



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OFFICE OF
INSPECTOR GENERAL

May 25, 2017

MEMORANDUM

SUBJECT: Project Notification:
Audit of the U.S. Environmental Protection Agency's Compliance with the
Federal Information Security Modernization Act of 2014
Project No. OA-FY17-0204

FROM: Rudolph M. Brevard, Director *Rudolph M. Brevard*
Information Resources Management Audits
Office of Audit

TO: Steven Fine, Ph.D., Acting Chief Information Officer
Office of Environmental Information

The Office of Inspector General (OIG) for the U.S. Environmental Protection Agency (EPA) plans to begin field work on the EPA's compliance with the Federal Information Security Modernization Act of 2014. This audit is statutorily required and is included in the OIG's Annual Plan.

The OIG's overall objective is to conduct an independent audit of the EPA's compliance with the Federal Information Security Modernization Act as determined by the Department of Homeland Security Fiscal Year 2017 Inspector General (IG) Reporting Act Metrics. The OIG plans to conduct audit work at EPA headquarters in Washington, D.C., and other EPA offices as needed. Applicable generally accepted government auditing standards will be used in conducting our project. The anticipated benefit of this project is to contribute to the agency's theme of "Embracing EPA as a High-Performing Organization."

We will contact you to arrange a mutually agreeable time to discuss our objectives and the purpose of our project. We would also be particularly interested in any areas of concern that you may have. We will answer any of your questions about the project process, reporting procedures, methods used to gather and analyze data, and what we should expect of each other during the course of the project. Throughout the project, we will provide updates on a regular basis via meetings and emails to the Senior Agency Information Security Officer and the Audit Follow-Up Coordinator within the Office of Environmental Information.

We respectfully note that the OIG is authorized by the Inspector General Act of 1978 to have timely access to personnel and all materials necessary to complete its objectives. We will request your resolution if an agency employee or contractor refuses to provide requested records to the OIG, or otherwise fails to cooperate with the OIG. We may report unresolved access matters to the Administrator and include the incident in the Semiannual Report to Congress.

The project will be supervised by me, and the Project Manager will be Vincent Campbell. Any information related to the project should be addressed to Vincent Campbell at (202)566-2540 or campbell.vincent@epa.gov.

cc: Ryan Jackson, Chief of Staff
Assistant Administrators
Regional Administrators, Regions 1–10
Sean Kelley, Chief Information Security Officer, Office of Information Security and Privacy,
Office of Environmental Information
Harrell Watkins, Director, Office of Information Technology Operations, Office of
Environmental Information
Robert McKinney Jr., Senior Agency Information Security Officer, Office of Environmental
Information
Jeffrey Anouilh, Deputy Senior Agency Information Security Officer, Office of Environmental
Information
Benita Deane, Agency Follow-Up Coordinator
George Hull, Acting Associate Administrator for Public Affairs
Julia Valentine, Acting Director, Office of Media Relations, Office of Public Affairs
Program and Regional Audit Follow-Up Coordinators
Arthur A. Elkins Jr., Inspector General
Charles Sheehan, Deputy Inspector General
Alan Larsen, Counsel to the Inspector General
Kevin Christensen, Assistant Inspector General for Audit
Carolyn Copper, Assistant Inspector General for Program Evaluation
Patrick Sullivan, Assistant Inspector General for Investigations
Edward Shields, Acting Deputy Assistant Inspector General for Management
Rich Eyermann, Deputy Assistant Inspector General for Audit
Jennifer Kaplan, Deputy Assistant Inspector General for Congressional and Public Affairs
Jeffrey Lagda, Congressional and Media Liaison, Office of Inspector General
Tia Elbaum, Congressional and Media Liaison, Office of Inspector General