



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
 WASHINGTON, DC 20460 FORM APPROVED  
 NOTICE OF TERMINATION (NOT) OF COVERAGE UNDER NPDES GENERAL PERMIT FOR  
 DISCHARGES INCIDENTAL TO THE NORMAL VESSEL OPERATION

OMB No.  
2040-0004

Submission of this Notice of Termination (NOT) constitutes notice that the party identified in Section B of this form is no longer authorized to discharge any discharge incidental to the normal operation of a vessel under the NPDES program for the vessel identified in Section C of this form. All necessary information must be included on this form. Refer to the instructions at the end of this form.

**A. Permit Information**

1. NPDES Permit Tracking Number: \_\_\_\_\_

2. Reason for Termination (check one only):

a. You transferred operational control to another operator.

Date of transfer: \_\_\_\_\_

Country: \_\_\_\_\_

New Owner/Operator Name: \_\_\_\_\_

City: \_\_\_\_\_

Address Line 1: \_\_\_\_\_

State: \_\_\_\_\_ Zip: \_\_\_\_\_

Address Line 2: \_\_\_\_\_

Phone: \_\_\_\_\_

Company E-mail: \_\_\_\_\_

b. You terminated vessel operations in waters subject to the General Permit.

c. You obtained coverage under an individual or alternative NPDES permit.

Permit Number: \_\_\_\_\_

Effective Date: \_\_\_\_\_

**B. Vessel Owner/Operator Information**

1. Name: \_\_\_\_\_

2. IRS Employer Information Number/Owner Operator IMO Number: \_\_\_\_\_

3. Name of Certifying Official: \_\_\_\_\_

4. Mailing Address:

a. Street: \_\_\_\_\_

e. Country: \_\_\_\_\_

b. City: \_\_\_\_\_

f. Phone: \_\_\_\_\_

c. State: \_\_\_\_\_ d. Zip: \_\_\_\_\_

g. Fax (Optional): \_\_\_\_\_

h. E-mail: \_\_\_\_\_

**C. Vessel Voyage Information**

1. Vessel Name: \_\_\_\_\_

2. Vessel ID/Registered Number/Vessel IMO number: \_\_\_\_\_

3. Vessel Call Sign: \_\_\_\_\_

4. Flag State/Port of Registry: \_\_\_\_\_

**D. Certifier Name and Title**

I certify under penalty of law that the information contained in this form is, to the best of my knowledge and belief, true, accurate and complete. I understand that by submitting this Notice of Termination, I am no longer authorized to discharge any effluent associated with normal vessel operation under this general permit, and that discharging pollutants related to the normal operation of a vessel in to waters of the United States is unlawful under the Clean Water Act where the discharge is not authorized by a NPDES permit. I also understand that the submittal of this Notice of Termination does not release an operator from liability for any violations of this permit or the Clean Water Act.

Furthermore, I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information contained therein. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information contained is, to the best of my knowledge and belief, true, accurate, and complete. I have no personal knowledge that the information submitted is other than true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Print Name: \_\_\_\_\_

Title: \_\_\_\_\_

Signature: \_\_\_\_\_

E-mail: \_\_\_\_\_

Date: 

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## Instructions for Completing the Notice of Termination (NOT) for Discharges Incidental to the Normal Operation of a Vessel under the NPDES Vessel General Permit

### Who Must File an NOT Form?

Any owner/operator who was required to submit an NOI under Part 1.5.1.1 and meets the conditions of Part 1.6.1.2 of the General Permit is required to submit an NOT to end coverage under this permit.

If you have questions about whether you need to file an NOT or questions about completing the form, refer to [www.epa.gov/npdes/vessels/eNOI](http://www.epa.gov/npdes/vessels/eNOI) or contact the NOI center at 1-866-352-7755.

If you have specific questions about the Vessels General Permit please send a detailed email to [commercialvesselpermit@epa.gov](mailto:commercialvesselpermit@epa.gov) for assistance.

### Where to File the NOT Form

EPA encourages you to complete the NOT electronically via the Internet. EPA's Vessels Electronic Notice of Intent System (eNOI) at [www.epa.gov/npdes/vessels/enoi](http://www.epa.gov/npdes/vessels/enoi). Filing electronically is the fastest way to terminate permit coverage and help ensure that your NOT is complete. If you choose not to file electronically, you must send the NOT to one of the addresses listed below.

#### NOTs sent regular mail:

EPA Vessel Notice Processing Center (4203M)  
USEPA  
1200 Pennsylvania Avenue, NW  
Washington, DC 20460

#### NOTs sent overnight/express mail:

EPA Vessel Notice Processing Center  
EPA East Building, Rm. 7420  
1201 Constitution Avenue, NW  
Washington, DC 20004  
(202) 564-9545

If you have questions about whether you need to file an NOT or questions about completing the form, refer to [www.epa.gov/npdes/vessels/eNOI](http://www.epa.gov/npdes/vessels/eNOI) or contact the NOI center at 1-866-352-7755.

**If you file a paper NOT, please submit the original with a signature in ink – Do Not Send Copies. Also, faxed copies will not be accepted.**

### Completing the NOI Form

To complete this form, type or print in uppercase letters in the appropriate areas only. Please make sure you complete all questions. Make sure you make a photocopy for your records before you send the completed original form to the address above.

### Section A. Permit Information

1. Enter the existing NPDES Vessels General permit Tracking Number assigned to the vessel by EPA's Vessels Processing Center. If you do not know the permit tracking number, contact the Vessels Processing Center at (866) 352-7755.
2. Select the appropriate box to indicate why you are submitting an NOT to end permit coverage. There are three options to choose from: because you have sold or transferred the vessel and are no longer the owner or operator, because the vessel is no longer traveling in or discharging to waters subject to this permit, or because you have obtained individual or alternative permit coverage.
  - 2a. Select this box if you have sold or transferred the vessel, please provide the date of transfer as well as the name and contact information of the new owner/operator
  - 2b. If the vessel is no longer traveling in or discharging to waters subject to this permit.
  - 2c. If you have obtained an individual or alternative permit, please provide the permit number and date permit coverage begins in the space given.

### Section B. Vessel Owner/Operator Information

1. Provide the full legal name of the person, firm, public organization, or other entity that is the owner/operator of the vessel described in this application.
2. Provide the Employer Identification Number (EIN from the Internal Revenue Service (IRS)), commonly referred to as your taxpayer ID number. If the owner/operator does not have an EIN, enter the International Maritime Organization (IMO) Registered Owner or Company (DOC) number. If the owner/operator does not have an EIN or IMO Owner or company number, enter "NA" in the space provided.
3. Provide the name of the Certifying Official.
4. Provide the owner/operator's mailing address, telephone number, fax number (optional), and email address. Correspondence will be sent to this address.

### Section C. Vessel Information

1. Provide the vessel's official or legal name, if applicable. If the vessel does not have a name, enter "NA" in the space provided.
2. Provide the vessel's identification, registered identification number, or IMO Ship number, as applicable. Indicate the type of number used to identify the vessel.

If the vessel does not have an identification number, enter "NA" in the space provided.

3. Enter the vessel call sign, if applicable. If the vessel does not have a call sign, enter "NA" in the space provided.
4. Provide the flag state or port of registry. If the vessel does not have a flag state or port of registry, enter "NA" in the space provided.

### Section D. Certification

Carefully read the certification statement. By completing and submitting the NOT, the owner/operator certifies that every applicable General permit requirement will be met. Enter certifier's printed name and title. Sign and date the form. (CAUTION: An unsigned or undated NOT form will prevent the termination of permit coverage.) Federal statutes provide for severe penalties for submitting false information on this application form. Federal regulations require this application to be signed as follows:

*For a corporation:* by a responsible corporate officer, which means:

- (i) president, secretary, treasurer, or vice-president of the corporation in charge of a principal business function, or any other person who performs similar policy or decision making functions for the corporation, or
- (ii) the manager of one or more manufacturing, production, or operating facilities, provided the manager is authorized to make management decisions which govern the operation of the regulated facility including having the explicit or implicit duty of making major capital investment recommendations, and initiating and directing other comprehensive measures to assure long term environmental compliance with environmental laws and regulations; the manager can ensure that the necessary systems are established or actions taken to gather complete and accurate information for permit application requirements; and where authority to sign documents has been assigned or delegated to the manager in accordance with corporate procedures;

*For a partnership or sole proprietorship:* by a general partner or the proprietor; or  
*For a municipal, State, Federal, or other public facility:* by either a principal executive or ranking elected official.

### Paperwork Reduction Act Notice

Public reporting burden for this certification is estimated to average 0.25 hours per certification, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose to provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding the burden estimate, any other aspect of the collection of information, or suggestions for improving this form, including any suggestions which may increase or reduce this burden to: Director, Office of Information Collection, Collection Services Division (2823), USEPA, 1200 Pennsylvania Avenue, NW, Washington, DC 20460. Include the OMB control number of this form on any correspondence. Do not send the completed NOTform to this address.