



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OFFICE OF
INSPECTOR GENERAL

March 4, 2016

MEMORANDUM

SUBJECT: Project Notification:
Audit of the U.S. Environmental Protection Agency's Compliance with the
Federal Information Security Modernization Act of 2014
Project No. OA-FY16-0104

FROM: Rudolph M. Brevard, Director *Rudolph M. Brevard*
Information Resources Management Audits
Office of Audit

TO: Ann Dunkin, Chief Information Officer
Office of Environmental Information

The Office of Inspector General (OIG) for the U. S. Environmental Protection Agency (EPA) plans to begin fieldwork on the EPA's compliance with the Federal Information Security Modernization Act of 2014. This audit is statutorily required and is included in the OIG's Annual Plan.

The OIG's overall objective is to conduct an independent audit of the EPA's compliance with the Federal Information Security Modernization Act as determined by the Department of Homeland Security Fiscal Year 2016 Inspector General (IG) Reporting Act Metrics. Additionally, we will determine what processes the EPA uses to verify that agency contractors with significant information system security responsibilities meet established specialized training requirement.

The OIG plans to conduct audit work at EPA headquarters in Washington, D.C., and other EPA offices as needed. Applicable generally accepted government auditing standards will be used in conducting our project. The anticipated benefit of this project is to contribute to the agency's theme of "Embracing EPA as a High-Performing Organization."

We will schedule an entrance conference to discuss our assignment plan, scope and milestone dates. We would also be particularly interested in any areas of concern that you may have. We will answer any of your questions about the project process, reporting procedures, methods used to gather and analyze data, and what we should expect of each other during the course of the project. Throughout the project, we will provide updates on a monthly basis via meetings and emails to the Senior Agency Information Security Officer and the Audit Follow-Up Coordinator within the Office of Environmental Information.

We respectfully note that the OIG is authorized by the Inspector General Act of 1978 to have timely access to personnel and all materials necessary to complete its objectives. We will request your resolution if an agency employee or contractor refuses to provide requested records to the OIG, or

otherwise fails to cooperate with the OIG. We may report unresolved access matters to the Administrator and include the incident in the Semiannual Report to Congress.

The project will be supervised by me, and the Project Manager will be Vincent Campbell. Any information related to the project should be addressed to me at (202)566-0893 or brevard.rudy@epa.gov, or Vincent Campbell at (202)566-2540 or campbell.vincent@epa.gov.

cc: Assistant Administrators
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