Format for Submission, in a State Implementation Plan (SIP) Revision, of State-Determined Allocations for 2018 in the Cross State Air Pollution Rule (CSAPR) NOX Ozone Season Group 2 Trading Program to Units That Commenced Commercial Operation Before January 1, 2015

This set of instructions applies to any state that submits a letter to the Administrator by December 27, 2016, providing notice of its intention to submit a SIP revision to replace the EPA-determined, existing-unit allocations with state-determined allocations.

The following is the format that a State must use for submitting to the Administrator, in a complete State Implementation Plan (SIP) Revision, State-determined allocations for 2018 in the CSAPR NOX Ozone Season Group 2 trading program in an applicable CSAPR Federal Implementation Plan (FIP) to units that commenced commercial operation before January 1, 2015.

The State’s submission of 2018 allocations must be a Microsoft Office Excel Workbook and must be sent electronically (i.e., by e-mail) to [transport-rule@epa.gov](mailto:transport-rule@epa.gov) by April 3, 2017. The spreadsheet must not contain any extra data elements or any colors or formulas because they may significantly slow down the processing of the submission. Please direct questions to your EPA Regional contact or Paula Branch ([branch.paula@epa.gov](mailto:branch.paula@epa.gov) or 202-343-9168).

The workbook must contain a worksheet for each applicable program. The worksheet must present, for each allocation to a unit, the following sequence of data elements:

STReserveAcct (text) | ReceiveAcct (text) | UnitId (text) | VintageYear (number) |Amount (number)

The individual data elements must be formatted as follows:

STReserveAcct, which identifies the State reserve account in the Allowance Management System in which are held the allowances comprising the portion of the State trading budget available for allocation to units that commenced commercial operation before January 1, 2015:

State abbreviation + seven zeros + Reserve Account number

The State abbreviation is the two-letter U.S. Postal Service abbreviation for the State.

The Reserve Account number is 100, which is for the State’s primary reserve account.

ReceiveAcct, which identifies the compliance account in the Allowance Management System in which the allocated allowances are to be recorded:

ORIS#/FACILITYID(six digits with leading zeros)+FACLTY

ORIS#/FACILITYID is the six-digit number that starts with one or two zeros (as necessary) followed by either the four- or five-digit Office of Regulatory Information System number (ORIS Code), or the four- or five-digit Facility Identification number, assigned by the Energy Information Administration to the source (i.e., facility) at which the unit allocated allowances is located. EIA also refers to both of these four- or five-digit numbers as a Plant Code.

FACLTY is added at the end after the six-digit ORIS or FACILITYID.

UnitId, which identifies the unit to which allowances are allocated and is assigned by owners and the operator of the unit involved and used in the most current certificate of representation submitted to EPA by the designated representative. UnitId must be precisely accurate. For example, a UnitId of “06” must be stated as “06”, not “6”.

VintageYear, which is the year for which the allowances are allocated and is 2018.

Amount, which is the amount of allowances allocated to the unit and must be a whole number with no decimal.

Example:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **STReserveAcct** | **ReceiveAcct** | **UnitId** | **VintageYear** | **Amount** |
| MD0000000100 | 123456FACLTY | 01 | 2018 | 250 |
| MD0000000100 | 123456FACLTY | 02 | 2018 | 250 |
| MD0000000100 | 123456FACLTY | \*A | 2018 | 75 |
| MD0000000100 | 123456FACLTY | \*B | 2018 | 55 |
| MD0000000100 | 123456FACLTY | CT01 | 2018 | 25 |
| MD0000000100 | 123456FACLTY | CT02 | 2018 | 25 |