## **Eligibility and Application Review Webinar**

#### EPA's 2013 Construction Equipment Rebate Funding Opportunity January 16, 2014

U.S. Environmental Protection Agency





- Background
- Eligibility
- Program Guide and Application
- Construction Fleet Inventory Guide
- Engine Serial Numbers and Family Names
- Helpful Resources

The Program Guide and FAQs:

www.epa.gov/cleandiesel/dera-rebate-construction.htm



## Background

- Application is a one-page form.
  - Applicants must also supply proof of ownership.
- Applicants may submit 1 application <u>only</u> for up to 5 eligible nonroad construction equipment engines.
- The 5 engines can be any combination of the technology options for a maximum of \$120,000 in rebate funding.
- Rebate recipients will be chosen by lottery.



## **Applicant Eligibility**

- Public Sector Entities:
  - Regional, state, local, or tribal agencies or port authorities with jurisdiction over transportation or air quality.
  - Municipalities, metropolitan planning organizations (MPOs), and counties are all eligible applicants for this rebate program if they have jurisdiction over transportation or air quality.
- Private Sector Entities:
  - Operate nonroad construction equipment under an existing or executed contract or lease with an eligible public entity at the time of rebate application.



## **Applicant Eligibility: Ownership**

- At the time of application, the applicant must own and operate the equipment for which funds are being requested.
- Documentation of equipment ownership (copy of the original bill of sale, original invoice, or other documentation that demonstrates ownership of the equipment) must be provided with application.



## **Eligibility Criteria**

- There are separate eligibility requirements for both the engine and the piece of equipment.
- The model year of the equipment may differ from the model year of the engine on the piece of equipment.



# **Engine Eligibility**

- Nonroad engines are those installed on:
  - 1. Self-propelled equipment;
  - 2. Equipment that is propelled while performing its function; or
  - 3. Equipment that is portable or transportable, as indicated by the presence of wheels, skids, carrying handles, dolly, trailer, or platform.
- In other words, nonroad engines are all internal combustion engines that are <u>not</u> motor vehicle (highway) engines, stationary engines (or engines that remain at one location for more than 12 months), engines used solely for competition, or engines used in aircraft.
- Only diesel powered engines are eligible.



## **Equipment Eligibility**

- Diesel-powered nonroad equipment used in the creation or maintenance of:
  - Transportation infrastructure;
  - Commercial and industrial projects;
  - Residential buildings; or
  - Heavy civil construction.
- Examples include, but are not limited to, wheel or skid loaders, motor graders, and dozers.
- Diesel generators are not eligible.
- Equipment must have operated for a minimum of 500 hours in the previous 12 months.



## Equipment Eligibility: Location Requirement

- Three months prior to and at the time of application, the equipment must be located in one of the eligible counties in the List of Eligible Counties in Appendix A of the Program Guide at the time of application.
- Starting on the date of the rebate payment (made after the technology installation) the equipment must operate in an eligible county for 12 months or 500 hours, whichever comes first.
- EPA may conduct audits up to 3 years after the rebate payment to ensure these conditions are met.



### **Technology Options**

Option 1	Diesel Particulate Filter	
	Added to Tier 2 or Tier 3 engines	
Option 2	Engine Replacement	
	Initial engine must be unregulated (Tier 0) or Tier 1 and the replacement engine must be Tier 2 or Tier 3	

Applicants may apply for one or both of the technology options.



### **Eligibility Requirements: Diesel Particulate Filters**

- Engine horsepower must be between 301-603 and the engine model year between 2001 2010.
- If selected, applicants have 45 days to data log the proposed engine.
  - This can be arranged with a Diesel Particulate Filter
    Vender and is to confirm suitability for this technology



### Eligibility Requirements: Engine Replacement

To apply for a new Tier 2 or Tier 3 engine, the existing engine must be listed below:

Current Engine HP	Current Engine Model Year	Current Tier
174-300	1990-1995	Unregulated
174-300	1996-2002	Tier 1
301-603	1990-1995	Unregulated
301-603	1996-2000	Tier 1



To correctly complete an application, please see the Program Guide containing sample applications and how to find the required information.

#### The Program Guide and FAQs:

www.epa.gov/cleandiesel/dera-rebate-construction.htm



## **Program Guide Appendices**

- A: List of Priority Counties
- B: Sample Rebate Applications
  - Public equipment owner
  - Private equipment owner
- C: Rebate Application Checklist
- D: How to Find Engine Information
- E: Optional Rebate Amount Worksheet for Diesel Particulate Filter
- F: Optional Rebate Amount Worksheet for Engine Replacement



## **Construction Fleet Inventory Guide**

- Provides general guidelines about how to find information about various pieces of equipment including:
  - Serial number locations
  - Engine Family Names
  - These two pieces of information are often found near each other
- Link:

www.epa.gov/cleandiesel/documents/420b10025.pdf

#### Typical Serial Number Locations on Nonroad Diesel Equipment Engines





#### **Example of Serial Number Location**

- 1. Above air filter
- 2. Above pulley, or on belt guard (if present)
- 3. Fuel pump or manifold
- 4. Engine block on the side of engine
- 5. On intake manifold
- 6. On/near starter
- 7. On cylinder head
- 8. On flange (not shown)
- 9. On valve cover
- 10. Rear of engine block
- 11. On compressed air tank (not shown) 16



#### **Example of Engine Family Name**





#### Resources

• DERA Rebate Webpage

www.epa.gov/cleandiesel/dera-rebate-construction.htm

• Program Guide

www.epa.gov/cleandiesel/documents/420b13042a.pdf

FAQs

www.epa.gov/cleandiesel/documents/2013-dera-construction-rebate-faq.pdf

- Construction Fleet Inventory Guide: <u>www.epa.gov/cleandiesel/documents/420b10025.pdf</u>
- Email additional questions to: <u>CleanDieselRebate@epa.gov</u>