | Niles | 1 | X | |
| | | 5 | | E | | | 2 | X | |
| | | 6 | | E | | | | | |
| OH | Eastlake | 1 | X | X | OH | Picway | 9 | X | X |
| | | 2 | X | X | | | | | |
| | | 3 | X | X | OH | Poston | 1 | X | |
| | | 4 | X | X | | | 2 | X | |
| | | 5 | X | | | | 3 | X | |
| OH | Edgewater | 11 | X | | OH | R E Burger | 1 | X | |
| | | 12 | X | | | | 2 | X | |
| | | 13 | X | X | | | 3 | X | |
| | | | | | | | 4 | X | |
| OH | Gen J M Gavin | 1 | X | | | | 5 | X | |
| | | 2 | X | | | | 6 | X | |
| | | | | | | | 7 | X | X |
| OH | Gorge | 25 | X | X | | | 8 | X | X |
| | | 26 | X | X | OH | Toronto | 9 | X | |
| OH | J M Stuart | 1 | X | | | | 10 | X | |
| | | 2 | X | | | | 11 | X | X |
| | | 3 | X | | | | | | |
| | | 4 | X | | OH | W H Sammis | 5 | X | X |
| | | | | | | | 6 | X | X |
| | | | | | | | 7 | X | |
| | | | | | OH | W H Zimmer | 1 | | E |
| OH | Walter C Beckjord | 5 | X | X | PA | Mitchell | 33 | X | X |

APPENDIX A: PHASE I AFFECTED (X) AND EARLY ELECTION (E) UNITS IN 1998

| <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> | <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> |
|-----------|-------------------|----------------|------------|------------|-----------|-------------------|----------------|------------|------------|
| | | 6 | X | X | | | | | |
| OK | Muskogee | 4 | | E | PA | Montour | 1 | | E |
| | | 5 | | E | | | 2 | | E |
| | | 6 | | E | PA | New Castle | 1 | X | X |
| | | | | | | | 2 | X | X |
| OK | Northeastern | 3313 | | E | | | 3 | | E |
| | | 3314 | | E | | | 4 | | E |
| | | | | | | | 5 | | E |
| OK | Sooner | 1 | | E | | | 1 | X | X |
| | | 2 | | E | PA | Portland | 2 | X | X |
| OR | Boardman | 1SG | | E | | | | | |
| PA | Armstrong | 1 | X | X | PA | Shawville | 1 | X | X |
| | | 2 | X | X | | | 2 | X | X |
| | | | | | | | 3 | X | X |
| | | | | | | | 4 | X | X |
| PA | Bruce Mansfield | 1 | X | X | | | 3 | X | X |
| | | 2 | X | X | PA | Sunbury | 4 | X | X |
| | | 3 | | E | | | | | |
| PA | Brunner Island | 1 | X | X | PA | Titus | 1 | | E |
| | | 2 | X | X | | | 2 | | E |
| | | 3 | X | X | | | 3 | | E |
| PA | Cheswick | 1 | X | X | SC | Cross | 1 | | E |
| | | | | | | | 2 | | E |
| PA | Conemaugh | 1 | X | X | SC | W S Lee | 1 | | E |
| | | 2 | X | X | | | 2 | | E |
| PA | Cromby | 1 | | E | | | 3 | | E |
| PA | Eddystone | 1 | | E | TN | Allen | 1 | X | |
| | | 2 | | E | | | 2 | X | |
| | | | | | | | 3 | X | |
| PA | Hatfield's Ferry | 1 | X | | | | | | |
| | | 2 | X | | TN | Cumberland | 1 | X | |
| | | 3 | X | | | | 2 | X | |
| PA | Homer City | 1 | | E | | DuPont | JVD1 | X | |
| | | 2 | | E | | Johnsonville | JVD2 | X | |
| | | 3 | | E | | | JVD3 | X | |
| | | | | | | | JVD4 | X | |
| PA | Keystone | 1 | | E | | | | | |
| | | 2 | | E | TN | Gallatin | 1 | X | X |
| | | | | | | | 2 | X | X |
| PA | Martins Creek | 1 | X | X | | | 3 | X | X |
| | | 2 | X | X | | | 4 | X | X |
| | | 3 | X | | | | | | |
| | | 4 | X | | | | | | |
| TN | John Sevier | 1 | | E | TX | San Miguel | SM-1 | | E |

APPENDIX A: PHASE I AFFECTED (X) AND EARLY ELECTION (E) UNITS IN 1998

| <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> | <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> |
|-----------|-------------------|----------------|------------|------------|-----------|-------------------|----------------|------------|------------|
| TN | Johnsonville | 2 | | E | | | 4 | | |
| | | 3 | | E | TX | Sandow | 4 | | E |
| | | 4 | | E | | | | | |
| | | | | | TX | Tolk | 171B | | E |
| | | 1 | X | X | | | 172B | | E |
| | | 2 | X | X | | | | | |
| | | 3 | X | X | TX | W A Parish | WAP5 | | E |
| | | 4 | X | X | | | WAP6 | | E |
| | | 5 | X | X | | | WAP7 | | E |
| | | 6 | X | X | | | WAP8 | | E |
| | | 7 | X | X | | | | | |
| TX | Big Brown | 8 | X | X | TX | Welsh | 1 | | E |
| | | 9 | X | X | | | 2 | | E |
| | | 10 | X | X | | | 3 | | E |
| TX | Big Brown | 1 | | E | UT | Bonanza | 1-1 | | E |
| | | 2 | | E | | | | | |
| TX | Coleto Creek | 1 | | E | UT | Carbon | 1 | | E |
| TX | Gibbons Creek | 1 | | E | UT | Hunter | 1 | | E |
| TX | Harrington | 061B | | E | | | 2 | | E |
| | | 062B | | E | UT | Huntington | 1 | | E |
| | | 063B | | E | | | | | |
| TX | J K Spruce | BLR1 | | E | UT | Intermountain | 1SGA | | E |
| | | | | | | | 2SGA | | E |
| TX | J T Deely | 1 | | E | VA | Chesapeake | 1 | | E |
| | | 2 | | E | | | 2 | | E |
| | | | | | | | 4 | | E |
| TX | Limestone | LIM1 | | E | | | | | |
| | | LIM2 | | E | VA | Chesterfield | 3 | | E |
| TX | Martin Lake | 1 | | E | | | 4 | | E |
| | | 2 | | E | VA | Glen Lyn | 51 | | E |
| | | 3 | | E | | | 52 | | E |
| TX | Monticello | 1 | | E | VA | Possum Point | 3 | | E |
| | | 2 | | E | | | 2 | | E |
| | | 3 | | E | VA | Potomac River | 1 | | E |
| TX | Oklaunion | 1 | | E | | | 2 | | E |
| | | | | | | | 3 | | E |
| TX | Pirkey | 1 | | E | | | 4 | | E |
| | | | | | | | 5 | | E |
| TX | Sam Seymour | 1 | | E | VA | Yorktown | 1 | | E |
| | | 2 | | E | | | 2 | | E |
| | | 3 | | E | | | | | |
| WA | Centralia | BW21 | | E | WI | Valley | 1 | | X |

APPENDIX A: PHASE I AFFECTED (X) AND EARLY ELECTION (E) UNITS IN 1998

| <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> | <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> |
|-----------|-------------------|----------------|------------|------------|-----------|-------------------|----------------|------------|------------|
| | | BW22 | | E | | | 2 | | X |
| WI | Alma | B1 | X | | | | 3 | | X |
| | | B2 | X | | | | 4 | | X |
| | | B3 | X | | WI | Weston | 1 | X | X |
| | | B4 | X | X | | | 2 | X | X |
| | | B5 | X | X | | | 3 | | X |
| WI | Blount Street | 8 | | E | WV | Albright | 1 | X | X |
| | | 9 | | E | | | | | |
| WI | Columbia | 1 | | E | | | 2 | | X |
| | | 2 | | E | | | 3 | X | X |
| WI | Edgewater | 3 | X | | | | 1 | | X |
| | | 4 | X | | WV | Fort Martin | 2 | X | X |
| | | 5 | | E | | | 2 | X | |
| WI | Genoa | 1 | X | X | WV | Harrison | 1 | X | X |
| WI | J P Madgett | B1 | X | X | | | 2 | X | X |
| WI | Nelson Dewey | 1 | X | | WV | Kammer | 1 | X | |
| | | 2 | X | | | | 2 | X | X |
| WI | North Oak Creek | 1 | X | | | | 3 | X | |
| | | 2 | X | | WV | Mitchell | 1 | X | X |
| | | 3 | X | | | | 2 | X | X |
| | | 4 | X | | | | | | |
| WI | Port Washington | 1 | | X | WV | Mountaineer | 1 | | E |
| | | 2 | | X | | | 1 | X | X |
| | | 3 | | X | | | 2 | X | X |
| | | 4 | | X | | | 3 | X | X |
| | | 5 | | X | | | | | |
| WI | Pulliam | 5 | X | | WV | Pleasants | 1 | | X |
| | | 6 | X | | | | 2 | | X |
| | | 7 | X | X | | | 7 | X | |
| | | 8 | X | X | | | 8 | X | |
| WI | Rock River | 1 | X | | WV | Willow Island | 2 | | X |
| | | 2 | X | | | | | | |
| WI | South Oak Creek | 5 | X | X | WY | Dave Johnston | BW41 | | E |
| | | 6 | X | X | | | BW42 | | E |
| | | 7 | X | X | | | BW71 | | X |
| | | 8 | X | X | | | BW72 | | X |
| | | | | | | | BW73 | | X |
| | | | | | | | BW74 | | E |
| WY | Laramie River | 1 | | E | | | | | |

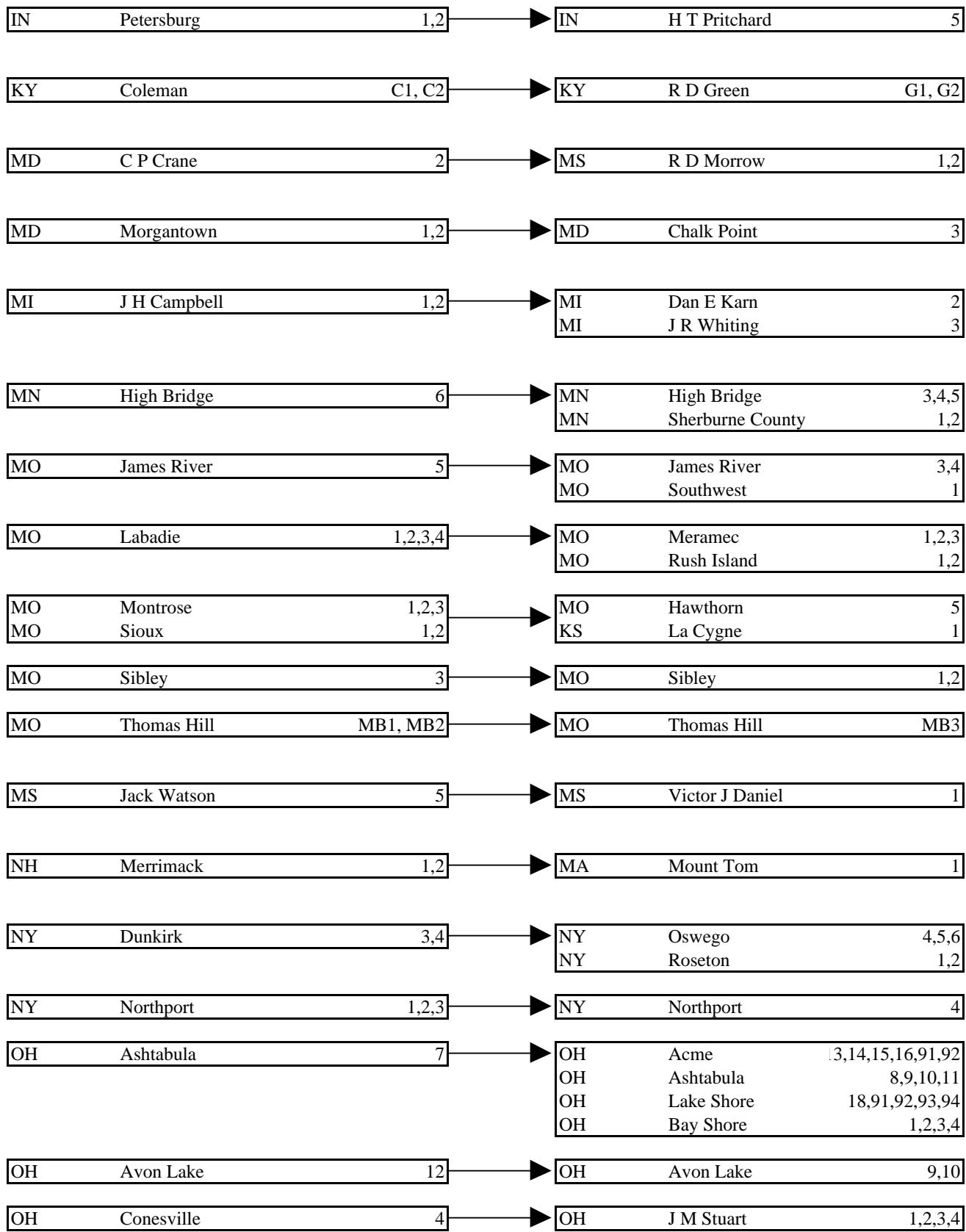
APPENDIX A: PHASE I AFFECTED (X) AND EARLY ELECTION (E) UNITS IN 1998

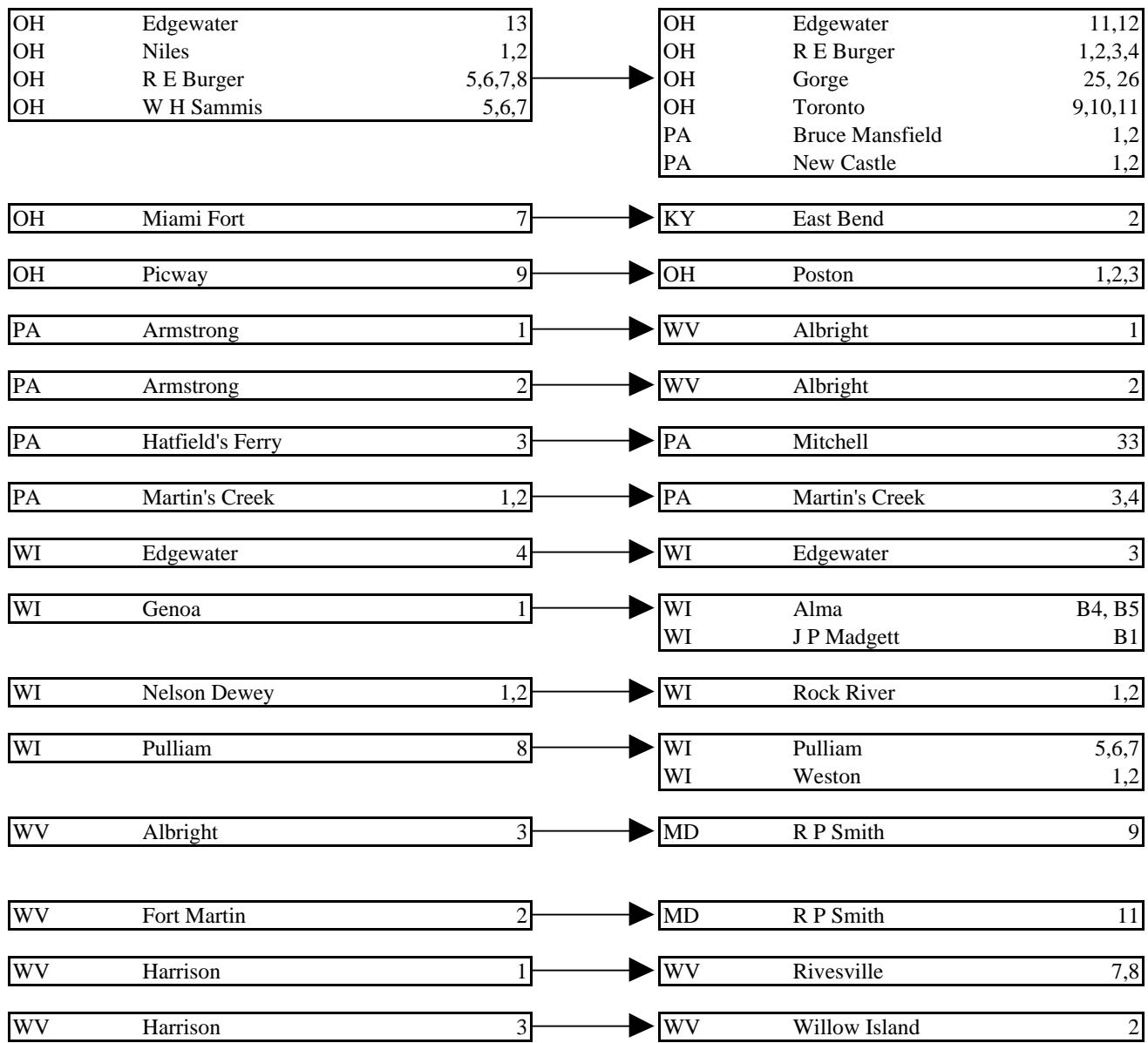
| <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> | <u>ST</u> | <u>Plant Name</u> | <u>Unit ID</u> | <u>SO2</u> | <u>NOx</u> |
|-----------|-------------------|----------------|------------|------------|-----------|-------------------|----------------|------------|------------|
| | | 2 | | E | | | | | |
| | | 3 | | E | | | | | |
| WY | Wyodak | BW91 | | X | | | | | |

APPENDIX B-1:

Table 1 Units Designating Substitution and Compensating Units - 1998

| Substitution Units | | | | | |
|--------------------|-------------------|------------------|--------------------|-------------------|-----------------|
| Table 1 Units | | | Substitution Units | | |
| <u>State</u> | <u>Plant Name</u> | <u>Units</u> | <u>State</u> | <u>Plant Name</u> | <u>Units</u> |
| AL | EC Gaston | 5 | AL | Gadsden | 1,2 |
| FL | Big Bend | BB01, BB02, BB03 | FL | Big Bend | BB04 |
| FL | Crist | 7 | FL | Crist | 4,5 |
| | | | FL | Scholz | 1,2 |
| GA | Bowen | 1BLR | GA | Harllee Branch | 1 |
| GA | Bowen | 2BLR | GA | Harllee Branch | 2 |
| GA | Bowen | 3BLR | GA | Harllee Branch | 3 |
| GA | Bowen | 4BLR | GA | Harllee Branch | 4 |
| GA | Hammond | 1 | GA | Arkwright | 1 |
| GA | Hammond | 2 | GA | Arkwright | 2 |
| GA | Hammond | 3 | GA | Arkwright | 3 |
| GA | Hammond | 4 | GA | Arkwright | 4 |
| GA | Jack McDonough | MB2 | GA | Mitchell | 3 |
| GA | Yates | Y2BR | GA | Kraft | 1 |
| GA | Yates | Y3BR | GA | Kraft | 2 |
| GA | Yates | Y4BR | GA | Kraft | 3 |
| GA | Yates | Y5BR | GA | McIntosh | 1 |
| IL | Baldwin | 3 | IL | Havana | 1,2,3,4,5,6,7,8 |
| | | | IL | Wood River | 1 |
| IL | Hennepin | 2 | IL | Hennepin | 1 |
| IL | Meredosia | 5 | IL | Hutonsville | 5,6 |
| | | | IL | Newton | 1,2 |
| IL | Vermilion | 2 | IL | Vermilion | 1 |





Compensating Units

Table 1 Units

Compensating Units

| <u>State</u> | <u>Plant Name</u> | <u>Units</u> | <u>State</u> | <u>Plant Name</u> | <u>Units</u> |
|--------------|-------------------|--------------|--------------|-------------------|--------------|
| OH | Edgewater | 13 | MA | Brayton Point | 2 |

**APPENDIX B-2: 1999 DEDUCTION FOR EXCEEDING 1998 PHASE I EXTENSION
PROJECTED EMISSIONS LIMITATION**

| State | Plant Name | Unit ID | Ph I Ext Type | 1999 Allowance Deduction |
|--------------|-------------------|----------------|----------------------|---------------------------------|
| AL | Colbert | 5 | TRANSFER | 0 |
| FL | Crist | 7 | TRANSFER | 0 |
| GA | Jack Mcdonough | MB1 | TRANSFER | 0 |
| GA | Wansley | 2 | TRANSFER | 0 |
| GA | Yates | Y1BR | CONTROL | 0 |
| GA | Yates | Y6BR | TRANSFER | 0 |
| GA | Yates | Y7BR | TRANSFER | 0 |
| IN | Bailly | 7 | CONTROL | 0 |
| IN | Bailly | 8 | CONTROL | 0 |
| IN | Cayuga | 1 | TRANSFER | 0 |
| IN | Cayuga | 2 | TRANSFER | 0 |
| IN | Gibson | 4 | CONTROL | 7214 |
| IN | Michigan City | 12 | TRANSFER | 5317 |
| IN | R Gallagher | 1 | TRANSFER | 0 |
| IN | R Gallagher | 2 | TRANSFER | 0 |
| IN | R Gallagher | 3 | TRANSFER | 0 |
| IN | R Gallagher | 4 | TRANSFER | 0 |
| IN | Wabash River | 1 | CONTROL | 0 |
| IN | Wabash River | 2 | TRANSFER | 0 |
| IN | Wabash River | 5 | TRANSFER | 0 |
| IN | Wabash River | 6 | TRANSFER | 0 |
| KY | Coleman | C1 | TRANSFER | 0 |
| KY | Coleman | C2 | TRANSFER | 0 |
| KY | Coleman | C3 | TRANSFER | 0 |
| KY | E W Brown | 2 | TRANSFER | 0 |
| KY | E W Brown | 3 | TRANSFER | 0 |
| KY | Ghent | 1 | CONTROL | 0 |
| KY | Green River | 5 | TRANSFER | 0 |
| KY | Hmp&L Station 2 | H1 | CONTROL | 918 |
| KY | Hmp&L Station 2 | H2 | CONTROL | 622 |
| KY | Paradise | 3 | TRANSFER | 0 |
| MD | C P Crane | 1 | TRANSFER | 0 |
| MD | Chalk Point | 1 | TRANSFER | 1197 |
| MD | Morgantown | 1 | TRANSFER | 0 |
| MD | Morgantown | 2 | TRANSFER | 0 |
| NJ | B L England | 1 | TRANSFER | 0 |
| NJ | B L England | 2 | CONTROL | 0 |
| OH | Cardinal | 1 | TRANSFER | 21315 |
| OH | Conesville | 1 | TRANSFER | 1116 |
| OH | Conesville | 3 | TRANSFER | 5937 |
| OH | Eastlake | 5 | TRANSFER | 0 |
| OH | Gen J M Gavin | 1 | CONTROL | 3610 |
| OH | Gen J M Gavin | 2 | CONTROL | 6808 |
| OH | Muskingum River | 1 | TRANSFER | 6522 |
| OH | Muskingum River | 2 | TRANSFER | 5965 |
| OH | Muskingum River | 3 | TRANSFER | 11823 |
| OH | Muskingum River | 4 | TRANSFER | 10856 |
| OH | Niles | 1 | TRANSFER | 0 |
| OH | Niles | 2 | TRANSFER | 0 |
| OH | Picway | 9 | TRANSFER | 3760 |
| OH | R E Burger | 5 | TRANSFER | 0 |
| OH | R E Burger | 6 | TRANSFER | 0 |
| OH | R E Burger | 7 | TRANSFER | 0 |
| OH | R E Burger | 8 | TRANSFER | 0 |
| PA | Armstrong | 1 | TRANSFER | 0 |
| PA | Brunner Island | 2 | TRANSFER | 0 |
| PA | Brunner Island | 3 | TRANSFER | 0 |
| PA | Conemaugh | 1 | CONTROL | 0 |
| PA | Conemaugh | 2 | CONTROL | 0 |
| PA | Hatfield's Ferry | 1 | TRANSFER | 0 |
| PA | Hatfield's Ferry | 2 | TRANSFER | 0 |

**APPENDIX B-2: 1999 DEDUCTION FOR EXCEEDING 1998 PHASE I EXTENSION
PROJECTED EMISSIONS LIMITATION**

| State | Plant Name | Unit ID | Ph I Ext Type | 1999 Allowance Deduction |
|--------------|-------------------|----------------|----------------------|---------------------------------|
| PA | Hatfield's Ferry | 3 | TRANSFER | 0 |
| PA | Portland | 1 | TRANSFER | 0 |
| PA | Portland | 2 | TRANSFER | 0 |
| PA | Sunbury | 3 | TRANSFER | 0 |
| PA | Sunbury | 4 | TRANSFER | 0 |
| TN | Cumberland | 1 | CONTROL | 0 |
| TN | Cumberland | 2 | CONTROL | 0 |
| TN | Gallatin | 1 | TRANSFER | 0 |
| TN | Gallatin | 2 | TRANSFER | 0 |
| TN | Gallatin | 3 | TRANSFER | 0 |
| TN | Gallatin | 4 | TRANSFER | 0 |
| WV | Fort Martin | 1 | TRANSFER | 0 |
| WV | Fort Martin | 2 | TRANSFER | 0 |
| WV | Harrison | 1 | CONTROL | 0 |
| WV | Harrison | 2 | CONTROL | 0 |
| WV | Harrison | 3 | CONTROL | 0 |
| WV | Mt Storm | 1 | TRANSFER | 0 |
| WV | Mt Storm | 2 | TRANSFER | 0 |
| WV | Mt Storm | 3 | CONTROL | 0 |

APPENDIX B-3: EMISSIONS AND UTILIZATION OF PHASE 1 UNITS, 1997 AND 1998

| State | Plant Name | Stack/Unit ID | Unit Type (a) | 1997 | | 1998 | | Percent Change, 1997-1998 | |
|-------|------------|---------------------|---------------|---------------|---------------------|---------------|---------------------|---------------------------|---------------------|
| | | | | SO2 Emissions | Utilization (mmBtu) | SO2 Emissions | Utilization (mmBtu) | SO2 Emissions | Utilization (mmBtu) |
| AL | Colbert | CSCO14 (1, 2, 3, 4) | | 27,220 | | 26,653 | | -2.08% | |
| AL | Colbert | 1 | Table 1 | | 10,745,711 | | 13,688,471 | | 27.39% |
| AL | Colbert | 2 | Table 1 | | 12,048,491 | | 11,842,057 | | -1.71% |
| AL | Colbert | 3 | Table 1 | | 9,359,699 | | 11,608,467 | | 24.03% |
| AL | Colbert | 4 | Table 1 | | 11,770,490 | | 10,521,841 | | -10.61% |
| AL | Colbert | 5 | Table 1 | 50,803 | 27,875,666 | 47,608 | 27,619,312 | -6.29% | -0.92% |
| AL | E C Gaston | CS0CAN (1, 2) | | 24,949 | | 25,864 | | 3.67% | |
| AL | E C Gaston | 1 | Table 1 | | 14,950,877 | | 16,687,420 | | 11.61% |
| AL | E C Gaston | 2 | Table 1 | | 16,144,807 | | 16,356,089 | | 1.31% |
| AL | E C Gaston | CS0CBN (3, 4) | | 26,165 | | 25,669 | | -1.90% | |
| AL | E C Gaston | 3 | Table 1 | | 17,580,910 | | 18,250,153 | | 3.81% |
| AL | E C Gaston | 4 | Table 1 | | 16,180,310 | | 16,100,133 | | -0.50% |
| AL | E C Gaston | 5 | Table 1 | 36,094 | 46,377,835 | 41,489 | 55,502,484 | 14.95% | 19.67% |
| AL | Gadsden | 1 | Substitution | 4,716 | 3,257,292 | 4,751 | 3,411,299 | 0.74% | 4.73% |
| AL | Gadsden | 2 | Substitution | 4,876 | 3,258,590 | 4,463 | 3,271,925 | -8.47% | 0.41% |
| FL | Big Bend | CS001 (BB01, BB02) | | 82,191 | | 90,881 | | 10.57% | |
| FL | Big Bend | BB01 | Table 1 | | 25,060,591 | | 26,361,877 | | 5.19% |
| FL | Big Bend | BB02 | Table 1 | | 30,800,835 | | 25,476,465 | | -17.29% |
| FL | Big Bend | XS23 (BB03, BB04) | | 20,303 | | 16,544 | | -18.51% | |
| FL | Big Bend | BB03 | Table 1 | | 26,885,523 | | 26,111,060 | | -2.88% |
| FL | Big Bend | BB04 | Substitution | | 37,274,636 | | 36,267,261 | | -2.70% |
| FL | Crist | 4 | Substitution | 2,563 | 3,014,961 | 3,255 | 4,108,663 | 27.00% | 36.28% |
| FL | Crist | 5 | Substitution | 4,354 | 5,324,877 | 3,872 | 5,008,436 | -11.07% | -5.94% |
| FL | Crist | 6 | Table 1 | 10,243 | 12,828,682 | 14,461 | 18,656,237 | 41.18% | 45.43% |
| FL | Crist | 7 | Table 1 | 19,563 | 23,238,171 | 29,005 | 35,964,652 | 48.26% | 54.77% |
| FL | Scholz | 1 | Substitution | 1,280 | 840,579 | 1,877 | 1,583,869 | 46.64% | 88.43% |
| FL | Scholz | 2 | Substitution | 2,112 | 1,337,456 | 2,877 | 2,415,353 | 36.22% | 80.59% |
| GA | Arkwright | CS001 (1, 2, 3, 4) | | 3,431 | | 4,272 | | 24.51% | |
| GA | Arkwright | 1 | Substitution | | 595,763 | | 1,138,948 | | 91.17% |
| GA | Arkwright | 2 | Substitution | | 884,920 | | 1,048,245 | | 18.46% |
| GA | Arkwright | 3 | Substitution | | 919,471 | | 1,220,110 | | 32.70% |
| GA | Arkwright | 4 | Substitution | | 701,745 | | 797,426 | | 13.63% |
| GA | Bowen | 1BLR | Table 1 | 37,241 | 49,977,072 | 34,016 | 46,331,230 | -8.66% | -7.30% |
| GA | Bowen | 2BLR | Table 1 | 33,675 | 45,321,466 | 28,130 | 37,829,783 | -16.47% | -16.53% |
| GA | Bowen | 3BLR | Table 1 | 40,828 | 54,811,544 | 47,897 | 63,956,453 | 17.31% | 16.68% |
| GA | Bowen | 4BLR | Table 1 | 42,319 | 57,170,903 | 35,108 | 47,544,565 | -17.04% | -16.84% |
| GA | Hammond | CS001 (1, 2, 3) | | 8,609 | | 9,842 | | 14.32% | |
| GA | Hammond | 1 | Table 1 | | 3,940,166 | | 4,057,785 | | 2.99% |
| GA | Hammond | 2 | Table 1 | | 3,355,443 | | 5,257,052 | | 56.67% |

APPENDIX B-3: EMISSIONS AND UTILIZATION OF PHASE 1 UNITS, 1997 AND 1998

| State | Plant Name | Stack/Unit ID | Unit Type (a) | 1997 | | 1998 | | Percent Change, 1997-1998 | |
|-------|-------------------|--------------------|---------------|---------------|---------------------|---------------|---------------------|---------------------------|---------------------|
| | | | | SO2 Emissions | Utilization (mmBtu) | SO2 Emissions | Utilization (mmBtu) | SO2 Emissions | Utilization (mmBtu) |
| GA | Hammond | 3 | Table 1 | 4,809,927 | | 4,656,394 | | -3.19% | |
| GA | Hammond | 4 | Table 1 | 16,571 | 23,714,698 | 13,217 | 18,223,752 | -20.24% | -23.15% |
| GA | Harllee Branch | CS001 (1, 2) | | 29,845 | | 32,342 | | 8.37% | |
| GA | Harllee Branch | 1 | Substitution | | 13,643,892 | | 13,350,533 | | -2.15% |
| GA | Harllee Branch | 2 | Substitution | | 13,727,469 | | 16,451,112 | | 19.84% |
| GA | Harllee Branch | CS002 (3, 4) | | 53,136 | | 56,643 | | 6.60% | |
| GA | Harllee Branch | 3 | Substitution | | 25,801,742 | | 24,716,035 | | -4.21% |
| GA | Harllee Branch | 4 | Substitution | | 25,840,817 | | 28,122,964 | | 8.83% |
| GA | Jack Mcdonough | CS001 (MB1, MB2) | | 28,284 | | 28,516 | | 0.82% | |
| GA | Jack Mcdonough | MB1 | Table 1 | | 17,391,180 | | 16,039,404 | | -7.77% |
| GA | Jack Mcdonough | MB2 | Table 1 | | 18,039,198 | | 19,355,611 | | 7.30% |
| GA | Kraft | XS123 (1, 2, 3) | | 7,267 | | 5,906 | | -18.73% | |
| GA | Kraft | 1 | Substitution | | 1,485,281 | | 2,430,615 | | 63.65% |
| GA | Kraft | 2 | Substitution | | 1,775,138 | | 1,960,136 | | 10.42% |
| GA | Kraft | 3 | Substitution | | 4,273,319 | | 4,977,302 | | 16.47% |
| GA | Mcintosh | 1 | Substitution | 6,175 | 7,719,743 | 6,716 | 7,791,088 | 8.76% | 0.92% |
| GA | Mitchell | 3 | Substitution | 3,892 | 4,404,128 | 4,621 | 4,595,267 | 18.73% | 4.34% |
| GA | Wansley | 1 | Table 1 | 34,105 | 45,956,580 | 44,760 | 49,668,355 | 31.24% | 8.08% |
| GA | Wansley | 2 | Table 1 | 32,258 | 45,215,913 | 42,489 | 48,149,781 | 31.72% | 6.49% |
| GA | Yates | Y1BR | Table 1 | 130 | 2,562,462 | 131 | 3,531,547 | 0.77% | 37.82% |
| GA | Yates | CS001 (Y2BR, Y3BR) | | 6,412 | | 6,865 | | 7.06% | |
| GA | Yates | Y2BR | Table 1 | | 3,568,288 | | 4,503,564 | | 26.21% |
| GA | Yates | Y3BR | Table 1 | | 3,782,679 | | 3,787,505 | | 0.13% |
| GA | Yates | CS002 (Y4BR, Y5BR) | | 8,923 | | 9,136 | | 2.39% | |
| GA | Yates | Y4BR | Table 1 | | 5,505,970 | | 6,401,735 | | 16.27% |
| GA | Yates | Y5BR | Table 1 | | 4,525,391 | | 4,344,893 | | -3.99% |
| GA | Yates | Y6BR | Table 1 | 9,393 | 12,553,519 | 11,192 | 13,969,410 | 19.15% | 11.28% |
| GA | Yates | Y7BR | Table 1 | 9,702 | 12,915,696 | 12,150 | 15,552,726 | 25.23% | 20.42% |
| IA | Burlington | 1 | Table 1 | 6,352 | 10,287,375 | 5,847 | 11,743,015 | -7.95% | 14.15% |
| IA | Des Moines | 11 | Table 1 | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| IA | George Neal North | 1 | Table 1 | 4,040 | 9,719,336 | 3,974 | 9,767,070 | -1.63% | 0.49% |
| IA | Milton L Kapp | 2 | Table 1 | 4,839 | 10,867,684 | 5,282 | 12,293,176 | 9.15% | 13.12% |
| IA | Prairie Creek | 4 | Table 1 | 2,985 | 8,488,312 | 4,035 | 10,102,922 | 35.18% | 19.02% |
| IA | Riverside | 9 | Table 1 | 2,545 | 6,955,832 | 1,435 | 6,283,598 | -43.61% | -9.66% |
| IL | Baldwin | 1 | Table 1 | 88,439 | 34,346,752 | 71,396 | 27,377,162 | -19.27% | -20.29% |
| IL | Baldwin | 2 | Table 1 | 92,284 | 35,355,084 | 92,968 | 35,735,840 | 0.74% | 1.08% |
| IL | Baldwin | 3 | Table 1 | 95,312 | 37,180,092 | 120,253 | 46,260,316 | 26.17% | 24.42% |
| IL | Coffeen | CS0001 (1, 2) | | 47,756 | | 49,413 | | 3.47% | |
| IL | Coffeen | 1 | Table 1 | | 13,363,732 | | 12,847,399 | | -3.86% |

APPENDIX B-3: EMISSIONS AND UTILIZATION OF PHASE 1 UNITS, 1997 AND 1998

| State | Plant Name | Stack/Unit ID | Unit Type (a) | 1997 | | 1998 | | Percent Change, 1997-1998 | |
|-------|-------------|---------------|---------------|---------------|---------------------|---------------|---------------------|---------------------------|---------------------|
| | | | | SO2 Emissions | Utilization (mmBtu) | SO2 Emissions | Utilization (mmBtu) | SO2 Emissions | Utilization (mmBtu) |
| IL | Coffeen | 2 | Table 1 | 31,126,083 | | 28,483,817 | | -8.49% | |
| IL | Grand Tower | 9 | Table 1 | 18,586 | 7,209,130 | 9,188 | 3,949,534 | -50.56% | -45.21% |
| IL | Havana | 1 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| IL | Havana | 2 | Substitution | 0 | 0 | 5 | 10,635 | NA | NA |
| IL | Havana | 3 | Substitution | 0 | 0 | 9 | 19,243 | NA | NA |
| IL | Havana | 4 | Substitution | 0 | 0 | 39 | 83,760 | NA | NA |
| IL | Havana | 5 | Substitution | 0 | 0 | 41 | 86,786 | NA | NA |
| IL | Havana | 6 | Substitution | 0 | 0 | 29 | 61,257 | NA | NA |
| IL | Havana | 7 | Substitution | 0 | 0 | 24 | 51,653 | NA | NA |
| IL | Havana | 8 | Substitution | 0 | 0 | 30 | 64,432 | NA | NA |
| IL | Hennepin | CS3 (1, 2) | | 47,346 | | 46,809 | | -1.13% | |
| IL | Hennepin | 1 | Substitution | | 6,127,376 | | 3,345,169 | | -45.41% |
| IL | Hennepin | 2 | Table 1 | | 14,943,169 | | 15,865,737 | | 6.17% |
| IL | Hutsonville | 5 | Substitution | 8,640 | 3,962,435 | 5,238 | 2,449,651 | -39.38% | -38.18% |
| IL | Hutsonville | 6 | Substitution | 10,982 | 4,920,046 | 5,666 | 2,476,690 | -48.41% | -49.66% |
| IL | Joppa Steam | CS1 (1, 2) | | 7,731 | | 8,280 | | 7.10% | |
| IL | Joppa Steam | 1 | Table 1 | | 15,784,056 | | 16,642,916 | | 5.44% |
| IL | Joppa Steam | 2 | Table 1 | | 13,883,968 | | 16,895,957 | | 21.69% |
| IL | Joppa Steam | CS2 (3, 4) | | 7,998 | | 7,937 | | -0.76% | |
| IL | Joppa Steam | 3 | Table 1 | | 14,698,413 | | 16,246,827 | | 10.53% |
| IL | Joppa Steam | 4 | Table 1 | | 15,562,767 | | 15,657,774 | | 0.61% |
| IL | Joppa Steam | CS3 (5, 6) | | 8,472 | | 7,636 | | -9.87% | |
| IL | Joppa Steam | 5 | Table 1 | | 16,251,487 | | 16,131,320 | | -0.74% |
| IL | Joppa Steam | 6 | Table 1 | | 15,991,784 | | 14,904,104 | | -6.80% |
| IL | Kincaid | CS0102 (1, 2) | | 41,096 | | 46,417 | | 12.95% | |
| IL | Kincaid | 1 | Table 1 | | 18,383,480 | | 18,743,889 | | 1.96% |
| IL | Kincaid | 2 | Table 1 | | 22,185,732 | | 30,554,552 | | 37.72% |
| IL | Meredosia | 5 | Table 1 | 15,950 | 10,034,553 | 10,941 | 8,114,710 | -31.40% | -19.13% |
| IL | Newton | 1 | Substitution | 16,698 | 8,356,418 | 7,508 | 30,901,900 | -55.04% | 269.80% |
| IL | Newton | 2 | Substitution | 13,619 | 30,265,558 | 14,298 | 31,776,832 | 4.99% | 4.99% |
| IL | Vermilion | CS3 (1, 2) | | 6,208 | | 12,220 | | 96.84% | |
| IL | Vermilion | 1 | Substitution | | 1,488,706 | | 3,484,888 | | 134.09% |
| IL | Vermilion | 2 | Table 1 | | 2,826,121 | | 5,717,555 | | 102.31% |
| IL | Wood River | 1 | Substitution | 0 | 513,068 | 1 | 475,387 | NA | -7.34% |
| IN | Bailly | XS12 (7, 8) | | 4,736 | | 4,334 | | -8.49% | |
| IN | Bailly | 7 | Table 1 | | 12,242,636 | | 10,663,125 | | -12.90% |
| IN | Bailly | 8 | Table 1 | | 19,485,943 | | 21,683,417 | | 11.28% |
| IN | Breed | 1 | Table 1 | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| IN | Cayuga | 1 | Table 1 | 56,992 | 36,475,622 | 51,345 | 36,106,576 | -9.91% | -1.01% |

APPENDIX B-3: EMISSIONS AND UTILIZATION OF PHASE 1 UNITS, 1997 AND 1998

| State | Plant Name | Stack/Unit ID | Unit Type (a) | 1997 | | 1998 | | Percent Change, 1997-1998 | |
|-------|---------------|---------------------|---------------|--------|------------------------|--------|------------------------|---------------------------|------------------------|
| | | | | SO2 | Utilization (mmBtu) | SO2 | Utilization (mmBtu) | SO2 | Utilization (mmBtu) |
| IN | Cayuga | 2 | Table 1 | 51,796 | 33,321,734 | 37,593 | 24,672,500 | -27.42% | -25.96% |
| IN | Clifty Creek | CS001 (1, 2, 3) | | 44,612 | | 46,294 | | 3.77% | |
| IN | Clifty Creek | 1 | Table 1 | | 15,465,093 | | 15,187,611 | | -1.79% |
| IN | Clifty Creek | 2 | Table 1 | | 13,905,955 | | 15,722,352 | | 13.06% |
| IN | Clifty Creek | 3 | Table 1 | | 15,588,922 | | 16,620,156 | | 6.62% |
| IN | Clifty Creek | CS002 (4, 5, 6) | | 48,844 | | 42,899 | | -12.17% | |
| IN | Clifty Creek | 4 | Table 1 | | 15,077,344 | | 15,129,975 | | 0.35% |
| IN | Clifty Creek | 5 | Table 1 | | 14,905,050 | | 15,465,474 | | 3.76% |
| IN | Clifty Creek | 6 | Table 1 | | 14,827,092 | | 11,649,523 | | -21.43% |
| IN | Elmer W Stout | 50 | Table 1 | 7,444 | 6,831,371 | 6,638 | 6,373,331 | -10.83% | -6.70% |
| IN | Elmer W Stout | 60 | Table 1 | 6,561 | 5,794,145 | 7,392 | 6,765,079 | 12.67% | 16.76% |
| IN | Elmer W Stout | 70 | Table 1 | 22,717 | 20,926,892 | 25,931 | 24,602,220 | 14.15% | 17.56% |
| IN | F B Culley | XS23 (2, 3) | | 5,152 | | 7,687 | | 49.20% | |
| IN | F B Culley | 2 | Table 1 | | 6,571,635 | | 7,665,616 | | 16.65% |
| IN | F B Culley | 3 | Table 1 | | 19,032,117 | | 24,969,662 | | 31.20% |
| IN | Frank E Ratts | 1SG1 | Table 1 | 8,566 | 7,431,668 | 9,236 | 8,756,973 | 7.82% | 17.83% |
| IN | Frank E Ratts | 2SG1 | Table 1 | 7,989 | 6,728,905 | 9,393 | 8,486,975 | 17.57% | 26.13% |
| IN | Gibson | CS0003 (1, 2) | | 79,183 | | 94,431 | | 19.26% | |
| IN | Gibson | 1 | Table 1 | | 34,509,324 | | 42,521,424 | | 23.22% |
| IN | Gibson | 2 | Table 1 | | 36,456,884 | | 39,961,024 | | 9.61% |
| IN | Gibson | XS34 (3, 4) | | 49,170 | | 51,189 | | 4.11% | |
| IN | Gibson | 3 | Table 1 | | 35,455,094 | | 35,238,356 | | -0.61% |
| IN | Gibson | 4 | Table 1 | | 47,452,516 | | 48,852,984 | | 2.95% |
| IN | H T Pritchard | CS596 (5, 6) | | 8,909 | | 7,512 | | -15.68% | |
| IN | H T Pritchard | 5 | Substitution | | 2,270,877 | | 2,725,130 | | 20.00% |
| IN | H T Pritchard | 6 | Table 1 | | 6,791,206 | | 5,325,128 | | -21.59% |
| IN | Michigan City | 12 | Table 1 | 15,262 | 25,071,593 | 16,672 | 28,722,177 | 9.24% | 14.56% |
| IN | Petersburg | 1 | Table 1 | 2,893 | 17,617,335 | 2,247 | 16,853,716 | -22.33% | -4.33% |
| IN | Petersburg | 2 | Table 1 | 4,162 | 32,198,117 | 4,136 | 32,849,989 | -0.62% | 2.02% |
| IN | R Gallagher | CS0001 (1, 2) | | 25,662 | | 22,954 | | -10.55% | |
| IN | R Gallagher | 1 | Table 1 | | 7,671,999 | | 6,484,783 | | -15.47% |
| IN | R Gallagher | 2 | Table 1 | | 8,015,384 | | 8,078,498 | | 0.79% |
| IN | R Gallagher | CS0002 (3, 4) | | 21,183 | | 27,642 | | 30.49% | |
| IN | R Gallagher | 3 | Table 1 | | 6,067,619 | | 9,757,824 | | 60.82% |
| IN | R Gallagher | 4 | Table 1 | | 5,817,989 | | 8,730,732 | | 50.06% |
| IN | Tanners Creek | U4 | Table 1 | 61,344 | 31,794,760 | 32,017 | 21,095,772 | -47.81% | -33.65% |
| IN | Wabash River | 1 | Table 1 | 1,051 | 7,893,969 | 851 | 10,195,928 | -19.03% | 29.16% |
| IN | Wabash River | XS2356 (2, 3, 5, 6) | | 37,577 | | 48,580 | | 29.28% | |
| IN | Wabash River | 2 | Table 1 | | 4,391,103 | | 5,345,939 | | 21.74% |

APPENDIX B-3: EMISSIONS AND UTILIZATION OF PHASE 1 UNITS, 1997 AND 1998

| State | Plant Name | Stack/Unit ID | Unit Type (a) | 1997 | | 1998 | | Percent Change, 1997-1998 | |
|-------|-----------------|-----------------|---------------|---------|------------------------|---------|------------------------|---------------------------|------------------------|
| | | | | SO2 | Utilization (mmBtu) | SO2 | Utilization (mmBtu) | SO2 | Utilization (mmBtu) |
| IN | Wabash River | 3 | Table 1 | | 3,873,572 | | 5,574,897 | | 43.92% |
| IN | Wabash River | 5 | Table 1 | | 4,755,001 | | 6,735,426 | | 41.65% |
| IN | Wabash River | 6 | Table 1 | | 17,074,386 | | 18,378,868 | | 7.64% |
| IN | Warrick | XS123 (1, 2, 3) | | 79,037 | | 78,964 | | -0.09% | |
| IN | Warrick | 1 | Opt In | | 11,713,016 | | 12,261,983 | | 4.69% |
| IN | Warrick | 2 | Opt In | | 12,777,383 | | 12,265,399 | | -4.01% |
| IN | Warrick | 3 | Opt In | | 12,080,812 | | 12,241,810 | | 1.33% |
| IN | Warrick | 4 | Table 1 | 39,864 | 22,244,140 | 34,078 | 18,411,332 | -14.51% | -17.23% |
| KS | La Cygne | 1 | Substitution | 6,927 | 43,169,137 | 6,319 | 34,557,496 | -8.78% | -19.95% |
| KS | Quindaro | 2 | Table 1 | 4,052 | 7,372,206 | 2,985 | 6,966,804 | -26.33% | -5.50% |
| KY | Coleman | C1 | Table 1 | 15,985 | 10,496,532 | 13,908 | 10,837,598 | -12.99% | 3.25% |
| KY | Coleman | C2 | Table 1 | 18,600 | 12,638,043 | 9,677 | 7,993,759 | -47.97% | -36.75% |
| KY | Coleman | C3 | Table 1 | 16,037 | 11,091,506 | 14,568 | 11,281,190 | -9.16% | 1.71% |
| KY | Cooper | CS1 (1, 2) | | 15,818 | | 17,613 | | 11.35% | |
| KY | Cooper | 1 | Table 1 | | 6,128,337 | | 6,162,103 | | 0.55% |
| KY | Cooper | 2 | Table 1 | | 11,663,764 | | 12,814,633 | | 9.87% |
| KY | E W Brown | 1 | Table 1 | 5,869 | 5,534,775 | 6,762 | 6,033,395 | 15.22% | 9.01% |
| KY | E W Brown | CS003 (2, 3) | | 30,538 | | 35,473 | | 16.16% | |
| KY | E W Brown | 2 | Table 1 | | 10,048,618 | | 10,629,369 | | 5.78% |
| KY | E W Brown | 3 | Table 1 | | 20,628,855 | | 22,188,450 | | 7.56% |
| KY | East Bend | 2 | Substitution | 13,083 | 50,645,676 | 13,064 | 41,851,087 | -0.15% | -17.36% |
| KY | Elmer Smith | XS12 (1, 2) | | 7,688 | | 7,011 | | -8.81% | |
| KY | Elmer Smith | 1 | Table 1 | | 11,998,464 | | 10,447,080 | | -12.93% |
| KY | Elmer Smith | 2 | Table 1 | | 20,955,232 | | 21,192,016 | | 1.13% |
| KY | Ghent | 1 | Table 1 | 7,399 | 38,733,307 | 7,396 | 39,524,506 | -0.04% | 2.04% |
| KY | Green River | 5 | Table 1 | 12,409 | 5,782,136 | 14,438 | 6,883,122 | 16.35% | 19.04% |
| KY | H L Spurlock | 1 | Table 1 | 15,669 | 23,080,348 | 14,941 | 21,451,848 | -4.65% | -7.06% |
| KY | Hmp&L Station 2 | H1 | Table 1 | 2,142 | 11,602,514 | 2,397 | 12,326,347 | 11.90% | 6.24% |
| KY | Hmp&L Station 2 | H2 | Table 1 | 2,482 | 12,675,842 | 2,130 | 12,467,753 | -14.18% | -1.64% |
| KY | Paradise | 3 | Table 1 | 173,285 | 72,721,248 | 126,946 | 59,456,328 | -26.74% | -18.24% |
| KY | R D Green | G1 | Substitution | 1,004 | 15,541,123 | 1,828 | 19,256,597 | 82.07% | 23.91% |
| KY | R D Green | G2 | Substitution | 1,323 | 18,062,324 | 2,136 | 16,646,528 | 61.45% | -7.84% |
| KY | Shawnee | 10 | Table 1 | 2,204 | 8,930,212 | 1,560 | 7,598,398 | -29.22% | -14.91% |
| MA | Brayton Point | 2 | Compensating | 10,646 | 18,916,857 | 9,744 | 18,403,534 | -8.47% | -2.71% |
| MA | Mount Tom | 1 | Substitution | 9,742 | 11,867,279 | 8,417 | 10,400,402 | -13.60% | -12.36% |
| MD | C P Crane | 1 | Table 1 | 12,740 | 9,725,873 | 15,224 | 12,220,342 | 19.50% | 25.65% |
| MD | C P Crane | 2 | Table 1 | 17,050 | 13,359,231 | 13,636 | 10,972,712 | -20.02% | -17.86% |
| MD | Chalk Point | CSE12 (1, 2) | | 39,789 | | 44,721 | | 12.40% | |
| MD | Chalk Point | 1 | Table 1 | | 17,952,950 | | 23,306,258 | | 29.82% |

APPENDIX B-3: EMISSIONS AND UTILIZATION OF PHASE 1 UNITS, 1997 AND 1998

| State | Plant Name | Stack/Unit ID | Unit Type (a) | 1997 | | 1998 | | Percent Change, 1997-1998 | |
|-------|------------------|---------------------|---------------|------------|------------------------|------------|------------------------|---------------------------|------------------------|
| | | | | SO2 | Utilization (mmBtu) | SO2 | Utilization (mmBtu) | SO2 | Utilization (mmBtu) |
| MD | Chalk Point | 2 | Table 1 | 21,531,764 | | 21,586,648 | | 0.25% | |
| MD | Chalk Point | 3 | Substitution | 2,716 | 7,631,900 | 7,732 | 17,351,546 | 184.68% | 127.36% |
| MD | Morgantown | 1 | Table 1 | 39,650 | 38,101,385 | 34,953 | 33,077,778 | -11.85% | -13.18% |
| MD | Morgantown | 2 | Table 1 | 33,341 | 31,834,220 | 44,953 | 43,364,798 | 34.83% | 36.22% |
| MD | R P Smith | 9 | Substitution | 71 | 92,709 | 117 | 152,062 | 64.79% | 64.02% |
| MD | R P Smith | 11 | Substitution | 2,264 | 2,986,412 | 2,262 | 2,977,734 | -0.09% | -0.29% |
| MI | Dan E Karn | 2 | Substitution | 9,694 | 16,458,054 | 8,426 | 13,176,629 | -13.08% | -19.94% |
| MI | J H Campbell | CS0009 (1, 2) | | 21,219 | | 22,693 | | 6.95% | |
| MI | J H Campbell | 1 | Table 1 | | 15,183,312 | | 13,474,893 | | -11.25% |
| MI | J H Campbell | 2 | Table 1 | | 19,817,269 | | 24,729,551 | | 24.79% |
| MI | J R Whiting | 3 | Substitution | 4,801 | 7,534,265 | 4,755 | 7,786,674 | -0.96% | 3.35% |
| MN | High Bridge | CS0001 (3, 4, 5, 6) | | 3,848 | | 4,044 | | 5.09% | |
| MN | High Bridge | 3 | Substitution | | 1,150,622 | | 1,553,609 | | 35.02% |
| MN | High Bridge | 4 | Substitution | | 1,616,997 | | 1,543,292 | | -4.56% |
| MN | High Bridge | 5 | Substitution | | 3,694,447 | | 7,083,980 | | 91.75% |
| MN | High Bridge | 6 | Table 1 | | 10,898,522 | | 10,753,526 | | -1.33% |
| MN | Sherburne County | CS1 (1, 2) | | 9,132 | | 8,958 | | -1.91% | |
| MN | Sherburne County | 1 | Substitution | | 50,979,544 | | 43,745,696 | | -14.19% |
| MN | Sherburne County | 2 | Substitution | | 44,659,663 | | 50,587,266 | | 13.27% |
| MO | Asbury | 1 | Table 1 | 9,236 | 14,205,505 | 8,212 | 12,859,011 | -11.09% | -9.48% |
| MO | Hawthorn | 5 | Substitution | 9,297 | 25,785,864 | 7,106 | 20,633,908 | -23.57% | -19.98% |
| MO | James River | 3 | Substitution | 1,164 | 2,276,282 | 1,409 | 3,121,544 | 21.05% | 37.13% |
| MO | James River | 4 | Substitution | 1,780 | 3,692,173 | 1,708 | 3,737,611 | -4.04% | 1.23% |
| MO | James River | 5 | Table 1 | 3,633 | 6,726,246 | 2,810 | 5,934,107 | -22.65% | -11.78% |
| MO | Labadie | 1 | Table 1 | 12,452 | 30,729,514 | 15,654 | 42,347,449 | 25.71% | 37.81% |
| MO | Labadie | 2 | Table 1 | 15,063 | 35,276,040 | 12,987 | 34,534,886 | -13.78% | -2.10% |
| MO | Labadie | 3 | Table 1 | 12,635 | 36,859,037 | 14,602 | 40,580,655 | 15.57% | 10.10% |
| MO | Labadie | 4 | Table 1 | 13,777 | 38,871,905 | 14,354 | 39,516,498 | 4.19% | 1.66% |
| MO | Meramec | 1 | Substitution | 3,147 | 4,756,769 | 1,670 | 4,991,896 | -46.93% | 4.94% |
| MO | Meramec | 2 | Substitution | 3,388 | 5,293,610 | 1,541 | 4,792,250 | -54.52% | -9.47% |
| MO | Meramec | 3 | Substitution | 3,364 | 4,832,568 | 3,706 | 6,900,132 | 10.17% | 42.78% |
| MO | Montrose | 1 | Table 1 | 2,705 | 10,856,432 | 4,072 | 11,013,403 | 50.54% | 1.45% |
| MO | Montrose | CS023 (2, 3) | | 6,684 | | 8,875 | | 32.78% | |
| MO | Montrose | 2 | Table 1 | | 11,916,991 | | 10,380,984 | | -12.89% |
| MO | Montrose | 3 | Table 1 | | 12,520,698 | | 11,783,069 | | -5.89% |
| MO | New Madrid | 1 | Table 1 | 8,924 | 37,657,244 | 8,735 | 42,006,552 | -2.12% | 11.55% |
| MO | New Madrid | 2 | Table 1 | 10,474 | 48,200,332 | 9,018 | 46,640,504 | -13.90% | -3.24% |
| MO | Rush Island | 1 | Substitution | 13,484 | 39,221,199 | 13,485 | 40,512,757 | 0.01% | 3.29% |
| MO | Rush Island | 2 | Substitution | 11,659 | 33,936,175 | 13,924 | 42,312,616 | 19.43% | 24.68% |

APPENDIX B-3: EMISSIONS AND UTILIZATION OF PHASE 1 UNITS, 1997 AND 1998

| State | Plant Name | Stack/Unit ID | Unit Type (a) | 1997 | | 1998 | | Percent Change, 1997-1998 | |
|-------|--------------------|------------------|---------------|---------------|---------------------|---------------|---------------------|---------------------------|---------------------|
| | | | | SO2 Emissions | Utilization (mmBtu) | SO2 Emissions | Utilization (mmBtu) | SO2 Emissions | Utilization (mmBtu) |
| MO | Sibley | CS0001 (1, 2, 3) | | 19,839 | | 27,056 | | 36.38% | |
| MO | Sibley | 1 | Substitution | | 3,298,131 | | 3,195,388 | | -3.12% |
| MO | Sibley | 2 | Substitution | | 3,238,192 | | 3,125,667 | | -3.47% |
| MO | Sibley | 3 | Table 1 | | 23,606,317 | | 26,076,510 | | 10.46% |
| MO | Sioux | 1 | Table 1 | 30,140 | 26,885,257 | 18,885 | 24,814,785 | -37.34% | -7.70% |
| MO | Sioux | 2 | Table 1 | 24,968 | 23,482,964 | 23,062 | 30,562,053 | -7.63% | 30.15% |
| MO | Southwest | 1 | Substitution | 3,785 | 14,063,350 | 6,837 | 16,707,842 | 80.63% | 18.80% |
| MO | Thomas Hill | MB1 | Table 1 | 3,382 | 14,948,531 | 3,080 | 15,127,247 | -8.93% | 1.20% |
| MO | Thomas Hill | MB2 | Table 1 | 4,978 | 22,396,264 | 4,722 | 23,711,214 | -5.14% | 5.87% |
| MO | Thomas Hill | MB3 | Substitution | 11,224 | 48,569,132 | 9,916 | 47,129,420 | -11.65% | -2.96% |
| MS | Jack Watson | 4 | Table 1 | 11,749 | 13,197,197 | 18,528 | 18,271,530 | 57.70% | 38.45% |
| MS | Jack Watson | 5 | Table 1 | 30,551 | 33,634,910 | 32,033 | 32,387,107 | 4.85% | -3.71% |
| MS | R D Morrow | 1 | Substitution | 5,072 | 14,735,176 | 4,267 | 14,398,624 | -15.87% | -2.28% |
| MS | R D Morrow | 2 | Substitution | 4,255 | 13,281,477 | 4,383 | 15,094,507 | 3.01% | 13.65% |
| MS | Victor J Daniel Jr | 1 | Substitution | 11,632 | 33,717,920 | 8,109 | 23,039,448 | -30.29% | -31.67% |
| NH | Merrimack | 1 | Table 1 | 13,509 | 10,134,559 | 12,126 | 9,625,002 | -10.24% | -5.03% |
| NH | Merrimack | 2 | Table 1 | 26,144 | 24,340,602 | 22,794 | 21,653,842 | -12.81% | -11.04% |
| NJ | B L England | 1 | Table 1 | 15,485 | 7,573,153 | 15,694 | 7,658,545 | 1.35% | 1.13% |
| NJ | B L England | 2 | Table 1 | 1,787 | 9,896,490 | 1,084 | 6,770,210 | -39.34% | -31.59% |
| NY | Dunkirk | CS0003 (3, 4) | | 34,072 | | 37,527 | | 10.14% | |
| NY | Dunkirk | 3 | Table 1 | | 10,571,064 | | 12,014,610 | | 13.66% |
| NY | Dunkirk | 4 | Table 1 | | 11,521,991 | | 14,506,026 | | 25.90% |
| NY | Greenidge | 6 | Table 1 | 8,126 | 7,315,437 | 9,027 | 8,538,897 | 11.09% | 16.72% |
| NY | Milliken | XS12 (1, 2) | | 5,620 | | 8,572 | | 52.53% | |
| NY | Milliken | 1 | Table 1 | | 10,772,403 | | 12,085,829 | | 12.19% |
| NY | Milliken | 2 | Table 1 | | 11,167,395 | | 12,008,990 | | 7.54% |
| NY | Northport | 1 | Table 1 | 4,868 | 8,862,375 | 2,764 | 12,065,492 | -43.22% | 36.14% |
| NY | Northport | 2 | Table 1 | 2,661 | 20,781,446 | 4,866 | 17,255,806 | 82.86% | -16.97% |
| NY | Northport | 3 | Table 1 | 4,279 | 7,753,803 | 10,502 | 19,356,322 | 145.43% | 149.64% |
| NY | Northport | 4 | Substitution | 822 | 20,283,682 | 1,136 | 12,918,579 | 38.20% | -36.31% |
| NY | Oswego | 4 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| NY | Oswego | 5 | Substitution | 0 | 0 | 3,982 | 8,095,710 | NA | NA |
| NY | Oswego | 6 | Substitution | 1,080 | 5,955,023 | 1,121 | 5,315,851 | 3.80% | -10.73% |
| NY | Port Jefferson | 3 | Table 1 | 1,890 | 10,691,389 | 4,185 | 9,726,121 | 121.43% | -9.03% |
| NY | Port Jefferson | 4 | Table 1 | 1,602 | 7,149,114 | 3,171 | 11,039,434 | 97.94% | 54.42% |
| NY | Roseton | 1 | Substitution | 4,380 | 9,526,581 | 10,538 | 19,694,318 | 140.59% | 106.73% |
| NY | Roseton | 2 | Substitution | 7,132 | 16,206,427 | 14,192 | 26,363,992 | 98.99% | 62.68% |
| OH | Acme | 13 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | Acme | 14 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |

APPENDIX B-3: EMISSIONS AND UTILIZATION OF PHASE 1 UNITS, 1997 AND 1998

| State | Plant Name | Stack/Unit ID | Unit Type (a) | 1997 | | 1998 | | Percent Change, 1997-1998 | |
|-------|---------------|--------------------|---------------|---------------|---------------------|---------------|---------------------|---------------------------|---------------------|
| | | | | SO2 Emissions | Utilization (mmBtu) | SO2 Emissions | Utilization (mmBtu) | SO2 Emissions | Utilization (mmBtu) |
| OH | Acme | 15 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | Acme | 16 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | Acme | 91 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | Acme | 92 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | Ashtabula | 7 | Table 1 | 39,662 | 12,618,530 | 26,164 | 9,268,567 | -34.03% | -26.55% |
| OH | Ashtabula | CS1 (8, 9, 10, 11) | | 6,942 | | 2,048 | | -70.50% | |
| OH | Ashtabula | 8 | Substitution | | 0 | | 0 | | 0.00% |
| OH | Ashtabula | 9 | Substitution | | 0 | | 0 | | 0.00% |
| OH | Ashtabula | 10 | Substitution | | 191,965 | | 287,495 | | 49.76% |
| OH | Ashtabula | 11 | Substitution | | 2,020,623 | | 530,174 | | -73.76% |
| OH | Avon Lake | 9 | Substitution | 483 | 597,938 | 0 | 0 | -100.00% | -100.00% |
| OH | Avon Lake | 10 | Substitution | 4,274 | 4,816,566 | 1,222 | 1,437,105 | -71.41% | -70.16% |
| OH | Avon Lake | 11 | Table 1 | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | Avon Lake | 12 | Table 1 | 28,037 | 32,607,684 | 27,714 | 36,413,732 | -1.15% | 11.67% |
| OH | Bay Shore | CS5 (1, 2, 3, 4) | | 13,874 | | 11,472 | | -17.31% | |
| OH | Bay Shore | 1 | Substitution | | 6,545,978 | | 6,462,382 | | -1.28% |
| OH | Bay Shore | 2 | Substitution | | 7,024,540 | | 6,807,479 | | -3.09% |
| OH | Bay Shore | 3 | Substitution | | 7,316,921 | | 7,261,342 | | -0.76% |
| OH | Bay Shore | 4 | Substitution | | 10,813,196 | | 9,238,904 | | -14.56% |
| OH | Cardinal | 1 | Table 1 | 84,875 | 30,461,782 | 95,520 | 32,017,382 | 12.54% | 5.11% |
| OH | Cardinal | 2 | Table 1 | 58,818 | 36,443,680 | 33,017 | 34,846,000 | -43.87% | -4.38% |
| OH | Conesville | CS012 (1, 2) | | 31,975 | | 30,089 | | -5.90% | |
| OH | Conesville | 1 | Table 1 | | 7,169,706 | | 6,163,071 | | -14.04% |
| OH | Conesville | 2 | Table 1 | | 7,275,241 | | 6,974,286 | | -4.14% |
| OH | Conesville | 3 | Table 1 | 16,424 | 7,352,729 | 17,937 | 7,761,567 | 9.21% | 5.56% |
| OH | Conesville | 4 | Table 1 | 83,428 | 37,503,728 | 72,035 | 32,772,680 | -13.66% | -12.61% |
| OH | Eastlake | 1 | Table 1 | 16,379 | 7,958,930 | 11,186 | 6,311,370 | -31.71% | -20.70% |
| OH | Eastlake | 2 | Table 1 | 15,487 | 7,503,532 | 11,992 | 6,852,009 | -22.57% | -8.68% |
| OH | Eastlake | 3 | Table 1 | 16,084 | 8,015,486 | 12,985 | 8,079,638 | -19.27% | 0.80% |
| OH | Eastlake | 4 | Table 1 | 26,322 | 13,078,361 | 18,497 | 10,573,149 | -29.73% | -19.16% |
| OH | Eastlake | 5 | Table 1 | 53,952 | 27,418,308 | 56,011 | 36,867,392 | 3.82% | 34.46% |
| OH | Edgewater | 11 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | Edgewater | 12 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | Edgewater | 13 | Table 1 | 3 | 190,520 | 7 | 1,228,962 | 133.33% | 545.06% |
| OH | Gen J M Gavin | 1 | Table 1 | 16,854 | 86,726,768 | 15,085 | 78,819,640 | -10.50% | -9.12% |
| OH | Gen J M Gavin | 2 | Table 1 | 16,812 | 74,801,544 | 18,718 | 94,637,496 | 11.34% | 26.52% |
| OH | Gorge | 25 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | Gorge | 26 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | J M Stuart | 1 | Substitution | 23,885 | 33,042,560 | 27,024 | 37,286,928 | 13.14% | 12.85% |

APPENDIX B-3: EMISSIONS AND UTILIZATION OF PHASE 1 UNITS, 1997 AND 1998

| State | Plant Name | Stack/Unit ID | Unit Type (a) | 1997 | | 1998 | | Percent Change, 1997-1998 | |
|-------|-----------------|---------------------------------|---------------|---------|------------------------|---------|------------------------|---------------------------|------------------------|
| | | | | SO2 | Utilization (mmBtu) | SO2 | Utilization (mmBtu) | SO2 | Utilization (mmBtu) |
| OH | J M Stuart | 2 | Substitution | 28,883 | 40,245,244 | 29,520 | 40,858,280 | 2.21% | 1.52% |
| OH | J M Stuart | 3 | Substitution | 25,127 | 35,157,140 | 23,211 | 32,223,074 | -7.63% | -8.35% |
| OH | J M Stuart | 4 | Substitution | 26,949 | 37,297,652 | 23,603 | 33,318,570 | -12.42% | -10.67% |
| OH | Kyger Creek | CS001 (1, 2, 3, 4, 5) | | 111,419 | | 119,171 | | 6.96% | |
| OH | Kyger Creek | 1 | Table 1 | | 14,525,068 | | 14,334,231 | | -1.31% |
| OH | Kyger Creek | 2 | Table 1 | | 15,156,232 | | 15,054,039 | | -0.67% |
| OH | Kyger Creek | 3 | Table 1 | | 15,150,482 | | 14,714,973 | | -2.87% |
| OH | Kyger Creek | 4 | Table 1 | | 14,638,612 | | 16,147,978 | | 10.31% |
| OH | Kyger Creek | 5 | Table 1 | | 14,960,636 | | 14,395,578 | | -3.78% |
| OH | Lake Shore | 18 | Substitution | 497 | 1,692,121 | 1,811 | 3,627,698 | 264.39% | 114.39% |
| OH | Lake Shore | 91 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | Lake Shore | 92 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | Lake Shore | 93 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | Lake Shore | 94 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | Miami Fort | CS056 (5-1, 5-2, 6) | | 10,087 | | 19,614 | | 94.45% | |
| OH | Miami Fort | 5-1 | Table 1 | | 876,111 | | 1,373,320 | | 56.75% |
| OH | Miami Fort | 5-2 | Table 1 | | 876,111 | | 1,374,643 | | 56.90% |
| OH | Miami Fort | 6 | Table 1 | | 7,661,698 | | 13,624,571 | | 77.83% |
| OH | Miami Fort | 7 | Table 1 | 38,666 | 36,213,342 | 36,473 | 29,030,836 | -5.67% | -19.83% |
| OH | Muskingum River | CS014 (1, 2, 3, 4) | | 161,924 | | 152,316 | | -5.93% | |
| OH | Muskingum River | 1 | Table 1 | | 12,198,445 | | 9,969,079 | | -18.28% |
| OH | Muskingum River | 2 | Table 1 | | 9,313,687 | | 10,138,733 | | 8.86% |
| OH | Muskingum River | 3 | Table 1 | | 11,202,854 | | 11,271,723 | | 0.61% |
| OH | Muskingum River | 4 | Table 1 | | 11,861,307 | | 10,897,014 | | -8.13% |
| OH | Muskingum River | 5 | Table 1 | 21,872 | 37,710,912 | 15,307 | 27,975,016 | -30.02% | -25.82% |
| OH | Niles | XS12 (1, 2) | | 13,340 | | 21,636 | | 62.19% | |
| OH | Niles | 1 | Table 1 | | 7,712,996 | | 7,625,299 | | -1.14% |
| OH | Niles | 2 | Table 1 | | 3,337,159 | | 5,534,045 | | 65.83% |
| OH | Picway | 9 | Table 1 | 16,843 | 5,797,157 | 13,385 | 4,802,830 | -20.53% | -17.15% |
| OH | Poston | 1 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | Poston | 2 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | Poston | 3 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | R E Burger | CS0001 (1, 2, 3, 4, 5, 6, 7, 8) | | 46,842 | | 38,543 | | -17.72% | |
| OH | R E Burger | 1 | Substitution | | 0 | | 0 | | 0.00% |
| OH | R E Burger | 2 | Substitution | | 0 | | 0 | | 0.00% |
| OH | R E Burger | 3 | Substitution | | 0 | | 0 | | 0.00% |
| OH | R E Burger | 4 | Substitution | | 0 | | 0 | | 0.00% |
| OH | R E Burger | 5 | Table 1 | | 754,982 | | 23 | | -100.00% |
| OH | R E Burger | 6 | Table 1 | | 648,775 | | 22,906 | | -96.47% |

APPENDIX B-3: EMISSIONS AND UTILIZATION OF PHASE 1 UNITS, 1997 AND 1998

| State | Plant Name | Stack/Unit ID | Unit Type (a) | 1997 | | 1998 | | Percent Change, 1997-1998 | |
|-------|-------------------|-----------------|---------------|---------------|---------------------|---------------|---------------------|---------------------------|---------------------|
| | | | | SO2 Emissions | Utilization (mmBtu) | SO2 Emissions | Utilization (mmBtu) | SO2 Emissions | Utilization (mmBtu) |
| OH | R E Burger | 7 | Table 1 | | 10,903,432 | | 10,366,887 | | -4.92% |
| OH | R E Burger | 8 | Table 1 | | 10,116,964 | | 9,213,604 | | -8.93% |
| OH | Toronto | 10 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | Toronto | 11 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | Toronto | 9 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| OH | W H Sammis | 5 | Table 1 | 16,619 | 20,677,232 | 16,812 | 19,236,457 | 1.16% | -6.97% |
| OH | W H Sammis | 6 | Table 1 | 33,154 | 38,089,788 | 20,352 | 29,506,312 | -38.61% | -22.53% |
| OH | W H Sammis | 7 | Table 1 | 30,208 | 34,854,765 | 45,828 | 44,358,048 | 51.71% | 27.27% |
| OH | Walter C Beckjord | 5 | Table 1 | 14,542 | 12,225,260 | 20,329 | 15,957,426 | 39.80% | 30.53% |
| OH | Walter C Beckjord | 6 | Table 1 | 33,099 | 27,984,274 | 39,455 | 29,796,457 | 19.20% | 6.48% |
| PA | Armstrong | 1 | Table 1 | 16,282 | 10,871,651 | 18,227 | 11,997,280 | 11.95% | 10.35% |
| PA | Armstrong | 2 | Table 1 | 16,847 | 11,549,498 | 17,658 | 11,802,474 | 4.81% | 2.19% |
| PA | Bruce Mansfield | 1 | Substitution | 6,555 | 50,690,148 | 7,527 | 52,609,590 | 14.83% | 3.79% |
| PA | Bruce Mansfield | 2 | Substitution | 7,123 | 43,678,116 | 6,765 | 42,620,088 | -5.03% | -2.42% |
| PA | Brunner Island | CS102 (1, 2) | | 44,391 | | 48,020 | | 8.18% | |
| PA | Brunner Island | 1 | Table 1 | | 16,560,069 | | 17,977,978 | | 8.56% |
| PA | Brunner Island | 2 | Table 1 | | 21,563,393 | | 21,591,769 | | 0.13% |
| PA | Brunner Island | 3 | Table 1 | 52,349 | 45,583,894 | 47,679 | 38,668,683 | -8.92% | -15.17% |
| PA | Cheswick | 1 | Table 1 | 47,510 | 37,412,616 | 32,177 | 25,447,980 | -32.27% | -31.98% |
| PA | Conemaugh | 1 | Table 1 | 3,754 | 66,299,762 | 3,874 | 64,682,996 | 3.20% | -2.44% |
| PA | Conemaugh | 2 | Table 1 | 3,502 | 61,034,531 | 4,347 | 72,193,913 | 24.13% | 18.28% |
| PA | Hatfield's Ferry | XS123 (1, 2, 3) | | 138,630 | | 150,868 | | 8.83% | |
| PA | Hatfield's Ferry | 1 | Table 1 | | 24,642,421 | | 33,536,438 | | 36.09% |
| PA | Hatfield's Ferry | 2 | Table 1 | | 29,327,176 | | 29,112,948 | | -0.73% |
| PA | Hatfield's Ferry | 3 | Table 1 | | 35,212,528 | | 29,387,244 | | -16.54% |
| PA | Martins Creek | CS102 (1, 2) | | 23,661 | | 15,834 | | -33.08% | |
| PA | Martins Creek | 1 | Table 1 | | 9,668,016 | | 6,486,775 | | -32.90% |
| PA | Martins Creek | 2 | Table 1 | | 9,896,340 | | 6,021,584 | | -39.15% |
| PA | Martins Creek | 3 | Substitution | 2,082 | 6,918,551 | 4,742 | 11,288,576 | 127.76% | 63.16% |
| PA | Martins Creek | 4 | Substitution | 1,938 | 5,638,557 | 4,347 | 10,631,226 | 124.30% | 88.55% |
| PA | Mitchell | 33 | Substitution | 1,080 | 16,667,369 | 1,050 | 16,084,774 | -2.78% | -3.50% |
| PA | New Castle | 1 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| PA | New Castle | 2 | Substitution | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| PA | Portland | 1 | Table 1 | 11,574 | 7,648,014 | 9,772 | 8,564,817 | -15.57% | 11.99% |
| PA | Portland | 2 | Table 1 | 17,463 | 11,972,331 | 12,126 | 10,950,567 | -30.56% | -8.53% |
| PA | Shawville | 1 | Table 1 | 15,230 | 9,672,852 | 12,864 | 8,432,824 | -15.54% | -12.82% |
| PA | Shawville | 2 | Table 1 | 15,609 | 9,861,149 | 12,365 | 8,234,692 | -20.78% | -16.49% |
| PA | Shawville | CS1 (3, 4) | | 33,064 | | 33,535 | | 1.42% | |
| PA | Shawville | 3 | Table 1 | | 10,382,892 | | 12,435,105 | | 19.77% |

APPENDIX B-3: EMISSIONS AND UTILIZATION OF PHASE 1 UNITS, 1997 AND 1998

| State | Plant Name | Stack/Unit ID | Unit Type (a) | 1997 | | 1998 | | Percent Change, 1997-1998 | |
|-------|---------------------|--|---------------|---------------|---------------------|---------------|---------------------|---------------------------|---------------------|
| | | | | SO2 Emissions | Utilization (mmBtu) | SO2 Emissions | Utilization (mmBtu) | SO2 Emissions | Utilization (mmBtu) |
| PA | Shawville | 4 | Table 1 | 12,363,361 | | 12,289,735 | | -0.60% | |
| PA | Sunbury | 3 | Table 1 | 11,343 | 8,209,041 | 10,307 | 8,357,434 | -9.13% | 1.81% |
| PA | Sunbury | 4 | Table 1 | 11,326 | 8,102,198 | 11,970 | 9,405,060 | 5.69% | 16.08% |
| TN | Allen | 1 | Table 1 | 6,754 | 14,847,074 | 5,671 | 13,314,521 | -16.03% | -10.32% |
| TN | Allen | 2 | Table 1 | 7,134 | 16,492,487 | 7,378 | 17,881,872 | 3.42% | 8.42% |
| TN | Allen | 3 | Table 1 | 7,436 | 17,656,804 | 7,162 | 18,246,196 | -3.68% | 3.34% |
| TN | Cumberland | 1 | Table 1 | 9,846 | 111,236,832 | 10,610 | 93,425,640 | 7.76% | -16.01% |
| TN | Cumberland | 2 | Table 1 | 11,122 | 118,698,432 | 9,891 | 77,445,408 | -11.07% | -34.75% |
| TN | DuPont Johnsonville | JVD1 | Opt In | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| TN | DuPont Johnsonville | JVD2 | Opt In | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| TN | DuPont Johnsonville | JVD3 | Opt In | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| TN | DuPont Johnsonville | JVD4 | Opt In | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| TN | Gallatin | CSGA12 (1, 2) | | 50,974 | | 40,664 | | -20.23% | |
| TN | Gallatin | 1 | Table 1 | | 14,146,293 | | 16,675,013 | | 17.88% |
| TN | Gallatin | 2 | Table 1 | | 13,928,512 | | 13,358,894 | | -4.09% |
| TN | Gallatin | CSGA34 (3, 4) | | 66,129 | | 45,551 | | -31.12% | |
| TN | Gallatin | 3 | Table 1 | | 17,014,207 | | 18,006,243 | | 5.83% |
| TN | Gallatin | 4 | Table 1 | | 18,173,564 | | 14,508,353 | | -20.17% |
| TN | Johnsonville | CSJO10 (1, 2, 3, 4, 5, 6, 7, 8, 9, 10) | | 115,938 | | 114,588 | | -1.16% | |
| TN | Johnsonville | 1 | Table 1 | | 6,385,030 | | 8,391,787 | | 31.43% |
| TN | Johnsonville | 2 | Table 1 | | 8,774,006 | | 6,454,090 | | -26.44% |
| TN | Johnsonville | 3 | Table 1 | | 8,605,129 | | 5,676,977 | | -34.03% |
| TN | Johnsonville | 4 | Table 1 | | 8,448,481 | | 7,806,189 | | -7.60% |
| TN | Johnsonville | 5 | Table 1 | | 7,847,835 | | 6,805,978 | | -13.28% |
| TN | Johnsonville | 6 | Table 1 | | 6,274,393 | | 7,370,703 | | 17.47% |
| TN | Johnsonville | 7 | Table 1 | | 8,278,704 | | 7,852,052 | | -5.15% |
| TN | Johnsonville | 8 | Table 1 | | 9,340,449 | | 8,457,149 | | -9.46% |
| TN | Johnsonville | 9 | Table 1 | | 9,054,961 | | 9,737,698 | | 7.54% |
| TN | Johnsonville | 10 | Table 1 | | 6,274,673 | | 8,049,553 | | 28.29% |
| WI | Alma | CS1 (B1, B2, B3, B4, B5) | | 5,608 | | 6,517 | | 16.21% | |
| WI | Alma | B1 | Opt In | | NA | | 663,744 | | NA |
| WI | Alma | B2 | Opt In | | NA | | 602,030 | | NA |
| WI | Alma | B3 | Opt In | | NA | | 565,438 | | NA |
| WI | Alma | B4 | Substitution | | 2,637,034 | | 3,122,034 | | 18.39% |
| WI | Alma | B5 | Substitution | | 3,645,037 | | 4,318,051 | | 18.46% |
| WI | Edgewater | 3 | Substitution | 1,620 | 4,010,042 | 1,973 | 5,011,080 | 21.79% | 24.96% |
| WI | Edgewater | 4 | Table 1 | 7,968 | 19,448,932 | 8,391 | 20,197,100 | 5.31% | 3.85% |
| WI | Genoa | 1 | Table 1 | 12,750 | 23,336,894 | 9,031 | 16,559,515 | -29.17% | -29.04% |
| WI | J P Madgett | B1 | Substitution | 4,946 | 21,777,568 | 5,223 | 25,231,992 | 5.60% | 15.86% |

APPENDIX B-3: EMISSIONS AND UTILIZATION OF PHASE 1 UNITS, 1997 AND 1998

| State | Plant Name | Stack/Unit ID | Unit Type (a) | 1997 | | 1998 | | Percent Change, 1997-1998 | |
|-------|-----------------|-----------------|---------------|---------------|---------------------|---------------|---------------------|---------------------------|---------------------|
| | | | | SO2 Emissions | Utilization (mmBtu) | SO2 Emissions | Utilization (mmBtu) | SO2 Emissions | Utilization (mmBtu) |
| WI | Nelson Dewey | CS1 (1, 2) | | 6,092 | | 10,861 | | 78.28% | |
| WI | Nelson Dewey | 1 | Table 1 | | 8,239,503 | | 6,172,813 | | -25.08% |
| WI | Nelson Dewey | 2 | Table 1 | | 8,457,749 | | 7,216,679 | | -14.67% |
| WI | North Oak Creek | 1 | Table 1 | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| WI | North Oak Creek | 2 | Table 1 | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| WI | North Oak Creek | 3 | Table 1 | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| WI | North Oak Creek | 4 | Table 1 | 0 | 0 | 0 | 0 | 0.00% | 0.00% |
| WI | Pulliam | CS56 (5, 6) | | 2,141 | | 1,884 | | -12.00% | |
| WI | Pulliam | 5 | Substitution | | 3,268,887 | | 3,766,998 | | 15.24% |
| WI | Pulliam | 6 | Substitution | | 5,354,200 | | 5,003,476 | | -6.55% |
| WI | Pulliam | 7 | Substitution | 1,540 | 6,492,200 | 1,569 | 7,422,811 | 1.88% | 14.33% |
| WI | Pulliam | 8 | Table 1 | 2,264 | 9,850,126 | 2,283 | 11,212,789 | 0.84% | 13.83% |
| WI | Rock River | 1 | Substitution | 1,560 | 4,227,957 | 1,532 | 4,083,241 | -1.79% | -3.42% |
| WI | Rock River | 2 | Substitution | 1,776 | 4,871,904 | 1,898 | 4,957,022 | 6.87% | 1.75% |
| WI | South Oak Creek | CS3 (5, 6) | | 15,452 | | 16,781 | | 8.60% | |
| WI | South Oak Creek | 5 | Table 1 | | 15,182,914 | | 10,136,963 | | -33.23% |
| WI | South Oak Creek | 6 | Table 1 | | 9,504,521 | | 16,111,857 | | 69.52% |
| WI | South Oak Creek | CS4 (7, 8) | | 25,934 | | 26,529 | | 2.29% | |
| WI | South Oak Creek | 7 | Table 1 | | 22,009,580 | | 20,272,864 | | -7.89% |
| WI | South Oak Creek | 8 | Table 1 | | 19,063,307 | | 18,324,182 | | -3.88% |
| WI | Weston | 1 | Substitution | 1,318 | 4,399,791 | 1,111 | 3,956,520 | -15.71% | -10.07% |
| WI | Weston | 2 | Substitution | 1,678 | 5,695,054 | 1,922 | 6,830,073 | 14.54% | 19.93% |
| WV | Albright | 1 | Substitution | 1,578 | 1,269,279 | 2,202 | 1,843,436 | 39.54% | 45.23% |
| WV | Albright | 2 | Substitution | 1,682 | 1,333,070 | 1,929 | 1,623,422 | 14.68% | 21.78% |
| WV | Albright | 3 | Table 1 | 9,380 | 7,411,488 | 7,228 | 6,078,740 | -22.94% | -17.98% |
| WV | Fort Martin | 1 | Table 1 | 42,733 | 36,022,017 | 41,641 | 34,726,484 | -2.56% | -3.60% |
| WV | Fort Martin | 2 | Table 1 | 44,413 | 37,187,816 | 37,663 | 30,423,447 | -15.20% | -18.19% |
| WV | Harrison | XS123 (1, 2, 3) | | 6,298 | | 6,934 | | 10.10% | |
| WV | Harrison | 1 | Table 1 | | 48,646,367 | | 46,082,925 | | -5.27% |
| WV | Harrison | 2 | Table 1 | | 48,426,987 | | 51,433,080 | | 6.21% |
| WV | Harrison | 3 | Table 1 | | 45,939,810 | | 55,241,467 | | 20.25% |
| WV | Kammer | CS013 (1, 2, 3) | | 126,273 | | 108,618 | | -13.98% | |
| WV | Kammer | 1 | Table 1 | | 13,727,107 | | 13,479,546 | | -1.80% |
| WV | Kammer | 2 | Table 1 | | 15,078,749 | | 12,639,201 | | -16.18% |
| WV | Kammer | 3 | Table 1 | | 17,379,095 | | 13,391,834 | | -22.94% |
| WV | Mitchell | CS012 (1, 2) | | 57,239 | | 59,330 | | 3.65% | |
| WV | Mitchell | 1 | Table 1 | | 40,025,964 | | 44,346,849 | | 10.80% |
| WV | Mitchell | 2 | Table 1 | | 50,581,435 | | 48,141,367 | | -4.82% |
| WV | Mt Storm | CS0 (1, 2) | | 92,716 | | 106,759 | | 15.15% | |

APPENDIX B-3: EMISSIONS AND UTILIZATION OF PHASE 1 UNITS, 1997 AND 1998

| State | Plant Name | Stack/Unit ID | Unit Type (a) | 1997 | | 1998 | | Percent Change, 1997-1998 | |
|-------|---------------|---------------|---------------|-------|------------------------|-------|------------------------|---------------------------|------------------------|
| | | | | SO2 | Utilization (mmBtu) | SO2 | Utilization (mmBtu) | SO2 | Utilization (mmBtu) |
| WV | Mt Storm | 1 | Table 1 | | 35,476,403 | | 41,523,871 | | 17.05% |
| WV | Mt Storm | 2 | Table 1 | | 36,446,948 | | 39,716,905 | | 8.97% |
| WV | Mt Storm | 3 | Table 1 | 4,052 | 45,013,972 | 4,576 | 47,191,480 | 12.93% | 4.84% |
| WV | Rivesville | 7 | Substitution | 235 | 299,093 | 624 | 819,610 | 165.53% | 174.03% |
| WV | Rivesville | 8 | Substitution | 1,234 | 1,531,972 | 1,995 | 2,531,623 | 61.67% | 65.25% |
| WV | Willow Island | 2 | Substitution | 8,067 | 8,554,928 | 7,870 | 8,015,622 | -2.44% | -6.30% |

NOTES: (a) Identifies the affected unit as listed in Table 1, as a substitution or compensating unit, or as an opt-in unit.

APPENDIX B-4: EMISSIONS AND ALLOWANCE HOLDINGS OF PHASE 1 UNITS

| State | Plant Name | Stack/Unit ID | Unit Type (a) | SO2 Emissions 1997 (b) | SO2 Emissions 1998(b) | 1998 Allowances Allocated (c) | Held in Unit Accounts as of 3/1/99 | Allowances Deducted for Emissions (d) | Deducted Under Special Phase I Provisions (e) | Allowances Carried Over to 1999 |
|-------|------------|---------------------|---------------|------------------------|-----------------------|-------------------------------|------------------------------------|---------------------------------------|---|---------------------------------|
| AL | Colbert | CSCO14 (1, 2, 3, 4) | | 27,220 | 26,653 | | | | | |
| AL | Colbert | 1 | Table 1 | | | 13,213 | 21,577 | 7,679 | 0 | 13,898 |
| AL | Colbert | 2 | Table 1 | | | 14,907 | 28,046 | 6,719 | 0 | 21,327 |
| AL | Colbert | 3 | Table 1 | | | 14,995 | 28,275 | 6,460 | 0 | 21,815 |
| AL | Colbert | 4 | Table 1 | | | 15,005 | 28,493 | 5,795 | 0 | 22,698 |
| AL | Colbert | 5 | Table 1 | 50,803 | 47,608 | 36,202 | 48,561 | 47,608 | 0 | 953 |
| AL | E C Gaston | CS0CAN (1, 2) | | 24,949 | 25,864 | | | | | |
| AL | E C Gaston | 1 | Table 1 | | | 17,624 | 14,332 | 13,062 | 0 | 1,270 |
| AL | E C Gaston | 2 | Table 1 | | | 18,052 | 14,082 | 12,802 | 0 | 1,280 |
| AL | E C Gaston | CS0CBN (3, 4) | | 26,165 | 25,669 | | | | | |
| AL | E C Gaston | 3 | Table 1 | | | 17,828 | 15,002 | 13,638 | 0 | 1,364 |
| AL | E C Gaston | 4 | Table 1 | | | 18,773 | 14,000 | 12,031 | 0 | 1,969 |
| AL | E C Gaston | 5 | Table 1 | 36,094 | 41,489 | 58,265 | 45,632 | 41,489 | 0 | 4,143 |
| AL | Gadsden | 1 | Substitution | 4,716 | 4,751 | 5,158 | 5,226 | 4,751 | 0 | 475 |
| AL | Gadsden | 2 | Substitution | 4,876 | 4,463 | 5,374 | 4,909 | 4,463 | 0 | 446 |
| FL | Big Bend | CS001 (BB01, BB02) | | 82,191 | 90,881 | | | | | |
| FL | Big Bend | BB01 | Table 1 | | | 27,662 | 49,712 | 46,712 | 0 | 3,000 |
| FL | Big Bend | BB02 | Table 1 | | | 26,387 | 47,169 | 44,169 | 0 | 3,000 |
| FL | Big Bend | XS23 (BB03, BB04) | | 20,303 | 16,544 | | | | | |
| FL | Big Bend | BB03 | Table 1 | | | 26,036 | 15,759 | 12,173 | 0 | 3,586 |
| FL | Big Bend | BB04 | Substitution | | | 6,400 | 7,797 | 4,371 | 0 | 3,426 |
| FL | Crist | 4 | Substitution | 2,563 | 3,255 | 9,953 | 30,887 | 3,255 | 0 | 27,632 |
| FL | Crist | 5 | Substitution | 4,354 | 3,872 | 9,374 | 27,505 | 3,872 | 0 | 23,633 |
| FL | Crist | 6 | Table 1 | 10,243 | 14,461 | 18,695 | 38,097 | 14,461 | 0 | 23,636 |
| FL | Crist | 7 | Table 1 | 19,563 | 29,005 | 30,846 | 64,922 | 29,005 | 0 | 35,917 |
| FL | Scholz | 1 | Substitution | 1,280 | 1,877 | 8,282 | 27,026 | 1,877 | 0 | 25,149 |
| FL | Scholz | 2 | Substitution | 2,112 | 2,877 | 8,572 | 26,429 | 2,877 | 0 | 23,552 |
| GA | Arkwright | CS001 (1, 2, 3, 4) | | 3,431 | 4,272 | | | | | |
| GA | Arkwright | 1 | Substitution | | | 2,437 | 4,016 | 1,068 | 0 | 2,948 |
| GA | Arkwright | 2 | Substitution | | | 2,240 | 3,622 | 1,068 | 0 | 2,554 |
| GA | Arkwright | 3 | Substitution | | | 3,944 | 7,030 | 1,068 | 0 | 5,962 |
| GA | Arkwright | 4 | Substitution | | | 3,159 | 5,461 | 1,068 | 0 | 4,393 |
| GA | Bowen | 1BLR | Table 1 | 37,241 | 34,016 | 54,838 | 123,284 | 34,016 | 0 | 89,268 |
| GA | Bowen | 2BLR | Table 1 | 33,675 | 28,130 | 53,329 | 85,123 | 28,130 | 0 | 56,993 |
| GA | Bowen | 3BLR | Table 1 | 40,828 | 47,897 | 69,862 | 116,493 | 47,897 | 0 | 68,596 |
| GA | Bowen | 4BLR | Table 1 | 42,319 | 35,108 | 69,852 | 116,905 | 35,108 | 0 | 81,797 |
| GA | Hammond | CS001 (1, 2, 3) | | 8,609 | 9,842 | | | | | |
| GA | Hammond | 1 | Table 1 | | | 8,549 | 14,228 | 3,281 | 0 | 10,947 |
| GA | Hammond | 2 | Table 1 | | | 8,977 | 15,084 | 3,281 | 0 | 11,803 |

APPENDIX B-4: EMISSIONS AND ALLOWANCE HOLDINGS OF PHASE 1 UNITS

| State | Plant Name | Stack/Unit ID | Unit Type (a) | SO2 | SO2 | 1998 | Held in | Allowances | Deducted Under | Allowances |
|-------|-------------------|--------------------|---------------|-----------------------|----------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------------------|-------------------------|
| | | | | Emissions 1997 (b) | Emissions 1998(b) | Allowances Allocated (c) | Unit Accounts as of 3/1/99 | Deducted for Emissions (d) | Special Phase I Provisions (e) | Carried Over to 1999 |
| GA | Hammond | 3 | Table 1 | | | 8,676 | 14,483 | 3,280 | 0 | 11,203 |
| GA | Hammond | 4 | Table 1 | 16,571 | 13,217 | 36,650 | 81,025 | 13,217 | 0 | 67,808 |
| GA | Harllee Branch | CS001 (1, 2) | | 29,845 | 32,342 | | | | | |
| GA | Harllee Branch | 1 | Substitution | | | 19,221 | 34,938 | 16,171 | 0 | 18,767 |
| GA | Harllee Branch | 2 | Substitution | | | 22,735 | 48,995 | 16,171 | 0 | 32,824 |
| GA | Harllee Branch | CS002 (3, 4) | | 53,136 | 56,643 | | | | | |
| GA | Harllee Branch | 3 | Substitution | | | 31,280 | 51,834 | 28,322 | 0 | 23,512 |
| GA | Harllee Branch | 4 | Substitution | | | 31,042 | 50,880 | 28,321 | 0 | 22,559 |
| GA | Jack Mcdonough | MB1 | Table 1 | | | 19,386 | 44,016 | 14,258 | 0 | 29,758 |
| GA | Jack Mcdonough | CS001 (MB1, MB2) | | 28,284 | 28,516 | | | | | |
| GA | Jack Mcdonough | MB2 | Table 1 | | | 20,058 | 46,032 | 14,258 | 0 | 31,774 |
| GA | Kraft | XS123 (1, 2, 3) | | 7,267 | 5,906 | | | | | |
| GA | Kraft | 1 | Substitution | | | 2,265 | 5,125 | 1,532 | 0 | 3,593 |
| GA | Kraft | 2 | Substitution | | | 2,137 | 4,794 | 1,236 | 0 | 3,558 |
| GA | Kraft | 3 | Substitution | | | 4,121 | 7,304 | 3,138 | 0 | 4,166 |
| GA | Mcintosh | 1 | Substitution | 6,175 | 6,716 | 7,146 | 11,232 | 6,716 | 0 | 4,516 |
| GA | Mitchell | 3 | Substitution | 3,892 | 4,621 | 10,792 | 28,484 | 4,621 | 0 | 23,863 |
| GA | Wansley | 1 | Table 1 | 34,105 | 44,760 | 68,908 | 109,452 | 44,760 | 0 | 64,692 |
| GA | Wansley | 2 | Table 1 | 32,258 | 42,489 | 63,708 | 100,342 | 42,489 | 0 | 57,853 |
| GA | Yates | Y1BR | Table 1 | 130 | 131 | 9,533 | 23,227 | 131 | 0 | 23,096 |
| GA | Yates | Y2BR | Table 1 | | | 6,855 | 17,359 | 3,433 | 0 | 13,926 |
| GA | Yates | CS001 (Y2BR, Y3BR) | | 6,412 | 6,865 | | | | | |
| GA | Yates | Y3BR | Table 1 | | | 6,767 | 17,095 | 3,432 | 0 | 13,663 |
| GA | Yates | Y4BR | Table 1 | | | 8,676 | 21,566 | 4,568 | 0 | 16,998 |
| GA | Yates | CS002 (Y4BR, Y5BR) | | 8,923 | 9,136 | | | | | |
| GA | Yates | Y5BR | Table 1 | | | 9,162 | 23,025 | 4,568 | 0 | 18,457 |
| GA | Yates | Y6BR | Table 1 | 9,393 | 11,192 | 24,108 | 62,931 | 11,192 | 0 | 51,739 |
| GA | Yates | Y7BR | Table 1 | 9,702 | 12,150 | 20,915 | 53,043 | 12,150 | 0 | 40,893 |
| IA | Burlington | 1 | Table 1 | 6,352 | 5,847 | 10,428 | 19,736 | 5,847 | 0 | 13,889 |
| IA | Des Moines | 11 | Table 1 | 0 | 0 | 2,259 | 0 | 0 | 0 | 0 |
| IA | George Neal North | 1 | Table 1 | 4,040 | 3,974 | 2,571 | 9,598 | 3,974 | 0 | 5,624 |
| IA | Milton L Kapp | 2 | Table 1 | 4,839 | 5,282 | 13,437 | 35,269 | 5,282 | 0 | 29,987 |
| IA | Prairie Creek | 4 | Table 1 | 2,985 | 4,035 | 7,965 | 20,558 | 4,035 | 0 | 16,523 |
| IA | Riverside | 9 | Table 1 | 2,545 | 1,435 | 3,885 | 8,882 | 1,435 | 0 | 7,447 |
| IL | Baldwin | 1 | Table 1 | 88,439 | 71,396 | 46,052 | 74,791 | 71,396 | 0 | 3,395 |
| IL | Baldwin | 2 | Table 1 | 92,284 | 92,968 | 48,695 | 97,625 | 92,968 | 0 | 4,657 |
| IL | Baldwin | 3 | Table 1 | 95,312 | 120,253 | 46,644 | 127,677 | 120,253 | 0 | 7,424 |
| IL | Coffeen | CS0001 (1, 2) | | 47,756 | 49,413 | | | | | |
| IL | Coffeen | 1 | Table 1 | | | 12,925 | 15,570 | 15,473 | 0 | 97 |

APPENDIX B-4: EMISSIONS AND ALLOWANCE HOLDINGS OF PHASE 1 UNITS

| State | Plant Name | Stack/Unit ID | Unit Type (a) | SO2 Emissions 1997 (b) | SO2 Emissions 1998(b) | 1998 Allowances Allocated (c) | Held in Unit Accounts as of 3/1/99 | Allowances Deducted for Emissions (d) | Deducted Under Special Phase I Provisions (e) | Allowances Carried Over to 1999 |
|-------|-------------|---------------|---------------|---------------------------|--------------------------|----------------------------------|---------------------------------------|--|--|------------------------------------|
| IL | Coffeen | 2 | Table 1 | | | 39,102 | 34,070 | 33,940 | 0 | 130 |
| IL | Grand Tower | 9 | Table 1 | 18,586 | 9,188 | 6,479 | 9,245 | 9,188 | 0 | 57 |
| IL | Havana | 1 | Substitution | 0 | 0 | 34 | 11 | 0 | 0 | 11 |
| IL | Havana | 2 | Substitution | 0 | 5 | 43 | 63 | 5 | 0 | 58 |
| IL | Havana | 3 | Substitution | 0 | 9 | 34 | 61 | 9 | 0 | 52 |
| IL | Havana | 4 | Substitution | 0 | 39 | 34 | 61 | 39 | 0 | 22 |
| IL | Havana | 5 | Substitution | 0 | 41 | 34 | 61 | 41 | 0 | 20 |
| IL | Havana | 6 | Substitution | 0 | 29 | 34 | 61 | 29 | 0 | 32 |
| IL | Havana | 7 | Substitution | 0 | 24 | 34 | 61 | 24 | 0 | 37 |
| IL | Havana | 8 | Substitution | 0 | 30 | 34 | 61 | 30 | 0 | 31 |
| IL | Hennepin | CS3 (1, 2) | | 47,346 | 46,809 | | | | | |
| IL | Hennepin | 1 | Substitution | | | 9,847 | 7,847 | 6,101 | 0 | 1,746 |
| IL | Hennepin | 2 | Table 1 | | | 20,182 | 47,246 | 40,708 | 0 | 6,538 |
| IL | Hutsonville | 5 | Substitution | 8,640 | 5,238 | 9,661 | 5,442 | 5,238 | 0 | 204 |
| IL | Hutsonville | 6 | Substitution | 10,982 | 5,666 | 9,837 | 5,917 | 5,666 | 0 | 251 |
| IL | Joppa Steam | CS1 (1, 2) | | 7,731 | 8,280 | | | | | |
| IL | Joppa Steam | 1 | Table 1 | | | 12,259 | 36,840 | 4,140 | 0 | 32,700 |
| IL | Joppa Steam | 2 | Table 1 | | | 10,487 | 29,751 | 4,140 | 0 | 25,611 |
| IL | Joppa Steam | CS2 (3, 4) | | 7,998 | 7,937 | | | | | |
| IL | Joppa Steam | 3 | Table 1 | | | 11,947 | 35,908 | 3,969 | 0 | 31,939 |
| IL | Joppa Steam | 4 | Table 1 | | | 11,061 | 32,363 | 3,968 | 0 | 28,395 |
| IL | Joppa Steam | CS3 (5, 6) | | 8,472 | 7,636 | | | | | |
| IL | Joppa Steam | 5 | Table 1 | | | 11,119 | 29,835 | 3,818 | 0 | 26,017 |
| IL | Joppa Steam | 6 | Table 1 | | | 10,341 | 26,723 | 3,818 | 0 | 22,905 |
| IL | Kincaid | CS0102 (1, 2) | | 41,096 | 46,417 | | | | | |
| IL | Kincaid | 1 | Table 1 | | | 34,564 | 25,556 | 23,208 | 0 | 2,348 |
| IL | Kincaid | 2 | Table 1 | | | 37,063 | 24,527 | 23,209 | 0 | 1,318 |
| IL | Meredosia | 5 | Table 1 | 15,950 | 10,941 | 15,227 | 11,105 | 10,941 | 0 | 164 |
| IL | Newton | 1 | Substitution | 16,698 | 7,508 | 14,599 | 7,629 | 7,508 | 0 | 121 |
| IL | Newton | 2 | Substitution | 13,619 | 14,298 | 6,346 | 14,422 | 14,298 | 0 | 124 |
| IL | Vermilion | CS3 (1, 2) | | 6,208 | 12,220 | | | | | |
| IL | Vermilion | 1 | Substitution | | | 12,972 | 6,659 | 4,631 | 0 | 2,028 |
| IL | Vermilion | 2 | Table 1 | | | 9,735 | 8,340 | 7,589 | 0 | 751 |
| IL | Wood River | 1 | Substitution | 0 | 1 | 0 | 12 | 1 | 0 | 11 |
| IN | Bailly | XS12 (7, 8) | | 4,736 | 4,334 | | | | | |
| IN | Bailly | 7 | Table 1 | | | 15,826 | 7,687 | 1,417 | 0 | 6,270 |
| IN | Bailly | 8 | Table 1 | | | 21,590 | 21,946 | 2,917 | 0 | 19,029 |
| IN | Breed | 1 | Table 1 | 0 | 0 | 20,280 | 30,362 | 0 | 0 | 30,362 |
| IN | Cayuga | 1 | Table 1 | 56,992 | 51,345 | 36,581 | 62,163 | 51,345 | 0 | 10,818 |

APPENDIX B-4: EMISSIONS AND ALLOWANCE HOLDINGS OF PHASE 1 UNITS

| State | Plant Name | Stack/Unit ID | Unit Type (a) | SO2 Emissions 1997 (b) | SO2 Emissions 1998(b) | 1998 Allowances Allocated (c) | Held in Unit Accounts as of 3/1/99 | Allowances Deducted for Emissions (d) | Deducted Under Special Phase I Provisions (e) | Allowances Carried Over to 1999 |
|-------|---------------|---------------------|---------------|---------------------------|--------------------------|----------------------------------|---------------------------------------|--|--|------------------------------------|
| IN | Cayuga | 2 | Table 1 | 51,796 | 37,593 | 37,415 | 57,450 | 37,593 | 0 | 19,857 |
| IN | Clifty Creek | CS001 (1, 2, 3) | | 44,612 | 46,294 | | | | | |
| IN | Clifty Creek | 1 | Table 1 | | | 19,620 | 22,036 | 15,432 | 0 | 6,604 |
| IN | Clifty Creek | 2 | Table 1 | | | 19,289 | 17,908 | 15,431 | 0 | 2,477 |
| IN | Clifty Creek | 3 | Table 1 | | | 19,873 | 18,492 | 15,431 | 0 | 3,061 |
| IN | Clifty Creek | CS002 (4, 5, 6) | | 48,844 | 42,899 | | | | | |
| IN | Clifty Creek | 4 | Table 1 | | | 19,552 | 18,414 | 14,299 | 0 | 4,115 |
| IN | Clifty Creek | 5 | Table 1 | | | 18,851 | 16,400 | 14,300 | 0 | 2,100 |
| IN | Clifty Creek | 6 | Table 1 | | | 19,844 | 29,074 | 14,300 | 0 | 14,774 |
| IN | Elmer W Stout | 50 | Table 1 | 7,444 | 6,638 | 4,253 | 6,900 | 6,638 | 0 | 262 |
| IN | Elmer W Stout | 60 | Table 1 | 6,561 | 7,392 | 5,229 | 7,756 | 7,392 | 0 | 364 |
| IN | Elmer W Stout | 70 | Table 1 | 22,717 | 25,931 | 25,883 | 26,723 | 25,931 | 0 | 792 |
| IN | F B Culley | XS23 (2, 3) | | 5,152 | 7,687 | | | | | |
| IN | F B Culley | 2 | Table 1 | | | 4,703 | 8,331 | 7,687 | 0 | 644 |
| IN | F B Culley | 3 | Table 1 | | | 18,603 | 19,603 | 0 | 0 | 19,603 |
| IN | Frank E Ratts | 1SG1 | Table 1 | 8,566 | 9,236 | 9,131 | 14,363 | 9,236 | 0 | 5,127 |
| IN | Frank E Ratts | 2SG1 | Table 1 | 7,989 | 9,393 | 9,296 | 12,015 | 9,393 | 0 | 2,622 |
| IN | Gibson | CS0003 (1, 2) | | 79,183 | 94,431 | | | | | |
| IN | Gibson | 1 | Table 1 | | | 44,288 | 60,782 | 48,712 | 0 | 12,070 |
| IN | Gibson | 2 | Table 1 | | | 44,956 | 57,182 | 45,719 | 0 | 11,463 |
| IN | Gibson | XS34 (3, 4) | | 49,170 | 51,189 | | | | | |
| IN | Gibson | 3 | Table 1 | | | 45,033 | 59,533 | 50,854 | 0 | 8,679 |
| IN | Gibson | 4 | Table 1 | | | 44,200 | 90,808 | 335 | 0 | 90,473 |
| IN | H T Pritchard | CS596 (5, 6) | | 8,909 | 7,512 | | | | | |
| IN | H T Pritchard | 5 | Substitution | | | 1,458 | 2,699 | 2,479 | 0 | 220 |
| IN | H T Pritchard | 6 | Table 1 | | | 6,325 | 5,463 | 5,033 | 0 | 430 |
| IN | Michigan City | 12 | Table 1 | 15,262 | 16,672 | 25,553 | 78,549 | 16,672 | 0 | 61,877 |
| IN | Petersburg | 1 | Table 1 | 2,893 | 2,247 | 18,011 | 2,384 | 2,247 | 0 | 137 |
| IN | Petersburg | 2 | Table 1 | 4,162 | 4,136 | 35,496 | 4,368 | 4,136 | 0 | 232 |
| IN | R Gallagher | CS0001 (1, 2) | | 25,662 | 22,954 | | | | | |
| IN | R Gallagher | 1 | Table 1 | | | 7,115 | 12,378 | 10,208 | 0 | 2,170 |
| IN | R Gallagher | 2 | Table 1 | | | 7,980 | 15,442 | 12,746 | 0 | 2,696 |
| IN | R Gallagher | CS0002 (3, 4) | | 21,183 | 27,642 | | | | | |
| IN | R Gallagher | 3 | Table 1 | | | 7,159 | 17,676 | 14,599 | 0 | 3,077 |
| IN | R Gallagher | 4 | Table 1 | | | 8,386 | 15,800 | 13,043 | 0 | 2,757 |
| IN | Tanners Creek | U4 | Table 1 | 61,344 | 32,017 | 27,209 | 116,844 | 32,017 | 0 | 84,827 |
| IN | Wabash River | 1 | Table 1 | 1,051 | 851 | 5,379 | 7,716 | 851 | 0 | 6,865 |
| IN | Wabash River | XS2356 (2, 3, 5, 6) | | 37,577 | 48,580 | | | | | |
| IN | Wabash River | 2 | Table 1 | | | 3,135 | 10,380 | 7,324 | 0 | 3,056 |

APPENDIX B-4: EMISSIONS AND ALLOWANCE HOLDINGS OF PHASE 1 UNITS

| State | Plant Name | Stack/Unit ID | Unit Type (a) | SO2 | SO2 | 1998 | Held in | Allowances | Deducted Under | Allowances |
|-------|-----------------|-----------------|---------------|-----------------------|----------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------------------|-------------------------|
| | | | | Emissions 1997 (b) | Emissions 1998(b) | Allowances Allocated (c) | Unit Accounts as of 3/1/99 | Deducted for Emissions (d) | Special Phase I Provisions (e) | Carried Over to 1999 |
| IN | Wabash River | 3 | Table 1 | | | 4,111 | 12,615 | 7,600 | 0 | 5,015 |
| IN | Wabash River | 5 | Table 1 | | | 4,023 | 11,132 | 9,187 | 0 | 1,945 |
| IN | Wabash River | 6 | Table 1 | | | 13,462 | 38,820 | 24,469 | 0 | 14,351 |
| IN | Warrick | XS123 (1, 2, 3) | | 79,037 | 78,964 | | | | | |
| IN | Warrick | 1 | Opt In | | | 30,372 | 26,927 | 26,689 | 0 | 238 |
| IN | Warrick | 2 | Opt In | | | 30,732 | 28,645 | 26,233 | 543 | 1,869 |
| IN | Warrick | 3 | Opt In | | | 27,668 | 26,880 | 26,042 | 0 | 838 |
| IN | Warrick | 4 | Table 1 | 39,864 | 34,078 | 29,577 | 36,643 | 34,078 | 0 | 2,565 |
| KS | La Cygne | 1 | Substitution | 6,927 | 6,319 | 23,489 | 12,025 | 6,319 | 0 | 5,706 |
| KS | Quindaro | 2 | Table 1 | 4,052 | 2,985 | 4,109 | 6,694 | 2,985 | 0 | 3,709 |
| KY | Coleman | C1 | Table 1 | 15,985 | 13,908 | 10,954 | 15,386 | 13,908 | 0 | 1,478 |
| KY | Coleman | C2 | Table 1 | 18,600 | 9,677 | 12,502 | 11,672 | 9,677 | 0 | 1,995 |
| KY | Coleman | C3 | Table 1 | 16,037 | 14,568 | 12,015 | 16,120 | 14,568 | 0 | 1,552 |
| KY | Cooper | CS1 (1, 2) | | 15,818 | 17,613 | | | | | |
| KY | Cooper | 1 | Table 1 | | | 7,254 | 12,233 | 5,812 | 0 | 6,421 |
| KY | Cooper | 2 | Table 1 | | | 14,917 | 25,592 | 11,801 | 0 | 13,791 |
| KY | E W Brown | 1 | Table 1 | 5,869 | 6,762 | 6,923 | 22,811 | 6,762 | 0 | 16,049 |
| KY | E W Brown | CS003 (2, 3) | | 30,538 | 35,473 | | | | | |
| KY | E W Brown | 2 | Table 1 | | | 10,623 | 34,716 | 11,701 | 0 | 23,015 |
| KY | E W Brown | 3 | Table 1 | | | 25,413 | 86,062 | 23,772 | 0 | 62,290 |
| KY | East Bend | 2 | Substitution | 13,083 | 13,064 | 17,447 | 17,643 | 13,064 | 0 | 4,579 |
| KY | Elmer Smith | XS12 (1, 2) | | 7,688 | 7,011 | | | | | |
| KY | Elmer Smith | 1 | Table 1 | | | 6,348 | 2,204 | 2,103 | 0 | 101 |
| KY | Elmer Smith | 2 | Table 1 | | | 14,031 | 5,007 | 4,908 | 0 | 99 |
| KY | Ghent | 1 | Table 1 | 7,399 | 7,396 | 33,701 | 110,586 | 7,396 | 0 | 103,190 |
| KY | Green River | 5 | Table 1 | 12,409 | 14,438 | 7,614 | 21,803 | 14,438 | 0 | 7,365 |
| KY | H L Spurlock | 1 | Table 1 | 15,669 | 14,941 | 22,181 | 46,364 | 14,941 | 0 | 31,423 |
| KY | Hmp&L Station 2 | H1 | Table 1 | 2,142 | 2,397 | 17,920 | 9,804 | 2,397 | 0 | 7,407 |
| KY | Hmp&L Station 2 | H2 | Table 1 | 2,482 | 2,130 | 17,085 | 7,808 | 2,130 | 0 | 5,678 |
| KY | Paradise | 3 | Table 1 | 173,285 | 126,946 | 57,613 | 216,485 | 126,946 | 0 | 89,539 |
| KY | R D Green | G1 | Substitution | 1,004 | 1,828 | 5,041 | 9,195 | 1,828 | 0 | 7,367 |
| KY | R D Green | G2 | Substitution | 1,323 | 2,136 | 5,827 | 10,674 | 2,136 | 0 | 8,538 |
| KY | Shawnee | 10 | Table 1 | 2,204 | 1,560 | 9,902 | 18,052 | 1,560 | 0 | 16,492 |
| MA | Brayton Point | 2 | Compensating | 10,646 | 9,744 | 15,838 | 29,975 | 9,744 | 0 | 20,231 |
| MA | Mount Tom | 1 | Substitution | 9,742 | 8,417 | 10,708 | 14,921 | 8,417 | 1,636 | 4,868 |
| MD | C P Crane | 1 | Table 1 | 12,740 | 15,224 | 10,058 | 64,309 | 15,224 | 0 | 49,085 |
| MD | C P Crane | 2 | Table 1 | 17,050 | 13,636 | 8,987 | 17,202 | 13,636 | 0 | 3,566 |
| MD | Chalk Point | CSE12 (1, 2) | | 39,789 | 44,721 | | | | | |
| MD | Chalk Point | 1 | Table 1 | | | 21,333 | 27,900 | 23,068 | 0 | 4,832 |

APPENDIX B-4: EMISSIONS AND ALLOWANCE HOLDINGS OF PHASE 1 UNITS

| State | Plant Name | Stack/Unit ID | Unit Type (a) | SO2 | SO2 | 1998 | Held in | Allowances | Deducted Under | Allowances |
|-------|------------------|---------------------|---------------|-----------------------|----------------------|---------------|-------------------------------|-------------------------------|-----------------------------------|-------------------------|
| | | | | Emissions 1997 (b) | Emissions 1998(b) | Allocated (c) | Unit Accounts as of 3/1/99 | Deducted for Emissions (d) | Special Phase I Provisions (e) | Carried Over to 1999 |
| MD | Chalk Point | 2 | Table 1 | | | 23,690 | 28,253 | 21,653 | 0 | 6,600 |
| MD | Chalk Point | 3 | Substitution | 2,716 | 7,732 | 9,000 | 19,000 | 7,732 | 0 | 11,268 |
| MD | Morgantown | 1 | Table 1 | 39,650 | 34,953 | 34,332 | 45,499 | 34,953 | 0 | 10,546 |
| MD | Morgantown | 2 | Table 1 | 33,341 | 44,953 | 37,467 | 56,019 | 44,953 | 0 | 11,066 |
| MD | R P Smith | 9 | Substitution | 71 | 117 | 386 | 673 | 117 | 0 | 556 |
| MD | R P Smith | 11 | Substitution | 2,264 | 2,262 | 3,128 | 4,408 | 2,262 | 0 | 2,146 |
| MI | Dan E Karn | 2 | Substitution | 9,694 | 8,426 | 10,984 | 11,276 | 8,426 | 0 | 2,850 |
| MI | J H Campbell | CS0009 (1, 2) | | 21,219 | 22,693 | | | | | |
| MI | J H Campbell | 1 | Table 1 | | | 18,773 | 8,804 | 8,004 | 0 | 800 |
| MI | J H Campbell | 2 | Table 1 | | | 22,453 | 16,158 | 14,689 | 0 | 1,469 |
| MI | J R Whiting | 3 | Substitution | 4,801 | 4,755 | 5,498 | 5,673 | 4,755 | 0 | 918 |
| MN | High Bridge | CS0001 (3, 4, 5, 6) | | 3,848 | 4,044 | | | | | |
| MN | High Bridge | 3 | Substitution | | | 299 | 3,349 | 251 | 0 | 3,098 |
| MN | High Bridge | 4 | Substitution | | | 242 | 1,946 | 251 | 0 | 1,695 |
| MN | High Bridge | 5 | Substitution | | | 410 | 2,891 | 1,279 | 0 | 1,612 |
| MN | High Bridge | 6 | Table 1 | | | 4,158 | 10,788 | 2,263 | 0 | 8,525 |
| MN | Sherburne County | CS1 (1, 2) | | 9,132 | 8,958 | | | | | |
| MN | Sherburne County | 1 | Substitution | | | 4,681 | 15,649 | 4,216 | 0 | 11,433 |
| MN | Sherburne County | 2 | Substitution | | | 4,727 | 16,242 | 4,742 | 0 | 11,500 |
| MO | Asbury | 1 | Table 1 | 9,236 | 8,212 | 15,764 | 37,704 | 8,212 | 65 | 29,427 |
| MO | Hawthorn | 5 | Substitution | 9,297 | 7,106 | 6,927 | 7,461 | 7,106 | 0 | 355 |
| MO | James River | 3 | Substitution | 1,164 | 1,409 | 2,536 | 3,910 | 1,409 | 0 | 2,501 |
| MO | James River | 4 | Substitution | 1,780 | 1,708 | 4,304 | 5,144 | 1,708 | 0 | 3,436 |
| MO | James River | 5 | Table 1 | 3,633 | 2,810 | 4,722 | 3,488 | 2,810 | 0 | 678 |
| MO | Labadie | 1 | Table 1 | 12,452 | 15,654 | 39,055 | 16,711 | 15,654 | 0 | 1,057 |
| MO | Labadie | 2 | Table 1 | 15,063 | 12,987 | 36,718 | 14,237 | 12,987 | 0 | 1,250 |
| MO | Labadie | 3 | Table 1 | 12,635 | 14,602 | 39,249 | 15,801 | 14,602 | 0 | 1,199 |
| MO | Labadie | 4 | Table 1 | 13,777 | 14,354 | 34,994 | 15,392 | 14,354 | 0 | 1,038 |
| MO | Meramec | 1 | Substitution | 3,147 | 1,670 | 1,816 | 2,871 | 1,670 | 0 | 1,201 |
| MO | Meramec | 2 | Substitution | 3,388 | 1,541 | 1,948 | 2,873 | 1,541 | 0 | 1,332 |
| MO | Meramec | 3 | Substitution | 3,364 | 3,706 | 4,166 | 5,516 | 3,706 | 0 | 1,810 |
| MO | Montrose | 1 | Table 1 | 2,705 | 4,072 | 7,196 | 4,276 | 4,072 | 0 | 204 |
| MO | Montrose | CS023 (2, 3) | | 6,684 | 8,875 | | | | | |
| MO | Montrose | 2 | Table 1 | | | 7,984 | 4,362 | 4,153 | 0 | 209 |
| MO | Montrose | 3 | Table 1 | | | 9,824 | 4,974 | 4,722 | 0 | 252 |
| MO | New Madrid | 1 | Table 1 | 8,924 | 8,735 | 27,497 | 13,202 | 8,735 | 0 | 4,467 |
| MO | New Madrid | 2 | Table 1 | 10,474 | 9,018 | 31,625 | 33,276 | 9,018 | 0 | 24,258 |
| MO | Rush Island | 1 | Substitution | 13,484 | 13,485 | 26,935 | 14,684 | 13,485 | 0 | 1,199 |
| MO | Rush Island | 2 | Substitution | 11,659 | 13,924 | 30,146 | 15,026 | 13,924 | 0 | 1,102 |

APPENDIX B-4: EMISSIONS AND ALLOWANCE HOLDINGS OF PHASE 1 UNITS

| State | Plant Name | Stack/Unit ID | Unit Type (a) | SO2 Emissions 1997 (b) | SO2 Emissions 1998(b) | 1998 Allowances Allocated (c) | Held in Unit Accounts as of 3/1/99 | Allowances Deducted for Emissions (d) | Deducted Under Special Phase I Provisions (e) | Allowances Carried Over to 1999 |
|-------|--------------------|------------------|---------------|---------------------------|--------------------------|----------------------------------|--|---|---|---------------------------------------|
| MO | Sibley | CS0001 (1, 2, 3) | | 19,839 | 27,056 | | | | | |
| MO | Sibley | 1 | Substitution | | | 2,782 | 5,521 | 2,705 | 0 | 2,816 |
| MO | Sibley | 2 | Substitution | | | 3,332 | 7,700 | 2,706 | 0 | 4,994 |
| MO | Sibley | 3 | Table 1 | | | 15,170 | 22,127 | 21,645 | 0 | 482 |
| MO | Sioux | 1 | Table 1 | 30,140 | 18,885 | 21,976 | 20,106 | 18,885 | 0 | 1,221 |
| MO | Sioux | 2 | Table 1 | 24,968 | 23,062 | 23,067 | 24,083 | 23,062 | 0 | 1,021 |
| MO | Southwest | 1 | Substitution | 3,785 | 6,837 | 3,906 | 7,161 | 6,837 | 0 | 324 |
| MO | Thomas Hill | MB1 | Table 1 | 3,382 | 3,080 | 9,980 | 11,078 | 3,080 | 0 | 7,998 |
| MO | Thomas Hill | MB2 | Table 1 | 4,978 | 4,722 | 18,880 | 28,282 | 4,722 | 0 | 23,560 |
| MO | Thomas Hill | MB3 | Substitution | 11,224 | 9,916 | 14,011 | 16,798 | 9,916 | 0 | 6,882 |
| MS | Jack Watson | 4 | Table 1 | 11,749 | 18,528 | 17,439 | 25,577 | 18,528 | 0 | 7,049 |
| MS | Jack Watson | 5 | Table 1 | 30,551 | 32,033 | 35,734 | 44,344 | 32,033 | 0 | 12,311 |
| MS | R D Morrow | 1 | Substitution | 5,072 | 4,267 | 4,571 | 5,087 | 4,267 | 0 | 820 |
| MS | R D Morrow | 2 | Substitution | 4,255 | 4,383 | 5,002 | 7,152 | 4,383 | 0 | 2,769 |
| MS | Victor J Daniel Jr | 1 | Substitution | 11,632 | 8,109 | 9,427 | 10,937 | 8,109 | 0 | 2,828 |
| NH | Merrimack | 1 | Table 1 | 13,509 | 12,126 | 9,922 | 12,457 | 12,126 | 0 | 331 |
| NH | Merrimack | 2 | Table 1 | 26,144 | 22,794 | 21,421 | 23,047 | 22,794 | 0 | 253 |
| NJ | B L England | 1 | Table 1 | 15,485 | 15,694 | 8,822 | 18,159 | 15,694 | 0 | 2,465 |
| NJ | B L England | 2 | Table 1 | 1,787 | 1,084 | 14,886 | 2,254 | 1,084 | 0 | 1,170 |
| NY | Dunkirk | CS0003 (3, 4) | | 34,072 | 37,527 | | | | | |
| NY | Dunkirk | 3 | Table 1 | | | 12,268 | 34,855 | 20,640 | 0 | 14,215 |
| NY | Dunkirk | 4 | Table 1 | | | 13,690 | 18,292 | 16,887 | 0 | 1,405 |
| NY | Greenidge | 6 | Table 1 | 8,126 | 9,027 | 7,342 | 17,274 | 9,027 | 0 | 8,247 |
| NY | Milliken | XS12 (1, 2) | | 5,620 | 8,572 | | | | | |
| NY | Milliken | 1 | Table 1 | | | 10,876 | 73,288 | 4,073 | 0 | 69,215 |
| NY | Milliken | 2 | Table 1 | | | 12,083 | 26,732 | 4,499 | 0 | 22,233 |
| NY | Northport | 1 | Table 1 | 4,868 | 2,764 | 19,289 | 29,053 | 2,764 | 0 | 26,289 |
| NY | Northport | 2 | Table 1 | 2,661 | 4,866 | 23,476 | 18,693 | 4,866 | 0 | 13,827 |
| NY | Northport | 3 | Table 1 | 4,279 | 10,502 | 25,783 | 24,616 | 10,502 | 0 | 14,114 |
| NY | Northport | 4 | Substitution | 822 | 1,136 | 5,516 | 14,797 | 1,136 | 119 | 13,542 |
| NY | Oswego | 4 | Substitution | 0 | 0 | 371 | 1,492 | 0 | 0 | 1,492 |
| NY | Oswego | 5 | Substitution | 0 | 3,982 | 12,365 | 36,993 | 3,982 | 0 | 33,011 |
| NY | Oswego | 6 | Substitution | 1,080 | 1,121 | 4,499 | 12,773 | 1,121 | 0 | 11,652 |
| NY | Port Jefferson | 3 | Table 1 | 1,890 | 4,185 | 10,194 | 14,406 | 4,185 | 0 | 10,221 |
| NY | Port Jefferson | 4 | Table 1 | 1,602 | 3,171 | 12,006 | 18,011 | 3,171 | 0 | 14,840 |
| NY | Roseton | 1 | Substitution | 4,380 | 10,538 | 19,147 | 19,147 | 10,538 | 0 | 8,609 |
| NY | Roseton | 2 | Substitution | 7,132 | 14,192 | 16,872 | 16,872 | 14,192 | 0 | 2,680 |
| OH | Acme | 13 | Substitution | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OH | Acme | 14 | Substitution | 0 | 0 | 12 | 0 | 0 | 0 | 0 |

APPENDIX B-4: EMISSIONS AND ALLOWANCE HOLDINGS OF PHASE 1 UNITS

| State | Plant Name | Stack/Unit ID | Unit Type (a) | SO2 | SO2 | 1998 | Held in | Allowances | Deducted Under | Allowances |
|-------|---------------|--------------------|---------------|-----------------------|----------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------------------|-------------------------|
| | | | | Emissions 1997 (b) | Emissions 1998(b) | Allowances Allocated (c) | Unit Accounts as of 3/1/99 | Deducted for Emissions (d) | Special Phase I Provisions (e) | Carried Over to 1999 |
| OH | Acme | 15 | Substitution | 0 | 0 | 16 | 0 | 0 | 0 | 0 |
| OH | Acme | 16 | Substitution | 0 | 0 | 1,930 | 0 | 0 | 0 | 0 |
| OH | Acme | 91 | Substitution | 0 | 0 | 740 | 0 | 0 | 0 | 0 |
| OH | Acme | 92 | Substitution | 0 | 0 | 662 | 0 | 0 | 0 | 0 |
| OH | Ashtabula | 7 | Table 1 | 39,662 | 26,164 | 18,351 | 28,835 | 26,164 | 0 | 2,671 |
| OH | Ashtabula | CS1 (8, 9, 10, 11) | | 6,942 | 2,048 | | | | | |
| OH | Ashtabula | 8 | Substitution | | | 7,487 | 0 | 0 | 0 | 0 |
| OH | Ashtabula | 9 | Substitution | | | 7,016 | 7,016 | 0 | 0 | 7,016 |
| OH | Ashtabula | 10 | Substitution | | | 6,155 | 812 | 637 | 0 | 175 |
| OH | Ashtabula | 11 | Substitution | | | 6,452 | 1,745 | 1,411 | 0 | 334 |
| OH | Avon Lake | 9 | Substitution | 483 | 0 | 8,763 | 64 | 0 | 0 | 64 |
| OH | Avon Lake | 10 | Substitution | 4,274 | 1,222 | 7,879 | 1,527 | 1,222 | 0 | 305 |
| OH | Avon Lake | 11 | Table 1 | 0 | 0 | 12,771 | 0 | 0 | 0 | 0 |
| OH | Avon Lake | 12 | Table 1 | 28,037 | 27,714 | 33,413 | 40,913 | 27,714 | 0 | 13,199 |
| OH | Bay Shore | CS5 (1, 2, 3, 4) | | 13,874 | 11,472 | | | | | |
| OH | Bay Shore | 1 | Substitution | | | 7,414 | 7,629 | 2,490 | 0 | 5,139 |
| OH | Bay Shore | 2 | Substitution | | | 6,957 | 7,248 | 2,627 | 0 | 4,621 |
| OH | Bay Shore | 3 | Substitution | | | 7,585 | 7,876 | 2,799 | 0 | 5,077 |
| OH | Bay Shore | 4 | Substitution | | | 12,481 | 12,986 | 3,556 | 0 | 9,430 |
| OH | Cardinal | 1 | Table 1 | 84,875 | 95,520 | 37,568 | 100,301 | 95,520 | 0 | 4,781 |
| OH | Cardinal | 2 | Table 1 | 58,818 | 33,017 | 42,008 | 52,486 | 33,017 | 0 | 19,469 |
| OH | Conesville | CS012 (1, 2) | | 31,975 | 30,089 | | | | | |
| OH | Conesville | 1 | Table 1 | | | 4,615 | 14,778 | 14,074 | 0 | 704 |
| OH | Conesville | 2 | Table 1 | | | 5,360 | 16,816 | 16,015 | 0 | 801 |
| OH | Conesville | 3 | Table 1 | 16,424 | 17,937 | 6,029 | 18,834 | 17,937 | 0 | 897 |
| OH | Conesville | 4 | Table 1 | 83,428 | 72,035 | 53,463 | 73,920 | 72,035 | 0 | 1,885 |
| OH | Eastlake | 1 | Table 1 | 16,379 | 11,186 | 8,551 | 13,392 | 11,186 | 0 | 2,206 |
| OH | Eastlake | 2 | Table 1 | 15,487 | 11,992 | 9,471 | 14,120 | 11,992 | 0 | 2,128 |
| OH | Eastlake | 3 | Table 1 | 16,084 | 12,985 | 10,984 | 14,599 | 12,985 | 0 | 1,614 |
| OH | Eastlake | 4 | Table 1 | 26,322 | 18,497 | 15,906 | 21,962 | 18,497 | 0 | 3,465 |
| OH | Eastlake | 5 | Table 1 | 53,952 | 56,011 | 37,349 | 67,970 | 56,011 | 0 | 11,959 |
| OH | Edgewater | 11 | Substitution | 0 | 0 | 1,062 | 0 | 0 | 0 | 0 |
| OH | Edgewater | 12 | Substitution | 0 | 0 | 1,145 | 645 | 0 | 0 | 645 |
| OH | Edgewater | 13 | Table 1 | 3 | 7 | 5,536 | 33 | 7 | 0 | 26 |
| OH | Gen J M Gavin | 1 | Table 1 | 16,854 | 15,085 | 113,172 | 15,839 | 15,085 | 0 | 754 |
| OH | Gen J M Gavin | 2 | Table 1 | 16,812 | 18,718 | 115,070 | 19,653 | 18,718 | 0 | 935 |
| OH | Gorge | 25 | Substitution | 0 | 0 | 2,503 | 2,503 | 0 | 0 | 2,503 |
| OH | Gorge | 26 | Substitution | 0 | 0 | 2,791 | 2,791 | 0 | 0 | 2,791 |
| OH | J M Stuart | 1 | Substitution | 23,885 | 27,024 | 41,189 | 42,720 | 27,024 | 0 | 15,696 |

APPENDIX B-4: EMISSIONS AND ALLOWANCE HOLDINGS OF PHASE 1 UNITS

| State | Plant Name | Stack/Unit ID | Unit Type (a) | SO2 | SO2 | 1998 | Held in | Allowances | Deducted Under | Allowances |
|-------|-----------------|---------------------------------|---------------|-----------------------|----------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------------------|-------------------------|
| | | | | Emissions 1997 (b) | Emissions 1998(b) | Allowances Allocated (c) | Unit Accounts as of 3/1/99 | Deducted for Emissions (d) | Special Phase I Provisions (e) | Carried Over to 1999 |
| OH | J M Stuart | 2 | Substitution | 28,883 | 29,520 | 39,041 | 38,961 | 29,520 | 0 | 9,441 |
| OH | J M Stuart | 3 | Substitution | 25,127 | 23,211 | 38,712 | 37,597 | 23,211 | 0 | 14,386 |
| OH | J M Stuart | 4 | Substitution | 26,949 | 23,603 | 40,925 | 38,323 | 23,603 | 0 | 14,720 |
| OH | Kyger Creek | CS001 (1, 2, 3, 4, 5) | | 111,419 | 119,171 | | | | | |
| OH | Kyger Creek | 1 | Table 1 | | | 18,773 | 24,335 | 23,835 | 0 | 500 |
| OH | Kyger Creek | 2 | Table 1 | | | 18,072 | 24,335 | 23,834 | 0 | 501 |
| OH | Kyger Creek | 3 | Table 1 | | | 17,439 | 24,335 | 23,834 | 0 | 501 |
| OH | Kyger Creek | 4 | Table 1 | | | 18,218 | 24,335 | 23,834 | 0 | 501 |
| OH | Kyger Creek | 5 | Table 1 | | | 18,247 | 24,335 | 23,834 | 0 | 501 |
| OH | Lake Shore | 18 | Substitution | 497 | 1,811 | 4,508 | 3,709 | 1,811 | 0 | 1,898 |
| OH | Lake Shore | 91 | Substitution | 0 | 0 | 44 | 0 | 0 | 0 | 0 |
| OH | Lake Shore | 92 | Substitution | 0 | 0 | 80 | 0 | 0 | 0 | 0 |
| OH | Lake Shore | 93 | Substitution | 0 | 0 | 62 | 0 | 0 | 0 | 0 |
| OH | Lake Shore | 94 | Substitution | 0 | 0 | 102 | 0 | 0 | 0 | 0 |
| OH | Miami Fort | 6 | Table 1 | | | 12,475 | 24,491 | 16,306 | 0 | 8,185 |
| OH | Miami Fort | 7 | Table 1 | 38,666 | 36,473 | 42,216 | 50,633 | 36,473 | 0 | 14,160 |
| OH | Miami Fort | CS056 (5-1, 5-2, 6) | | 10,087 | 19,614 | | | | | |
| OH | Miami Fort | 5-1 | Table 1 | | | 417 | 2,513 | 1,654 | 0 | 859 |
| OH | Miami Fort | 5-2 | Table 1 | | | 417 | 2,513 | 1,654 | 0 | 859 |
| OH | Muskingum River | CS014 (1, 2, 3, 4) | | 161,924 | 152,316 | | | | | |
| OH | Muskingum River | 1 | Table 1 | | | 16,312 | 37,786 | 35,987 | 0 | 1,799 |
| OH | Muskingum River | 2 | Table 1 | | | 15,533 | 38,320 | 36,495 | 0 | 1,825 |
| OH | Muskingum River | 3 | Table 1 | | | 15,293 | 42,607 | 40,578 | 0 | 2,029 |
| OH | Muskingum River | 4 | Table 1 | | | 12,914 | 41,219 | 39,256 | 0 | 1,963 |
| OH | Muskingum River | 5 | Table 1 | 21,872 | 15,307 | 44,364 | 114,045 | 15,307 | 0 | 98,738 |
| OH | Niles | XS12 (1, 2) | | 13,340 | 21,636 | | | | | |
| OH | Niles | 1 | Table 1 | | | 7,608 | 9,801 | 6,878 | 0 | 2,923 |
| OH | Niles | 2 | Table 1 | | | 9,975 | 16,325 | 14,758 | 0 | 1,567 |
| OH | Picway | 9 | Table 1 | 16,843 | 13,385 | 5,404 | 14,221 | 13,385 | 0 | 836 |
| OH | Poston | 1 | Substitution | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OH | Poston | 2 | Substitution | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OH | Poston | 3 | Substitution | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| OH | R E Burger | CS0001 (1, 2, 3, 4, 5, 6, 7, 8) | | 46,842 | 38,543 | | | | | |
| OH | R E Burger | 1 | Substitution | | | 2,820 | 0 | 0 | 0 | 0 |
| OH | R E Burger | 2 | Substitution | | | 2,751 | 0 | 0 | 0 | 0 |
| OH | R E Burger | 3 | Substitution | | | 2,891 | 1 | 0 | 0 | 1 |
| OH | R E Burger | 4 | Substitution | | | 2,956 | 2,956 | 0 | 0 | 2,956 |
| OH | R E Burger | 5 | Table 1 | | | 3,371 | 4,389 | 0 | 0 | 4,389 |
| OH | R E Burger | 6 | Table 1 | | | 3,371 | 2,854 | 39 | 0 | 2,815 |

APPENDIX B-4: EMISSIONS AND ALLOWANCE HOLDINGS OF PHASE 1 UNITS

| State | Plant Name | Stack/Unit ID | Unit Type (a) | SO2 | SO2 | 1998 | Held in | Allowances | Deducted Under | Allowances |
|-------|-------------------|-----------------|---------------|-----------------------|----------------------|---------------|-------------------------------|-------------------------------|-----------------------------------|-------------------------|
| | | | | Emissions 1997 (b) | Emissions 1998(b) | Allocated (c) | Unit Accounts as of 3/1/99 | Deducted for Emissions (d) | Special Phase I Provisions (e) | Carried Over to 1999 |
| OH | R E Burger | 7 | Table 1 | | | 11,818 | 22,782 | 20,389 | 0 | 2,393 |
| OH | R E Burger | 8 | Table 1 | | | 13,626 | 20,697 | 18,115 | 0 | 2,582 |
| OH | Toronto | 9 | Substitution | 0 | 0 | 5,315 | 5,315 | 0 | 0 | 5,315 |
| OH | Toronto | 10 | Substitution | 0 | 0 | 9,505 | 9,505 | 0 | 0 | 9,505 |
| OH | Toronto | 11 | Substitution | 0 | 0 | 10,274 | 274 | 0 | 0 | 274 |
| OH | W H Sammis | 5 | Table 1 | 16,619 | 16,812 | 26,496 | 31,377 | 16,812 | 0 | 14,565 |
| OH | W H Sammis | 6 | Table 1 | 33,154 | 20,352 | 43,773 | 48,619 | 20,352 | 0 | 28,267 |
| OH | W H Sammis | 7 | Table 1 | 30,208 | 45,828 | 47,380 | 87,696 | 45,828 | 0 | 41,868 |
| OH | Walter C Beckjord | 5 | Table 1 | 14,542 | 20,329 | 9,811 | 30,526 | 20,329 | 0 | 10,197 |
| OH | Walter C Beckjord | 6 | Table 1 | 33,099 | 39,455 | 25,235 | 48,925 | 39,455 | 0 | 9,470 |
| PA | Armstrong | 1 | Table 1 | 16,282 | 18,227 | 14,031 | 32,747 | 18,227 | 0 | 14,520 |
| PA | Armstrong | 2 | Table 1 | 16,847 | 17,658 | 15,024 | 33,350 | 17,658 | 0 | 15,692 |
| PA | Bruce Mansfield | 1 | Substitution | 6,555 | 7,527 | 10,510 | 20,720 | 7,527 | 0 | 13,193 |
| PA | Bruce Mansfield | 2 | Substitution | 7,123 | 6,765 | 11,537 | 22,827 | 6,765 | 0 | 16,062 |
| PA | Brunner Island | CS102 (1, 2) | | 44,391 | 48,020 | | | | | |
| PA | Brunner Island | 1 | Table 1 | | | 27,030 | 53,949 | 21,817 | 0 | 32,132 |
| PA | Brunner Island | 2 | Table 1 | | | 30,282 | 57,671 | 26,203 | 0 | 31,468 |
| PA | Brunner Island | 3 | Table 1 | 52,349 | 47,679 | 52,404 | 115,628 | 47,679 | 0 | 67,949 |
| PA | Cheswick | 1 | Table 1 | 47,510 | 32,177 | 38,139 | 43,171 | 32,177 | 0 | 10,994 |
| PA | Conemaugh | 1 | Table 1 | 3,754 | 3,874 | 82,006 | 14,930 | 3,874 | 0 | 11,056 |
| PA | Conemaugh | 2 | Table 1 | 3,502 | 4,347 | 90,904 | 15,136 | 4,347 | 0 | 10,789 |
| PA | Hatfield's Ferry | XS123 (1, 2, 3) | | 138,630 | 150,868 | | | | | |
| PA | Hatfield's Ferry | 1 | Table 1 | | | 36,835 | 55,009 | 55,009 | 0 | 0 |
| PA | Hatfield's Ferry | 2 | Table 1 | | | 36,338 | 47,719 | 47,719 | 0 | 0 |
| PA | Hatfield's Ferry | 3 | Table 1 | | | 39,210 | 48,140 | 48,140 | 0 | 0 |
| PA | Martins Creek | CS102 (1, 2) | | 23,661 | 15,834 | | | | | |
| PA | Martins Creek | 1 | Table 1 | | | 12,327 | 36,073 | 8,211 | 0 | 27,862 |
| PA | Martins Creek | 2 | Table 1 | | | 12,483 | 34,780 | 7,623 | 0 | 27,157 |
| PA | Martins Creek | 3 | Substitution | 2,082 | 4,742 | 12,553 | 33,135 | 4,742 | 0 | 28,393 |
| PA | Martins Creek | 4 | Substitution | 1,938 | 4,347 | 11,548 | 29,690 | 4,347 | 0 | 25,343 |
| PA | Mitchell | 33 | Substitution | 1,080 | 1,050 | 1,101 | 2,322 | 1,050 | 0 | 1,272 |
| PA | New Castle | 1 | Substitution | 0 | 0 | 1,367 | 1,367 | 0 | 0 | 1,367 |
| PA | New Castle | 2 | Substitution | 0 | 0 | 1,520 | 1,520 | 0 | 0 | 1,520 |
| PA | Portland | 1 | Table 1 | 11,574 | 9,772 | 5,784 | 51,498 | 9,772 | 0 | 41,726 |
| PA | Portland | 2 | Table 1 | 17,463 | 12,126 | 9,961 | 14,405 | 12,126 | 0 | 2,279 |
| PA | Shawville | 1 | Table 1 | 15,230 | 12,864 | 10,048 | 14,698 | 12,864 | 0 | 1,834 |
| PA | Shawville | 2 | Table 1 | 15,609 | 12,365 | 10,048 | 13,823 | 12,365 | 0 | 1,458 |
| PA | Shawville | CS1 (3, 4) | | 33,064 | 33,535 | | | | | |
| PA | Shawville | 3 | Table 1 | | | 13,846 | 17,695 | 16,855 | 0 | 840 |

APPENDIX B-4: EMISSIONS AND ALLOWANCE HOLDINGS OF PHASE 1 UNITS

| State | Plant Name | Stack/Unit ID | Unit Type (a) | SO2 | SO2 | 1998 | Held in | Allowances | Deducted Under | Allowances |
|-------|---------------------|--|---------------|-----------------------|----------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------------------|-------------------------|
| | | | | Emissions 1997 (b) | Emissions 1998(b) | Allowances Allocated (c) | Unit Accounts as of 3/1/99 | Deducted for Emissions (d) | Special Phase I Provisions (e) | Carried Over to 1999 |
| PA | Shawville | 4 | Table 1 | | | 13,700 | 17,430 | 16,680 | 0 | 750 |
| PA | Sunbury | 3 | Table 1 | 11,343 | 10,307 | 8,530 | 22,698 | 10,307 | 0 | 12,391 |
| PA | Sunbury | 4 | Table 1 | 11,326 | 11,970 | 11,149 | 24,147 | 11,970 | 0 | 12,177 |
| TN | Allen | 1 | Table1 | 6,754 | 5,671 | 14,917 | 25,192 | 5,671 | 0 | 19,521 |
| TN | Allen | 2 | Table1 | 7,134 | 7,378 | 16,329 | 27,605 | 7,378 | 0 | 20,227 |
| TN | Allen | 3 | Table1 | 7,436 | 7,162 | 15,258 | 29,340 | 7,162 | 0 | 22,178 |
| TN | Cumberland | 1 | Table 1 | 9,846 | 10,610 | 114,325 | 215,879 | 10,610 | 0 | 205,269 |
| TN | Cumberland | 2 | Table 1 | 11,122 | 9,891 | 126,157 | 218,813 | 9,891 | 0 | 208,922 |
| TN | DuPont Johnsonville | JVD1 | Opt In | 0 | 0 | 1,778 | 0 | 0 | 0 | 0 |
| TN | DuPont Johnsonville | JVD2 | Opt In | 0 | 0 | 1,778 | 0 | 0 | 0 | 0 |
| TN | DuPont Johnsonville | JVD3 | Opt In | 0 | 0 | 1,777 | 0 | 0 | 0 | 0 |
| TN | DuPont Johnsonville | JVD4 | Opt In | 0 | 0 | 1,777 | 0 | 0 | 0 | 0 |
| TN | Gallatin | CSGA12 (1, 2) | | 50,974 | 40,664 | | | | | |
| TN | Gallatin | 1 | Table 1 | | | 17,400 | 22,769 | 22,320 | 0 | 449 |
| TN | Gallatin | 2 | Table 1 | | | 16,855 | 19,398 | 18,344 | 0 | 1,054 |
| TN | Gallatin | CSGA34 (3, 4) | | 66,129 | 45,551 | | | | | |
| TN | Gallatin | 3 | Table 1 | | | 19,493 | 25,693 | 25,188 | 0 | 505 |
| TN | Gallatin | 4 | Table 1 | | | 20,701 | 24,089 | 20,363 | 0 | 3,726 |
| TN | Johnsonville | CSJO10 (1, 2, 3, 4, 5, 6, 7, 8, 9, 10) | | 115,938 | 114,588 | | | | | |
| TN | Johnsonville | 1 | Table 1 | | | 7,585 | 17,267 | 12,567 | 0 | 4,700 |
| TN | Johnsonville | 2 | Table 1 | | | 7,828 | 13,527 | 9,679 | 0 | 3,848 |
| TN | Johnsonville | 3 | Table 1 | | | 8,189 | 13,891 | 8,448 | 0 | 5,443 |
| TN | Johnsonville | 4 | Table 1 | | | 7,780 | 15,471 | 11,680 | 0 | 3,791 |
| TN | Johnsonville | 5 | Table 1 | | | 8,023 | 10,386 | 10,179 | 0 | 207 |
| TN | Johnsonville | 6 | Table 1 | | | 7,682 | 11,248 | 11,024 | 0 | 224 |
| TN | Johnsonville | 7 | Table 1 | | | 8,744 | 11,955 | 11,718 | 0 | 237 |
| TN | Johnsonville | 8 | Table 1 | | | 8,471 | 12,916 | 12,659 | 0 | 257 |
| TN | Johnsonville | 9 | Table 1 | | | 6,894 | 14,890 | 14,594 | 0 | 296 |
| TN | Johnsonville | 10 | Table 1 | | | 7,351 | 12,493 | 12,040 | 0 | 453 |
| WI | Alma | CS1 (B1, B2, B3, B4, B5) | | 5,608 | 6,517 | | | | | |
| WI | Alma | B1 | Opt In | | | 537 | 537 | 466 | 0 | 71 |
| WI | Alma | B2 | Opt In | | | 518 | 518 | 424 | 0 | 94 |
| WI | Alma | B3 | Opt In | | | 455 | 455 | 397 | 0 | 58 |
| WI | Alma | B4 | Substitution | | | 2,207 | 8,702 | 2,195 | 0 | 6,507 |
| WI | Alma | B5 | Substitution | | | 3,624 | 17,637 | 3,035 | 0 | 14,602 |
| WI | Edgewater | 3 | Substitution | 1,620 | 1,973 | 4,493 | 13,704 | 1,973 | 0 | 11,731 |
| WI | Edgewater | 4 | Table 1 | 7,968 | 8,391 | 24,099 | 25,802 | 8,391 | 0 | 17,411 |
| WI | Genoa | 1 | Table 1 | 12,750 | 9,031 | 22,103 | 31,624 | 9,031 | 0 | 22,593 |
| WI | J P Madgett | B1 | Substitution | 4,946 | 5,223 | 6,407 | 11,701 | 5,223 | 0 | 6,478 |

APPENDIX B-4: EMISSIONS AND ALLOWANCE HOLDINGS OF PHASE 1 UNITS

| State | Plant Name | Stack/Unit ID | Unit Type (a) | SO2 Emissions 1997 (b) | SO2 Emissions 1998(b) | 1998 Allowances Allocated (c) | Held in Unit Accounts as of 3/1/99 | Allowances Deducted for Emissions (d) | Deducted Under Special Phase I Provisions (e) | Allowances Carried Over to 1999 |
|-------|-----------------|-----------------|---------------|---------------------------|--------------------------|----------------------------------|---------------------------------------|--|--|------------------------------------|
| WI | Nelson Dewey | CS1 (1, 2) | | 6,092 | 10,861 | | | | | |
| WI | Nelson Dewey | 1 | Table 1 | | | 5,852 | 11,590 | 5,195 | 0 | 6,395 |
| WI | Nelson Dewey | 2 | Table 1 | | | 6,504 | 13,779 | 5,666 | 0 | 8,113 |
| WI | North Oak Creek | 1 | Table 1 | 0 | 0 | 5,083 | 0 | 0 | 0 | 0 |
| WI | North Oak Creek | 2 | Table 1 | 0 | 0 | 5,005 | 0 | 0 | 0 | 0 |
| WI | North Oak Creek | 3 | Table 1 | 0 | 0 | 5,229 | 0 | 0 | 0 | 0 |
| WI | North Oak Creek | 4 | Table 1 | 0 | 0 | 6,154 | 0 | 0 | 0 | 0 |
| WI | Pulliam | CS56 (5, 6) | | 2,141 | 1,884 | | | | | |
| WI | Pulliam | 5 | Substitution | | | 2,097 | 970 | 810 | 0 | 160 |
| WI | Pulliam | 6 | Substitution | | | 2,844 | 1,290 | 1,074 | 0 | 216 |
| WI | Pulliam | 7 | Substitution | 1,540 | 1,569 | 7,317 | 1,900 | 1,569 | 0 | 331 |
| WI | Pulliam | 8 | Table 1 | 2,264 | 2,283 | 7,312 | 2,700 | 2,283 | 0 | 417 |
| WI | Rock River | 1 | Substitution | 1,560 | 1,532 | 5,398 | 17,058 | 1,532 | 0 | 15,526 |
| WI | Rock River | 2 | Substitution | 1,776 | 1,898 | 4,034 | 11,537 | 1,898 | 0 | 9,639 |
| WI | South Oak Creek | CS3 (5, 6) | | 15,452 | 16,781 | | | | | |
| WI | South Oak Creek | 5 | Table 1 | | | 9,416 | 7,128 | 6,480 | 0 | 648 |
| WI | South Oak Creek | 6 | Table 1 | | | 11,723 | 11,331 | 10,301 | 0 | 1,030 |
| WI | South Oak Creek | CS4 (7, 8) | | 25,934 | 26,529 | | | | | |
| WI | South Oak Creek | 7 | Table 1 | | | 15,754 | 15,327 | 13,934 | 0 | 1,393 |
| WI | South Oak Creek | 8 | Table 1 | | | 15,375 | 13,854 | 12,595 | 0 | 1,259 |
| WI | Weston | 1 | Substitution | 1,318 | 1,111 | 1,579 | 1,300 | 1,111 | 0 | 189 |
| WI | Weston | 2 | Substitution | 1,678 | 1,922 | 3,580 | 2,300 | 1,922 | 0 | 378 |
| WV | Albright | 1 | Substitution | 1,578 | 2,202 | 4,831 | 5,836 | 2,202 | 0 | 3,634 |
| WV | Albright | 2 | Substitution | 1,682 | 1,929 | 5,024 | 4,970 | 1,929 | 0 | 3,041 |
| WV | Albright | 3 | Table 1 | 9,380 | 7,228 | 11,684 | 20,618 | 7,228 | 0 | 13,390 |
| WV | Fort Martin | 1 | Table 1 | 42,733 | 41,641 | 40,496 | 64,608 | 41,641 | 0 | 22,967 |
| WV | Fort Martin | 2 | Table 1 | 44,413 | 37,663 | 40,116 | 85,160 | 37,663 | 0 | 47,497 |
| WV | Harrison | XS123 (1, 2, 3) | | 6,298 | 6,934 | | | | | |
| WV | Harrison | 1 | Table 1 | | | 68,078 | 4,149 | 2,177 | 0 | 1,972 |
| WV | Harrison | 2 | Table 1 | | | 64,488 | 4,197 | 2,403 | 0 | 1,794 |
| WV | Harrison | 3 | Table 1 | | | 57,730 | 4,317 | 2,354 | 0 | 1,963 |
| WV | Kammer | CS013 (1, 2, 3) | | 126,273 | 108,618 | | | | | |
| WV | Kammer | 1 | Table 1 | | | 18,247 | 38,749 | 36,904 | 0 | 1,845 |
| WV | Kammer | 2 | Table 1 | | | 18,948 | 36,653 | 34,908 | 0 | 1,745 |
| WV | Kammer | 3 | Table 1 | | | 16,932 | 38,646 | 36,806 | 0 | 1,840 |
| WV | Mitchell | CS012 (1, 2) | | 57,239 | 59,330 | | | | | |
| WV | Mitchell | 1 | Table 1 | | | 42,823 | 29,811 | 28,392 | 0 | 1,419 |
| WV | Mitchell | 2 | Table 1 | | | 44,312 | 32,485 | 30,938 | 0 | 1,547 |
| WV | Mt Storm | CS0 (1, 2) | | 92,716 | 106,759 | | | | | |
| WV | Mt Storm | 1 | Table 1 | | | 42,570 | 114,600 | 53,380 | 0 | 61,220 |

APPENDIX B-4: EMISSIONS AND ALLOWANCE HOLDINGS OF PHASE 1 UNITS

| State | Plant Name | Stack/Unit ID | Unit Type (a) | SO2 | SO2 | 1998 | Held in | Allowances | Deducted Under | Allowances |
|-------|---------------|---------------|---------------|-----------------------|----------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------------------|-------------------------|
| | | | | Emissions 1997 (b) | Emissions 1998(b) | Allowances Allocated (c) | Unit Accounts as of 3/1/99 | Deducted for Emissions (d) | Special Phase I Provisions (e) | Carried Over to 1999 |
| WV | Mt Storm | 2 | Table 1 | | | 34,644 | 96,588 | 53,379 | 0 | 43,209 |
| WV | Mt Storm | 3 | Table 1 | 4,052 | 4,576 | 56,589 | 59,130 | 4,576 | 0 | 54,554 |
| WV | Rivesville | 7 | Substitution | 235 | 624 | 1,009 | 1,690 | 624 | 0 | 1,066 |
| WV | Rivesville | 8 | Substitution | 1,234 | 1,995 | 3,059 | 4,635 | 1,995 | 0 | 2,640 |
| WV | Willow Island | 2 | Substitution | 8,067 | 7,870 | 7,765 | 17,190 | 7,870 | 0 | 9,320 |

NOTES:

- (a) Identifies the affected unit as listed in Table 1, or as a substitution, compensating, or opt-in unit.
- (b) Both 1997 and 1998 emissions appear as reported by CEMS under the Acid Rain Program.
- (c) This column lists allowances allocated under the following provisions: Initial Allocation (to Table 1 units), allowances for substitution and compensating units, Phase I Extension Allowances, Early Reduction Credits, and Conservation allowances.
- (d) This column displays the 1998 emissions for units that are not connected to a common stack. For units sharing a common stack, an apportionment was made either by the unit or by EPA to divide up the stack's emissions among the units sharing the stack.
- (e) This column displays the sum of allowance deductions made for underutilization and state cap provisions.

Appendix C-1: List of Averaging Plans and Results in 1998

| Company | ORIS Code | Plant Name, State and Units | Plant Limit | Plan Rate |
|--------------------------------|--|---|------------------------|----------------------|
| Allegheny Power System | 3942 3178 3944 3943 3181 6004 1570 | Albright WV 1-3 Armstrong PA 1, 2 Harrison WV 1-3 Fort Martin WV 1 Mitchell PA 33 Pleasants PA 1, 2 R P Smith PA 9, 11 | 0.49 | 0.44 |
| Ameren Services | 862 863 864 6017 | Grand Tower IL 07-09 Hutsonville IL 05, 06 Meredosia IL 01-05 Newton IL 1, 2 | 0.45 | 0.36 |
| Ameren Services | 2103 2104 6155 | Labadie MO 1-4 Meramec MO 1-4 Rush Island MO 1, 2 | 0.45 | 0.22 |
| Cinergy Corp. | 1001 6018 6113 2832 1008 1010 2830 | Cayuga IN 1, 2 East Bend 2 Gibson IN 1-4 Miami Fort OH 6 R Gallagher IN 1-4 Wabash River IN 2, 3, 5, 6 Walter C Beckjord 5, 6 | 0.48 | 0.43 |
| Dairyland Power Cooperative | 4140 4143 4271 | Alma WI B4, B5 Genoa WI 1 J P Madgett WI B1 | 0.48 | 0.45 |

Appendix C-1: List of Averaging Plans and Results in 1998

| Company | ORIS Code | Plant Name, State and Units | Plant Limit | Plan Rate |
|---------------------------------|--|--|------------------------|----------------------|
| East Kentucky Power Cooperative | 1384 | Cooper KY 1, 2 | 0.50 | 0.42 |
| FirstEnergy | 6094 2857 2858 3138 2867 2864 2866 | Bruce Mansfield PA 1, 2 Edgewater OH 13 Gorge OH 25, 26 New Castle PA 1, 2 Toronto OH 10, 11 R E Burger OH 7, 8 W H Sammis OH 5, 6 | 0.50 | 0.46 |
| GPU Generation, Inc. | 3113 | Portland PA 1, 2 | 0.45 | 0.31 |
| Hoosier Energy Rec., Inc. | 1043 | Frank E Ratts IN 1SG1, 2SG1 | 0.50 | 0.49 |
| Illinois Power Company | 889 892 897 | Baldwin IL 3 Hennepin IL 2 Vermilion IL 1, 2 | 0.45 | 0.40 |
| Indianapolis Power & Light | 990 991 994 | Elmer W Stout IN 50, 60, 70 H T Pritchard IN 3-6 Petersburg IN 1-4 | 0.45 | 0.37 |
| LG&E Energy Corporation | 1355 1356 1357 | E W Brown KY 1-3 Ghent KY 1 Green River KY 5 | 0.46 | 0.42 |

Appendix C-1: List of Averaging Plans and Results in 1998

| Company | ORIS Code | Plant Name, State and Units | Plant Limit | Plan Rate |
|---|--|---|------------------------|----------------------|
| NGE Generation, Inc. | 2527 2535 | Greenidge NY 6 Milliken NY 1, 2 | 0.45 | 0.34 |
| Northern States Power Company | 1912 6090 | High Bridge MN 3-6 Sherburne County MN 1, 2 | 0.46 | 0.34 |
| PP&L | 3140 3148 3152 | Brunner Island PA 1-3 Martins Creek PA 1, 2 Sunbury PA 3, 4 | 0.46 | 0.39 |
| South Mississippi Elec. Power Assoc. | 6061 | R D Morrow MS 1, 2 | 0.50 | 0.47 |
| Southern Company | 26 7 | E C Gaston AL 1-5 Gadsden AL 1-2 | 0.48 | 0.46 |
| Southern Company | 699 703 708 709 710 733 6124 727 6257 6052 728 | Arkwright GA 1-4 Bowen GA 1BLR - 4BLR Hammond GA 1-4 Hartlee Branch GA 2 Jack McDonough GA MB1, MB2 Kraft GA 1-3 McIntosh GA 1 Mitchell GA 3 Scherer GA 3 Wansley GA 1, 2 Yates GA Y1BR - Y7BR | 0.46 | 0.44 |

Appendix C-1: List of Averaging Plans and Results in 1998

| Company | ORIS Code | Plant Name, State and Units | Plant Limit | Plan Rate |
|--|----------------------------|--|------------------------|----------------------|
| Southern Company | 641 2049 642 6073 | Crist FL 4-7 Jack Watson MS 4, 5 Scholz FL1, 2 Victor J Daniel Jr MS 1, 2 | 0.48 | 0.45 |
| Springfield (MO), City Utilities of | 2161 6195 | James River MO 3-5 Southwest MO 1 | 0.50 | 0.48 |
| TVA | 47 3403 3406 | Colbert AL 1-5 Gallatin TN 1-4 Johnsonville TN 1-10 | 0.48 | 0.42 |
| Wisconsin Electric Power Company | 4040 4041 4042 | Port Washington WI 1-4 South Oak Creek WI 5-8 Valley WI 1-4 | 0.48 | 0.36 |
| Wisconsin Power & Light Company | 1104 1073 | Burlington IA 1 Prairie Creek IA 4 | 0.47 | 0.28 |
| Wisconsin Public Service Corp. | 4072 4078 | Pulliam WI 7, 8 Weston WI 1-3 | 0.48 | 0.37 |

Appendix C-2: Compliance Results for the 265 Phase I NO_x Affected Units in 1998

| ST | Plant Name | Operating Utility | ORIS Code | Boiler | Compliance Approach | Emission Limit | 1998 | | | 1990 Emission Rate | Change from 1990 to 1998 |
|----|----------------|-------------------|-----------|--------|---------------------|----------------|----------------------|------------------------|-----------------------|--------------------|--------------------------|
| | | | | | | | Actual Emission Rate | AEL or Avg. Plan Limit | Actual Avg. Plan Rate | | |
| AL | Colbert | TVA | 47 | 1 | Averaging Plan | 0.50 | 0.45 | 0.48 | 0.42 | 0.80 | -44% |
| AL | Colbert | TVA | 47 | 2 | Averaging Plan | 0.50 | 0.45 | 0.48 | 0.42 | 0.67 | -33% |
| AL | Colbert | TVA | 47 | 3 | Averaging Plan | 0.50 | 0.45 | 0.48 | 0.42 | 0.83 | -46% |
| AL | Colbert | TVA | 47 | 4 | Averaging Plan | 0.50 | 0.45 | 0.48 | 0.42 | 0.86 | -48% |
| AL | Colbert | TVA | 47 | 5 | Averaging Plan | 0.50 | 0.38 | 0.48 | 0.42 | 0.78 | -51% |
| AL | E C Gaston | Alabama Power Co | 26 | 1 | Averaging Plan | 0.50 | 0.43 | 0.48 | 0.46 | 0.90 | -52% |
| AL | E C Gaston | Alabama Power Co | 26 | 2 | Averaging Plan | 0.50 | 0.43 | 0.48 | 0.46 | 0.78 | -45% |
| AL | E C Gaston | Alabama Power Co | 26 | 3 | Averaging Plan | 0.50 | 0.45 | 0.48 | 0.46 | 0.80 | -44% |
| AL | E C Gaston | Alabama Power Co | 26 | 4 | Averaging Plan | 0.50 | 0.45 | 0.48 | 0.46 | 0.80 | -44% |
| AL | E C Gaston | Alabama Power Co | 26 | 5 | Averaging Plan | 0.45 | 0.47 | 0.48 | 0.46 | 0.78 | -40% |
| AL | Gadsden | Alabama Power Co | 7 | 1 | Averaging Plan | 0.45 | 0.64 | 0.48 | 0.46 | 0.51 | 25% |
| AL | Gadsden | Alabama Power Co | 7 | 2 | Averaging Plan | 0.45 | 0.64 | 0.48 | 0.46 | 0.56 | 14% |
| FL | Big Bend | Tampa Electric Co | 645 | BB04 | Standard Limitation | 0.45 | 0.40 | | | 0.46 | -14% |
| FL | Crist | Gulf Power Co | 641 | 4 | Averaging Plan | 0.45 | 0.43 | 0.48 | 0.45 | 0.43 | 0% |
| FL | Crist | Gulf Power Co | 641 | 5 | Averaging Plan | 0.45 | 0.55 | 0.48 | 0.45 | 0.49 | 12% |
| FL | Crist | Gulf Power Co | 641 | 6 | Averaging Plan | 0.50 | 0.46 | 0.48 | 0.45 | 1.04 | -56% |
| FL | Crist | Gulf Power Co | 641 | 7 | Averaging Plan | 0.50 | 0.49 | 0.48 | 0.45 | 1.16 | -58% |
| FL | Scholz | Gulf Power Co | 642 | 1 | Averaging Plan | 0.50 | 0.70 | 0.48 | 0.45 | 0.69 | 2% |
| FL | Scholz | Gulf Power Co | 642 | 2 | Averaging Plan | 0.50 | 0.71 | 0.48 | 0.45 | 0.80 | -11% |
| GA | Arkwright | Georgia Power Co | 699 | 1 | Averaging Plan | 0.45 | 0.72 | 0.46 | 0.44 | 0.90 | -20% |
| GA | Arkwright | Georgia Power Co | 699 | 2 | Averaging Plan | 0.45 | 0.72 | 0.46 | 0.44 | 0.90 | -20% |
| GA | Arkwright | Georgia Power Co | 699 | 3 | Averaging Plan | 0.50 | 0.72 | 0.46 | 0.44 | 0.90 | -20% |
| GA | Arkwright | Georgia Power Co | 699 | 4 | Averaging Plan | 0.50 | 0.72 | 0.46 | 0.44 | 0.90 | -20% |
| GA | Bowen | Georgia Power Co | 703 | 1BLR | Averaging Plan | 0.45 | 0.42 | 0.46 | 0.44 | 0.67 | -37% |
| GA | Bowen | Georgia Power Co | 703 | 2BLR | Averaging Plan | 0.45 | 0.44 | 0.46 | 0.44 | 0.65 | -32% |
| GA | Bowen | Georgia Power Co | 703 | 3BLR | Averaging Plan | 0.45 | 0.42 | 0.46 | 0.44 | 0.56 | -25% |
| GA | Bowen | Georgia Power Co | 703 | 4BLR | Averaging Plan | 0.45 | 0.43 | 0.46 | 0.44 | 0.58 | -26% |
| GA | Hammond | Georgia Power Co | 708 | 1 | Averaging Plan | 0.50 | 0.83 | 0.46 | 0.44 | 0.84 | -1% |
| GA | Hammond | Georgia Power Co | 708 | 2 | Averaging Plan | 0.50 | 0.83 | 0.46 | 0.44 | 0.84 | -1% |
| GA | Hammond | Georgia Power Co | 708 | 3 | Averaging Plan | 0.50 | 0.83 | 0.46 | 0.44 | 0.84 | -1% |
| GA | Hammond | Georgia Power Co | 708 | 4 | Averaging Plan | 0.50 | 0.44 | 0.46 | 0.44 | 1.20 | -63% |
| GA | Harllee Branch | Georgia Power Co | 709 | 2 | Averaging Plan | 0.50 | 0.71 | 0.46 | 0.44 | 0.99 | -28% |

Appendix C-2: Compliance Results for the 265 Phase I NO_x Affected Units in 1998

| ST | Plant Name | Operating Utility | ORIS Code | Boiler | Compliance Approach | Emission Limit | 1998 | | | 1990 Emission Rate | Change from 1990 to 1998 |
|----|----------------|----------------------------|-----------|--------|---------------------|----------------|----------------------|------------------------|-----------------------|--------------------|--------------------------|
| | | | | | | | Actual Emission Rate | AEL or Avg. Plan Limit | Actual Avg. Plan Rate | | |
| GA | Jack McDonough | Georgia Power Co | 710 | MB1 | Averaging Plan | 0.45 | 0.41 | 0.46 | 0.44 | 0.66 | -38% |
| GA | Jack McDonough | Georgia Power Co | 710 | MB2 | Averaging Plan | 0.45 | 0.41 | 0.46 | 0.44 | 0.60 | -32% |
| GA | Kraft | Savannah Electric & Power | 733 | 1 | Averaging Plan | 0.45 | 0.62 | 0.46 | 0.44 | 0.40 | 56% |
| GA | Kraft | Savannah Electric & Power | 733 | 2 | Averaging Plan | 0.45 | 0.62 | 0.46 | 0.44 | 0.40 | 56% |
| GA | Kraft | Savannah Electric & Power | 733 | 3 | Averaging Plan | 0.45 | 0.62 | 0.46 | 0.44 | 0.40 | 56% |
| GA | Mcintosh | Savannah Electric & Power | 6124 | 1 | Averaging Plan | 0.50 | 0.95 | 0.46 | 0.44 | 0.83 | 14% |
| GA | Mitchell | Georgia Power Co | 727 | 3 | Averaging Plan | 0.45 | 0.56 | 0.46 | 0.44 | 0.61 | -8% |
| GA | Scherer | Georgia Power Co | 6257 | 3 | Averaging Plan | 0.45 | 0.29 | 0.46 | 0.44 | 0.20 | 44% |
| GA | Wansley | Georgia Power Co | 6052 | 1 | Averaging Plan | 0.45 | 0.39 | 0.46 | 0.44 | 0.73 | -47% |
| GA | Wansley | Georgia Power Co | 6052 | 2 | Averaging Plan | 0.45 | 0.40 | 0.46 | 0.44 | 0.67 | -40% |
| GA | Yates | Georgia Power Co | 728 | Y1BR | Averaging Plan | 0.45 | 0.47 | 0.46 | 0.44 | 0.56 | -16% |
| GA | Yates | Georgia Power Co | 728 | Y2BR | Averaging Plan | 0.45 | 0.50 | 0.46 | 0.44 | 0.62 | -19% |
| GA | Yates | Georgia Power Co | 728 | Y3BR | Averaging Plan | 0.45 | 0.50 | 0.46 | 0.44 | 0.62 | -19% |
| GA | Yates | Georgia Power Co | 728 | Y4BR | Averaging Plan | 0.45 | 0.39 | 0.46 | 0.44 | 0.56 | -30% |
| GA | Yates | Georgia Power Co | 728 | Y5BR | Averaging Plan | 0.45 | 0.39 | 0.46 | 0.44 | 0.65 | -40% |
| GA | Yates | Georgia Power Co | 728 | Y6BR | Averaging Plan | 0.45 | 0.33 | 0.46 | 0.44 | 0.67 | -51% |
| GA | Yates | Georgia Power Co | 728 | Y7BR | Averaging Plan | 0.45 | 0.32 | 0.46 | 0.44 | 0.61 | -48% |
| IA | Burlington | IES Utilities, Inc. | 1104 | 1 | Averaging Plan | 0.45 | 0.23 | 0.47 | 0.28 | 0.63 | -63% |
| IA | Milton L Kapp | Interstate Power Co | 1048 | 2 | Standard Limitation | 0.45 | 0.36 | | | 0.80 | -55% |
| IA | Prairie Creek | IES Utilities, Inc. | 1073 | 4 | Averaging Plan | 0.50 | 0.33 | 0.47 | 0.28 | 1.05 | -69% |
| IA | Riverside | MidAmerican Energy Company | 1081 | 9 | Standard Limitation | 0.45 | 0.36 | | | 0.82 | -56% |
| IL | Baldwin | Illinois Power Co | 889 | 3 | Averaging Plan | 0.45 | 0.35 | 0.45 | 0.40 | 0.67 | -48% |
| IL | Grand Tower | CIPSCO | 862 | 7 | Averaging Plan | 0.50 | 0.70 | 0.45 | 0.36 | 0.78 | -10% |
| IL | Grand Tower | CIPSCO | 862 | 8 | Averaging Plan | 0.50 | 0.72 | 0.45 | 0.36 | 0.96 | -25% |
| IL | Grand Tower | CIPSCO | 862 | 9 | Averaging Plan | 0.50 | 0.56 | 0.45 | 0.36 | 0.64 | -13% |
| IL | Hennepin | Illinois Power Co | 892 | 2 | Averaging Plan | 0.45 | 0.54 | 0.45 | 0.40 | 0.59 | -8% |
| IL | Hutsonville | CIPSCO | 863 | 5 | Averaging Plan | 0.45 | 0.53 | 0.45 | 0.36 | 0.70 | -24% |
| IL | Hutsonville | CIPSCO | 863 | 6 | Averaging Plan | 0.45 | 0.49 | 0.45 | 0.36 | 0.67 | -27% |
| IL | Joppa Steam | Electric Energy Inc | 887 | 1 | Standard Limitation | 0.45 | 0.20 | | | 0.56 | -64% |
| IL | Joppa Steam | Electric Energy Inc | 887 | 2 | Standard Limitation | 0.45 | 0.20 | | | 0.56 | -64% |
| IL | Joppa Steam | Electric Energy Inc | 887 | 3 | Standard Limitation | 0.45 | 0.20 | | | 0.56 | -64% |
| IL | Joppa Steam | Electric Energy Inc | 887 | 4 | Standard Limitation | 0.45 | 0.20 | | | 0.56 | -64% |

Appendix C-2: Compliance Results for the 265 Phase I NO_x Affected Units in 1998

| ST | Plant Name | Operating Utility | ORIS Code | Boiler | Compliance Approach | Emission Limit | 1998 | | | 1990 Emission Rate | Change from 1990 to 1998 |
|----|---------------|-----------------------------|-----------|--------|---------------------|----------------|----------------------|------------------------|-----------------------|--------------------|--------------------------|
| | | | | | | | Actual Emission Rate | AEL or Avg. Plan Limit | Actual Avg. Plan Rate | | |
| IL | Joppa Steam | Electric Energy Inc | 887 | 5 | Standard Limitation | 0.45 | 0.20 | | | 0.56 | -64% |
| IL | Joppa Steam | Electric Energy Inc | 887 | 6 | Standard Limitation | 0.45 | 0.20 | | | 0.56 | -64% |
| IL | Meredosia | CIPSCO | 864 | 1 | Averaging Plan | 0.45 | 0.47 | 0.45 | 0.36 | 0.50 | -7% |
| IL | Meredosia | CIPSCO | 864 | 2 | Averaging Plan | 0.45 | 0.47 | 0.45 | 0.36 | 0.50 | -7% |
| IL | Meredosia | CIPSCO | 864 | 3 | Averaging Plan | 0.45 | 0.47 | 0.45 | 0.36 | 0.50 | -7% |
| IL | Meredosia | CIPSCO | 864 | 4 | Averaging Plan | 0.45 | 0.47 | 0.45 | 0.36 | 0.50 | -7% |
| IL | Meredosia | CIPSCO | 864 | 5 | Averaging Plan | 0.45 | 0.52 | 0.45 | 0.36 | 0.67 | -22% |
| IL | Newton | CIPSCO | 6017 | 1 | Averaging Plan | 0.45 | 0.21 | 0.45 | 0.36 | 0.47 | -55% |
| IL | Newton | CIPSCO | 6017 | 2 | Averaging Plan | 0.45 | 0.36 | 0.45 | 0.36 | 0.39 | -8% |
| IL | Vermilion | Illinois Power Co | 897 | 1 | Averaging Plan | 0.45 | 0.44 | 0.45 | 0.40 | 0.94 | -53% |
| IL | Vermilion | Illinois Power Co | 897 | 2 | Averaging Plan | 0.45 | 0.44 | 0.45 | 0.40 | 0.74 | -41% |
| IN | Cayuga | PSI Energy, Inc. | 1001 | 1 | Averaging Plan | 0.45 | 0.32 | 0.48 | 0.43 | 0.42 | -24% |
| IN | Cayuga | PSI Energy, Inc. | 1001 | 2 | Averaging Plan | 0.45 | 0.33 | 0.48 | 0.43 | 0.47 | -30% |
| IN | Elmer W Stout | Indianapolis Power & Light | 990 | 50 | Averaging Plan | 0.45 | 0.35 | 0.45 | 0.37 | 0.63 | -44% |
| IN | Elmer W Stout | Indianapolis Power & Light | 990 | 60 | Averaging Plan | 0.45 | 0.38 | 0.45 | 0.37 | 0.65 | -42% |
| IN | Elmer W Stout | Indianapolis Power & Light | 990 | 70 | Averaging Plan | 0.45 | 0.34 | 0.45 | 0.34 | 0.71 | -52% |
| IN | F B Culley | Southern Indiana Gas & Elec | 1012 | 2 | Standard Limitation | 0.50 | 0.46 | | | 1.05 | -56% |
| IN | F B Culley | Southern Indiana Gas & Elec | 1012 | 3 | Standard Limitation | 0.50 | 0.46 | | | 1.23 | -63% |
| IN | Frank E Ratts | Hoosier Energy Rec, Inc. | 1043 | 1SG1 | Averaging Plan | 0.50 | 0.49 | 0.50 | 0.49 | 1.08 | -55% |
| IN | Frank E Ratts | Hoosier Energy Rec, Inc. | 1043 | 2SG1 | Averaging Plan | 0.50 | 0.49 | 0.50 | 0.49 | 1.09 | -55% |
| IN | Gibson | PSI Energy, Inc. | 6113 | 1 | Averaging Plan | 0.50 | 0.50 | 0.48 | 0.43 | 1.03 | -51% |
| IN | Gibson | PSI Energy, Inc. | 6113 | 2 | Averaging Plan | 0.50 | 0.50 | 0.48 | 0.43 | 1.12 | -55% |
| IN | Gibson | PSI Energy, Inc. | 6113 | 3 | Averaging Plan | 0.50 | 0.41 | 0.48 | 0.43 | 0.52 | -21% |
| IN | Gibson | PSI Energy, Inc. | 6113 | 4 | Averaging Plan | 0.50 | 0.42 | 0.48 | 0.43 | 0.66 | -36% |
| IN | H T Pritchard | Indianapolis Power & Light | 991 | 3 | Averaging Plan | 0.45 | 0.71 | 0.45 | 0.37 | 0.74 | -4% |
| IN | H T Pritchard | Indianapolis Power & Light | 991 | 4 | Averaging Plan | 0.45 | 0.71 | 0.45 | 0.37 | 0.74 | -4% |
| IN | H T Pritchard | Indianapolis Power & Light | 991 | 5 | Averaging Plan | 0.45 | 0.40 | 0.45 | 0.37 | 0.67 | -40% |
| IN | H T Pritchard | Indianapolis Power & Light | 991 | 6 | Averaging Plan | 0.45 | 0.40 | 0.45 | 0.37 | 0.47 | -15% |
| IN | Petersburg | Indianapolis Power & Light | 994 | 1 | Averaging Plan | 0.45 | 0.30 | 0.45 | 0.37 | 0.56 | -46% |
| IN | Petersburg | Indianapolis Power & Light | 994 | 2 | Averaging Plan | 0.45 | 0.36 | 0.45 | 0.37 | 0.63 | -43% |
| IN | Petersburg | Indianapolis Power & Light | 994 | 3 | Averaging Plan | 0.45 | 0.39 | 0.45 | 0.37 | 0.37 | 5% |
| IN | Petersburg | Indianapolis Power & Light | 994 | 4 | Averaging Plan | 0.45 | 0.33 | 0.45 | 0.37 | 0.37 | -11% |

Appendix C-2: Compliance Results for the 265 Phase I NO_x Affected Units in 1998

| ST | Plant Name | Operating Utility | ORIS Code | Boiler | Compliance Approach | Emission Limit | 1998 | | | 1990 Emission Rate | Change from 1990 to 1998 |
|----|-----------------|-------------------------------|-----------|--------|---------------------|----------------|----------------------|------------------------|-----------------------|--------------------|--------------------------|
| | | | | | | | Actual Emission Rate | AEL or Avg. Plan Limit | Actual Avg. Plan Rate | | |
| IN | R Gallagher | PSI Energy, Inc. | 1008 | 1 | Averaging Plan | 0.50 | 0.46 | 0.48 | 0.43 | 0.74 | -38% |
| IN | R Gallagher | PSI Energy, Inc. | 1008 | 2 | Averaging Plan | 0.50 | 0.46 | 0.48 | 0.43 | 0.95 | -52% |
| IN | R Gallagher | PSI Energy, Inc. | 1008 | 3 | Averaging Plan | 0.50 | 0.43 | 0.48 | 0.43 | 0.95 | -55% |
| IN | R Gallagher | PSI Energy, Inc. | 1008 | 4 | Averaging Plan | 0.50 | 0.43 | 0.48 | 0.43 | 0.95 | -55% |
| IN | Wabash River | PSI Energy, Inc. | 1010 | 1 | Standard Limitation | 0.50 | 0.14 | | | 0.52 | -73% |
| IN | Wabash River | PSI Energy, Inc. | 1010 | 2 | Averaging Plan | 0.50 | 0.50 | 0.48 | 0.43 | 0.95 | -47% |
| IN | Wabash River | PSI Energy, Inc. | 1010 | 3 | Averaging Plan | 0.50 | 0.55 | 0.48 | 0.43 | 0.92 | -40% |
| IN | Wabash River | PSI Energy, Inc. | 1010 | 5 | Averaging Plan | 0.50 | 0.53 | 0.48 | 0.43 | 0.85 | -38% |
| IN | Wabash River | PSI Energy, Inc. | 1010 | 6 | Averaging Plan | 0.45 | 0.33 | 0.48 | 0.43 | 0.37 | -12% |
| KS | La Cygne | Kansas City Power & Light | 1241 | 2 | Standard Limitation | 0.50 | 0.31 | | | 0.29 | 7% |
| KS | Quindaro | Board of Public Util, KS City | 1295 | 2 | Standard Limitation | 0.50 | 0.33 | | | 0.64 | -48% |
| KY | Coleman | Big Rivers Electric | 1381 | C1 | Standard Limitation | 0.50 | 0.45 | | | 1.41 | -68% |
| KY | Coleman | Big Rivers Electric | 1381 | C2 | Standard Limitation | 0.50 | 0.43 | | | 1.29 | -67% |
| KY | Coleman | Big Rivers Electric | 1381 | C3 | Standard Limitation | 0.50 | 0.47 | | | 1.14 | -59% |
| KY | Cooper | East Kentucky Power Coop | 1384 | 1 | Averaging Plan | 0.50 | 0.42 | 0.50 | 0.42 | 0.90 | -53% |
| KY | Cooper | East Kentucky Power Coop | 1384 | 2 | Averaging Plan | 0.50 | 0.42 | 0.50 | 0.42 | 0.90 | -53% |
| KY | E W Brown | Kentucky Utilities Co | 1355 | 1 | Averaging Plan | 0.50 | 0.52 | 0.46 | 0.42 | 1.00 | -48% |
| KY | E W Brown | Kentucky Utilities Co | 1355 | 2 | Averaging Plan | 0.45 | 0.42 | 0.46 | 0.42 | 0.59 | -29% |
| KY | E W Brown | Kentucky Utilities Co | 1355 | 3 | Averaging Plan | 0.45 | 0.42 | 0.46 | 0.42 | 0.57 | -26% |
| KY | East Bend | Cincinnati Gas & Electric Co | 6018 | 2 | Averaging Plan | 0.50 | 0.36 | 0.48 | 0.43 | 0.31 | 16% |
| KY | Elmer Smith | Owensboro City of | 1374 | 2 | Standard Limitation | 0.45 | 0.42 | | | 0.86 | -51% |
| KY | Ghent | Kentucky Utilities Co | 1356 | 1 | Averaging Plan | 0.45 | 0.40 | 0.46 | 0.42 | 0.56 | -29% |
| KY | Green River | Kentucky Utilities Co | 1357 | 5 | Averaging Plan | 0.50 | 0.40 | 0.46 | 0.42 | 0.84 | -52% |
| KY | H L Spurlock | East Kentucky Power Coop | 6041 | 1 | Standard Limitation | 0.50 | 0.42 | | | 0.90 | -53% |
| KY | HMP&L Station 2 | Big Rivers Electric | 1382 | H1 | Standard Limitation | 0.50 | 0.48 | | | 1.34 | -64% |
| KY | HMP&L Station 2 | Big Rivers Electric | 1382 | H2 | Standard Limitation | 0.50 | 0.48 | | | 1.34 | -64% |
| KY | R D Green | Big Rivers Electric | 6639 | G1 | Standard Limitation | 0.50 | 0.42 | | | 0.41 | 2% |
| KY | R D Green | Big Rivers Electric | 6639 | G2 | Standard Limitation | 0.50 | 0.44 | | | 0.45 | -2% |
| MD | Chalk Point | Pepco | 1571 | 1 | AEL Demonstration | 0.50 | 0.66 | 0.86 | | 1.35 | -51% |
| MD | Chalk Point | Pepco | 1571 | 2 | AEL Demonstration | 0.50 | 0.70 | 1.20 | | 1.35 | -48% |
| MD | Morgantown | Pepco | 1573 | 1 | AEL Demonstration | 0.45 | 0.61 | 0.70 | | 0.95 | -36% |
| MD | Morgantown | Pepco | 1573 | 2 | AEL Demonstration | 0.45 | 0.62 | 0.70 | | 0.95 | -35% |

Appendix C-2: Compliance Results for the 265 Phase I NO_x Affected Units in 1998

| ST | Plant Name | Operating Utility | ORIS Code | Boiler | Compliance Approach | Emission Limit | 1998 | | | 1990 Emission Rate | Change from 1990 to 1998 |
|----|------------------|------------------------------|-----------|--------|---------------------|----------------|----------------------|------------------------|-----------------------|--------------------|--------------------------|
| | | | | | | | Actual Emission Rate | AEL or Avg. Plan Limit | Actual Avg. Plan Rate | | |
| MD | R P Smith | Potomac Edison Co | 1570 | 9 | Averaging Plan | 0.50 | 0.51 | 0.49 | 0.44 | 0.87 | -41% |
| MD | R P Smith | Potomac Edison Co | 1570 | 11 | Averaging Plan | 0.45 | 0.44 | 0.49 | 0.44 | 0.78 | -44% |
| MI | J H Campbell | Consumers Energy Co | 1710 | 1 | AEL Demonstration | 0.45 | 0.48 | 0.55 | | 0.69 | -30% |
| MN | High Bridge | Northern States Power Co | 1912 | 3 | Averaging Plan | 0.50 | 0.60 | 0.46 | 0.34 | 0.48 | 26% |
| MN | High Bridge | Northern States Power Co | 1912 | 4 | Averaging Plan | 0.50 | 0.60 | 0.46 | 0.34 | 0.48 | 26% |
| MN | High Bridge | Northern States Power Co | 1912 | 5 | Averaging Plan | 0.50 | 0.60 | 0.46 | 0.34 | 0.48 | 26% |
| MN | High Bridge | Northern States Power Co | 1912 | 6 | Averaging Plan | 0.50 | 0.60 | 0.46 | 0.34 | 0.48 | 26% |
| MN | Sherburne County | Northern States Power Co | 6090 | 1 | Averaging Plan | 0.45 | 0.28 | 0.46 | 0.34 | 0.45 | -38% |
| MN | Sherburne County | Northern States Power Co | 6090 | 2 | Averaging Plan | 0.45 | 0.28 | 0.46 | 0.34 | 0.45 | -38% |
| MO | Hawthorn | Kansas City Power & Light | 2079 | 5 | Standard Limitation | 0.45 | 0.38 | | | 0.36 | 6% |
| MO | Iatan | Kansas City Power & Light | 6065 | 1 | Standard Limitation | 0.50 | 0.30 | | | 0.31 | -3% |
| MO | James River | Springfield City of (MO) | 2161 | 3 | Averaging Plan | 0.50 | 0.64 | 0.50 | 0.48 | 1.02 | -37% |
| MO | James River | Springfield City of (MO) | 2161 | 4 | Averaging Plan | 0.50 | 0.66 | 0.50 | 0.48 | 0.87 | -24% |
| MO | James River | Springfield City of (MO) | 2161 | 5 | Averaging Plan | 0.50 | 0.63 | 0.50 | 0.48 | 0.93 | -32% |
| MO | Labadie | Union Electric Co | 2103 | 1 | Averaging Plan | 0.45 | 0.18 | 0.45 | 0.22 | 0.62 | -71% |
| MO | Labadie | Union Electric Co | 2103 | 2 | Averaging Plan | 0.45 | 0.23 | 0.45 | 0.22 | 0.62 | -63% |
| MO | Labadie | Union Electric Co | 2103 | 3 | Averaging Plan | 0.45 | 0.17 | 0.45 | 0.22 | 0.62 | -73% |
| MO | Labadie | Union Electric Co | 2103 | 4 | Averaging Plan | 0.45 | 0.20 | 0.45 | 0.22 | 0.62 | -68% |
| MO | Meramec | Union Electric Co | 2104 | 1 | Averaging Plan | 0.45 | 0.61 | 0.45 | 0.22 | 0.82 | -26% |
| MO | Meramec | Union Electric Co | 2104 | 2 | Averaging Plan | 0.45 | 0.47 | 0.45 | 0.22 | 0.63 | -25% |
| MO | Meramec | Union Electric Co | 2104 | 3 | Averaging Plan | 0.50 | 0.67 | 0.45 | 0.22 | 0.96 | -30% |
| MO | Meramec | Union Electric Co | 2104 | 4 | Averaging Plan | 0.50 | 0.34 | 0.45 | 0.22 | 1.17 | -71% |
| MO | Montrose | Kansas City Power & Light | 2080 | 1 | Standard Limitation | 0.45 | 0.35 | | | 0.32 | 8% |
| MO | Montrose | Kansas City Power & Light | 2080 | 2 | Standard Limitation | 0.45 | 0.39 | | | 0.34 | 14% |
| MO | Montrose | Kansas City Power & Light | 2080 | 3 | Standard Limitation | 0.45 | 0.39 | | | 0.34 | 14% |
| MO | Rush Island | Union Electric Co | 6155 | 1 | Averaging Plan | 0.45 | 0.17 | 0.45 | 0.22 | 0.63 | -73% |
| MO | Rush Island | Union Electric Co | 6155 | 2 | Averaging Plan | 0.45 | 0.18 | 0.45 | 0.22 | 0.63 | -71% |
| MO | Southwest | Springfield City of (MO) | 6195 | 1 | Averaging Plan | 0.50 | 0.35 | 0.50 | 0.48 | 0.47 | -26% |
| MO | Thomas Hill | Associated Electric Coop Inc | 2168 | MB3 | Standard Limitation | 0.50 | 0.31 | | | 0.31 | 0% |
| MS | Jack Watson | Mississippi Power Co | 2049 | 4 | Averaging Plan | 0.50 | 0.48 | 0.48 | 0.45 | 1.10 | -56% |
| MS | Jack Watson | Mississippi Power Co | 2049 | 5 | Averaging Plan | 0.50 | 0.68 | 0.48 | 0.45 | 1.22 | -44% |
| MS | R D Morrow | South Mississippi El Pwr | 6061 | 1 | Averaging Plan | 0.50 | 0.42 | 0.50 | 0.47 | 0.42 | 0% |

Appendix C-2: Compliance Results for the 265 Phase I NO_x Affected Units in 1998

| ST | Plant Name | Operating Utility | ORIS Code | Boiler | Compliance Approach | Emission Limit | 1998 | | 1990 Emission Rate | Change from 1990 to 1998 | |
|----|--------------------|------------------------------|-----------|--------|---------------------|----------------|----------------------|------------------------|--------------------|--------------------------|------|
| | | | | | | | Actual Emission Rate | AEL or Avg. Plan Limit | | | |
| MS | R D Morrow | South Mississippi El Pwr | 6061 | 2 | Averaging Plan | 0.50 | 0.52 | 0.50 | 0.47 | 0.43 | 21% |
| MS | Victor J Daniel Jr | Mississippi Power Co | 6073 | 1 | Averaging Plan | 0.45 | 0.27 | 0.48 | 0.45 | 0.27 | 2% |
| MS | Victor J Daniel Jr | Mississippi Power Co | 6073 | 2 | Averaging Plan | 0.45 | 0.21 | 0.48 | 0.45 | 0.28 | -26% |
| NY | Dunkirk | Niagara Mohawk Power Corp | 2554 | 3 | Standard Limitation | 0.45 | 0.33 | | | 0.48 | -32% |
| NY | Dunkirk | Niagara Mohawk Power Corp | 2554 | 4 | Standard Limitation | 0.45 | 0.33 | | | 0.48 | -32% |
| NY | Greenidge | NYSEG | 2527 | 6 | Averaging Plan | 0.45 | 0.38 | 0.45 | 0.34 | 0.55 | -31% |
| NY | Milliken | NYSEG | 2535 | 1 | Averaging Plan | 0.45 | 0.32 | 0.45 | 0.34 | 0.66 | -52% |
| NY | Milliken | NYSEG | 2535 | 2 | Averaging Plan | 0.45 | 0.32 | 0.45 | 0.34 | 0.59 | -46% |
| OH | Ashtabula | Cleveland Electric Illum | 2835 | 7 | Standard Limitation | 0.45 | 0.39 | | | 0.61 | -36% |
| OH | Conesville | Columbus Southern Power | 2840 | 3 | Standard Limitation | 0.50 | 0.44 | | | 0.93 | -53% |
| OH | Conesville | Columbus Southern Power | 2840 | 4 | Standard Limitation | 0.45 | 0.42 | | | 0.55 | -24% |
| OH | Eastlake | Cleveland Electric Illum | 2837 | 1 | Standard Limitation | 0.45 | 0.40 | | | 0.49 | -18% |
| OH | Eastlake | Cleveland Electric Illum | 2837 | 2 | Standard Limitation | 0.45 | 0.39 | | | 0.68 | -43% |
| OH | Eastlake | Cleveland Electric Illum | 2837 | 3 | Standard Limitation | 0.45 | 0.40 | | | 0.54 | -26% |
| OH | Eastlake | Cleveland Electric Illum | 2837 | 4 | Standard Limitation | 0.45 | 0.37 | | | 0.51 | -27% |
| OH | Edgewater | Ohio Edison Co | 2857 | 13 | Averaging Plan | 0.50 | 0.22 | 0.50 | 0.46 | 0.87 | -75% |
| OH | Gorge | Ohio Edison Co | 2858 | 25 | Averaging Plan | 0.50 | Not Oper. | 0.50 | 0.46 | 0.00 | |
| OH | Gorge | Ohio Edison Co | 2858 | 26 | Averaging Plan | 0.50 | Not Oper. | 0.50 | 0.46 | 0.00 | |
| OH | Miami Fort | Cincinnati Gas & Electric Co | 2832 | 6 | Averaging Plan | 0.45 | 0.56 | 0.48 | 0.43 | 0.73 | -23% |
| OH | Picway | Columbus Southern Power | 2843 | 9 | Standard Limitation | 0.50 | 0.36 | | | 0.87 | -58% |
| OH | R E Burger | Ohio Edison Co | 2864 | 7 | Averaging Plan | 0.50 | 0.69 | 0.50 | 0.46 | 0.66 | 5% |
| OH | R E Burger | Ohio Edison Co | 2864 | 8 | Averaging Plan | 0.50 | 0.71 | 0.50 | 0.46 | 0.72 | -2% |
| OH | Toronto | Ohio Edison Co | 2867 | 10 | Averaging Plan | 0.50 | Not Oper. | 0.50 | 0.46 | 0.00 | |
| OH | Toronto | Ohio Edison Co | 2867 | 11 | Averaging Plan | 0.50 | Not Oper. | 0.50 | 0.46 | 0.00 | |
| OH | W H Sammis | Ohio Edison Co | 2866 | 5 | Averaging Plan | 0.50 | 0.51 | 0.50 | 0.46 | 0.52 | -3% |
| OH | W H Sammis | Ohio Edison Co | 2866 | 6 | Averaging Plan | 0.50 | 0.49 | 0.50 | 0.46 | 1.10 | -55% |
| OH | Walter C Beckjord | Cincinnati Gas & Electric Co | 2830 | 5 | Averaging Plan | 0.45 | 0.47 | 0.48 | 0.43 | 0.72 | -35% |
| OH | Walter C Beckjord | Cincinnati Gas & Electric Co | 2830 | 6 | Averaging Plan | 0.45 | 0.43 | 0.48 | 0.43 | 0.71 | -39% |
| PA | Armstrong | West Penn Power Co | 3178 | 1 | Averaging Plan | 0.50 | 0.37 | 0.49 | 0.44 | 0.90 | -59% |
| PA | Armstrong | West Penn Power Co | 3178 | 2 | Averaging Plan | 0.50 | 0.36 | 0.49 | 0.44 | 1.04 | -65% |
| PA | Bruce Mansfield | Ohio Edison Co | 6094 | 1 | Averaging Plan | 0.50 | 0.41 | 0.50 | 0.46 | 0.98 | -58% |
| PA | Bruce Mansfield | Ohio Edison Co | 6094 | 2 | Averaging Plan | 0.50 | 0.39 | 0.50 | 0.46 | 1.13 | -65% |

Appendix C-2: Compliance Results for the 265 Phase I NO_x Affected Units in 1998

| ST | Plant Name | Operating Utility | ORIS Code | Boiler | Compliance Approach | Emission Limit | 1998 | | | 1990 Emission Rate | Change from 1990 to 1998 |
|----|----------------|--------------------|-----------|--------|---------------------|----------------|----------------------|------------------------|-----------------------|--------------------|--------------------------|
| | | | | | | | Actual Emission Rate | AEL or Avg. Plan Limit | Actual Avg. Plan Rate | | |
| PA | Brunner Island | PP&L | 3140 | 1 | Averaging Plan | 0.45 | 0.41 | 0.46 | 0.39 | 0.65 | -37% |
| PA | Brunner Island | PP&L | 3140 | 2 | Averaging Plan | 0.45 | 0.41 | 0.46 | 0.39 | 0.71 | -42% |
| PA | Brunner Island | PP&L | 3140 | 3 | Averaging Plan | 0.45 | 0.34 | 0.46 | 0.39 | 0.83 | -59% |
| PA | Cheswick | Duquesne Light Co | 8226 | 1 | Standard Limitation | 0.45 | 0.37 | | | 0.71 | -48% |
| PA | Conemaugh | GPU | 3118 | 1 | Standard Limitation | 0.45 | 0.36 | | | 0.65 | -44% |
| PA | Conemaugh | GPU | 3118 | 2 | Standard Limitation | 0.45 | 0.34 | | | 0.71 | -52% |
| PA | Martins Creek | PP&L | 3148 | 1 | Averaging Plan | 0.50 | 0.44 | 0.46 | 0.39 | 1.03 | -57% |
| PA | Martins Creek | PP&L | 3148 | 2 | Averaging Plan | 0.50 | 0.44 | 0.46 | 0.39 | 0.93 | -53% |
| PA | Mitchell | West Penn Power Co | 3181 | 33 | Averaging Plan | 0.45 | 0.39 | 0.49 | 0.44 | 0.68 | -43% |
| PA | New Castle | Ohio Edison Co | 3138 | 1 | Averaging Plan | 0.50 | Not Oper. | 0.50 | 0.46 | 0.00 | |
| PA | New Castle | Ohio Edison Co | 3138 | 2 | Averaging Plan | 0.50 | Not Oper. | 0.50 | 0.46 | 0.00 | |
| PA | Portland | GPU | 3113 | 1 | Averaging Plan | 0.45 | 0.28 | 0.45 | 0.31 | 0.46 | -39% |
| PA | Portland | GPU | 3113 | 2 | Averaging Plan | 0.45 | 0.34 | 0.45 | 0.31 | 0.66 | -48% |
| PA | Shawville | GPU | 3131 | 1 | Standard Limitation | 0.50 | 0.47 | | | 0.99 | -53% |
| PA | Shawville | GPU | 3131 | 2 | Standard Limitation | 0.50 | 0.47 | | | 1.02 | -54% |
| PA | Shawville | GPU | 3131 | 3 | Standard Limitation | 0.45 | 0.42 | | | 0.83 | -49% |
| PA | Shawville | GPU | 3131 | 4 | Standard Limitation | 0.45 | 0.42 | | | 0.82 | -48% |
| PA | Sunbury | PP&L | 3152 | 3 | Averaging Plan | 0.50 | 0.42 | 0.46 | 0.39 | 0.93 | -55% |
| PA | Sunbury | PP&L | 3152 | 4 | Averaging Plan | 0.50 | 0.43 | 0.46 | 0.39 | 1.29 | -67% |
| TN | Gallatin | TVA | 3403 | 1 | Averaging Plan | 0.45 | 0.36 | 0.48 | 0.42 | 0.59 | -39% |
| TN | Gallatin | TVA | 3403 | 2 | Averaging Plan | 0.45 | 0.36 | 0.48 | 0.42 | 0.63 | -43% |
| TN | Gallatin | TVA | 3403 | 3 | Averaging Plan | 0.45 | 0.37 | 0.48 | 0.42 | 0.59 | -37% |
| TN | Gallatin | TVA | 3403 | 4 | Averaging Plan | 0.45 | 0.37 | 0.48 | 0.42 | 0.55 | -33% |
| TN | Johnsonville | TVA | 3406 | 1 | Averaging Plan | 0.45 | 0.47 | 0.48 | 0.42 | 0.45 | 4% |
| TN | Johnsonville | TVA | 3406 | 2 | Averaging Plan | 0.45 | 0.47 | 0.48 | 0.42 | 0.48 | -2% |
| TN | Johnsonville | TVA | 3406 | 3 | Averaging Plan | 0.45 | 0.47 | 0.48 | 0.42 | 0.46 | 2% |
| TN | Johnsonville | TVA | 3406 | 4 | Averaging Plan | 0.45 | 0.47 | 0.48 | 0.42 | 0.54 | -13% |
| TN | Johnsonville | TVA | 3406 | 5 | Averaging Plan | 0.45 | 0.47 | 0.48 | 0.42 | 0.45 | 4% |
| TN | Johnsonville | TVA | 3406 | 6 | Averaging Plan | 0.45 | 0.47 | 0.48 | 0.42 | 0.50 | -6% |
| TN | Johnsonville | TVA | 3406 | 7 | Averaging Plan | 0.50 | 0.47 | 0.48 | 0.42 | 1.00 | -53% |
| TN | Johnsonville | TVA | 3406 | 8 | Averaging Plan | 0.50 | 0.47 | 0.48 | 0.42 | 0.97 | -52% |
| TN | Johnsonville | TVA | 3406 | 9 | Averaging Plan | 0.50 | 0.47 | 0.48 | 0.42 | 1.10 | -57% |

Appendix C-2: Compliance Results for the 265 Phase I NO_x Affected Units in 1998

| ST | Plant Name | Operating Utility | ORIS Code | Boiler | Compliance Approach | Emission Limit | 1998 | | | 1990 Emission Rate | Change from 1990 to 1998 |
|----|-----------------|-----------------------------|-----------|--------|---------------------|----------------|----------------------|------------------------|-----------------------|--------------------|--------------------------|
| | | | | | | | Actual Emission Rate | AEL or Avg. Plan Limit | Actual Avg. Plan Rate | | |
| TN | Johnsonville | TVA | 3406 | 10 | Averaging Plan | 0.50 | 0.47 | 0.48 | 0.42 | 1.07 | -56% |
| WI | Alma | Dairyland Power Coop | 4140 | B4 | Averaging Plan | 0.50 | 0.71 | 0.48 | 0.45 | 0.85 | -16% |
| WI | Alma | Dairyland Power Coop | 4140 | B5 | Averaging Plan | 0.50 | 0.71 | 0.48 | 0.45 | 0.85 | -16% |
| WI | Genoa | Dairyland Power Coop | 4143 | 1 | Averaging Plan | 0.45 | 0.41 | 0.48 | 0.45 | 0.75 | -45% |
| WI | J P Madgett | Dairyland Power Coop | 4271 | B1 | Averaging Plan | 0.50 | 0.39 | 0.48 | 0.45 | 0.30 | 31% |
| WI | Port Washington | Wisconsin Electric Power Co | 4040 | 1 | Averaging Plan | 0.50 | 0.31 | 0.48 | 0.36 | 0.32 | -3% |
| WI | Port Washington | Wisconsin Electric Power Co | 4040 | 2 | Averaging Plan | 0.50 | 0.31 | 0.48 | 0.36 | 0.32 | -3% |
| WI | Port Washington | Wisconsin Electric Power Co | 4040 | 3 | Averaging Plan | 0.50 | 0.31 | 0.48 | 0.36 | 0.32 | -3% |
| WI | Port Washington | Wisconsin Electric Power Co | 4040 | 4 | Averaging Plan | 0.50 | 0.30 | 0.48 | 0.36 | 0.37 | -19% |
| WI | Port Washington | Wisconsin Electric Power Co | 4040 | 5 | Standard Limitation | 0.50 | Not Oper. | | | 0.00 | |
| WI | Pulliam | Wisconsin Public Service | 4072 | 7 | Averaging Plan | 0.50 | 0.36 | 0.48 | 0.37 | 0.69 | -48% |
| WI | Pulliam | Wisconsin Public Service | 4072 | 8 | Averaging Plan | 0.50 | 0.36 | 0.48 | 0.37 | 0.57 | -37% |
| WI | South Oak Creek | Wisconsin Electric Power Co | 4041 | 5 | Averaging Plan | 0.50 | 0.29 | 0.48 | 0.36 | 0.28 | 5% |
| WI | South Oak Creek | Wisconsin Electric Power Co | 4041 | 6 | Averaging Plan | 0.50 | 0.29 | 0.48 | 0.36 | 0.28 | 5% |
| WI | South Oak Creek | Wisconsin Electric Power Co | 4041 | 7 | Averaging Plan | 0.45 | 0.38 | 0.48 | 0.36 | 0.66 | -43% |
| WI | South Oak Creek | Wisconsin Electric Power Co | 4041 | 8 | Averaging Plan | 0.45 | 0.38 | 0.48 | 0.36 | 0.67 | -43% |
| WI | Valley | Wisconsin Electric Power Co | 4042 | 1 | Averaging Plan | 0.50 | 0.43 | 0.48 | 0.36 | 1.10 | -61% |
| WI | Valley | Wisconsin Electric Power Co | 4042 | 2 | Averaging Plan | 0.50 | 0.43 | 0.48 | 0.36 | 1.10 | -61% |
| WI | Valley | Wisconsin Electric Power Co | 4042 | 3 | Averaging Plan | 0.50 | 0.51 | 0.48 | 0.36 | 1.05 | -51% |
| WI | Valley | Wisconsin Electric Power Co | 4042 | 4 | Averaging Plan | 0.50 | 0.51 | 0.48 | 0.36 | 0.93 | -45% |
| WI | Weston | Wisconsin Public Service | 4078 | 1 | Averaging Plan | 0.50 | 0.86 | 0.48 | 0.37 | 0.90 | -4% |
| WI | Weston | Wisconsin Public Service | 4078 | 2 | Averaging Plan | 0.50 | 0.85 | 0.48 | 0.37 | 1.08 | -21% |
| WI | Weston | Wisconsin Public Service | 4078 | 3 | Averaging Plan | 0.45 | 0.20 | 0.48 | 0.37 | 0.26 | -22% |
| WV | Albright | Monongahela Power Co | 3942 | 1 | Averaging Plan | 0.50 | 0.62 | 0.49 | 0.44 | 1.10 | -44% |
| WV | Albright | Monongahela Power Co | 3942 | 2 | Averaging Plan | 0.50 | 0.68 | 0.49 | 0.44 | 1.10 | -38% |
| WV | Albright | Monongahela Power Co | 3942 | 3 | Averaging Plan | 0.45 | 0.39 | 0.49 | 0.44 | 0.71 | -45% |
| WV | Fort Martin | Monongahela Power Co | 3943 | 1 | Averaging Plan | 0.45 | 0.57 | 0.49 | 0.44 | 0.62 | -8% |
| WV | Harrison | Monongahela Power Co | 3944 | 1 | Averaging Plan | 0.50 | 0.46 | 0.49 | 0.44 | 0.99 | -54% |
| WV | Harrison | Monongahela Power Co | 3944 | 2 | Averaging Plan | 0.50 | 0.46 | 0.49 | 0.44 | 1.13 | -59% |
| WV | Harrison | Monongahela Power Co | 3944 | 3 | Averaging Plan | 0.50 | 0.46 | 0.49 | 0.44 | 1.06 | -57% |
| WV | Mitchell | Ohio Power Co | 3948 | 1 | AEL Demonstration | 0.50 | 0.55 | 0.56 | | 0.77 | -28% |
| WV | Mitchell | Ohio Power Co | 3948 | 2 | AEL Demonstration | 0.50 | 0.55 | 0.56 | | 0.77 | -28% |

Appendix C-2: Compliance Results for the 265 Phase I NO_x Affected Units in 1998

| ST | Plant Name | Operating Utility | ORIS Code | Boiler | Compliance Approach | Emission Limit | 1998 | | | 1990 Emission Rate | Change from 1990 to 1998 |
|----|-------------|----------------------|-----------|--------|---------------------|----------------|----------------------|------------------------|-----------------------|--------------------|--------------------------|
| | | | | | | | Actual Emission Rate | AEL or Avg. Plan Limit | Actual Avg. Plan Rate | | |
| WV | Mt Storm | Vepco | 3954 | 1 | AEL Demonstration | 0.45 | 0.69 | 0.76 | | 0.88 | -22% |
| WV | Mt Storm | Vepco | 3954 | 2 | AEL Demonstration | 0.45 | 0.64 | 0.69 | | 0.76 | -16% |
| WV | Mt Storm | Vepco | 3954 | 3 | AEL Demonstration | 0.45 | 0.72 | 0.74 | | 1.27 | -43% |
| WV | Pleasants | Monongahela Power Co | 6004 | 1 | Averaging Plan | 0.50 | 0.39 | 0.49 | 0.45 | 0.52 | -25% |
| WV | Pleasants | Monongahela Power Co | 6004 | 2 | Averaging Plan | 0.50 | 0.34 | 0.49 | 0.45 | 0.35 | -3% |
| WY | Jim Bridger | Pacificorp | 8066 | BW71 | Standard Limitation | 0.45 | 0.40 | | | 0.63 | -37% |
| WY | Jim Bridger | Pacificorp | 8066 | BW72 | Standard Limitation | 0.45 | 0.38 | | | 0.51 | -26% |
| WY | Jim Bridger | Pacificorp | 8066 | BW73 | Standard Limitation | 0.45 | 0.38 | | | 0.42 | -10% |
| WY | Wyodak | Pacificorp | 6101 | BW91 | Standard Limitation | 0.50 | 0.31 | | | 0.37 | -16% |

Appendix C-3:Compliance Results for the 275 Early Election Units in 1998

| ST | Plant Name | Operating Utility | ORIS Code | Boiler | NSPS ¹ | Emission Limit | Actual 1998 Emission Rate | 1990 Emission Rate | Change from 1990 to 1998 |
|----|-------------------|-----------------------------|-----------|--------|-------------------|----------------|---------------------------|--------------------|--------------------------|
| AL | Charles R Lowman | Alabama Electric Coop | 56 | 2 | D | 0.50 | 0.49 | 0.62 | -21% |
| AL | Charles R Lowman | Alabama Electric Coop | 56 | 3 | D | 0.50 | 0.49 | 0.66 | -26% |
| AR | Flint Creek | Southwestern Electric Power | 6138 | 1 | D | 0.50 | 0.31 | 0.31 | 1% |
| AR | Independence | Arkansas Power & Light Co | 6641 | 1 | D | 0.45 | 0.26 | 0.34 | -24% |
| AR | Independence | Arkansas Power & Light Co | 6641 | 2 | D | 0.45 | 0.24 | 0.35 | -31% |
| AR | White Bluff | Arkansas Power & Light Co | 6009 | 1 | D | 0.45 | 0.39 | 0.29 | 34% |
| AR | White Bluff | Arkansas Power & Light Co | 6009 | 2 | D | 0.45 | 0.37 | 0.34 | 10% |
| AZ | Apache Station | Arizona Electric Pwr Coop | 160 | 2 | D | 0.50 | 0.46 | 0.58 | -21% |
| AZ | Apache Station | Arizona Electric Pwr Coop | 160 | 3 | D | 0.50 | 0.41 | 0.58 | -29% |
| AZ | Cholla | Arizona Public Service | 113 | 1 | | 0.45 | 0.42 | 0.46 | -9% |
| AZ | Cholla | Arizona Public Service | 113 | 2 | D | 0.45 | 0.33 | 0.42 | -21% |
| AZ | Cholla | Arizona Public Service | 113 | 3 | D | 0.45 | 0.36 | 0.36 | 1% |
| AZ | Cholla | Arizona Public Service | 113 | 4 | D | 0.45 | 0.27 | 0.38 | -28% |
| AZ | Coronado | Salt River Project | 6177 | U1B | D | 0.50 | 0.42 | 0.51 | -18% |
| AZ | Coronado | Salt River Project | 6177 | U2B | D | 0.50 | 0.43 | 0.51 | -16% |
| AZ | Navajo | Salt River Project | 4941 | 1 | | 0.45 | 0.39 | 0.41 | -5% |
| AZ | Navajo | Salt River Project | 4941 | 2 | | 0.45 | 0.37 | 0.41 | -11% |
| AZ | Navajo | Salt River Project | 4941 | 3 | | 0.45 | 0.40 | 0.37 | 8% |
| AZ | Springerville | Tucson Electric Power Co | 8223 | 1 | D | 0.45 | 0.38 | 0.34 | 12% |
| AZ | Springerville | Tucson Electric Power Co | 8223 | 2 | D | 0.45 | 0.38 | 0.33 | 14% |
| CO | Cherokee | Public Service Co of CO | 469 | 3 | | 0.50 | 0.40 | 0.73 | -45% |
| CO | Cherokee | Public Service Co of CO | 469 | 4 | | 0.45 | 0.33 | 0.51 | -35% |
| CO | Comanche | Public Service Co of CO | 470 | 1 | | 0.45 | 0.26 | 0.24 | 10% |
| CO | Comanche | Public Service Co of CO | 470 | 2 | D | 0.50 | 0.28 | 0.31 | -10% |
| CO | Craig | Tri-state G&T Association | 6021 | C1 | D | 0.50 | 0.31 | 0.39 | -21% |
| CO | Craig | Tri-state G&T Association | 6021 | C2 | D | 0.50 | 0.38 | 0.40 | -5% |
| CO | Craig | Tri-state G&T Association | 6021 | C3 | Da | 0.50 | 0.36 | 0.28 | 28% |
| CO | Pawnee | Public Service Co of CO | 6248 | 1 | D | 0.50 | 0.21 | 0.62 | -66% |
| CO | Rawhide | Platte River Power | 6761 | 101 | Da | 0.45 | 0.35 | 0.43 | -19% |
| CO | Ray D Nixon | Colorado Springs Utilities | 8219 | 1 | D | 0.50 | 0.39 | 0.54 | -28% |
| CO | Valmont | Public Service Co of CO | 477 | 5 | | 0.45 | 0.31 | 0.17 | 82% |
| CT | Bridgeport Harbor | United Illuminating Co | 568 | BHB3 | | 0.45 | 0.26 | 0.56 | -54% |
| FL | C D McIntosh Jr | City of Lakeland | 676 | 3 | D | 0.50 | 0.46 | 0.46 | -1% |

¹ New Source Performance Standard subpart

Appendix C-3:Compliance Results for the 275 Early Election Units in 1998

| ST | Plant Name | Operating Utility | ORIS Code | Boiler | NSPS ¹ | Emission Limit | Actual 1998 Emission Rate | 1990 Emission Rate | Change from 1990 to 1998 |
|----|----------------------|-----------------------------|-----------|--------|-------------------|----------------|---------------------------|--------------------|--------------------------|
| FL | Crystal River | Florida Power Corporation | 628 | 2 | | 0.45 | 0.44 | 0.38 | 17% |
| FL | Crystal River | Florida Power Corporation | 628 | 4 | D | 0.50 | 0.49 | 0.50 | -3% |
| FL | Crystal River | Florida Power Corporation | 628 | 5 | D | 0.50 | 0.48 | 0.47 | 2% |
| FL | Deerhaven | Gainesville Regional Util | 663 | B2 | D | 0.50 | 0.48 | 0.53 | -10% |
| FL | Seminole | Seminole Electric Coop Inc | 136 | 1 | Da | 0.50 | 0.46 | 0.43 | 7% |
| FL | Seminole | Seminole Electric Coop Inc | 136 | 2 | Da | 0.50 | 0.43 | 0.36 | 20% |
| FL | St Johns River Power | Jacksonville Electric Auth | 207 | 1 | Da | 0.50 | 0.49 | 0.50 | -3% |
| FL | St Johns River Power | Jacksonville Electric Auth | 207 | 2 | Da | 0.50 | 0.48 | 0.59 | -19% |
| GA | Scherer | Georgia Power Co | 6257 | 4 | D | 0.45 | 0.35 | 0.21 | 68% |
| IA | Ames | City of Ames | 1122 | 7 | | 0.45 | 0.38 | 0.60 | -36% |
| IA | Ames | City of Ames | 1122 | 8 | D | 0.50 | 0.43 | 0.55 | -21% |
| IA | Council Bluffs | Midamerican Energy Company | 1082 | 1 | | 0.50 | 0.47 | 0.56 | -17% |
| IA | Council Bluffs | Midamerican Energy Company | 1082 | 2 | | 0.45 | 0.38 | 0.33 | 14% |
| IA | Council Bluffs | Midamerican Energy Company | 1082 | 3 | D | 0.50 | 0.43 | 0.37 | 15% |
| IA | George Neal North | Midamerican Energy Company | 1091 | 2 | | 0.50 | 0.45 | 1.06 | -57% |
| IA | George Neal North | Midamerican Energy Company | 1091 | 3 | D | 0.50 | 0.47 | 0.39 | 20% |
| IA | George Neal South | Midamerican Energy Company | 7343 | 4 | D | 0.50 | 0.39 | 0.64 | -39% |
| IA | Lansing | Interstate Power Co | 1047 | 4 | D | 0.50 | 0.42 | 0.50 | -15% |
| IA | Louisa | Midamerican Energy Company | 6664 | 101 | D | 0.50 | 0.26 | 0.25 | 2% |
| IA | Ottumwa | IES Utilities, Inc. | 6254 | 1 | D | 0.45 | 0.33 | 0.69 | -52% |
| IL | Crawford | Commonwealth Edison Co | 867 | 7 | | 0.45 | 0.31 | 0.33 | -7% |
| IL | Crawford | Commonwealth Edison Co | 867 | 8 | | 0.45 | 0.38 | 0.48 | -21% |
| IL | Dallman | City of Springfield, IL | 963 | 33 | D | 0.45 | 0.39 | 0.55 | -29% |
| IL | Fisk | Commonwealth Edison Co | 886 | 19 | | 0.45 | 0.33 | 0.39 | -15% |
| IL | Waukegan | Commonwealth Edison Co | 883 | 7 | | 0.45 | 0.28 | 0.26 | 8% |
| IL | Waukegan | Commonwealth Edison Co | 883 | 8 | | 0.45 | 0.40 | 0.41 | -1% |
| IL | Will County | Commonwealth Edison Co | 884 | 3 | | 0.45 | 0.40 | 0.39 | 4% |
| IL | Will County | Commonwealth Edison Co | 884 | 4 | | 0.45 | 0.33 | 0.31 | 8% |
| IN | A B Brown | Southern Indiana Gas & Elec | 6137 | 1 | D | 0.50 | 0.42 | 0.61 | -31% |
| IN | A B Brown | Southern Indiana Gas & Elec | 6137 | 2 | Da | 0.50 | 0.45 | 0.39 | 14% |
| IN | Dean H Mitchell | Northern Indiana Pub Serv | 996 | 4 | | 0.45 | 0.33 | 0.43 | -24% |
| IN | Dean H Mitchell | Northern Indiana Pub Serv | 996 | 5 | | 0.45 | 0.33 | 0.43 | -24% |
| IN | Dean H Mitchell | Northern Indiana Pub Serv | 996 | 6 | | 0.45 | 0.32 | 0.58 | -45% |

¹ New Source Performance Standard subpart

Appendix C-3:Compliance Results for the 275 Early Election Units in 1998

| <u>ST</u> | <u>Plant Name</u> | <u>Operating Utility</u> | <u>ORIS Code</u> | <u>Boiler</u> | <u>NSPS¹</u> | <u>Emission Limit</u> | <u>Actual 1998 Emission Rate</u> | <u>1990 Emission Rate</u> | <u>Change from 1990 to 1998</u> |
|-----------|-------------------|-------------------------------|------------------|---------------|-------------------------|-----------------------|----------------------------------|---------------------------|---------------------------------|
| IN | Dean H Mitchell | Northern Indiana Pub Serv | 996 | 11 | | 0.50 | 0.32 | 0.58 | -45% |
| IN | Merom | Hoosier Energy | 6213 | 1SG1 | D | 0.50 | 0.39 | 0.23 | 70% |
| IN | Merom | Hoosier Energy | 6213 | 2SG1 | D | 0.50 | 0.39 | 0.63 | -38% |
| IN | R M Schahfer | Northern Indiana Pub Serv | 6085 | 15 | D | 0.50 | 0.23 | 0.42 | -45% |
| IN | R M Schahfer | Northern Indiana Pub Serv | 6085 | 17 | Da | 0.45 | 0.35 | 0.46 | -24% |
| IN | R M Schahfer | Northern Indiana Pub Serv | 6085 | 18 | Da | 0.45 | 0.32 | 0.44 | -27% |
| IN | Rockport | Indiana Michigan Power Co | 6166 | MB1 | D | 0.50 | 0.36 | 0.32 | 13% |
| IN | Rockport | Indiana Michigan Power Co | 6166 | MB2 | D | 0.50 | 0.36 | 0.32 | 13% |
| IN | State Line | Commonwealth Edison Co | 981 | 3 | | 0.45 | 0.21 | 0.32 | -35% |
| IN | Whitewater Valley | City of Richmond, IN | 1040 | 1 | | 0.50 | 0.42 | 0.71 | -41% |
| IN | Whitewater Valley | City of Richmond, IN | 1040 | 2 | | 0.45 | 0.42 | 0.71 | -41% |
| KS | Nearman Creek | Board of Public Util, KS City | 6064 | N1 | D | 0.50 | 0.45 | 0.46 | -2% |
| KS | Riverton | Empire District Electric | 1239 | 39 | | 0.50 | 0.41 | 0.83 | -50% |
| KS | Riverton | Empire District Electric | 1239 | 40 | | 0.45 | 0.42 | 0.55 | -24% |
| KY | Cane Run | Louisville Gas & Electric | 1363 | 4 | | 0.50 | 0.41 | 0.84 | -51% |
| KY | Cane Run | Louisville Gas & Electric | 1363 | 5 | | 0.50 | 0.48 | 1.15 | -58% |
| KY | Cane Run | Louisville Gas & Electric | 1363 | 6 | | 0.45 | 0.40 | 1.02 | -61% |
| KY | D B Wilson | Big Rivers Electric | 6823 | W1 | Da | 0.50 | 0.50 | 0.56 | -11% |
| KY | Dale | East Kentucky Power Coop Inc | 1385 | 3 | | 0.50 | 0.41 | 0.73 | -44% |
| KY | Dale | East Kentucky Power Coop Inc | 1385 | 4 | | 0.50 | 0.41 | 0.73 | -44% |
| KY | H L Spurlock | East Kentucky Power Coop Inc | 6041 | 2 | D | 0.45 | 0.41 | 0.47 | -13% |
| KY | Mill Creek | Louisville Gas & Electric | 1364 | 1 | | 0.45 | 0.45 | 0.76 | -41% |
| KY | Mill Creek | Louisville Gas & Electric | 1364 | 2 | | 0.45 | 0.40 | 0.79 | -49% |
| KY | Mill Creek | Louisville Gas & Electric | 1364 | 3 | D | 0.50 | 0.49 | 0.62 | -21% |
| KY | Mill Creek | Louisville Gas & Electric | 1364 | 4 | D | 0.50 | 0.49 | 0.57 | -14% |
| KY | Trimble County | Louisville Gas & Electric | 6071 | 1 | D | 0.45 | 0.41 | 0.62 | -34% |
| LA | Big Cajun 2 | Cajun Electric Power | 6055 | 2B1 | D | 0.50 | 0.31 | 0.28 | 10% |
| LA | Big Cajun 2 | Cajun Electric Power | 6055 | 2B2 | D | 0.50 | 0.30 | 0.25 | 20% |
| LA | Big Cajun 2 | Cajun Electric Power | 6055 | 2B3 | D | 0.50 | 0.26 | 0.24 | 7% |
| LA | Dolet Hills | Central Louisiana Elec Co | 51 | 1 | D | 0.50 | 0.41 | 0.62 | -34% |
| LA | R S Nelson | Gulf States Utilities | 1393 | 6 | D | 0.45 | 0.40 | 0.20 | 102% |
| LA | Rodemacher | Central Louisiana Elec Co | 6190 | 2 | D | 0.50 | 0.43 | 0.38 | 13% |
| MI | B C Cobb | Consumers Energy Co | 1695 | 4 | | 0.45 | 0.40 | 0.38 | 4% |

¹ New Source Performance Standard subpart

Appendix C-3:Compliance Results for the 275 Early Election Units in 1998

| ST | Plant Name | Operating Utility | ORIS Code | Boiler | NSPS ¹ | Emission Limit | Actual 1998 Emission Rate | 1990 Emission Rate | Change from 1990 to 1998 |
|----|---------------|-----------------------------|-----------|--------|-------------------|----------------|---------------------------|--------------------|--------------------------|
| MI | B C Cobb | Consumers Energy Co | 1695 | 5 | | 0.45 | 0.39 | 0.36 | 9% |
| MI | J B Sims | City of Grand Haven | 1825 | 3 | Da | 0.50 | 0.40 | 0.51 | -21% |
| MI | J C Weadock | Consumers Energy Co | 1720 | 7 | | 0.45 | 0.36 | 0.44 | -19% |
| MI | J C Weadock | Consumers Energy Co | 1720 | 8 | | 0.45 | 0.36 | 0.44 | -19% |
| MI | J R Whiting | Consumers Energy Co | 1723 | 1 | | 0.50 | 0.38 | 0.82 | -54% |
| MI | J R Whiting | Consumers Energy Co | 1723 | 3 | | 0.50 | 0.38 | 1.04 | -63% |
| MI | Presque Isle | Upper Peninsula Power Co | 1769 | 7 | D | 0.50 | 0.44 | 0.49 | -10% |
| MI | Presque Isle | Upper Peninsula Power Co | 1769 | 8 | D | 0.50 | 0.44 | 0.53 | -16% |
| MI | Presque Isle | Upper Peninsula Power Co | 1769 | 9 | D | 0.50 | 0.46 | 0.66 | -30% |
| MN | Clay Boswell | Minnesota Power & Light Co | 1893 | 3 | | 0.45 | 0.36 | 0.42 | -14% |
| MN | Hoot Lake | Otter Tail Power Co | 1943 | 2 | | 0.45 | 0.38 | 0.58 | -34% |
| MO | Sikeston | Sikeston Board of Mun Util | 6768 | 1 | D | 0.50 | 0.24 | 0.51 | -53% |
| MT | Colstrip | Montana Power Company | 6076 | 1 | D | 0.45 | 0.39 | 0.42 | -7% |
| MT | Colstrip | Montana Power Company | 6076 | 2 | D | 0.45 | 0.43 | 0.43 | 0% |
| MT | Colstrip | Montana Power Company | 6076 | 3 | Da | 0.45 | 0.43 | 0.34 | 27% |
| MT | Colstrip | Montana Power Company | 6076 | 4 | Da | 0.45 | 0.43 | 0.35 | 24% |
| MT | Lewis & Clark | Montana-Dakota Utilities Co | 6089 | B1 | | 0.45 | 0.35 | 0.57 | -38% |
| NC | Buck | Duke Energy Corporation | 2720 | 5 | | 0.45 | 0.41 | 0.59 | -30% |
| NC | Buck | Duke Energy Corporation | 2720 | 6 | | 0.45 | 0.43 | 0.54 | -21% |
| NC | Buck | Duke Energy Corporation | 2720 | 7 | | 0.45 | 0.45 | 0.57 | -21% |
| NC | Buck | Duke Energy Corporation | 2720 | 8 | | 0.45 | 0.43 | 0.45 | -4% |
| NC | Buck | Duke Energy Corporation | 2720 | 9 | | 0.45 | 0.44 | 0.51 | -13% |
| NC | Cliffside | Duke Energy Corporation | 2721 | 1 | | 0.45 | 0.41 | Not Oper. | |
| NC | Cliffside | Duke Energy Corporation | 2721 | 2 | | 0.45 | 0.44 | Not Oper. | |
| NC | Cliffside | Duke Energy Corporation | 2721 | 3 | | 0.45 | 0.40 | Not Oper. | |
| NC | Cliffside | Duke Energy Corporation | 2721 | 4 | | 0.45 | 0.43 | Not Oper. | |
| NC | Cliffside | Duke Energy Corporation | 2721 | 5 | | 0.45 | 0.44 | 0.51 | -13% |
| NC | Dan River | Duke Energy Corporation | 2723 | 1 | | 0.45 | 0.42 | 0.52 | -18% |
| NC | Dan River | Duke Energy Corporation | 2723 | 2 | | 0.45 | 0.42 | 0.55 | -23% |
| NC | Dan River | Duke Energy Corporation | 2723 | 3 | | 0.45 | 0.44 | 0.56 | -22% |
| NC | G G Allen | Duke Energy Corporation | 2718 | 1 | | 0.45 | 0.44 | 0.65 | -32% |
| NC | G G Allen | Duke Energy Corporation | 2718 | 2 | | 0.45 | 0.42 | 0.61 | -31% |
| NC | G G Allen | Duke Energy Corporation | 2718 | 3 | | 0.45 | 0.44 | 0.64 | -31% |

¹ New Source Performance Standard subpart

Appendix C-3:Compliance Results for the 275 Early Election Units in 1998

| ST | Plant Name | Operating Utility | ORIS Code | Boiler | NSPS ¹ | Emission Limit | Actual 1998 Emission Rate | 1990 Emission Rate | Change from 1990 to 1998 |
|----|----------------------|-----------------------------|-----------|--------|-------------------|----------------|---------------------------|--------------------|--------------------------|
| NC | G G Allen | Duke Energy Corporation | 2718 | 4 | | 0.45 | 0.42 | 0.68 | -38% |
| NC | G G Allen | Duke Energy Corporation | 2718 | 5 | | 0.45 | 0.42 | 0.68 | -38% |
| NC | Marshall | Duke Energy Corporation | 2727 | 1 | | 0.45 | 0.43 | 0.48 | -11% |
| NC | Marshall | Duke Energy Corporation | 2727 | 2 | | 0.45 | 0.45 | 0.61 | -26% |
| NC | Marshall | Duke Energy Corporation | 2727 | 3 | | 0.45 | 0.44 | 0.52 | -15% |
| NC | Marshall | Duke Energy Corporation | 2727 | 4 | | 0.45 | 0.42 | 0.70 | -40% |
| NC | Riverbend | Duke Energy Corporation | 2732 | 7 | | 0.45 | 0.42 | 0.58 | -28% |
| NC | Riverbend | Duke Energy Corporation | 2732 | 8 | | 0.45 | 0.38 | 0.64 | -41% |
| NC | Riverbend | Duke Energy Corporation | 2732 | 9 | | 0.45 | 0.40 | Not Oper. | |
| NC | Riverbend | Duke Energy Corporation | 2732 | 10 | | 0.45 | 0.38 | Not Oper. | |
| ND | Antelope Valley | Basin Electric Power | 6469 | B1 | D | 0.45 | 0.39 | 0.43 | -8% |
| ND | Antelope Valley | Basin Electric Power | 6469 | B2 | D | 0.45 | 0.30 | 0.27 | 10% |
| ND | Leland Olds | Basin Electric Power | 2817 | 1 | | 0.50 | 0.25 | 0.74 | -66% |
| ND | Stanton | United Power Assn | 2824 | 10 | Da | 0.45 | 0.38 | 0.47 | -19% |
| NE | Gerald Gentleman Sta | Nebraska Public Power Dist | 6077 | 1 | D | 0.50 | 0.45 | 0.40 | 13% |
| NE | Gerald Gentleman Sta | Nebraska Public Power Dist | 6077 | 2 | D | 0.50 | 0.32 | 0.35 | -8% |
| NE | Gerald Whelan Energy | City of Hastings | 60 | 1 | D | 0.45 | 0.24 | 0.30 | -21% |
| NE | Nebraska City | Omaha Public Power Dist | 6096 | 1 | D | 0.50 | 0.46 | 0.48 | -4% |
| NE | North Omaha | Omaha Public Power Dist | 2291 | 4 | | 0.45 | 0.31 | 0.38 | -18% |
| NE | Platte | City of Grand Island | 59 | 1 | D | 0.45 | 0.39 | 0.48 | -18% |
| NM | Escalante | Plains Electric Gen & Trans | 87 | 1 | Da | 0.45 | 0.39 | 0.35 | 12% |
| NV | Mohave | Southern California Edison | 2341 | 1 | | 0.45 | 0.41 | 0.38 | 7% |
| NV | Mohave | Southern California Edison | 2341 | 2 | | 0.45 | 0.40 | 0.46 | -13% |
| NV | North Valmy | Sierra Pacific Power Co | 8224 | 1 | D | 0.50 | 0.39 | 0.51 | -24% |
| NV | North Valmy | Sierra Pacific Power Co | 8224 | 2 | Da | 0.50 | 0.37 | 0.40 | -8% |
| NV | Reid Gardner | Nevada Power Company | 2324 | 4 | Da | 0.50 | 0.28 | 0.38 | -25% |
| NY | C R Huntley | Niagara Mohawk Power Corp | 2549 | 67 | | 0.45 | 0.31 | 0.64 | -52% |
| NY | C R Huntley | Niagara Mohawk Power Corp | 2549 | 68 | | 0.45 | 0.31 | 0.64 | -52% |
| NY | Dunkirk | Niagara Mohawk Power Corp | 2554 | 1 | | 0.45 | 0.34 | 0.48 | -29% |
| NY | Dunkirk | Niagara Mohawk Power Corp | 2554 | 2 | | 0.45 | 0.35 | 0.48 | -27% |
| NY | Kintigh | NYSEG | 6082 | 1 | Da | 0.50 | 0.41 | 0.62 | -34% |
| NY | S A Carlson | City of Jamestown | 2682 | 9 | | 0.50 | 0.43 | 0.90 | -52% |
| NY | S A Carlson | City of Jamestown | 2682 | 10 | | 0.50 | 0.46 | 1.05 | -56% |

¹ New Source Performance Standard subpart

Appendix C-3:Compliance Results for the 275 Early Election Units in 1998

| ST | Plant Name | Operating Utility | ORIS Code | Boiler | NSPS ¹ | Emission Limit | Actual 1998 Emission Rate | 1990 Emission Rate | Change from 1990 to 1998 |
|----|-----------------|------------------------------|-----------|--------|-------------------|----------------|---------------------------|--------------------|--------------------------|
| NY | S A Carlson | City of Jamestown | 2682 | 11 | | 0.50 | 0.46 | 0.83 | -44% |
| NY | S A Carlson | City of Jamestown | 2682 | 12 | | 0.50 | 0.43 | 0.90 | -52% |
| OH | Conesville | Columbus Southern Power | 2840 | 5 | D | 0.45 | 0.41 | 0.44 | -6% |
| OH | Conesville | Columbus Southern Power | 2840 | 6 | D | 0.45 | 0.41 | 0.44 | -6% |
| OH | W H Zimmer | Cincinnati Gas & Electric Co | 6019 | 1 | Da | 0.50 | 0.44 | Not Oper. | |
| OK | Muskogee | Oklahoma Gas & Electric Co | 2952 | 4 | D | 0.45 | 0.37 | 0.44 | -15% |
| OK | Muskogee | Oklahoma Gas & Electric Co | 2952 | 5 | D | 0.45 | 0.35 | 0.41 | -15% |
| OK | Muskogee | Oklahoma Gas & Electric Co | 2952 | 6 | D | 0.45 | 0.38 | 0.44 | -13% |
| OK | Northeastern | Public Service Co of OK | 2963 | 3313 | D | 0.45 | 0.35 | 0.53 | -34% |
| OK | Northeastern | Public Service Co of OK | 2963 | 3314 | D | 0.45 | 0.35 | 0.53 | -34% |
| OK | Sooner | Oklahoma Gas & Electric Co | 6095 | 1 | D | 0.45 | 0.36 | 0.33 | 8% |
| OK | Sooner | Oklahoma Gas & Electric Co | 6095 | 2 | D | 0.45 | 0.36 | 0.42 | -14% |
| OR | Boardman | Portland General Electric | 6106 | 1SG | D | 0.50 | 0.40 | 0.40 | 0% |
| PA | Bruce Mansfield | Ohio Edison Co | 6094 | 3 | D | 0.50 | 0.42 | 0.57 | -26% |
| PA | Cromby | Peco Energy Company | 3159 | 1 | | 0.50 | 0.43 | 0.60 | -28% |
| PA | Eddystone | Peco Energy Company | 3161 | 1 | | 0.45 | 0.32 | 0.42 | -24% |
| PA | Eddystone | Peco Energy Company | 3161 | 2 | | 0.45 | 0.30 | 0.50 | -40% |
| PA | Homer City | GPU | 3122 | 1 | | 0.50 | 0.45 | 1.09 | -59% |
| PA | Homer City | GPU | 3122 | 2 | | 0.50 | 0.43 | 1.04 | -59% |
| PA | Homer City | GPU | 3122 | 3 | D | 0.50 | 0.43 | 0.62 | -31% |
| PA | Keystone | GPU | 3136 | 1 | | 0.45 | 0.36 | 0.79 | -55% |
| PA | Keystone | GPU | 3136 | 2 | | 0.45 | 0.37 | 0.79 | -53% |
| PA | Montour | PP&L | 3149 | 1 | | 0.45 | 0.44 | 0.95 | -53% |
| PA | Montour | PP&L | 3149 | 2 | | 0.45 | 0.40 | 0.46 | -13% |
| PA | New Castle | Ohio Edison Co | 3138 | 3 | | 0.50 | 0.39 | 0.63 | -38% |
| PA | New Castle | Ohio Edison Co | 3138 | 4 | | 0.50 | 0.36 | 0.57 | -37% |
| PA | New Castle | Ohio Edison Co | 3138 | 5 | | 0.50 | 0.44 | 0.73 | -40% |
| PA | Titus | GPU | 3115 | 1 | | 0.45 | 0.39 | 0.73 | -46% |
| PA | Titus | GPU | 3115 | 2 | | 0.45 | 0.40 | 0.68 | -41% |
| PA | Titus | GPU | 3115 | 3 | | 0.45 | 0.38 | 0.77 | -51% |
| SC | Cross | South Carolina Pub Serv | 130 | 1 | Da | 0.50 | 0.32 | Not Oper. | |
| SC | Cross | South Carolina Pub Serv | 130 | 2 | Da | 0.45 | 0.39 | 0.46 | -16% |
| SC | W S Lee | Duke Energy Corporation | 3264 | 1 | | 0.45 | 0.43 | 0.64 | -32% |

¹ New Source Performance Standard subpart

Appendix C-3:Compliance Results for the 275 Early Election Units in 1998

| ST | Plant Name | Operating Utility | ORIS Code | Boiler | NSPS ¹ | Emission Limit | Actual 1998 Emission Rate | 1990 Emission Rate | Change from 1990 to 1998 |
|----|--------------------|------------------------------|-----------|--------|-------------------|----------------|---------------------------|--------------------|--------------------------|
| SC | W S Lee | Duke Energy Corporation | 3264 | 2 | | 0.45 | 0.44 | 0.61 | -28% |
| SC | W S Lee | Duke Energy Corporation | 3264 | 3 | | 0.45 | 0.44 | 0.50 | -13% |
| TN | John Sevier | TVA | 3405 | 1 | | 0.45 | 0.41 | 0.62 | -34% |
| TN | John Sevier | TVA | 3405 | 2 | | 0.45 | 0.41 | 0.62 | -34% |
| TN | John Sevier | TVA | 3405 | 3 | | 0.45 | 0.42 | 0.64 | -34% |
| TN | John Sevier | TVA | 3405 | 4 | | 0.45 | 0.42 | 0.64 | -34% |
| TX | Big Brown | Texas Utilities Electric Co | 3497 | 1 | | 0.45 | 0.34 | 0.40 | -14% |
| TX | Big Brown | Texas Utilities Electric Co | 3497 | 2 | | 0.45 | 0.35 | 0.34 | 2% |
| TX | Coleto Creek | Central Power & Light Co | 6178 | 1 | D | 0.45 | 0.28 | 0.38 | -27% |
| TX | Gibbons Creek | Texas Municipal Power Agency | 6136 | 1 | D | 0.45 | 0.34 | 0.47 | -28% |
| TX | Harrington Station | Southwestern Public Service | 6193 | 061B | D | 0.45 | 0.36 | 0.27 | 36% |
| TX | Harrington Station | Southwestern Public Service | 6193 | 062B | D | 0.45 | 0.29 | 0.36 | -19% |
| TX | Harrington Station | Southwestern Public Service | 6193 | 063B | D | 0.45 | 0.26 | 0.36 | -27% |
| TX | J K Spruce | City of San Antonio | 7097 | **1 | Da | 0.45 | 0.38 | Not Oper. | |
| TX | J T Deely | City of San Antonio | 6181 | 1 | D | 0.45 | 0.32 | 0.31 | 5% |
| TX | J T Deely | City of San Antonio | 6181 | 2 | D | 0.45 | 0.32 | 0.31 | 5% |
| TX | Limestone | Houston Lighting & Power | 298 | LIM1 | Da | 0.45 | 0.41 | 0.50 | -18% |
| TX | Limestone | Houston Lighting & Power | 298 | LIM2 | Da | 0.45 | 0.41 | 0.48 | -15% |
| TX | Martin Lake | Texas Utilities Electric Co | 6146 | 1 | D | 0.45 | 0.34 | 0.36 | -4% |
| TX | Martin Lake | Texas Utilities Electric Co | 6146 | 2 | D | 0.45 | 0.32 | 0.35 | -7% |
| TX | Martin Lake | Texas Utilities Electric Co | 6146 | 3 | D | 0.45 | 0.35 | 0.42 | -17% |
| TX | Monticello | Texas Utilities Electric Co | 6147 | 1 | | 0.45 | 0.29 | 0.31 | -5% |
| TX | Monticello | Texas Utilities Electric Co | 6147 | 2 | | 0.45 | 0.32 | 0.40 | -20% |
| TX | Monticello | Texas Utilities Electric Co | 6147 | 3 | D | 0.50 | 0.23 | 0.21 | 11% |
| TX | Oklawanna | West Texas Utilities Co | 127 | 1 | Da | 0.50 | 0.46 | 0.54 | -14% |
| TX | Pirkey | Southwestern Electric Power | 7902 | 1 | D | 0.50 | 0.36 | 0.34 | 5% |
| TX | Sam Seymour | Lower Colorado River Auth | 6179 | 1 | D | 0.45 | 0.34 | 0.34 | 0% |
| TX | Sam Seymour | Lower Colorado River Auth | 6179 | 2 | D | 0.45 | 0.33 | 0.29 | 13% |
| TX | Sam Seymour | Lower Colorado River Auth | 6179 | 3 | Da | 0.45 | 0.30 | 0.25 | 22% |
| TX | San Miguel | San Miguel Electric Coop | 6183 | SM-1 | D | 0.50 | 0.40 | 0.41 | -2% |
| TX | Sandow | Texas Utilities Electric Co | 6648 | 4 | D | 0.45 | 0.35 | 0.43 | -19% |
| TX | Tolk Station | Southwestern Public Service | 6194 | 171B | D | 0.45 | 0.33 | 0.38 | -14% |
| TX | Tolk Station | Southwestern Public Service | 6194 | 172B | D | 0.45 | 0.29 | 0.24 | 22% |

¹ New Source Performance Standard subpart

Appendix C-3:Compliance Results for the 275 Early Election Units in 1998

| ST | Plant Name | Operating Utility | ORIS Code | Boiler | NSPS ¹ | Emission Limit | Actual 1998 Emission Rate | 1990 Emission Rate | Change from 1990 to 1998 |
|----|----------------|-----------------------------|-----------|--------|-------------------|----------------|---------------------------|--------------------|--------------------------|
| TX | W A Parish | Houston Lighting & Power | 3470 | WAP5 | D | 0.50 | 0.33 | 0.47 | -30% |
| TX | W A Parish | Houston Lighting & Power | 3470 | WAP6 | D | 0.50 | 0.32 | 0.53 | -39% |
| TX | W A Parish | Houston Lighting & Power | 3470 | WAP7 | D | 0.45 | 0.41 | 0.35 | 17% |
| TX | W A Parish | Houston Lighting & Power | 3470 | WAP8 | Da | 0.45 | 0.37 | 0.31 | 18% |
| TX | Welsh | Southwestern Electric Power | 6139 | 1 | D | 0.50 | 0.33 | 0.27 | 24% |
| TX | Welsh | Southwestern Electric Power | 6139 | 2 | D | 0.50 | 0.34 | 0.36 | -5% |
| TX | Welsh | Southwestern Electric Power | 6139 | 3 | D | 0.50 | 0.36 | 0.37 | -2% |
| UT | Bonanza | Deseret Generation & Tran | 7790 | 1-1 | Da | 0.50 | 0.36 | 0.42 | -15% |
| UT | Carbon | Pacificorp | 3644 | 1 | | 0.45 | 0.40 | 0.50 | -20% |
| UT | Carbon | Pacificorp | 3644 | 2 | | 0.45 | 0.42 | 0.58 | -27% |
| UT | Hunter (Emery) | Pacificorp | 6165 | 1 | D | 0.45 | 0.40 | 0.50 | -19% |
| UT | Hunter (Emery) | Pacificorp | 6165 | 2 | D | 0.45 | 0.41 | 0.55 | -25% |
| UT | Huntington | Pacificorp | 8069 | 1 | D | 0.45 | 0.40 | 0.52 | -23% |
| UT | Intermountain | Intermountain Power Agency | 6481 | 1SGA | Da | 0.50 | 0.42 | 0.45 | -7% |
| UT | Intermountain | Intermountain Power Agency | 6481 | 2SGA | Da | 0.50 | 0.40 | 0.38 | 6% |
| VA | Chesapeake | VEPCO | 3803 | 1 | | 0.45 | 0.44 | 0.42 | 4% |
| VA | Chesapeake | VEPCO | 3803 | 2 | | 0.45 | 0.44 | 0.48 | -9% |
| VA | Chesapeake | VEPCO | 3803 | 4 | | 0.45 | 0.45 | 0.54 | -17% |
| VA | Chesterfield | VEPCO | 3797 | 3 | | 0.45 | 0.42 | 0.52 | -19% |
| VA | Chesterfield | VEPCO | 3797 | 4 | | 0.45 | 0.42 | 0.49 | -14% |
| VA | Glen Lyn | Appalachian Power Co | 3776 | 51 | | 0.45 | 0.40 | 0.46 | -13% |
| VA | Glen Lyn | Appalachian Power Co | 3776 | 52 | | 0.45 | 0.36 | Not Oper. | |
| VA | Possum Point | VEPCO | 3804 | 3 | | 0.45 | 0.44 | 0.60 | -27% |
| VA | Potomac River | PEPCO | 3788 | 1 | | 0.45 | 0.42 | 0.51 | -18% |
| VA | Potomac River | PEPCO | 3788 | 2 | | 0.45 | 0.39 | 0.44 | -11% |
| VA | Potomac River | PEPCO | 3788 | 3 | | 0.45 | 0.43 | 0.64 | -33% |
| VA | Potomac River | PEPCO | 3788 | 4 | | 0.45 | 0.40 | 0.46 | -13% |
| VA | Potomac River | PEPCO | 3788 | 5 | | 0.45 | 0.44 | 0.72 | -39% |
| VA | Yorktown | VEPCO | 3809 | 1 | | 0.45 | 0.44 | 0.57 | -23% |
| VA | Yorktown | VEPCO | 3809 | 2 | | 0.45 | 0.44 | 0.57 | -23% |
| WA | Centralia | Pacificorp | 3845 | BW21 | | 0.45 | 0.39 | 0.40 | -3% |
| WA | Centralia | Pacificorp | 3845 | BW22 | | 0.45 | 0.43 | 0.45 | -5% |
| WI | Blount Street | Madison Gas & Electric Co | 3992 | 8 | | 0.50 | 0.38 | 0.71 | -46% |

¹ New Source Performance Standard subpart

Appendix C-3:Compliance Results for the 275 Early Election Units in 1998

| ST | Plant Name | Operating Utility | ORIS Code | Boiler | NSPS ¹ | Emission Limit | Actual 1998 Emission Rate | 1990 Emission Rate | Change from 1990 to 1998 |
|----|--------------------|---------------------------|-----------|--------|-------------------|----------------|---------------------------|--------------------|--------------------------|
| WI | Blount Street | Madison Gas & Electric Co | 3992 | 9 | | 0.50 | 0.40 | 0.61 | -35% |
| WI | Columbia | Wisconsin Power & Light | 8023 | 1 | | 0.45 | 0.40 | 0.46 | -13% |
| WI | Columbia | Wisconsin Power & Light | 8023 | 2 | D | 0.45 | 0.37 | 0.49 | -24% |
| WI | Edgewater | Wisconsin Power & Light | 4050 | 5 | D | 0.50 | 0.23 | 0.21 | 10% |
| WV | Mountaineer (1301) | Appalachian Power Co | 6264 | 1 | D | 0.50 | 0.50 | 0.47 | 6% |
| WY | Dave Johnston | Pacificorp | 4158 | BW41 | | 0.50 | 0.39 | 0.48 | -19% |
| WY | Dave Johnston | Pacificorp | 4158 | BW42 | | 0.50 | 0.40 | 0.54 | -26% |
| WY | Jim Bridger | Pacificorp | 8066 | BW74 | D | 0.45 | 0.39 | 0.41 | -4% |
| WY | Laramie River | Basin Electric Power | 6204 | 1 | D | 0.50 | 0.25 | 0.35 | -28% |
| WY | Laramie River | Basin Electric Power | 6204 | 2 | D | 0.50 | 0.26 | 0.32 | -19% |
| WY | Laramie River | Basin Electric Power | 6204 | 3 | D | 0.50 | 0.27 | 0.42 | -36% |

¹ New Source Performance Standard subpart