

How to Register for the eSIPS Program Service as a Certifier

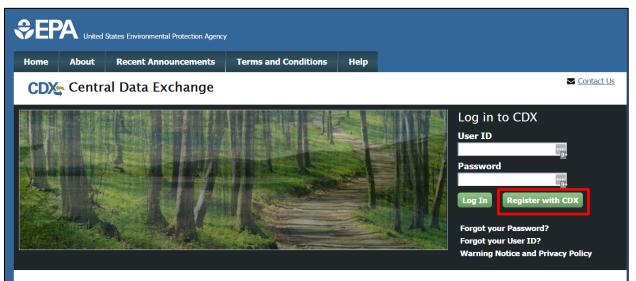
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CDX Homepage

- 1. Access the CDX home page directly by selecting this URL: https://cdx.epa.gov/. This screen provides you with the following features:
 - a. **Log in:** If you already have an existing CDX account, you may log into the system by entering your User ID and Password, and clicking the 'Log In' button. You will still need to add the eSIPS program service to your account.
 - b. **Registration:** If you do not have an account with CDX, click the 'Register with CDX' button to begin the registration process outlined later in this section.



Figure 1: CDX Web Home Page



Welcome

Welcome to the Environmental Protection Agency (EPA) Central Data Exchange (CDX) - the Agency's electronic reporting site. The Central Data Exchange concept has been defined as a central point which supplements EPA reporting systems by performing new and existing functions for receiving legally acceptable data in various formats, including consolidated and integrated data.

Warning Notice and Privacy Policy

Warning Notice

In proceeding and accessing U.S. Government information and information systems, you acknowledge that you fully understand and consent to all of the following:

- 1. you are accessing U.S. Government information and information systems that are provided for official U.S. Government purposes only;
- unauthorized access to or unauthorized use of U.S. Government information or information systems is subject to criminal, civil, administrative, or other lawful action;
- ${\it 3. the term U.S. Government information system includes systems operated on behalf of the U.S. Government;}\\$
- 4. you have no reasonable expectation of privacy regarding any communications or information used, transmitted, or stored on U.S. Government information systems:
- 5. at any time, the U.S. Government may for any lawful government purpose, without notice, monitor, intercept, search, and seize any authorized or unauthorized communication to or from U.S. Government information systems or information used or stored on U.S. Government information systems;
- 6. at any time, the U.S. Government may for any lawful government purpose, search and seize any authorized or unauthorized device, to include non-U.S. Government owned devices, that stores U.S. Government information;
- any communications or information used, transmitted, or stored on U.S. Government information systems may be used or disclosed for any lawful government purpose, including but not limited to, administrative purposes, penetration testing, communication security monitoring, personnel misconduct measures, law enforcement, and counterintelligence inquiries; and
- 8. you may not process or store classified national security information on this computer system.

Privacy Statement

EPA will use the personal identifying information which you provide for the expressed purpose of registration to the Central Data Exchange site and for updating and correcting information in internal EPA databases as necessary. The Agency will not make this information available for other purposes unless required by law. EPA does not sell or otherwise transfer personal information to an outside third party. [Federal Register: March 18, 2002 (Volume 67, Number 52)][Page 12010-12013].



Adding the eSIPS Program Service to an Existing CDX Account

- 1. If you are an existing CDX user, you may log in using your existing account. Enter your CDX User ID and Password in the respective fields on the CDX homepage and select the 'Log In' button (see Figure 1).
- 2. On the MyCDX page, select the 'Add Program Service' button to add the eSIPS data flow to your CDX Program Services (see Figure 2).

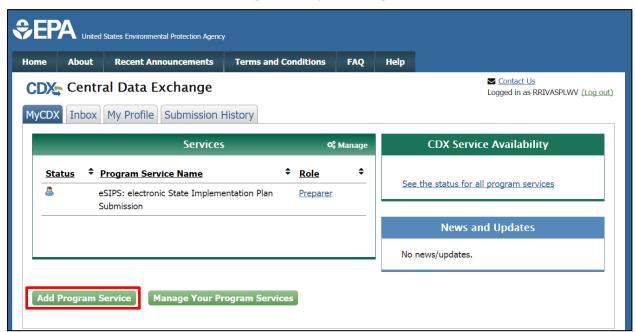
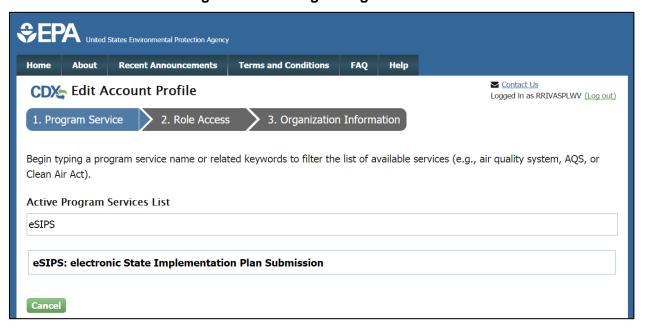


Figure 2: MyCDX Page

3. Search for the eSIPS program service in the Program Service page by entering the program service name in the 'Active Program Services List' text box (see Figure 3).



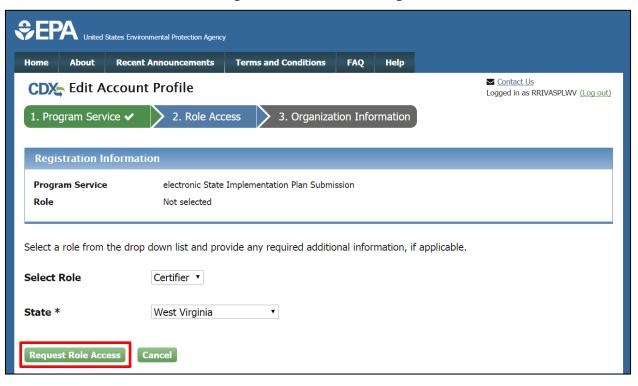
Figure 3: Selecting a Program Service



- 4. Select the 'eSIPS' program service once it appears on the list. You will be automatically redirected to the Role Access page.
- 5. Select the 'Certifier' role from the drop down list on the Role Access page (see Figure 4).
- 6. The 'State' field will appear after selecting the 'Certifier' role. From the dropdown field, select the state you are affiliated with.
- 7. Select the 'Request Role Access' button to complete adding the role to your account.



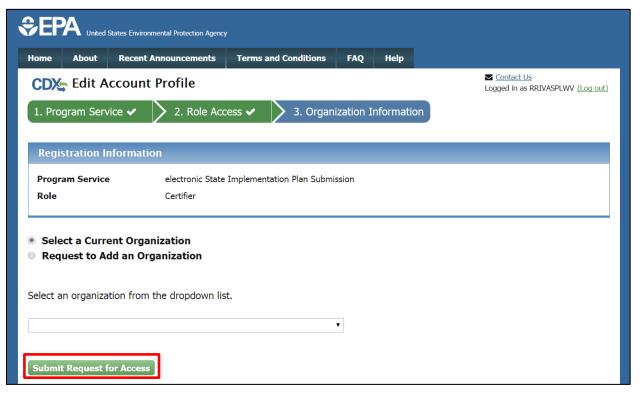
Figure 4: Role Access Page



- 8. On the Organization Information page, choose one option from the following:
 - a. Select an existing organization associated with your account to associate with the eSIPS role from the dropdown list (see Figure 5).
 - b. Request to add an existing organization to your account by searching for the organization
 - i. If the simple search returns too many results, you may use the advanced search option or choose to search again.
 - c. Request to add a new organization if the organization has not been added to CDX
 - i. If you cannot locate your organization in the search results, you may create a new organization by clicking the 'request that we add your organization' link. Clicking the link will add the organization or take you back to the Organization Information page where you can enter required organization details.



Figure 5: Organization Information Page

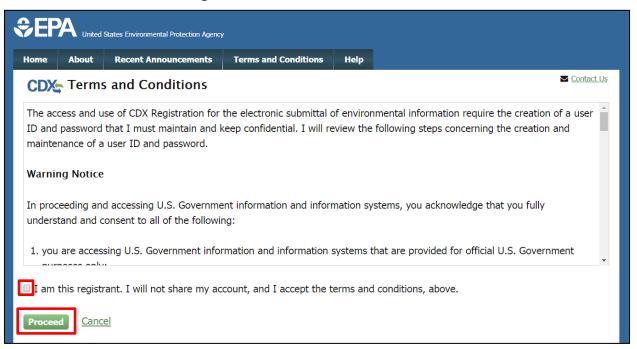


Creating a New CDX Account

- 1. Register by clicking the 'Register with CDX' button.
 - a. The CDX 'Terms and Conditions' screen is displayed documenting the terms and conditions.
- 2. Accept the terms and conditions by selecting the 'I am this registrant. I will not share my account, and I accept the terms and conditions, above.' checkbox and the 'Proceed' button (see Figure 6).
 - a. You may cancel the registration process by selecting the 'Cancel' hyperlink. Note that if you decline the terms and conditions, you will not be able to proceed with the registration.
 - b. Once you have accepted the registration agreement, you are redirected to proceed with the registration process.



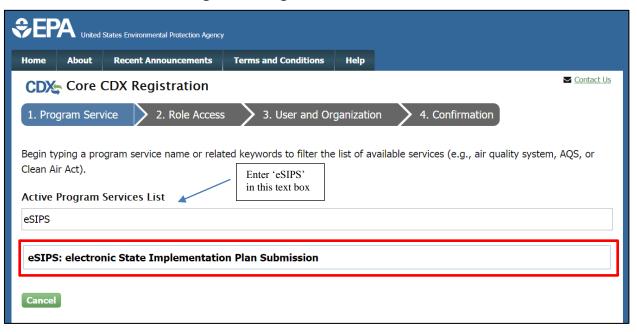
Figure 6: CDX Terms and Conditions



- 3. The 'Request Program Service' screen displays a list of active program services with open registration from which you can choose to register (see Figure 7).
 - a. You may filter the active program service list by typing the program service name or other related program metadata in the text bar.
- 4. Select 'eSIPS: electronic State Implementation Plan Submission' from the Active Program Service List by clicking on the list item.



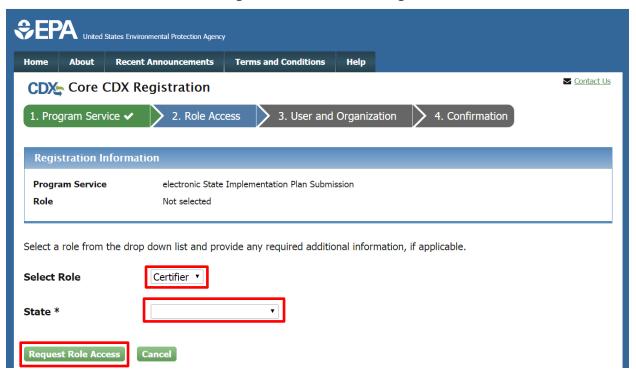
Figure 7: Program Service Selection



- 5. The 'Request Role Access' screen appears and allows you to select either the Preparer or Certifier role (see Figure 8). The distinction between roles is as follows:
 - a. **Preparer**: The Preparer is the person within a given state organization that is responsible for preparing a form for signature and subsequent submission by an authorized representative of the organization.
 - b. **Certifier**: The Certifier is the authorized representative of the state organization responsible for modifying (if necessary), signing, and submitting the form to CDX. Certifiers may also create forms if necessary.
- 6. After selecting the 'Certifier' role, select a state from the 'State' dropdown menu.
- 7. Select the 'Request Role Access' button to continue.
 - a. After clicking 'Request Role Access' you are taken to the third step of the registration process. In this step user and organization information is collected.



Figure 8: Role Access Page



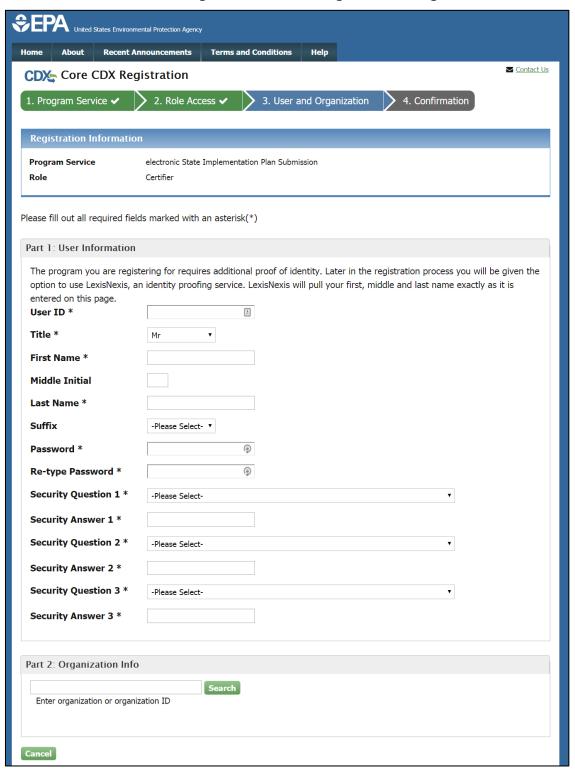
Organization Information

- 1. On the 'Part 1: User Information' section of the 'User and Organization' page, enter your user information on all of the required fields marked with an asterisk (see Figure 9).
 - a. The 'Security Question' and 'Security Answer' selections are of your choosing and are for authentication in the event you forget your password and need to reset it. The answers you provide to the security questions are case insensitive.

Note: It is important that you carefully note your input for the 'User ID' and 'Password' fields, as you are prompted for this information during the eSIPS submission process and will need to provide an exact match for authentication.



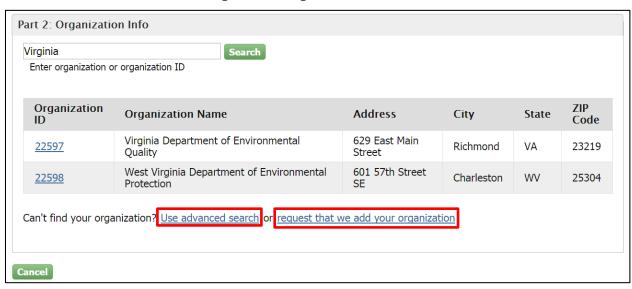
Figure 9: User and Organization Page



2. On the 'Part 2: Organization Info' section of the 'User and Organization' page, search for an existing organization (see Figure 10).



Figure 10: Organization Search



a. If the simple search returns too many results, you may use the advanced search option or choose to search again.

Warning: Search results only include "verified" organizations (i.e. organizations that have been verified electronically or has an approved paper Electronic Signature Agreement) unless the search is specifically conducted using the 'Organization Id'.

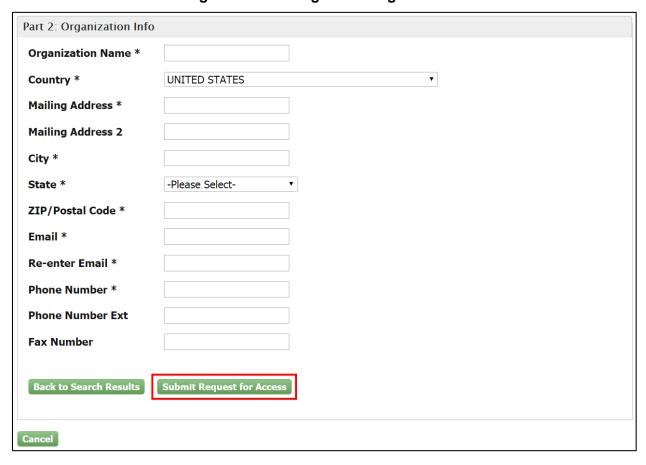
This means that organizations created by Certifiers with approved ESAs are returned in search results if the search is conducted by organization name. Organizations created by Preparers will not be returned in the identical search since Preparers are not required to have an ESA.

Preparers creating an organization unique to your facility should record the 'Organization Id' and provide this ID to other users wishing to be associated with the organization.

b. If you cannot locate your organization in the search results, you may create a new organization by clicking the 'request that we add your organization' link. Clicking the link will add the organization or take you back to the Organization Information page where you can enter required organization details (see Figure 11).



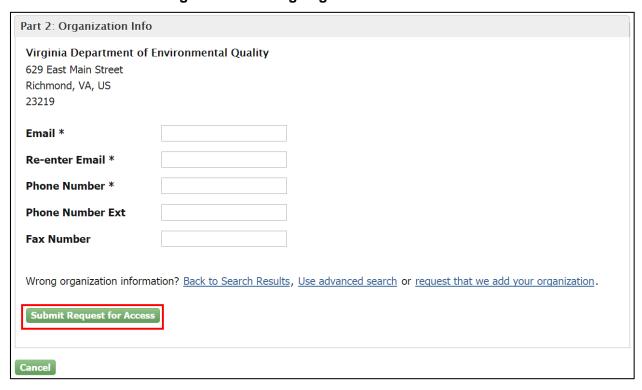
Figure 11: Creating a New Organization



3. Once an organization has been selected or created, you are required to enter an email and phone number with the option of entering a phone number extension or fax number (see Figure 12).

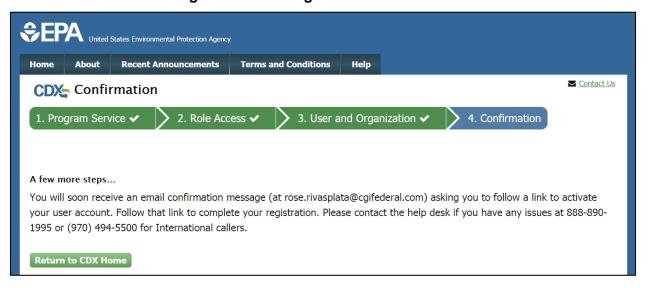


Figure 12: Existing Organization Information



- 4. Select the 'Submit Request for Access' button to proceed with registration.
 - a. A confirmation page will appear, detailing that you will receive an email from CDX to activate your account shortly (see Figure 13).

Figure 13: CDX Registration Confirmation





Confirming your Account Registration

- 1. Access your email and open an email from CDX with the subject of 'Core Registration Email Verification Request' (see Figure 14).
- 2. Select the hyperlink within the email, which will direct you to CDX. From the CDX homepage, log in to activate your account.
 - a. Additional identity proofing is required and you are prompted to follow the additional registration steps that support the LexisNexis identity validation and/or Electronic Signature Agreement (ESA) signing processes.
- 3. The 'Identity Verification' screen will be displayed to complete the Electronic Signature Agreement (ESA) process.

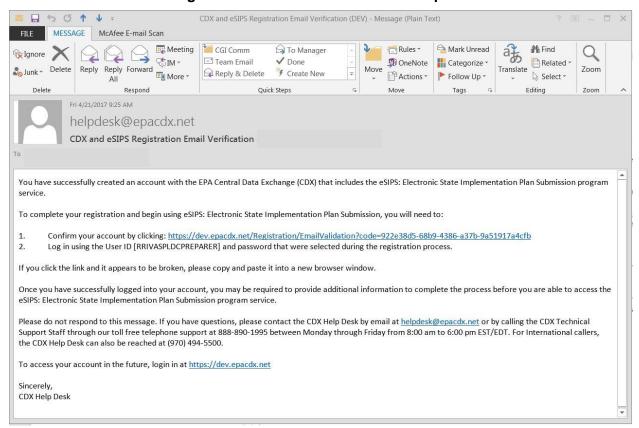


Figure 14: CDX Email Verification Request

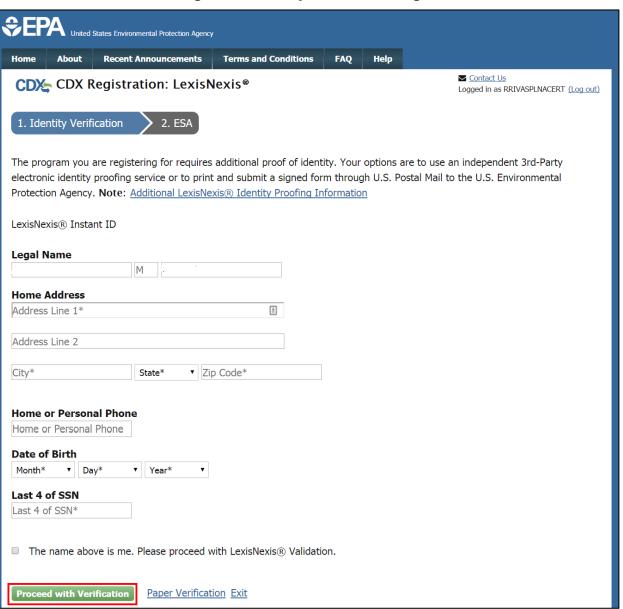
Completing an Electronic Signature Agreement

- 1. You are taken to the 'Identity Verification' screen to complete the Electronic Signature Agreement (ESA) process (see Figure 15).
 - a. You are required to complete the identity verification process prior to accessing the eSIPS program service for the first time.



- b. The 'Identity Verification' screen gives you the option to use the electronic identity verification process or the paper-based identity verification process.
- c. The CDX Web application provides an identity verification service called LexisNexis, which is a third-party service that verifies the user's identity, organization information and association to the organization.
- d. The LexisNexis service collects additional Personally Identifiable Information (PII) that CDX does not collect. You must provide the necessary PII for LexisNexis to complete the identity validation.

Figure 15: Identity Verification Page





- e. If you choose not to utilize LexisNexis and you click the 'Sign Paper Form' link, you are directed to a screen where you are instructed to print, sign, and mail required identity proofing documentation.
- 2. Enter information as necessary on the LexisNexis window to complete the identity validation and select the 'Proceed with Verification' button.
 - a. If your identity proofing failed, you will be forced to sign the paper ESA.
- 3. From the twenty (20) questions that appear in the dropdown you are required to choose five (5) unique questions and provide unique answers for each (see Figure 16).
 - a. You are required to answer one (1) of these five (5) questions upon submitting any eSIPS submission files.
 - b. If you pass LexisNexis validation and choose to sign the ESA electronically, the application verifies that the CROMERR 20-5-1 security questions and answers have been set.
 - c. If CROMERR 20-5-1 security questions and answers have been set, you are directed to the ESA.

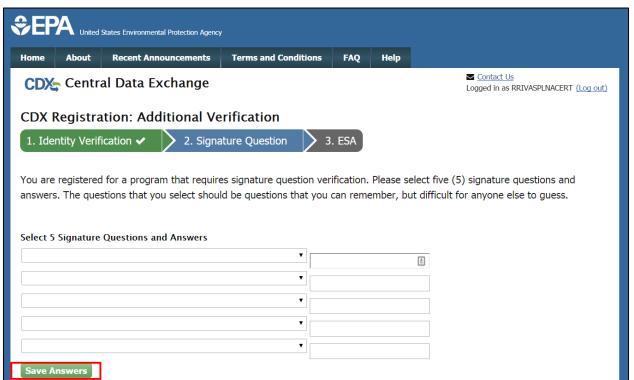


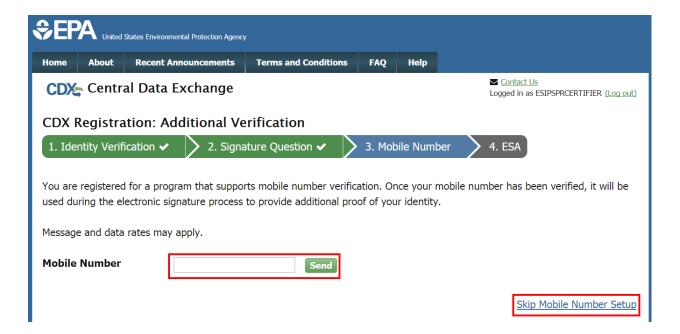
Figure 16: CROMERR Signature Questions

4. Click the 'Save Answers' button after providing five (5) secret questions and answers (see Figure 16).



- a. A confirmation email notifying you that you have provided answers to five signature questions is sent to your organization email and to your CDX Inbox.
- b. If you need to electronically sign an ESA later, you are required to provide your CROMERR 20-5-1 questions and answers before signing electronically.
- c. If you forget your CROMERR 20-5-1 question answers, contact the CDX Helpdesk for assistance in resetting them.
- 5. Enter your mobile number for use during the electronic signature process. Select the 'Send' button to receive a text message from CDX (see Figure 17).

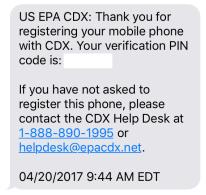
Figure 17: Mobile Number Verification



- a. The eSIPS system supports mobile number verification, which allows you to receive a code which will allow you to sign submissions, in lieu of answering a CROMERR question.
- b. You may skip this step by selecting the 'Skip Mobile Number Setup' hyperlink at the bottom right of the screen. If you choose to skip mobile number verification, proceed to step 7.
- c. You will receive a text message from CDX that contains a PIN number (see Figure 18).

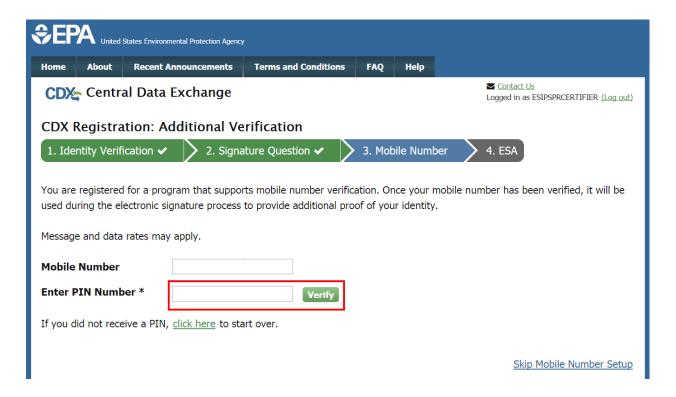


Figure 18: CDX Verification Pin



6. Enter the CDX PIN Number and select the 'Verify' button to verify your mobile number (see Figure 19).

Figure 19: PIN Number Verification

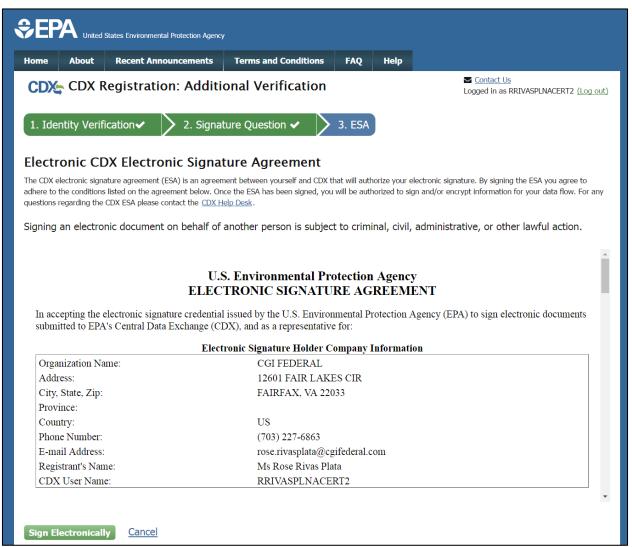


- a. If you have not received a text message from CDX, select the 'click here' hyperlink to modify your mobile number.
- b. If the PIN number is correct, will receive a text message from CDX that the specified phone number has been added to your account.



- c. You will also receive a confirmation email notifying you that a mobile number has been associated with your account.
- 7. After reviewing the ESA, select the 'Sign Electronically' button to proceed (see Figure 20).

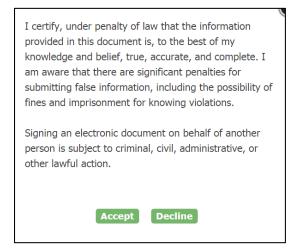
Figure 20: CROMERR ESA



8. In compliance with CROMERR standards, you are required to agree to the certification statement. Select the 'Accept' button in the modal (see Figure 21).



Figure 21: CROMERR Certification Statement



- 9. The CROMERR eSignature Widget will appear. Enter your password to confirm your account (see Figure 22).
 - a. As a second security measure, enter the answer to a secret question associated with your account.
 - b. Once you have completed the first two steps, select the 'Sign' button to sign your ESA.
 - c. You will receive a notification from CDX indicating that you have successfully completed the CROMERR E-Signature process.

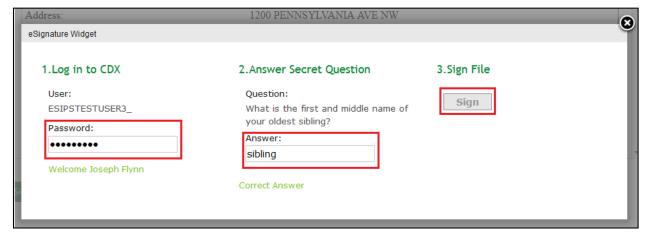


Figure 22: CROMERR Signature Widget

Completing a Paper ESA

Warning: The paper ESA process may take up to 2 weeks to complete.



- 1. From the Identity Verification page, you can choose to sign a paper ESA in lieu of an electronic signature agreement by selecting the 'Paper Verification' hyperlink (see Figure 15).
- 2. A separate page will be displayed where you can view the paper CDX ESA. Review the ESA, then select the 'Sign Paper Form' button on this page to open the ESA in a separate window to print (see Figure 23).

Figure 23: Paper CDX ESA



- 3. From the paper ESA window, select the 'Print to Mail' button to print the paper ESA (see Figure 24).
 - a. The CROMERR 20-5-1 questions will not be displayed. The next time you log in to CDX, following role approval, you are required to provide CROMERR 20-5-1 questions and answers.



Figure 24: CDX ESA Modal



U.S. Environmental Protection Agency ELECTRONIC SIGNATURE AGREEMENT

In accepting the electronic signature credential issued by the U.S. Environmental Protection Agency (EPA) to sign electronic documents submitted to EPA's Central Data Exchange (CDX), and as a representative for:

Electronic Signature Holder Company Information

Organization Name: CGI FEDERAL INC

Address: 12601 FAIR LAKES CIRCLE

City, State, Zip: FAIRFAX, VA 22033

Province:

Country: US

Phone Number: (703) 227-6000

E-mail Address: rose.rivasplata@cgi.com
Registrant's Name: Ms Rose Rivas Plata
CDX User Name: RRIVASPLNACERT

I,

(Name of Electronic Signature Holder)

(1) I agree to notify CDX within ten working days if my duties change and I no longer need to interact with the CDX on behalf of my organization. I agree to make this notification by notifying the CDX Technical Support staff at 1-888-890-1995 or helpdesk@epa.gov.

Note: You may choose to discontinue the registration process by clicking the 'Cancel' button. If you do not complete either the electronic or paper ESA, you must contact your Registration Maintenance Account Manager (RMAM) to obtain access to the program role requested.

If you do not know your RMAM contact information, contact the U.S EPA eSIPS system RMAM at 919-541-5550 or south.mia@epa.gov.

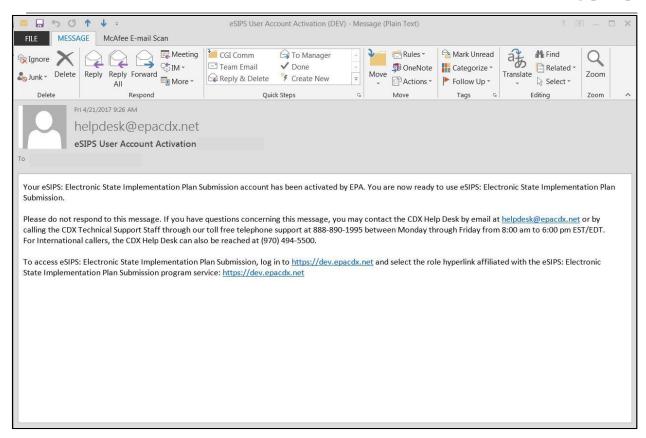
Registration Notifications

- 1. After completing the registration process, you are provided confirmation of successful registration (see Figure 25).
 - a. If you chose the paper ESA option, you are provided an additional confirmation email when your Certifier role has been approved.

Figure 25: CDX Registration Confirmation Email







- 2. Upon logging in to CDX or navigating to the 'MyCDX' screen, the Certifier role is listed under the 'Services' section of the screen.
 - a. All 'eSIPS: electronic State Implementation Plan Submission' roles should have an active hyperlink and the Sicon next to them, signifying that the role is active.

Support

If you have any questions related to submission development, please contact your EPA Regional Contact on your state landing page.

If you have any questions related to CDX or the eSIPS program service, please contact the CDX Help Desk at helpdesk@epacdx.net or by telephone at 888-890-1995.