



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
WASHINGTON, D.C. 20460

OFFICE OF  
INSPECTOR GENERAL

March 12, 2015

**MEMORANDUM**

**SUBJECT:** Project Notification:  
Review of Inspections and Evaluations of Clean Air Act Sources  
Project No. OPE-FY15-0015

**FROM:** James Hatfield, Acting Product Line Director  
Air Issues, Office of Program Evaluation

A handwritten signature in black ink, reading "James L. Hatfield", is written over the printed name of the sender.

**TO:** Cynthia Giles, Assistant Administrator  
Office of Enforcement and Compliance Assurance (OECA)

The Office of Inspector General (OIG) for the EPA plans to begin preliminary research of the Office of Enforcement and Compliance Assurance (OECA) and selected EPA region's oversight of compliance assurance activities for major Clean Air Act sources. This project is included in our fiscal year 2015 annual plan.

The OIG's objective is to determine whether EPA's management controls provide reasonable assurance that EPA is conducting compliance assurance activities for major Clean Air Act sources in accordance with the Compliance Monitoring Strategy. The OIG plans to conduct work in Regions 6, 8 and 9 and the States of California, Colorado and Texas. Applicable generally accepted government auditing standards will be used in conducting our project. The anticipated benefits of this project are to improve compliance among major sources and identify opportunities to use innovative enforcement tools.

We will contact you to arrange a mutually agreeable time to discuss our objectives and the purpose of the preliminary research phase of our project. We would also be particularly interested in any areas of concern that you may have. We will answer any of your questions about the project process, reporting procedures, methods used to gather and analyze data, and what we should expect of each other during the course of the project. Throughout the project, we will provide updates to and on an agreed-upon point of contact, frequency and mode of communication.

To ensure the success and timely completion of this project, please provide the following materials at or before our meeting:

- OECA, Region 6, Region 8 and Region 9 policies, procedures, guidance and protocols pertaining to CAA compliance activities since fiscal year 2010.
- OECA policies, procedures and guidance for regional, state, local, and tribal agency periodic reporting on CAA compliance activities since fiscal year 2010.
- OECA policies, procedures and guidance on ICIS-Air and ECHO databases since fiscal year 2010.

We respectfully note that the OIG is authorized by the Inspector General Act of 1978 to have timely access to personnel and all materials necessary to complete its objectives. We will request your resolution if an agency employee or contractor refuses to provide requested records to the OIG, or otherwise fails to cooperate with the OIG. We may report unresolved access matters to the Administrator and include the incident in the Semiannual Report to Congress.

I will supervise this project and Renee McGhee-Lenart will be the Project Manager. Any information related to the project should be addressed to me at (919) 541-1030 or [hatfield.jim@epa.gov](mailto:hatfield.jim@epa.gov) or Renee McGhee-Lenart at (913) 551-7534 or [mcghee-lenart.renee@epa.gov](mailto:mcghee-lenart.renee@epa.gov).

cc: Lawrence Starfield, Principal Deputy Assistant Administrator, OECA  
Gwendolyn Spriggs, Audit Follow-Up Coordinator, OECA  
Regional Administrators, Regions 6, 8, and 9  
Regional Audit Liaisons, Regions 6, 8, and 9  
Arthur A. Elkins Jr., Inspector General  
Charles Sheehan, Deputy Inspector General  
Aracely Nunez-Mattocks, Chief of Staff, Office of Inspector General  
Alan Larsen, Counsel to the Inspector General  
Kevin Christensen, Assistant Inspector General for Audit  
Carolyn Copper, Assistant Inspector General for Program Evaluation  
Ed Shields, Acting Assistant Inspector General for Mission Systems  
Patrick Sullivan, Assistant Inspector General for Investigations  
Christine El-Zoghbi, Deputy Assistant Inspector General for Program Evaluation  
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