



**UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
AIR ENFORCEMENT DIVISION, WASHINGTON, D.C.**

In the Matter of:

Claas of America, Inc.

Respondent.

Administrative Settlement Agreement

Docket No.
AED/MSEB #8249

1. This is an Administrative Settlement Agreement (Agreement) between the United States Environmental Protection Agency (EPA) and Claas of America, Inc. (Claas) (collectively, Parties). The purpose of this Agreement is to resolve alleged violations of the Clean Air Act (CAA) and its regulations by Respondent.
2. Respondent in this matter is Claas. Respondent is a corporation organized under the laws of the State of Delaware with an office at 8401 South 132nd Street, Omaha, Nebraska 68138. Claas is a manufacturer of agricultural equipment, including self-propelled combines and forage harvesters.
3. The delegated official of the EPA and signatory to this Agreement is the Director of the Air Enforcement Division of the Office of Civil Enforcement of the Office of Enforcement and Compliance Assurance. The EPA enters this Agreement pursuant to sections 205(c) and 213(d) of the CAA, 42 U.S.C. §§ 7524(c) and 7547(d), and 40 C.F.R. § 1068.125.

Governing Law

4. This Agreement concerns Part A of Title II of the CAA, CAA §§ 202–219, 42 U.S.C. §§ 7521–7554, and related regulations. These laws aim to reduce emissions from mobile

sources of air pollution. The Alleged Violations of Law, stated below, arise from the importation into the United States of new nonroad compression-ignition (CI) engines for installation in agricultural equipment, specifically combines and forage harvesters. This section of the Agreement summarizes the law that governs these allegations.

5. EPA adopted Tier 4 standards for nonroad diesel engines intended for use in nonroad vehicles or equipment to achieve significant reductions in particulate matter, nitrogen oxides and sulfur oxides. Control of Emissions of Air Pollution from Nonroad Diesel Engines and Fuels, 69 Fed. Reg. 38959 (June 29, 2004) (codified at 40 C.F.R. Part 1039). To meet Tier 4 standards, equipment manufacturers must modify their equipment designs to accommodate engines with additional and improved emissions control devices; these modifications are required for all power categories. EPA adopted transition provisions for equipment manufacturers to provide flexibility for equipment manufacturers to selectively delay compliance with the Tier 4 standards for up to seven years, within certain limitations. This program is referred to as the Transition Program for Equipment Manufacturers. 40 C.F.R. § 1039.625.
6. Equipment manufacturers may comply with TPEM based on a percent of their production allowance, or a maximum number of exempted pieces of equipment (small-volume allowance), and may choose either option for any particular power category. 40 C.F.R. § 1039.625(b)(1) and (2).
7. TPEM program regulations require that the equipment produced with an exempted engine meet specified emission standards. 40 C.F.R. § 1039.625(e). These regulations also require that the equipment be labeled with emission control information in a specified format. 40 C.F.R. § 1039.625(f).

8. Equipment manufacturers who use the TPEM program, import nonroad engines into the United States, and have primary responsibility for designing and manufacturing equipment, are required to post a bond to cover any potential enforcement actions conducted pursuant to the CAA, or to seek a waiver from such requirements. 40 C.F.R. § 1039.626(a)(9). A waiver from the bonding requirement will be approved if the equipment manufacturer can meet the assets threshold in 40 C.F.R. § 1054.690. 40 C.F.R. § 1039.626(a)(9)(ii)(B).
9. An equipment manufacturer who fails to follow the TPEM program regulations will violate the prohibition of 40 C.F.R. § 1068.101(a)(1) by selling, offering for sale, introducing or delivering into commerce engines or equipment that are not exempt from the CAA's certification requirement. An engine manufacturer "may not sell, offer for sale, or introduce or deliver into commerce in the United States or import into the United States" any equipment containing an engine subject to emission standards without a valid EPA-issued certificate of conformity. CAA § 203(a)(1), 42 U.S.C. § 7522(a)(1); 40 C.F.R. § 1068.101(a)(1). EPA may assess a civil penalty of up to \$37,500 for each individual piece of equipment in violation.

Factual Background

10. Respondent Claas is an importer of engines and equipment manufacturer as defined in section 216(1) of the CAA, 42 U.S.C. § 7550(1), and 40 C.F.R. § 1039.801, because it imports nonroad engines for resale, and manufactures and sells agricultural equipment in the United States.



11. Respondent imported MAN and Daimler/MTU nonroad CI engines into the United States for installation in self-propelled agricultural equipment. These engines rated between 349 and 872 horsepower (hp), or between 260 and 650 kilowatts (kW). These engines were subject to the CAA's certification requirements.
12. The EPA Office of Transportation and Air Quality became aware in 2012 that Respondent had imported MAN nonroad engines into the United States without meeting CAA requirements.
13. In 2012 and 2013, Respondent voluntarily conducted an internal review of TPPEM activities. Respondent notified the EPA Office of Civil Enforcement (OCE) on October 30, 2013, that it had discovered several issues concerning mislabeled engines and equipment imported into the United States. On April 9, 2014, Respondent voluntarily submitted a summary of a compliance audit to OCE entitled: "Summary of emission regulatory shortcomings in North America."
14. Respondent imported four Daimler/MTU engines into the United States that met European emission standards, Europe Stage IIIB standards, not Tier 4 emission standards required for the United States. These engines were placed in Claas forage harvesters.
15. Respondent imported four MAN engines for emissions testing purposes, but EPA did not approve a testing exemption pursuant to 40 C.F.R. §§ 1068.210 and 1068.215. These engines were placed in Claas forage harvesters.
16. Respondent sold 70 Claas forage harvesters with MAN engines without TPPEM labels, although the engines would otherwise have been exempt pursuant to TPPEM.
17. Respondent sold seven Claas combines with Daimler/MTU engines without TPPEM labels, although the engines would otherwise have been exempt pursuant to TPPEM.



18. Respondent sold 96 Class forage harvesters with Daimler/MTU engines without TPEM equipment or engine labels, although the engines would otherwise have been exempt pursuant to TPEM. Of these forage harvesters, thirteen contained two Daimler/MTU engines.
19. Respondent sold 134 Class forage harvesters with MAN engines with incorrect TPEM labels, although the engines were exempt pursuant to TPEM.
20. Prior to calendar year 2014, Respondent did not post the bond required for companies using TPEM or request a bond waiver. EPA granted Respondent a bond waiver for the 2014 calendar year on January 10, 2014.
21. Respondent is not in exceedance of allowances available to them through TPEM.
22. The Class combines and forage harvesters are located in the United States and currently used in agricultural applications.

Alleged Violations of Law

23. EPA alleges that Respondent has sold, offered for sale, or introduced or delivered into commerce uncertified engines or equipment, specifically four Daimler/MTU engines, four MAN engines, and 302 combines and forage harvesters rating between 260 and 650 kW, as identified in Appendix A.
24. Respondent has committed 315 violations of the prohibitions of section 203(a) of the CAA, 42 U.S.C. § 7524(c), and 40 C.F.R. §1068.101.



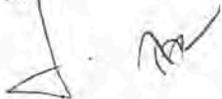
Terms of Agreement

25. Respondent:

- (a) agrees that the EPA has jurisdiction over this matter under section 205(c) of the CAA, 42 U.S.C. § 7524(c);
- (b) admits to the Factual Background stated above;
- (c) neither admits nor denies the Alleged Violations of Law stated above;
- (d) agrees to pay the civil penalty stated below;
- (e) agrees to any conditions specified in this Agreement;
- (f) waives any right to any hearing, trial, adjudication, or proceeding (including review under sections 205(c) and 307(b)(1) of the CAA, 42 U.S.C. §§ 7534(c) and 7607(b)(1)) on any terms of this Agreement and on any issue of law or fact related to the Alleged Violations of Law and Factual Background stated above;
- (g) waives any rights it may possess at law or in equity to challenge the authority of the EPA to bring a civil action governed by federal law in a United States District Court to enforce this Agreement and to seek additional remedies for such breach;
- (h) agrees that Respondent may not delegate Respondent's duties under this Agreement to any other person without the written consent of the EPA, which may be granted, conditionally granted, or withheld at EPA's unfettered discretion;
- (i) acknowledges that this Agreement constitutes an enforcement action for purposes of considering Respondent's compliance history in any subsequent enforcement action;



- (j) acknowledges that this Agreement will be available to the public and agrees that it does not contain any confidential business information or personally identifiable information;
 - (k) acknowledges that its tax identification number may be used for collecting or reporting any delinquent monetary obligation arising from this Agreement (see 31 U.S.C. § 7701);
 - (l) certifies that the information it has supplied concerning this matter was at the time of submission true, accurate, and complete; and
 - (m) acknowledges that there are significant penalties for knowingly submitting false or misleading information, including the possibility of fines and imprisonment (see 18 U.S.C. § 1001).
26. For purposes of this proceeding, the Parties, desiring to settle this matter, each agree that:
- (a) this Agreement is in the public interest and is an appropriate way to resolve this matter;
 - (b) this Agreement constitutes the entire agreement and understanding of the parties and supersedes any prior agreements or understandings, whether written or oral, among the parties with respect to the subject matter hereof;
 - (c) this Agreement may be signed in any number of counterparts, each of which will be deemed an original and, when taken together, constitute one agreement; the counterparts are binding on each of the parties individually as fully and completely as if the parties had signed one single instrument, so that the rights and liabilities of the parties will be unaffected by the failure of any of the undersigned to execute any or all of the counterparts; any signature page and any



- copy of a signed signature page may be detached from any counterpart and attached to any other counterpart of this Agreement;
- (d) its undersigned representative is fully authorized by the Party whom he or she represents to bind that Party to this Agreement and to execute it on behalf of that Party;
 - (e) each Party's obligations under this Agreement constitute sufficient consideration for the other Party's obligations under this Agreement; additionally, the Parties agree that EPA's covenant not to sue Respondent (stated below) during the time period between the date that the EPA signs this Agreement and termination of the EPA's covenant (if and when this occurs) constitutes sufficient consideration for the satisfactory completion of each and every one of Respondent's obligations under this Agreement; and
 - (f) each Party will bear its own costs and attorney fees in the matter resolved by this Agreement.
27. Respondent agrees to pay to the United States a civil penalty of \$202,348.00 (the Civil Penalty).
28. Respondent agrees to pay the Civil Penalty to the United States within 30 calendar days following the date that the EPA signs this Agreement. EPA agrees to provide to Respondent(s) at the email address provided on Respondent's signature page, below, a copy of the executed Agreement within 5 calendar days of that date.
29. Respondent agrees to pay the Civil Penalty in the manner specified below:
- (a) Pay the Civil Penalty using any method provided on the following website:
<http://www2.epa.gov/financial/additional-instructions-making-payments-epa>;

- (b) Identify each and every payment with “AED/MSEB #8249”; and
 - (c) Within 24 hours of payment, email proof of payment to Kathryn P. Caballero at caballero.kathryn@epa.gov (“proof of payment” means, as applicable, a copy of the check, confirmation of credit card or debit card payment, confirmation of wire or automated clearinghouse transfer, and any other information required to demonstrate that payment has been made according to EPA requirements, in the amount due, and identified with “AED/MSEB #8249”).
30. Respondent agrees to complete the following non-penalty terms of settlement:
- (a) For 90% of the engines and equipment identified in Appendix A and not located in the State of California, relabel such engines and equipment by implementing the Field Correction Plan in Appendix B. All relabeling must be completed by December 31, 2016. Respondent shall identify which engines and equipment are not relabeled, and provide an explanation for the inability to relabel such engines and equipment to Kathryn P. Caballero at caballero.kathryn@epa.gov by February 1, 2017.
 - (b) Reprogram four imported Daimler/MTU engines identified in Appendix B to meet Tier 4 emission standards, not the Europe Stage IIIB standards, by February 29, 2016.
31. Respondent agrees to pay the following stipulated penalties to the EPA in the manner specified by Paragraph 29, not more than 30 days after receipt of written demand by the EPA for such penalties:
- (a) \$4500 per day to the United States if and when it fails to timely pay the Civil Penalty, or provide proof thereof, in accordance with Paragraph 29; and



- (b) \$100 per day per engine or equipment to the United States for failure to satisfactorily and timely relabel each engine or equipment identified in Paragraph 30(a); and
- (c) \$500 per day per engine to the United States for failure to satisfactorily and timely complete engine reprogramming identified in Paragraph 30(b).

Effect of Agreement

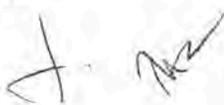
- 32. By its signature below, the EPA covenants not to sue Respondent for legal or equitable relief for the Alleged Violations of Law stated above, but such covenant automatically terminates on February 1, 2017, if on that date Respondent has failed to complete every non-penalty term required by Paragraph 30 and pay any and all stipulated penalties demanded under Paragraph 31. If and when such covenant terminates, the United States at its election may seek to compel performance of the terms of this Agreement and seek other relief in a civil administrative or judicial action under the CAA, as a matter of contract, or both.
- 33. If Respondent fails to timely pay any portion of the Civil Penalty, the EPA may:
 - (a) request that the Attorney General bring a civil action in an appropriate district court to recover: the amount assessed; interest at rates established pursuant to 26 U.S.C. § 6621(a)(2); the United States' enforcement expenses; and a 10% quarterly nonpayment penalty (see 42 U.S.C. § 7413(d)(5));
 - (b) refer the debt to a credit reporting agency or a collection agency (see 42 U.S.C. § 7413(d)(5), 40 C.F.R. §§ 13.13, 13.14, and 13.33);
 - (c) collect the debt by administrative offset (i.e., the withholding of money payable by the United States to, or held by the United States for, a person to satisfy the



- debt the person owes the United States), which includes, but is not limited to, referral to the Internal Revenue Service for offset against income tax refunds (see 40 C.F.R. Part 13, Subparts C and H); and
- (d) suspend or revoke Respondent's licenses or other privileges, or suspend or disqualify Respondent from doing business with the EPA or engaging in programs the EPA sponsors or funds (see 40 C.F.R. § 13.17).
34. Penalties paid pursuant to this Agreement are not deductible for federal tax purposes. 28 U.S.C. § 162(f).
35. This Agreement applies to and is binding upon the Respondent. Successors and assigns of Respondent are also bound if they are owned, in whole or in part, directly or indirectly, or otherwise controlled by Respondent or if they continue a substantive portion of Respondent's business that is regulated under the CAA. Nothing in the previous sentence adversely affects any right of the EPA under applicable law to assert successor or assignee liability against Respondent's successor or assignee.
36. Nothing in this Agreement shall relieve Respondent of the duty to comply with all applicable provisions of the CAA or other federal, state, or local laws or statutes, nor shall it restrict the EPA's authority to seek compliance with any applicable laws or regulations, nor shall it be construed to be a ruling on, or determination of, any issue related to any federal, state, or local certificate, license, or permit.
37. The EPA reserves the right to terminate its covenant provided by this Agreement if and to the extent the EPA finds, after signing this Agreement, that any information provided by Respondent was or is materially false or inaccurate. If and when such termination occurs, the EPA reserves the right to pursue, assess, and enforce legal and equitable remedies for



the Alleged Violations of Law. The EPA shall give Respondent written notice of such termination, which will be effective upon mailing.

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In the Matter of:

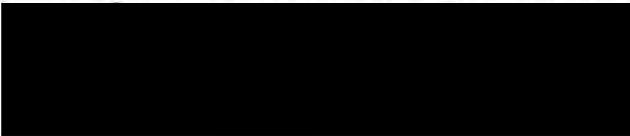
Claas of America, Inc.

Respondent.

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By my signature, I execute this Agreement on behalf of Class of America, Inc. (Claas) and thereby enter Claas into this Agreement and bind Claas to this Agreement.


Signature

1/11/16
Date

Printed Name: 

Title:

Director / President + Gen. Finance

Address:

8401 J. 132nd Street, Omaha, NE 68138

Respondent's Federal Tax Identification Number:

38-2287245

Email address for receipt of copy of Agreement: 

In the Matter of:

Claas of America, Inc.

Respondent.

Administrative Settlement Agreement

Docket No.
AED/MSEB #8249

By my signature, I execute this Agreement on behalf of the United States Environmental Protection Agency and thereby enter the EPA into this Agreement and bind the EPA to this Agreement.



Phillip A. Brooks, Director
Air Enforcement Division
Office of Civil Enforcement
Office of Enforcement and Compliance Assurance
U.S. Environmental Protection Agency
1200 Pennsylvania Ave., N.W.
Washington, DC 20460-0001

1/21/2016
Date

APPENDIX A
 Class of America, Inc. Administrative Settlement Agreement
 List of 302 Pieces of Equipment and 315 Engines with Violations

Equipment Number	Equipment Type	Model of Machine	City	State	Engine 1 Number	Engine 1 Power Rating	Engine 2 Number	Engine 2 Power Rating	Violation code
49209126	492	JAGUAR 870 T3 SPEED 4WD	BEAVER DAM	WI	942.992-00- 749811	350kW			1b
49209141	492	JAGUAR 850 T3 SPEED 4WD	LOGAN	KS	458.991-00- 206194	315kW			1b
49209153	492	JAGUAR 830 T3 SPEEDSTAR	LINDEN	WI	458.990-00- 207017	260kW			1b
49209174	492	JAGUAR 870 T3 SPEEDSTAR	Ferdale	WA	942.992-00- 755554	350kW			1b
49209186	492	JAGUAR 900	HERINGTON	KS	942.991-00- 756645	480kW			1b
49209437	492	JAGUAR 870 T3 SPEED 4WD	POLAND	ME	942.992-00- 776619	350kW			1b
49209467	492	JAGUAR 900 T3 SPEEDSTAR	HANFORD	CA	942.991-00- 778665	480kW			1b
49209468	492	JAGUAR 900 T3 SPEEDSTAR	Buckeye	AZ	942.991-00- 778648	480kW			1b
49209469	492	JAGUAR 900 T3 SPEEDSTAR	Oakdale	CA	942.991-00- 779795	480kW			1b
49209470	492	JAGUAR 900 T3 SPEEDSTAR	FRESNO	CA	942.991-00- 779746	480kW			1b
49209500	492	JAGUAR 900 T3 SPEEDSTAR	NICKERSON	KS	942.991-00- 783698	480kW			1b
49209527	492	JAGUAR 900 T3 SPEEDSTAR	HANFORD	CA	942.991-00- 787969	480kW			1b
49209528	492	JAGUAR 900 T3 SPEEDSTAR	VISALIA	CA	942.991-00- 788009	480kW			1b
49209529	492	JAGUAR 900 T3 SPEEDSTAR	HANFORD	CA	942.991-00- 787985	480kW			1b

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49209530	492	JAGUAR 900 T3 SPEEDSTAR	HANFORD	CA	942.991-00- 788058	480kW			1b
49209531	492	JAGUAR 900 T3 SPEEDSTAR	VISALIA	CA	942.991-00- 794671	480kW			1b
49209532	492	JAGUAR 900 T3 SPEEDSTAR	HANFORD	CA	942.991-00- 794962	480kW			1b
49209533	492	JAGUAR 900 T3 SPEEDSTAR	HANFORD	CA	942.991-00- 794589	480kW			1b
49209541	492	JAGUAR 830 T3 SPEED 4WD	Lafayette	IN	458.990-00- 223494	260kW			1b
49209542	492	JAGUAR 900 T3 SPEEDSTAR	HANFORD	CA	942.991-00- 795737	480kW			1b
49209543	492	JAGUAR 900 T3 SPEEDSTAR	HANFORD	CA	942.991-00- 795812	480kW			1b
49209544	492	JAGUAR 900 T3 SPEEDSTAR	HANFORD	CA	942.991-00- 796626	480kW			1b
49209545	492	JAGUAR 900 T3 SPEEDSTAR	HANFORD	CA	942.991-00- 796657	480kW			1b
49209546	492	JAGUAR 900 T3 SPEEDSTAR	HANFORD	CA	942.991-00- 796678	480kW			1b
49209547	492	JAGUAR 900 T3 SPEEDSTAR	HANFORD	CA	942.991-00- 797240	480kW			1b
49209548	492	JAGUAR 900 T3 SPEEDSTAR	HANFORD	CA	942.991-00- 797199	480kW			1b
49209549	492	JAGUAR 900 T3 SPEEDSTAR	HANFORD	CA	942.991-00- 797258	480kW			1b
49209550	492	JAGUAR 900 T3 SPEEDSTAR	VISALIA	CA	942.991-00- 796644	480kW			1b

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Equipment Number	Equipment Type	Model of Machine	City	State	Engine 1 Number	Engine 1 Power Rating	Engine 2 Number	Engine 2 Power Rating	Violation code
49209551	492	JAGUAR 900 T3 SPEEDSTAR	VISALIA	CA	942.991-00- 797287	480kW			1b
49209552	492	JAGUAR 900 T3 SPEEDSTAR	HANFORD	CA	942.991-00- 797356	480kW			1b
49209553	492	JAGUAR 900 T3 SPEEDSTAR	HANFORD	CA	942.991-00- 807882	480kW			1b
49209554	492	JAGUAR 900 T3 SPEEDSTAR	VISALIA	CA	942.991-00- 797952	480kW			1b
49209555	492	JAGUAR 900 T3 SPEEDSTAR	VISALIA	CA	942.991-00- 798239	480kW			1b
49210090	492	JAGUAR 900	PIPESTONE	MN	942.991-C- 0852776	480kW			1b
49402289	494	JAGUAR 960	FARWELL	TX	942.991-09- 011084	480kW			1b
49402291	494	JAGUAR 960	FARWELL	TX	942.991-00- 751378	480kW			1b
49402292	494	JAGUAR 960	STAFFORD	KS	942.991-00- 751452	480kW			1b
49402296	494	JAGUAR 960	MONTICELLO	WI	942.991-00- 752467	480kW			1b
49402297	494	JAGUAR 970	HOPKINS	MI	458.991-00- 206766	260kW			1b
49402297	494	JAGUAR 970	HOPKINS	MI			458.990-00- 203155	295kW	1b
49402299	494	JAGUAR 970	HOPKINS	MI	458.991-00- 206772	260kW			1b
49402299	494	JAGUAR 970	HOPKINS	MI			458.990-00- 202752	295kW	1b
49402301	494	JAGUAR 960	FARWELL	TX	942.991-00- 752628	480kW			1b
49402302	494	JAGUAR 940	BIGELOW	MN	942.992-00- 752762	350kW			1b

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49402303	494	JAGUAR 970	HOPKINS	MI	458.991-00-206779	295kW			1b
49402303	494	JAGUAR 970	HOPKINS	MI			458.990-00-202742	260kW	1b
49402306	494	JAGUAR 940	LYNDEN	WA	942.992-00-752544	350kW			1b
49402323	494	JAGUAR 970	HOPKINS	MI	458.990-00-207179	295kW			1b
49402323	494	JAGUAR 970	HOPKINS	MI			458.991-00-207209	260kW	1b
49402334	494	JAGUAR 960	ELSIE	MI	942.991-00-754405	480kW			1b
49402345	494	JAGUAR 970	HOPKINS	MI	458.990-00-207716	260kW			1b
49402345	494	JAGUAR 970	HOPKINS	MI			458.991-00-207578	295kW	1b
49402349	494	JAGUAR 970	HOPKINS	MI	458.990-00-207703	260kW			1b
49402349	494	JAGUAR 970	HOPKINS	MI			458.991-00-207856	295kW	1b
49402353	494	JAGUAR 970	HOPKINS	MI	458.991-00-207864	260kW			1b
49402353	494	JAGUAR 970	HOPKINS	MI			458.990-00-207860	295kW	1b
49402354	494	JAGUAR 960	ELSIE	MI	942.991-00-755659	480kW			1b
49402471	494	JAGUAR 960	RADISSON	WI	942.991-00-762031	480kW			1b
49402487	494	JAGUAR 960	Pigeon	MI	942.991-00-761902	480kW			1b
49402489	494	JAGUAR 960	LYONS	MI	942.991-00-761961	480kW			1b
49402495	494	JAGUAR 940	TULLY	NV	942.992-00-762578	350kW			1b

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49402505	494	JAGUAR 960	SIOUX CENTER	IA	942.991-00-762857	480kW			1b
49402521	494	JAGUAR 940	West Point	NE	942.992-00-763512	350kW			1b
49402524	494	JAGUAR 940	KAUKAUNA	WI	942.992-00-763612	350kW			1b
49402546	494	JAGUAR 960	MANHEIM	PA	942.991-00-764959	480kW			1b
49402555	494	JAGUAR 960	Marion	MI	942.991-00-764983	480kW			1b
49402625	494	JAGUAR 980	LINDEN	CA	458.991-00-213283	315kW			1b
49402625	494	JAGUAR 980	LINDEN	CA			458.991-00-213289	315kW	1b
49402641	494	JAGUAR 960	ALMA	WI	942.991-00-770233	480kW			1b
49402642	494	JAGUAR 960	Burley	ID	942.991-00-770094	480kW			1b
49402643	494	JAGUAR 960	STAFFORD	KS	942.991-00-770171	480kW			1b
49402645	494	JAGUAR 980	HILMAR	CA	458.991-00-213940	315kW			1b
49402645	494	JAGUAR 980	HILMAR	CA			458.991-00-213936	315kW	1b
49402705	494	JAGUAR 960	COOPERSVILLE	MI	942.991-00-774995	480kW			1b
49402706	494	JAGUAR 960	PERHAM	MN	942.991-00-775031	480kW			1b
49402707	494	JAGUAR 960	HILMAR	CA	942.991-00-775070	480kW			1b
49402718	494	JAGUAR 960	ENERGY	TX	942.991-00-775817	480kW			1b
49402733	494	JAGUAR 940	DODGEVILLE	WI	942.992-00-776857	350kW			1b

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Equipment Number	Equipment Type	Model of Machine	City	State	Engine 1 Number	Engine 1 Power Rating	Engine 2 Number	Engine 2 Power Rating	violation code
49402734	494	JAGUAR 960	SALEM	NY	942.991-00-776833	480kW			1b
49402735	494	JAGUAR 960	MINERAL POINT	WI	942.991-00-776921	480kW			1b
49402736	494	JAGUAR 970	HOPKINS	MI	458.991-00-216378	295kW			1b
49402736	494	JAGUAR 970	HOPKINS	MI			458.990-00-216410	260kW	1b
49402739	494	JAGUAR 970	HOPKINS	MI	458.990-00-216739	260kW			1b
49402739	494	JAGUAR 970	HOPKINS	MI			458.991-00-216711	295kW	1b
49402740	494	JAGUAR 960	MARION	MI	942.991-00-777815	480kW			1b
49402747	494	JAGUAR 940	LAWTONS	NY	942.992-00-778412	350kW			1b
49402748	494	JAGUAR 930	LODI	WI	458.991-00-217045	315kW			1b
49402749	494	JAGUAR 960	Melba	ID	942.991-00-778865	480kW			1b
49402825	494	JAGUAR 960	CHATEQUAY	NY	942.991-00-781181	480kW			1b
49402857	494	JAGUAR 960	CUBA CITY	WI	942.991-00-787275	480kW			1b
49402882	494	JAGUAR 960	Edgar	WI	942.991-00-788037	480kW			1b
49402888	494	JAGUAR 980	RIPON	CA	458.991-00-223051	315kW			1b
49402888	494	JAGUAR 980	RIPON	CA			458.991-00-220615	315kW	1b
49402904	494	JAGUAR 980	MILBANK	SD	458.991-00-223473	315kW			1b
49402904	494	JAGUAR 980	MILBANK	SD			458.991-00-223473	315kW	1b

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49402913	494	JAGUAR 960	ENERGY	TX	942.991-00-796584	480kW			1b
C490025	C49	LEXION 770 TERRA TRAC	Cando	ND	942.992-00-812071	420kW			1a
C490025	C49	LEXION 770 TERRA TRAC	PEACHLAND	NC	942.992-00-811341	420kW			1a
C490025	C49	LEXION 770 TERRA TRAC	COLUMBIA	NC	942.992-00-811252	420kW			1a
C490025	C49	LEXION 770 TERRA TRAC	LAOTTO	IN	942.992-00-811484	420kW			1a
C490025	C49	LEXION 770 TERRA TRAC	FRANKFORT	IN	942.992-00-811959	420kW			1a
C490025	C49	LEXION 770 TERRA TRAC	LEBANON	IN	942.992-00-812290	420kW			1a
C490033	C49	LEXION 770 TERRA TRAC	Carroll	NE	942.992-C-0833737	420kW			1a
49402940	494	JAGUAR 980	JOHNSON	KS	71229998012999	650kW			1c
49402952	494	JAGUAR 980	KERSEY	CO	71230048042999	650kW			1c
49403009	494	JAGUAR 980	CLAREMONT	SD	71230118093007	650kW			1c
49403014	494	JAGUAR 980	LOS CRUSES	NM	71230178093007	650kW			1c
49403017	494	JAGUAR 980	Lovilia	IA	71230088113007	650kW			1c
49403020	494	JAGUAR 980	NAZARETH	TX	71230108103007	650kW			1c
49403026	494	JAGUAR 980	GREEN BAY	WI	71230308183025	650kW			1c
49403035	494	JAGUAR 980	Stratford	TX	71230198023007	650kW			1c
49403038	494	JAGUAR 980	FARWELL	TX	71230388073025	650kW			1c
49403041	494	JAGUAR 980	Garden City	KS	71230438153025	650kW			1c
49403044	494	JAGUAR 980	MILBANK	SD	71230428073025	650kW			1c
49403050	494	JAGUAR 980	Stanfield	AZ	71230508063025	650kW			1c

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49403056	494	JAGUAR 980	Garden City	KS	71230478123025	650kW			1c
49403059	494	JAGUAR 980	LARNED	KS	71230488053025	650kW			1c
49403062	494	JAGUAR 980	LARNED	KS	71230288193025	650kW			1c
49403071	494	JAGUAR 980	Malta	ID	71230438063025	650kW			1c
49403079	494	JAGUAR 980	Carpenter	WY	71230528083033	650kW			1c
49403085	494	JAGUAR 980	CHILTON	WI	71230488143025	650kW			1c
49403089	494	JAGUAR 980	SIOUX CENTER	IA	71230508133025	650kW			1c
49403091	494	JAGUAR 970	MANHEIM	PA	64230568053033	570kW			1c
49403095	494	JAGUAR 970	Callaway	NE	64230658123033	570kW			1c
49403168	494	JAGUAR 970	Melba	ID	64230688113059	570kW			1c
49403175	494	JAGUAR 970	Boradwater	NE	64230678093059	570kW			1c
49403201	494	JAGUAR 970	LABELLE	MO	64230708043059	570kW			1c
49403203	494	JAGUAR 970	MT. STERLING	OH	64230838043077	570kW			1c
49403204	494	JAGUAR 970	WAMEGO	KS	64230838103077	570kW			1c
49403206	494	JAGUAR 980	GREENWOOD	WI	71230568023033	650kW			1c
49403207	494	JAGUAR 980	MORRIS	MN	71230478053025	650kW			1c
49403299	494	JAGUAR 980	MORRIS	MN	71230538043033	650kW			1c
49403301	494	JAGUAR 970	MOSES LAKE	WA	64231258063098	570kW			1c
49403302	494	JAGUAR 970	RITTMAN	OH	64231258033098	570kW			1c
49403303	494	JAGUAR 980	St. Louis	MI	71230558103033	650kW			1c
49403304	494	JAGUAR 970	GASPORT	NY	64231248113098	570kW			1c
49403478	494	JAGUAR 970	SHAWANO	WI	64231338073124	570kW			1c
49403479	494	JAGUAR 970	HOPKINS	MI	64231328033124	570kW			1c
49403480	494	JAGUAR 970	HOPKINS	MI	64231578073163	570kW			1c
49403482	494	JAGUAR 970	SPRUCE CREEK	PA	64231608083163	570kW			1c
49403483	494	JAGUAR 970	SPRUCE CREEK	PA	64231408043138	570kW			1c
49403485	494	JAGUAR 970	NEWBERRY	SC	64231578103163	570kW			1c

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49403523	494	JAGUAR 980	Mill Hall	PA	71230558133033	650kW			1c
49403608	494	JAGUAR 970	HOPKINS	MI	64231978033173	570kW			1c
49403609	494	JAGUAR 970	Burley	ID	64231608043163	570kW			1c
49403610	494	JAGUAR 980	ORTLY	SD	71231268083112	650kW			1c
49403611	494	JAGUAR 980	GOODWIN	SD	71231748113173	650kW			1c
49403612	494	JAGUAR 980	GALESVILLE	WI	71231888103190	650kW			1c
49403613	494	JAGUAR 980	Waupun	WI	71231928083190	650kW			1c
49403614	494	JAGUAR 980	ALMA	WI	71231978073155	650kW			1c
49403615	494	JAGUAR 980	Columbia Cross Roads	PA	71231278073112	650kW			1c
49403628	494	JAGUAR 970	Sunnyside	WA	64232008083190	570kW			1c
49403640	494	JAGUAR 980	Waupun	WI	71231278083112	650kW			1c
49403642	494	JAGUAR 980	BEAVER DAM	WI	71230768133050	650kW			1c
49403643	494	JAGUAR 980	Manter	KS	71231928123200	650kW			1c
49403644	494	JAGUAR 970	ROARING RIVER	NC	64232098033181	570kW			1c
49403645	494	JAGUAR 980	Humphrey	NE	71231928033190	650kW			1c
49403647	494	JAGUAR 970	Melba	ID	64232008073181	570kW			1c
49403648	494	JAGUAR 980	MILFORD	IN	71231938073190	650kW			1c
49403649	494	JAGUAR 980	MURDOCK	MIN	71231938033190	650kW			1c
49403716	494	JAGUAR 980	Jerome	ID	71232228013224	650kW			1c
49403717	494	JAGUAR 980	Shoshone	ID	71232398023234	650kW			1c
49403816	494	JAGUAR 970	WAYNESBORO	GA	64232448083242	570kW			1c
49403821	494	JAGUAR 980	LISBON	NY	71232418023242	650kW			1c
49403003	494	JAGUAR 980	CHOWCHILLA	CA	71230078063007	650kW			1c3
49403006	494	JAGUAR 980	CHOWCHILLA	CA	71230088053007	650kW			1c3
49403023	494	JAGUAR 980	BAKERSFIELD	CA	71230418023025	650kW			1c3
49403029	494	JAGUAR 980	Hilmar	CA	71230358043025	650kW			1c3

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49403068	494	JAGUAR 980	HILLMAR	CA	71230488113025	650kW			1c3
49403153	494	JAGUAR 970	HANFORD	CA	64230688063050	570kW			1c3
49403161	494	JAGUAR 970	HANFORD	CA	64230688153059	570kW			1c3
49403646	494	JAGUAR 980	Hilmar	CA	71232108013224	650kW			1c3
49403820	494	JAGUAR 980	TURLOCK	CA	71232418083242	650kW			1c3
49403923	494	JAGUAR 980	MANITOWOC	WI	71232948113286	650kW			4
49403925	494	JAGUAR 970	NEW WINDSOR	MID	64232758043286	570kW			4
49403927	494	JAGUAR 980	Stanton	KS	71232938113286	650kW			4
49403929	494	JAGUAR 980	CLAYTON	NM	71232938063286	650kW			4
49403931	494	JAGUAR 980	Wildorado	TX	71232888093294	650kW			4
49403932	494	JAGUAR 980	Wildorado	TX	71232898083294	650kW			4
49403933	494	JAGUAR 980	CLAYTON	NM	71232898053286	650kW			4
49403934	494	JAGUAR 980	MORRIS	MN	71232928053294	650kW			4
49403935	494	JAGUAR 980	Stanton	KS	71232958073304	650kW			4
49403985	494	JAGUAR 980	MERCED	CA	71233348073338	650kW			34
49403986	494	JAGUAR 980	Bakersfield	CA	71233418033330	650kW			34
49403987	494	JAGUAR 980	MERCED	CA	71233358053338	650kW			34
49403988	494	JAGUAR 980	Menasha	WI	71233428043330	650kW			4
49403989	494	JAGUAR 980	BAKERSFIELD	CA	71233378063338	650kW			34
49403990	494	JAGUAR 980	MILLIKEN	CO	71233388093330	650kW			4
49403991	494	JAGUAR 980	YUMA	CO	71233368113321	650kW			4
49403996	494	JAGUAR 980	GARDEN CITY	KS	71233418093330	650kW			4
49404000	494	JAGUAR 980	MILLIKEN	CO	71233478023346	650kW			4
49404002	494	JAGUAR 970	Shippensburg	PA	64233468093338	570kW			4
49404030	494	JAGUAR 980	CLAYTON	NM	71233468103346	650kW			4
49404031	494	JAGUAR 980	Stratford	TX	71233448123338	650kW			4
49404034	494	JAGUAR 980	OMAHA	NE	71233468013338	650kW			4

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49404096	494	JAGUAR 970	NEWBURY	FL	64233478113338	570kW			4
49404099	494	JAGUAR 970	AMERICUS	GA	64233508123330	570kW			4
49404105	494	JAGUAR 970	Merced	CA	64233598113356	570kW			3 4
49404140	494	JAGUAR 980	Dumas	TX	71233648033338	650kW			4
49404142	494	JAGUAR 970	MABTON	WA	64233618133365	570kW			4
49404144	494	JAGUAR 980	Dumas	TX	71233588013356	650kW			4
49404199	494	JAGUAR 970	SARANAC	MI	64233828143383	570kW			4
49404200	494	JAGUAR 970	Stafford	KS	64233868113391	570kW			4
49404201	494	JAGUAR 970	BALTIC	SD	64233928053391	570kW			4
49404202	494	JAGUAR 970	Stafford	KS	64234048083412	570kW			4
49404203	494	JAGUAR 970	Arkport	NY	64234048063412	570kW			4
49404204	494	JAGUAR 970	Stafford	KS	64233928083391	570kW			4
49404205	494	JAGUAR 970	LABELLE	MO	64233928063391	570kW			4
49404206	494	JAGUAR 970	DALTON	OH	64233928113391	570kW			4
49404207	494	JAGUAR 970	COUNCIL GROVE	KS	64234078063425	570kW			4
49404210	494	JAGUAR 980	VEBLEN	SD	71233678113365	650kW			4
49404211	494	JAGUAR 980	DALHART	TX	71233958023383	650kW			4
49404212	494	JAGUAR 980	Stratford	TX	71233948103383	650kW			4
49404213	494	JAGUAR 980	MILFORD	IN	71233918053373	650kW			4
49404214	494	JAGUAR 980	MORRIS	MN	71233978113383	650kW			4
49404217	494	JAGUAR 980	MORRIS	MN	71233948023383	650kW			4
49404274	494	JAGUAR 980	CLAYTON	NM	71234438053440	650kW			4
49404275	494	JAGUAR 970	ELAND	WI	64234378033412	570kW			4
49404276	494	JAGUAR 980	MENDON	MI	71234398033425	650kW			4
49404277	494	JAGUAR 970	MALONE	WI	64234368033425	570kW			4
49404278	494	JAGUAR 970	ROARING RIVER	NC	64234378063412	570kW			4

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49404280	494	JAGUAR 970	PLYMOUTH	NE	64234408093440	570kW			4
49404281	494	JAGUAR 980	ORTLY	SD	71234408073425	650kW			4
49404283	494	JAGUAR 970	PLYMOUTH	NE	64234398053440	570kW			4
49404284	494	JAGUAR 970	TRENTON	FL	64234368103412	570kW			4
49404285	494	JAGUAR 970	Billings	MT	64234528083446	570kW			4
49404286	494	JAGUAR 970	Billings	MT	64234528073446	570kW			4
49404305	494	JAGUAR 980	CLAYTON	NM	712344488053425	650kW			4
49404306	494	JAGUAR 980	CLAYTON	NM	71234448093440	650kW			4
49404309	494	JAGUAR 980	CLAYTON	NM	71234448063440	650kW			4
49404311	494	JAGUAR 980	FT. MORGAN	CO	71234528023440	650kW			4
49404344	494	JAGUAR 980	NAZARETH	TX	71234548123446	650kW			4
49404346	494	JAGUAR 980	HANCOCK	MN	71234528113446	650kW			4
49404348	494	JAGUAR 980	BLADEN	NE	71234548093446	650kW			4
49404349	494	JAGUAR 980	GREELEY	CO	71234568113468	650kW			4
49404351	494	JAGUAR 980	IMPERIAL	NE	71234558063468	650kW			4
49404357	494	JAGUAR 970	VERGENNES	VT	64234638023446	570kW			4
49404359	494	JAGUAR 970	Arkport	NY	64234668123468	570kW			4
49404360	494	JAGUAR 970	EAGLE BEND	MN	64234638053468	570kW			4
49404385	494	JAGUAR 970	Lincolnville	KS	64234708123476	570kW			4
49404386	494	JAGUAR 970	HERINGTON	KS	64234678123468	570kW			4
49404388	494	JAGUAR 970	BEAVER DAM	WI	64234678073468	570kW			4
49404389	494	JAGUAR 980	YUMA	CO	71234828073492	650kW			4
49404390	494	JAGUAR 980	BUCKEYE	AZ	71234688133468	650kW			4
49404391	494	JAGUAR 980	Johnson	KS	71234688083468	650kW			4
49404421	494	JAGUAR 970	HERINGTON	KS	64234758133476	570kW			4
49404422	494	JAGUAR 970	Minneapolis	KS	64234758123476	570kW			4
49404476	494	JAGUAR 970	LOYAL	WI	64234808083468	570kW			4

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49404483	494	JAGUAR 970	NICKERSON	KS	64234968073484	570kW			4
49404484	494	JAGUAR 970	Burley	ID	64234948023492	570kW			4
49404485	494	JAGUAR 970	Park City	KS	64234948093492	570kW			4
49404486	494	JAGUAR 970	MABTON	WA	64234988043502	570kW			4
49404487	494	JAGUAR 980	IRRIGON	OR	71234978043502	650kW			4
49404488	494	JAGUAR 980	Lovington	NM	71234938123502	650kW			4
49404489	494	JAGUAR 970	ALMA	MI	64234978103502	570kW			4
49404490	494	JAGUAR 980	IRRIGON	OR	71234968063502	650kW			4
49404491	494	JAGUAR 980	NAZARETH	TX	71234918113502	650kW			4
49404492	494	JAGUAR 980	Stratford	TX	71234948113502	650kW			4
49404493	494	JAGUAR 980	Twin Falls	ID	71234928093502	650kW			4
49404494	494	JAGUAR 970	PLAINVIEW	MN	64234968133492	570kW			4
49404495	494	JAGUAR 980	WENDELL	ID	71234968123502	650kW			4
49404497	494	JAGUAR 980	KERSEY	CO	71234938063502	650kW			4
49404522	494	JAGUAR 980	DE PERE	WI	71234988053502	650kW			4
49404524	494	JAGUAR 980	Declo	ID	71234988063502	650kW			4
49404525	494	JAGUAR 980	Manter	KS	71234988093502	650kW			4
49404527	494	JAGUAR 980	VAN DYNE	WI	71235118123520	650kW			4
49404528	494	JAGUAR 980	BAD AXE	MI	71235088093511	650kW			4
49404529	494	JAGUAR 970	ADDISON	VT	64234968113492	570kW			4
49404530	494	JAGUAR 980	SIOUX CENTER	IA	71235108013511	650kW			4
49404531	494	JAGUAR 980	Johnson	KS	71235068083511	650kW			4
49404533	494	JAGUAR 980	Stratford	TX	71234988073511	650kW			4
49404534	494	JAGUAR 980	BORUP	MN	71235108063511	650kW			4
49404535	494	JAGUAR 980	WENDELL	ID	71235088033511	650kW			4
49404536	494	JAGUAR 980	Stanton	KS	71235068053511	650kW			4
49404563	494	JAGUAR 980	BURLEY	ID	71234988103502	650kW			4

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49404564	494	JAGUAR 980	Wrightstown	WI	71234978123502	650kW			4
49404565	494	JAGUAR 980	MANNINGVILLE	NY	71235068113502	650kW			4
49404568	494	JAGUAR 970	HOPKINS	MI	64235088063511	570kW			4
49404570	494	JAGUAR 970	LOLA	WI	64235088113511	570kW			4
49404590	494	JAGUAR 980	REEDSVILLE	WI	71235048093502	650kW			4
49404593	494	JAGUAR 980	GOODWIN	SD	71234998043502	650kW			4
49404594	494	JAGUAR 980	GREENLEAF	WI	71235048123502	650kW			4
49404595	494	JAGUAR 980	Omaha	NE	71235218113520	650kW			4
49404596	494	JAGUAR 980	DEPERE	WI	71235178123520	650kW			4
49404597	494	JAGUAR 980	MALONE	WI	71235148083520	650kW			4
49404598	494	JAGUAR 980	IRRIGON	OR	71235158033520	650kW			4
49404599	494	JAGUAR 980	ALMA	WI	71235188083520	650kW			4
49404601	494	JAGUAR 980	TWIN FALLS	ID	71235228043520	650kW			4
49404620	494	JAGUAR 980	Burley	ID	71235238113538	650kW			4
49404623	494	JAGUAR 980	Hubbard	NE	71235278113538	650kW			4
49404624	494	JAGUAR 980	JOHNSON	KS	71235268043538	650kW			4
49404625	494	JAGUAR 970	Winchester	IN	64235238043520	570kW			4
49404626	494	JAGUAR 980	Ft. Morgan	CO	71235278023538	650kW			4
49404627	494	JAGUAR 980	RICHLAND	MI	71235298083538	650kW			4
49404628	494	JAGUAR 980	SAINT LOUIS	MO	71235308093538	650kW			4
49404629	494	JAGUAR 980	AUBURN	NY	71235038043502	650kW			4
49404631	494	JAGUAR 970	HOPKINS	MI	64235268073528	570kW			4
49404632	494	JAGUAR 970	HOPKINS	MI	64235278083528	570kW			4
49404633	494	JAGUAR 980	Las Cruces	NM	71235388023546	650kW			4
49404634	494	JAGUAR 980	OCONTO FALLS	WI	71235308053538	650kW			4
49404674	494	JAGUAR 970	HUTCHINSON	KS	64234998033502	570kW			4
49404684	494	JAGUAR 980	Burley	ID	71235298113538	650kW			4

APPENDIX A

Class of America, Inc. Administrative Settlement Agreement
List of 302 Pieces of Equipment and 315 Engines with Violations

Equipment Number	Equipment Type	Model of Machine	City	State	Engine 1 Number	Engine 1 Power Rating	Engine 2 Number	Engine 2 Power Rating	Violation code
49404691	494	JAGUAR 970	GREEN BAY	WI	64235228063520	570kW			4
49700012	497	JAGUAR 980	Dimmit	TX	71234148043412	650kW			4
49700016	497	JAGUAR 980	Wildorado	TX	71234128043412	650kW			4
49700018	497	JAGUAR 970	OMAHA	NE	64234068053412	570kW			4
49700019	497	JAGUAR 970	WAMEGO	KS	64234368043425	570kW			4
49402397	494	JAGUAR 930	Caledonia	MIN	458.992-00-208706	335kW			5 7
49403000	494	JAGUAR 940	Casa Grande	AZ	942.993-00-809872	375kW			5 7
49403004	494	JAGUAR 940	Las Cruces	NM	942.993-00-809860	375kW			5 7
49403007	494	JAGUAR 950	RIPON	CA	942.993-00-809864	440kW			5 7
49401906	494	JAGUAR 970	Beryl	UT	64227588142737	570kW			2
49402126	494	JAGUAR 980	FOND DU LAC	WI	71227498062746	650kW			2
49402129	494	JAGUAR 970	WALDO	WI	64228078012810	570kW			2
49402169	494	JAGUAR 980	GREENLEAF	WI	71227508032746	650kW			2

APPENDIX B
Field Correction Plan

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1.1 Missing TPEM engine labels on CLAAS combines with Daimler/MTU engines

Consecutive number	Engine Number	State
1	942.992-00-812071	ND
2	942.992-00-811341	NC
3	942.992-00-811252	NC
4	942.992-00-811484	IN
5	942.992-00-811959	IN
6	942.992-00-812290	IN
7	942.992-C-0833737	NE

In total 7 engines.

CLAAS will inform each affected dealer by mail (providing a specific service bulletin).

CLAAS will send each dealer the needed labels for their machines.

Dealer will contact the customer by phone and visit him onsite for the rework.

After the rework is done dealer has to report to CLAAS (to get his work paid by CLAAS and that CLAAS has an overview on rework status).

Work instruction for the dealer:

Add red marked engine label next to existing green marked equipment label



1.2 Missing TPDM equipment and engine label on CLAAS foragers with Daimler/MTU engines

Consecutive number	Engine Number	State
1	942.992-00-749811	WI
2	458.991-00-206194	KS
3	458.990-00-207017	WI
4	942.992-00-755554	WA
5	942.991-00-756645	KS
6	458.990-00-209123	SK
7	942.992-00-776619	ME
8	942.991-00-778665	CA
9	942.991-00-778648	AZ
10	942.991-00-779795	CA
11	942.991-00-779746	CA
12	942.991-00-783698	KS
13	942.991-00-787969	CA
14	942.991-00-788009	CA
15	942.991-00-787985	CA
16	942.991-00-788058	CA
17	942.991-00-794671	CA
18	942.991-00-794962	CA
19	942.991-00-794589	CA
20	458.990-00-223494	IN
21	942.991-00-795737	CA
22	942.991-00-795812	CA
23	942.991-00-796626	CA
24	942.991-00-796657	CA
25	942.991-00-796678	CA
26	942.991-00-797240	CA
27	942.991-00-797199	CA
28	942.991-00-797258	CA
29	942.991-00-796644	CA
30	942.991-00-797287	CA
31	942.991-00-797356	CA
32	942.991-00-807882	CA
33	942.991-00-797952	CA
34	942.991-00-798239	CA
35	942.991-C-0852776	MN
36	942.991-09-011084	TX
37	942.991-00-751378	TX
38	942.991-00-751452	KS
39	942.991-00-752467	WI
40	458.991-00-206766	MI
41	458.990-00-203155	MI
42	458.991-00-206772	MI
43	458.990-00-202752	MI
44	942.991-00-752628	TX
45	942.992-00-752762	MN
46	458.991-00-206779	MI
47	458.990-00-202742	MI

48	942.992-00-752544	WA
49	458.990-00-207179	MI
50	458.991-00-207209	MI
51	942.991-00-754405	MI
52	458.990-00-207716	MI
53	458.991-00-207578	MI
54	458.990-00-207703	MI
55	458.991-00-207856	MI
56	458.991-00-207864	MI
57	458.990-00-207860	MI
58	942.991-00-755659	MI
59	942.991-00-762031	WI
60	942.991-00-761902	MI
61	942.991-00-761961	MI
62	942.992-00-762578	NY
63	942.991-00-762857	IA
64	942.992-00-763512	NE
65	942.992-00-763612	WI
66	942.991-00-764959	PA
67	942.991-00-764983	MI
68	458.991-00-213283	CA
69	458.991-00-213289	CA
70	942.991-00-770233	WI
71	942.991-00-770094	ID
72	942.991-00-770171	KS
73	458.991-00-213940	CA
74	458.991-00-213936	CA
75	942.991-00-774995	MI
76	942.991-00-775031	MN
77	942.991-00-775070	CA
78	942.991-00-775817	TX
79	942.992-00-776857	WI
80	942.991-00-776833	NY
81	942.991-00-776921	WI
82	458.991-00-216378	MI
83	458.990-00-216410	MI
84	458.990-00-216739	MI
85	458.991-00-216711	MI
86	942.991-00-777815	MI
87	942.992-00-778412	NY
88	458.991-00-217045	WI
89	942.991-00-778865	ID
90	942.991-00-781181	NY
91	942.991-00-787275	WI
92	942.991-00-788037	WI
93	458.991-00-223051	CA
94	458.991-00-220615	CA
95	458.991-00-223473	SD
96	458.991-00-223473	SD
97	942.991-00-796584	TX

In total 97 engines.

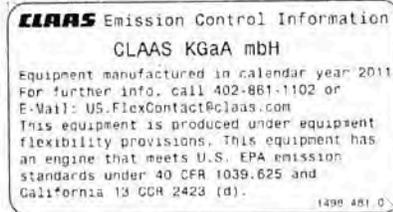
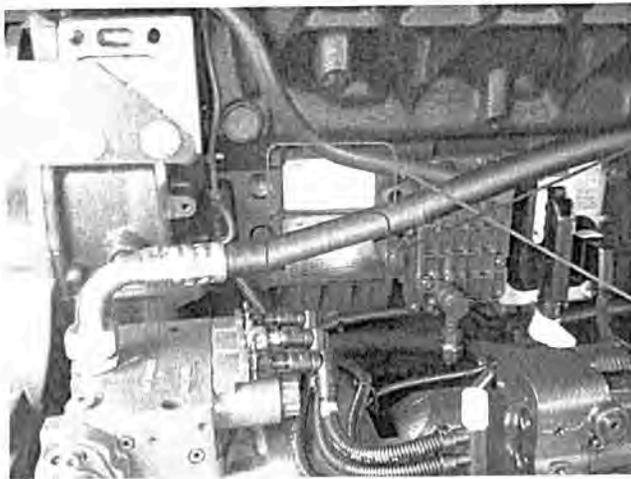
CLAAS will inform each affected dealer by mail (providing a specific service bulletin).
CLAAS will send each dealer the needed labels for their machines.
Dealer will contact the customer by phone and visit him onsite for the rework.
After the rework is done dealer has to report to CLAAS (to get his work payed by CLAAS and that CLAAS has an overview on rework status).

Work instruction for the dealer:

Add red marked engine label

Add blue marked equipment label

The picture shows a Daimler / MTU OM 502 LA at a Type 492 / 494 as an example



1.3 Missing TPDM equipment label on CLAAS foragers with MAN engines

Consecutive number	Engine Number	State
1	71229998012999	KS
2	71230048042999	CO
3	71230118093007	SD
4	71230178093007	NM
5	71230088113007	IA
6	71230108103007	TX
7	71230308183025	WI
8	71230198023007	TX
9	71230388073025	TX
10	71230438153025	KS
11	71230428073025	SD
12	71230508063025	AZ
13	71230478123025	KS
14	71230488053025	KS
15	71230288193025	KS
16	71230438063025	ID
17	71230528083033	WY
18	71230488143025	WI
19	71230508133025	IA
20	64230568053033	PA
21	64230658123033	NE
22	64230688113059	ID
23	64230678093059	NE
24	64230708043059	MO
25	64230838043077	OH
26	64230838103077	KS
27	71230568023033	WI
28	71230478053025	MN
29	71230538043033	MN
30	64231258063098	WA
31	64231258033098	OH
32	71230558103033	MI
33	64231248113098	NY
34	64231338073124	WI
35	64231328033124	MI
36	64231578073163	MI
37	64231608083163	PA
38	64231408043138	PA
39	64231578103163	SC
40	71230558133033	PA
41	64231978033173	MI
42	64231608043163	ID
43	71231268083112	SD
44	71231748113173	SD
45	71231888103190	WI
46	71231928083190	WI
47	71231978073155	WI

48	71231278073112	SD
49	64232008083190	WA
50	71231278083112	WI
51	71230768133050	WI
52	71231928123200	KS
53	64232098033181	NC
54	71231928033190	NE
55	64232008073181	ID
56	71231938073190	IN
57	71231938033190	MN
58	71232228013224	ID
59	71232398023234	ID
60	64232448083242	GA
61	71232418023242	NY
62	71230078063007	CA
63	71230088053007	CA
64	71230418023025	CA
65	71230358043025	CA
66	71230488113025	CA
67	64230688063050	CA
68	64230688153059	CA
69	71232108013224	CA
70	71232418083242	CA

In total 70 engines.

CLAAS will contact the dealer network and provide them the needed labels.

Work instruction for the dealer:

Add red marked equipment label next to existing green marked engine label



CLAAS Emission Control Information
CLAAS KGaA mbH
 Equipment manufactured in calendar year 2011
 For further info, call 402-861-1102 or
 E-Mail: US.FlexContact@claas.com
 This equipment is produced under equipment
 flexibility provisions. This equipment has
 an engine that meets U.S. EPA emission
 standards under 40 CFR 1039.625.

2402 998.0

1.4 Wrong labels on equipment/engines with MAN engines, which were prototype engines

Consecutive number	Engine Number	State
1	64227588142737	UT
2	71227498062746	WI
3	64228078012810	WI
4	71227508032746	WI

In total 4 engines.

CLAAS will inform each affected dealer by mail (providing a specific service bulletin).

CLAAS will send each dealer the needed labels for their machines.

Dealer will contact the customer by phone and visit him onsite for the rework.

After the rework is done dealer has to report to CLAAS (to get his work payed by CLAAS and that CLAAS has an overview on rework status).

Work instruction for the dealer:

Remove all labels at oil sump (exemption label)

Add green marked engine label



Language of the label:

"THIS ENGINE MEETS U.S. EPA EMISSION STANDARDS UNDER 40 CFR 1039.625. SELLING OR INSTALLING THIS ENGINE FOR ANY PURPOSE OTHER THAN FOR THE EQUIPMENT FLEXIBILITY PROVISIONS OF 40 CFR 1039.625 MAY BE A VIOLATION OF FEDERAL LAW SUBJECT TO CIVIL PENALTY."

See also the following picture (white fields will be filled individually for each engine).

MAN Truck & Bus AG		
Emission Control Information		
Model Year	Displacement (l)	Rating kW
Engine Number / Serial No	Engine Code / Mode	Speed rpm
Engine Family Name	Corrected Absorption Value	
-----	m-1	
This Engine Conforms to	-----	

ONLY FOR EPA		Useful life
Engine Family	Emission Stand. or Approval no.	HRS/YRS
	40 CFR 1039.625	8000/10
This engine meets U.S. EPA Emission standards under 40 CFR 1039.625. Selling or installing this engine for any purpose other than for the equipment flexibility provisions of 40 CFR 1039.625 may be a violation of federal law subjected to civil penalty.		

1.5 Missing executive order for State of California for MAN engines in forage harvesters

Consecutive number	Engine Number	State
1	71230078063007	CA
2	71230088053007	CA
3	71230418023025	CA
4	71230358043025	CA
5	71230488113025	CA
6	64230688063050	CA
7	64230688153059	CA
8	71232108013224	CA
9	71232418083242	CA
10	71233348073338	CA
11	71233418033330	CA
12	71233358053338	CA
13	71233378063338	CA
14	64233598113356	CA

In total 14 engines.

No rework necessary as previously addressed by the State of California in a separate settlement.

1.6 Wrong equipment TPEM labels on forage harvesters with MAN engines

Consecutive number	Engine Number	State
1	71232948113286	WI
2	64232758043286	MD
3	71232938113286	KS
4	71232938063286	NM
5	71232888093294	TX
6	71232898083294	TX
7	71232898053286	NM
8	71232928053294	MN
9	71232958073304	KS
10	71233428043330	WI
11	71233388093330	CO
12	71233368113321	CO
13	71233418093330	KS
14	71233478023346	CO
15	64233468093338	PA
16	71233468103346	NM
17	71233448123338	TX
18	71233468013338	NE
19	64233478113338	FL
20	64233508123330	GA
21	71233648033338	TX
22	64233618133365	WA
23	71233588013356	TX
24	64233828143383	MI
25	64233868113391	KS
26	64233928053391	SD
27	64234048083412	KS
28	64234048063412	NY
29	64233928083391	KS
30	64233928063391	MO
31	64233928113391	OH
32	64234078063425	KS
33	71233678113365	SD
34	71233958023383	TX
35	71233948103383	TX
36	71233918053373	IN
37	71233978113383	MN
38	71233948023383	MN
39	71234438053440	NM
40	64234378033412	WI
41	71234398033425	MI
42	64234368033425	WI
43	64234378063412	NC
44	64234408093440	NE
45	71234408073425	SD
46	64234398053440	NE
47	64234368103412	FL

48	64234528083446	MT
49	64234528073446	MT
50	71234488053425	NM
51	71234448093440	NM
52	71234448063440	NM
53	71234528023440	CO
54	71234548123446	TX
55	71234528113446	MN
56	71234548093446	NE
57	71234568113468	CO
58	71234558063468	NE
59	64234638023446	VT
60	64234668123468	NY
61	64234638053468	MN
62	64234708123476	KS
63	64234678123468	KS
64	64234678073468	WI
65	71234828073492	CO
66	71234688133468	AZ
67	71234688083468	KS
68	64234758133476	KS
69	64234758123476	KS
70	64234808083468	WI
71	64234968073484	KS
72	64234948023492	ID
73	64234948093492	KS
74	64234988043502	WA
75	71234978043502	OR
76	71234938123502	NM
77	64234978103502	MI
78	71234968063502	OR
79	71234918113502	TX
80	71234948113502	TX
81	71234928093502	ID
82	64234968133492	MN
83	71234968123502	ID
84	71234938063502	CO
85	71234988053502	WI
86	71234988063502	ID
87	71234988093502	KS
88	71235118123520	WI
89	71235088093511	MI
90	64234968113492	VT
91	71235108013511	IA
92	71235068083511	KS
93	71234988073511	TX
94	71235108063511	MN
95	71235088033511	ID
96	71235068053511	KS
97	71234988103502	ID
98	71234978123502	WI
99	71235068113502	NY
100	64235088063511	MI

101	64235088113511	WI
102	71235048093502	WI
103	71234998043502	SD
104	71235048123502	WI
105	71235218113520	NE
106	71235178123520	WI
107	71235148083520	WI
108	71235158033520	OR
109	71235188083520	WI
110	71235228043520	ID
111	71235238113538	ID
112	71235278113538	NE
113	71235268043538	KS
114	64235238043520	IN
115	71235278023538	CO
116	71235298083538	MI
117	71235308093538	MO
118	71235038043502	NY
119	64235268073528	MI
120	64235278083528	MI
121	71235388023546	NM
122	71235308053538	WI
123	64234998033502	KS
124	71235298113538	ID
125	64235228063520	WI
126	71234148043412	TX
127	71234128043412	TX
128	64234068053412	NE
129	64234368043425	KS
130	71233348073338	CA
131	71233418033330	CA
132	71233358053338	CA
133	71233378063338	CA
134	64233598113356	CA

In total 134 engines.

CLAAS will inform each affected dealer by mail (providing a specific service bulletin).

CLAAS will send each dealer the needed labels for their machines.

Dealer will contact the customer by phone and visit him onsite for the rework.

After the rework is done dealer has to report to CLAAS (to get his work payed by CLAAS and that CLAAS has an overview on rework status).

Work instruction for the dealer:

Remove red marked equipment **label** and **add** green marked equipment **label** next to the MAN engine label.



CLAAS Emission Control Information

CLAAS KGaA mbH

Equipment manufactured in calendar year 2013
For further info, call 402-861-1102 or
E-Mail: US.FlexContact@claas.com
This equipment is produced under equipment
flexibility provisions. This equipment has
an engine that meets U.S. EPA emission
standards under 40 CFR 1039.625.

114 534.0

CLAAS Emission Control Information

CLAAS KGaA mbH

Equipment manufactured in calendar year 2013
For further info, call 402-861-1102 or
E-Mail: US.FlexContact@claas.com
This equipment is produced under equipment
flexibility provisions. This equipment has
an engine that meets U.S. EPA emission
standards under 40 CFR 1039.625 and
California 13 CCR 2423 (d).

014 079.2

1.7 TPEM equipment labels on forage harvesters with Daimler/MTU Tier 4i engines

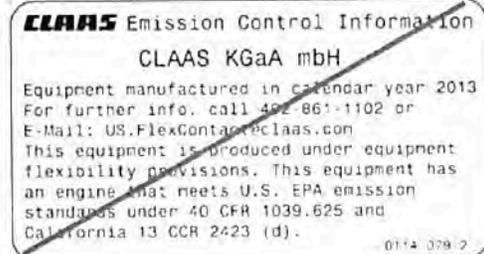
Consecutive number	Engine Number	State
1	458.992-00-208706	MN
2	942.993-00-809872	AZ
3	942.993-00-809860	NM
4	942.993-00-809864	CA

In total 4 engines.

CLAAS will inform each affected dealer by mail (providing a specific service bulletin). Dealer will contact the customer by phone and visit him onsite for the rework. After the rework is done dealer has to report to CLAAS (to get his work payed by CLAAS and that CLAAS has an overview on rework status).

Work instruction for the dealer:

Check each machine and remove the CLAAS equipment label if it's on the engine!



1.8 Europe Stage IIIB data set instead of EPA Tier 4i data set

Consecutive number	Engine Number	State
1	458.992-00-208706	MN
2	942.993-00-809872	AZ
3	942.993-00-809860	NM
4	942.993-00-809864	CA

In total 4 engines.

CLAAS will inform each affected dealer by mail (providing a specific service bulletin).

CLAAS will send each dealer the needed label for their machine including new engine ECUs and a detailed instruction about the ECU change process (do the hardware change, contact Daimler via phone or mail to get a release code for activating the ECU).

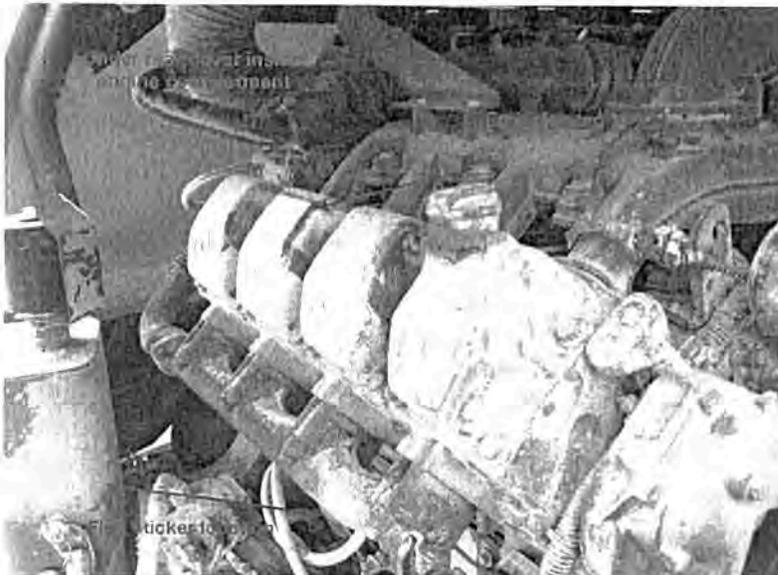
Dealer will contact the customer by phone and visit him onsite for the rework.

After the rework is done dealer has to send back to old ECU to CLAAS and to report to CLAAS (to get his work payed by CLAAS after the old ECU is back and that CLAAS has an overview on rework status).

Work instruction for the dealer:

Remove Europe Stage IIIB label, change engine ECUs to EPA Tier 4i (according the detailed explained change process) and add the **EPA emission label** from Daimler/ MTU.

Send back the old ECU to CLAAS.



Language of the EPA emission label:

EMISSION CONTROL INFORMATION

Daimler AG Stuttgart, Germany

Mercedes Benz engine model: _____

Engine family _____

Power category _____

Engine displacement (in liters) _____

Emission-control system _____

Date of engine manufacture _____

THIS ENGINE CONFIRMS to U.S. EPA, California and Canada REGULATIONS FOR [MODEL YEAR] NONROAD DIESEL ENGINES.”.

ULTRA LOW SULFUR FUEL ONLY'....

See also the following picture (white fields will be filled individually for each engine).

