

**Fiscal Year 2016 Funding  
State Clean Diesel Grant Program  
Notice of Intent to Participate**

To: EPA Office of Transportation and Air Quality, [cleandiesel@epa.gov](mailto:cleandiesel@epa.gov)

From: [State or Territory Name]<sup>1,2</sup> [State or Territory Environmental Commissioner or Authorized official]

Re: Notice of Intent to Participate in the Fiscal Year 2016 Diesel Emissions Reduction Act State Clean Diesel Grant Program

Date: [Date]

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**GENERAL INFORMATION**

The State or Territory of [State or Territory Name] submits this Fiscal Year (FY) 2016 *Notice of Intent to Participate* (Notice) that is due on or before **Friday, March 18, 2016 4:00 PM Pacific Time** to [cleandiesel@epa.gov](mailto:cleandiesel@epa.gov). This Notice identifies plans for submitting an application to receive FY 2016 funding through the State Clean Diesel Grant Program.

Allowable activities are described in the Diesel Emissions Reduction Act of 2010 (DERA), 42 USC 16131 *et seq.* and the FY 2016 State Clean Diesel Guide. All projects funded with FY 2016 State Clean Diesel Program funds, cost-share funds, and state matching funds (as applicable) must meet all funding eligibility requirements described in the FY 2016 State Clean Diesel Guide.<sup>3</sup> Additionally, states and territories must select projects in accordance with the same statutory priorities as defined in DERA.

Please note that in order to participate in the FY 2016 State Clean Diesel Program, all states and territories that have a currently open FY 2013 or earlier DERA State Clean Diesel Program grant must complete all work and incur all costs for their open State Clean Diesel Program grant by September 30, 2016. States or territories that wish to receive FY 2016 State Clean Diesel Program funding, but have yet to drawdown 90 percent or more of the funds on their currently open FY 2013 or earlier grant must submit an updated work plan and schedule for the open grant. The updated work plan and schedule must detail the state's or territory's plan, as approved by their EPA Regional Project Officer, to complete all work and incur all costs by September 30, 2016. This plan must accompany this Notice of Intent to Participate.

Financial drawdowns for work completed by September 30, 2016 may be processed during the 90 day grant close out period.

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<sup>1</sup> "States" include all fifty U.S. States and the District of Columbia.

<sup>2</sup> "Territories" include the Commonwealth of Puerto Rico, the United States Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, and Guam.

<sup>3</sup> EPA will consider, on a case-by-case basis, waiver requests from programmatic terms and conditions. Waivers will only be considered for specific non-threshold or non-statute requirements. States or territories must obtain EPA approval for any waiver request before conducting any work on a project involving a waiver request.

**CONTACT INFORMATION – Please fill in the following table.**

	<u>Programmatic Contact</u>	<u>Financial Contact</u>
<b>Name:</b>		
<b>Title:</b>		
<b>Department/Office</b>		
<b>Email:</b>		
<b>Phone:</b>		
<b>Fax:</b>		
<b>Mailing Address:</b>		

**FUNDING ALLOCATION**

The State or Territory of [State or Territory Name] understands that State Clean Diesel Program funds for FY 2016 will be allocated based on the formula outlined at 42 USC 16133(c). Specifically, if all 51 states and all eligible territories participate in the State Clean Diesel Program, each state and Puerto Rico will receive a base allocation of 1.887 percent of 2/3 of FY 2016 funds appropriated to the DERA State Clean Diesel Program (approximately \$187,500). Each eligible territory, with the exception of Puerto Rico, will receive a base allocation of 0.472 percent of 2/3 of FY 2016 funds appropriated to the DERA State Clean Diesel Program (approximately \$46,000). These estimated base amounts may be higher or lower, depending on the number of states and territories participating.

Notification of the final amount is expected to occur by approximately Tuesday, March 22, 2016. States (including Puerto Rico) that elect to match the entire base EPA State Clean Diesel Program funds will be awarded an additional amount of approximately \$93,000. Eligible territories (with the exception of Puerto Rico) that elect to match the entire base EPA State Clean Diesel program funds will be awarded an additional amount of approximately \$23,400. If fewer than the 51 states and fewer than the five eligible territories participate in the State Clean Diesel Program, then participating states and territories will receive more than the estimated funding as dictated by the formula detailed at 42 U.S.C. 16133(c)(2)(B). Please note that, in such a circumstance, an increased match amount will be required to receive the bonus funds.

**Note:** The source of matching funds provided by the state or territory will need to be approved by EPA. Recipient agrees that funds under this award cannot be used for matching funds for other federal grants, lobbying, or intervention in federal regulatory or adjudicatory proceedings, and cannot be used to sue the Federal Government or any other government entity. Likewise, recipient may not use federal funds as cost-share funds for the State Clean Diesel Grant Program, including funds received under the National Clean Diesel Emissions Reduction Program and federal Supplemental Environmental Project (SEP) funds.

**APPLICATION AND WORK PLAN**

The State or Territory of [State or Territory Name] understands that an approvable one-year work plan, budget, and any other required forms must be submitted to the EPA Regional Office on or before **Tuesday, April 26, 2016 at 11:59 p.m. Pacific Time**. The State or Territory of [State or Territory Name] understands that this is a noncompetitive grant program, but that amended work plans must be approved by EPA, in order for states or territories to receive any potential future funding.

Instructions to create the work plan, budget and any other required forms will be emailed. However, these forms are also on EPA’s website at: [www.epa.gov/cleandiesel/clean-diesel-state-allocations#elig](http://www.epa.gov/cleandiesel/clean-diesel-state-allocations#elig)

**STATUS OF CURRENTLY OPEN STATE CLEAN DIESEL PROGRAM GRANT**

1.	Yes	No	Does the State or Territory of [State or Territory Name] currently have an open FY 2013 or earlier year DERA State Clean Diesel Program grant?
	<input type="checkbox"/>	<input type="checkbox"/>	
2.	Yes	No	Will the State or Territory of [State or Territory Name] request a no-cost time extension for the currently open FY 2013 or earlier year DERA State Clean Diesel Program grant?
	<input type="checkbox"/>	<input type="checkbox"/>	
3.	Yes	No	Will the State or Territory of [State or Territory Name] complete all work and incur all eligible costs for its currently open FY 2013 or earlier year State Clean Diesel Program grant by September 30, 2016?
	<input type="checkbox"/>	<input type="checkbox"/>	
4.	Yes	No	Has the State or Territory of [State or Territory Name] drawn down over 90 percent of its currently open FY 2013 or earlier year State Clean Diesel Program grant funds?
	<input type="checkbox"/>	<input type="checkbox"/>	
5.	Yes	No	If the State or Territory of [State or Territory Name] has NOT drawn down over 90 percent of its currently open FY 2013 or earlier year State Clean Diesel Program grant funds, and wishes to participate in the FY 2016 funding, then has the State or Territory of [State or Territory name] included an updated work plan and schedule detailing the State’s plan (approved by the state’s or territory’s EPA Regional Project Officer) to complete all work and incur all costs by September 30, 2016?
	<input type="checkbox"/>	<input type="checkbox"/>	

**FY 2016 PARTICIPATION**

1.	Yes	No	Does the State or Territory of [State or Territory Name] <b>accept</b> the State Clean Diesel Program Fiscal Year 2016 funding?
	<input type="checkbox"/>	<input type="checkbox"/>	
2.	Yes	No	Does the State or Territory of [State or Territory Name] <u>tentatively</u> plan to match the base funding awarded in its entirety dollar for dollar for the Fiscal Year 2016 State Clean Diesel Grant Program allocation?
	<input type="checkbox"/>	<input type="checkbox"/>	

**SUMMARY OF PROJECT**

(Please write a summary of the work that will be funded with FY 2016 funds.)

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**SOURCE OF MATCHING FUNDS**

(If your state or territory is providing matching funds for the EPA Base amount, then please write a summary which explains the source of these matching funds.)

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State Officer authorized to accept or decline funds.

Signature	
Name (Print)	
Title	
Department/Office	
Phone	
Email Address	
Mailing Address	

**Options for returning form.** Depending on your version of Adobe, not all users will be able to digitally sign or save an electronic copy. Option 1: sign form with digital/electronic signature, save and return via email, or Option 2: print form, sign, scan and return via email. **Email to [cleandiesel@epa.gov](mailto:cleandiesel@epa.gov).**