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## **PERFORMANCE SPECIFICATION 16—SPECIFICATIONS AND TEST PROCEDURES FOR PREDICTIVE EMISSION MONITORING SYSTEMS IN STATIONARY SOURCES**

### *1.0 Scope and Application*

1.1 *Does this performance specification apply to me?* If you, the source owner or operator, intend to use (with any necessary approvals) a predictive emission monitoring system (PEMS) to show compliance with your emission limitation under 40 CFR 60, 61, or 63, you must use the procedures in this performance specification (PS) to determine whether your PEMS is acceptable for use in demonstrating compliance with applicable requirements. Use these procedures to certify your PEMS after initial installation and periodically thereafter to ensure the PEMS is operating properly. If your PEMS contains a diluent (O<sub>2</sub> or CO<sub>2</sub>) measuring component and your emissions limitation is in units that require a diluent measurement (*e.g.* lbs/mm Btu), the diluent component must be tested as well. These specifications apply to PEMS that are installed under 40 CFR 60, 61, and 63 after the effective date of this performance specification. These specifications do not apply to parametric monitoring systems, these are covered under PS-17.

1.1.1 *How do I certify my PEMS after it is installed?* PEMS must pass a relative accuracy (RA) test and accompanying statistical tests in the initial certification test to be acceptable for use in demonstrating compliance with applicable requirements. Ongoing quality assurance tests also must be conducted to ensure the PEMS is operating properly. An ongoing sensor evaluation procedure must be in place before the PEMS certification is complete. The amount of testing and data validation that is required depends upon the regulatory needs, *i.e.*, whether precise quantification of emissions will be needed or whether indication of exceedances of some regulatory threshold will suffice. Performance criteria are more rigorous for PEMS used in determining continual compliance with an emission limit than those used to measure excess emissions. You must perform the initial certification test on your PEMS before reporting any PEMS data as quality-assured.

1.1.2 *Is other testing required after certification?* After you initially certify your PEMS, you must pass additional periodic performance checks to ensure the long-term quality of data. These periodic checks are listed in the table in section 9. You are always responsible for properly maintaining and operating your PEMS.

### *2.0 Summary of Performance Specification*

The following performance tests are required in addition to other equipment and measurement location requirements.

#### 2.1 Initial PEMS Certification.

2.1.1 Excess Emissions PEMS. For a PEMS that is used for excess emission reporting, the owner or operator must perform a minimum 9-run, 3-level (3 runs at each level) RA test (see section 8.2).

2.1.2 Compliance PEMS. For a PEMS that is used for continual compliance standards, the owner or operator must perform a minimum 27-run, 3-level (9 runs at each level) RA test (see section 8.2). Additionally, the data must be evaluated for bias and by F-test and correlation analysis.

2.2 Periodic Quality Assurance (QA) Assessments. Owners and operators of all PEMS are required to conduct quarterly relative accuracy audits (RAA) and yearly relative accuracy test audits (RATA) to assess ongoing PEMS operation. The frequency of these periodic assessments may be shortened by successful operation during a prior year.

### 3.0 Definitions

The following definitions apply:

3.1 *Centroidal Area* means that area in the center of the stack (or duct) comprising no more than 1 percent of the stack cross-sectional area and having the same geometric shape as the stack.

3.2 *Data Recorder* means the equipment that provides a permanent record of the PEMS output. The data recorder may include automatic data reduction capabilities and may include electronic data records, paper records, or a combination of electronic data and paper records.

3.3 *Defective sensor* means a sensor that is responsible for PEMS malfunction or that operates outside the approved operating envelope. A defective sensor may be functioning properly, but because it is operating outside the approved operating envelope, the resulting predicted emission is not validated.

3.4 *Diluent PEMS* means the total equipment required to predict a diluent gas concentration or emission rate.

3.5 *Operating envelope* means the defined range of a parameter input that is established during PEMS development. Emission data generated from parameter inputs that are beyond the operating envelope are not considered quality assured and are therefore unacceptable.

3.6 *PEMS* means all of the equipment required to predict an emission concentration or emission rate. The system may consist of any of the following major subsystems: sensors and sensor interfaces, emission model, algorithm, or equation that uses process data to generate an output that is proportional to the emission concentration or emission rate, diluent emission model, data recorder, and sensor evaluation system. Systems that use fewer than 3 variables do not qualify as PEMS unless the system has been specifically approved by the Administrator for use as a PEMS. A PEMS may predict emissions data that are corrected for diluent if the relative accuracy and relevant QA tests are passed in the emission units corrected for diluent. Parametric monitoring systems that serve as indicators of compliance and have *parametric* limits but do not predict emissions to comply with an *emissions* limit are not included in this definition.

3.7 *PEMS training* means the process of developing or confirming the operation of the PEMS against a reference method under specified conditions.

3.8 *Quarter* means a quarter of a calendar year in which there are at least 168 unit operating hours.

3.9 *Reconciled Process Data* means substitute data that are generated by a sensor evaluation system to replace that of a failed sensor. Reconciled process data may not be used without approval from the Administrator.

3.10 *Relative Accuracy* means the accuracy of the PEMS when compared to a reference method (RM) at the source. The RA is the average difference between the pollutant PEMS and RM data for a specified number of comparison runs plus a 2.5 percent confidence coefficient, divided by the average of the RM tests. For a diluent PEMS, the RA may be expressed as a percentage of absolute difference between the PEMS and RM. Alternative specifications are given for units that have very low emissions.

3.11 *Relative Accuracy Audit* means a quarterly audit of the PEMS against a portable analyzer meeting the requirements of ASTM D6522-00 or a RM for a specified number of runs. A RM may be used in place of the portable analyzer for the RAA.

3.12 *Relative Accuracy Test Audit* means a RA test that is performed at least once every four calendar quarters after the initial certification test while the PEMS is operating at the normal operating level.

3.13 *Reference Value* means a PEMS baseline value that may be established by RM testing under conditions when all sensors are functioning properly. This reference value may then be used in the sensor evaluation system or in adjusting new sensors.

3.14 *Sensor Evaluation System* means the equipment or procedure used to periodically assess the quality of sensor input data. This system may be a sub-model that periodically cross-checks sensor inputs among themselves or any other procedure that checks sensor integrity at least daily (when operated for more than one hour in any calendar day).

3.15 *Sensors and Sensor Interface* means the equipment that measures the process input signals and transports them to the emission prediction system.

4.0 *Interferences [Reserved]*

5.0 *Safety [Reserved]*

6.0 *Equipment and Supplies*

6.1 PEMS Design. You must detail the design of your PEMS and make this available in reports and for on-site inspection. You must also establish the following, as applicable:

6.1.1 Number of Input Parameters. An acceptable PEMS will normally use three or more input parameters. You must obtain the Administrator's permission on a case-by-case basis if you desire to use a PEMS having fewer than three input parameters.

6.1.2 Parameter Operating Envelopes. Before you evaluate your PEMS through the certification test, you must specify the input parameters your PEMS uses, define their range of minimum and maximum values (operating envelope), and demonstrate the integrity of the parameter operating envelope using graphs and data from the PEMS development process, vendor information, or engineering calculations, as appropriate. If you operate the PEMS beyond these envelopes at any time after the certification test, the data generated during this condition will not be acceptable for use in demonstrating compliance with applicable requirements. If these parameter operating envelopes are not clearly defined and supported by development data, the PEMS operation will be limited to the range of parameter inputs encountered during the certification test until the PEMS has a new operating envelope established.

6.1.3 Source-Specific Operating Conditions. Identify any source-specific operating conditions, such as fuel type, that affect the output of your PEMS. You may only use the PEMS under the source-specific operating conditions it was certified for.

6.1.4 Ambient Conditions. You must explain whether and how ambient conditions and seasonal changes affect your PEMS. Some parameters such as absolute ambient humidity cannot be manipulated during a test. The effect of ambient conditions such as humidity on the pollutant concentration must be determined and this effect extrapolated to include future anticipated conditions. Seasonal changes and their effects on the PEMS must be evaluated unless you can show that such effects are negligible.

6.1.5 PEMS Principle of Operation. If your PEMS is developed on the basis of known physical principles, you must identify the specific physical assumptions or mathematical manipulations that support its operation. If your PEMS is developed on the basis of linear or nonlinear regression analysis, you must make available the paired data (preferably in graphic form) used to develop or train the model.

6.1.6 Data Recorder Scale. If you are not using a digital recorder, you must choose a recorder scale that accurately captures the desired range of potential emissions. The lower limit of your data recorder's range must be no greater than 20 percent of the applicable emission standard (if subject to an emission standard). The upper limit of your data recorder's range must be determined using the following table. If you obtain approval first, you may use other lower and upper recorder limits.

<b>If PEMS is measuring. . .</b>	<b>And if. . .</b>	<b>Then your upper limit. . .</b>
Uncontrolled emissions, such as NO <sub>x</sub> at the stack of a natural gas-fired boiler	No other regulation sets an upper limit for the data recorder's range	Must be 1.25 to 2 times the average potential emission level
Uncontrolled emissions, such as NO <sub>x</sub> at the stack of a natural gas-fired boiler	Another regulation sets an upper limit for the data recorder's range	Must follow the other regulation
Controlled emissions	Must be 1.5 to 2.0 times concentration of the emission standard that applies to your emission unit	
Continual compliance emissions for an applicable regulation	Must be 1.1 to 1.5 times the concentration of the emission standard that applies to your emission unit	

6.1.7 Sensor Location and Repair. We recommend you install sensors in an accessible location in order to perform repairs and replacements. Permanently-installed platforms or ladders may not be needed. If you install sensors in an area that is not accessible, you may be required to shut down the emissions unit to repair or replace a sensor. Conduct a new RATA after replacing a sensor that supplies a critical PEMS parameter if the new sensor provides a different output or scaling or changes the historical training dataset of the PEMS. Replacement of a non-critical sensor that does not cause an impact in the accuracy of the PEMS does not trigger a RATA. All sensors must be calibrated as often as needed but at least as often as recommended by the manufacturers.

6.1.8 Sensor Evaluation System. Your PEMS must be designed to perform automatic or manual determination of defective sensors on at least a daily basis. This sensor evaluation system may consist of a sensor validation sub-model, a comparison of redundant sensors, a spot check of sensor input readings at a reference value, operation, or emission level, or other procedure that detects faulty or failed sensors. Some sensor evaluation systems generate substitute values (reconciled data) that are used when a sensor is perceived to have failed. You must obtain prior approval before using reconciled data.

6.1.9 Parameter Envelope Exceedances. Your PEMS must include a plan to detect and notify the operator of parameter envelope exceedances. Emission data collected outside the ranges of the sensor envelopes will not be considered quality assured.

6.2 Recordkeeping. All valid data recorded by the PEMS must be used to calculate the emission value.

*7.0 Reagents and Standards [Reserved]*

*8.0 Sample Collection, Preservation, Storage, and Transport*

8.1 Initial Certification. Use the following procedure to certify your PEMS. Complete all PEMS training before the certification begins.

## 8.2 Relative Accuracy Test.

8.2.1 Reference Methods. Unless otherwise specified in the applicable regulations, you must use the test methods in appendix A of this part for the RM test. Conduct the RM tests at three operating levels. The RM tests shall be performed at a low-load (or production) level between the minimum safe, stable load and 50 percent of the maximum level load, at the mid-load level (an intermediary level between the low and high levels), and at a high-load level between 80 percent and the maximum load. Alternatively, if practicable, you may test at three levels of the key operating parameter (e.g. selected based on a covariance analysis between each parameter and the PEMS output) equally spaced within the normal range of the parameter.

8.2.2 Number of RM Tests for Excess Emission PEMS. For PEMS used for excess emission reporting, conduct at least the following number of RM tests at the following key parameter operating levels:

- (1) Three at a low level.
- (2) Three at a mid level.
- (3) Three at a high level.

You may choose to perform more than nine total RM tests. If you perform more than nine tests, you may reject a maximum of three tests as long as the total number of test results used to determine the RA is nine or greater and each operating level has at least three tests. You must report all data, including the rejected data.

8.2.3 Number of RM Tests for Continual Compliance PEMS. For PEMS used to determine compliance, conduct at least the following number of RM tests at the following key parameter operating levels:

- (1) Nine at a low level.
- (2) Nine at a mid level.
- (3) Nine at a high level.

You may choose to perform more than 9 RM runs at each operating level. If you perform more than 9 runs, you may reject a maximum of three runs per level as long as the total number of runs used to determine the RA at each operating level is 9 or greater.

8.2.4 Reference Method Measurement Location. Select an accessible measurement point for the RM that will ensure you measure emissions representatively. Ensure the location is at least two equivalent stack diameters downstream and half an equivalent diameter upstream from the

nearest flow disturbance such as the control device, point of pollutant generation, or other place where the pollutant concentration or emission rate can change. You may use a half diameter downstream instead of the two diameters if you meet both of the following conditions:

- (1) Changes in the pollutant concentration are caused solely by diluent leakage, such as leaks from air heaters.
- (2) You measure pollutants and diluents simultaneously at the same locations.

8.2.5 Traverse Points. Select traverse points that ensure representative samples. Conduct all RM tests within 3 cm of each selected traverse point but no closer than 3 cm to the stack or duct wall. The minimum requirement for traverse points are as follows:

- (1) Establish a measurement line across the stack that passes through the center and in the direction of any expected stratification.
- (2) Locate a minimum of three traverse points on the line at 16.7, 50.0, and 83.3 percent of the stack inside diameter.
- (3) Alternatively, if the stack inside diameter is greater than 2.4 meters, you may locate the three traverse points on the line at 0.4, 1.2, and 2.0 meters from the stack or duct wall. You may not use this alternative option after wet scrubbers or at points where two streams with different pollutant concentrations are combined. You may select different traverse points if you demonstrate and provide verification that it provides a representative sample. You may also use the traverse point specifications given the RM.

8.2.6 Relative Accuracy Procedure. Perform the number of RA tests at the levels required in sections 8.2.2 and 8.2.3. For integrated samples (*e.g.*, Method 3A or 7E), make a sample traverse of at least 21 minutes, sampling for 7 minutes at each traverse point. For grab samples (*e.g.*, Method 3 or 7), take one sample at each traverse point, scheduling the grab samples so that they are taken simultaneously (within a 3-minute period) or at an equal interval of time apart over a 21-minute period. A test run for grab samples must be made up of at least three separate measurements. Where multiple fuels are used in the monitored unit and the fuel type affects the predicted emissions, determine a RA for each fuel unless the effects of the alternative fuel on predicted emissions or diluent were addressed in the model training process. The unit may only use fuels that have been evaluated this way.

8.2.7 Correlation of RM and PEMS Data. Mark the beginning and end of each RM test run (including the exact time of day) on the permanent record of PEMS output. Correlate the PEMS and the RM test data by the time and duration using the following steps:

- A. Determine the integrated pollutant concentration for the PEMS for each corresponding RM test period.
- B. Consider system response time, if important, and confirm that the pair of results is on a consistent moisture, temperature, and diluent concentration basis.

C. Compare each average PEMS value to the corresponding average RM value. Use the following guidelines to make these comparisons.

<b>If . . .</b>	<b>Then . . .</b>	<b>And then . . .</b>
The RM has an instrumental or integrated non-instrumental sampling technique	Directly compare RM and PEMS results	
The RM has a grab sampling technique	Average the results from all grab samples taken during the test run. The test run must include $\geq 3$ separate grab measurements	Compare this average RM result with the PEMS result obtained during the run.

Use the paired PEMS and RM data and the equations in section 12.2 to calculate the RA in the units of the applicable emission standard. For this 3-level RA test, calculate the RA at each operation level.

8.3 Statistical Tests for PEMS that are Used for Continual Compliance. In addition to the RA determination, evaluate the paired RA and PEMS data using the following statistical tests.

8.3.1 Bias Test. From the RA data taken at the mid-level, determine if a bias exists between the RM and PEMS. Use the equations in section 12.3.1.

8.3.2 F-test. Perform a separate F-test for the RA paired data from each operating level to determine if the RM and PEMS variances differ by more than might be expected from chance. Use the equations in section 12.3.2.

8.3.3 Correlation Analysis. Perform a correlation analysis using the RA paired data from all operating levels combined to determine how well the RM and PEMS correlate. Use the equations in section 12.3.3. The correlation is waived if the process cannot be varied to produce a concentration change sufficient for a successful correlation test because of its technical design. In such cases, should a subsequent RATA identify a variation in the RM measured values by more than 30 percent, the waiver will not apply, and a correlation analysis test must be performed at the next RATA.

8.4 Reporting. Summarize in tabular form the results of the RA and statistical tests. Include all data sheets, calculations, and charts (records of PEMS responses) necessary to verify that your PEMS meets the performance specifications. Include in the report the documentation used to establish your PEMS parameter envelopes.

8.5 Reevaluating Your PEMS After a Failed Test, Change in Operations, or Change in Critical PEMS Parameter. After initial certification, if your PEMS fails to pass a quarterly RAA or yearly RATA, or if changes occur or are made that could result in a significant change in the emission rate (e.g., turbine aging, process modification, new process operating modes, or changes to emission controls), your PEMS must be recertified using the tests and procedures in section 8.1.



For example, if you initially developed your PEMS for the emissions unit operating at 80-100 percent of its range, you would have performed the initial test under these conditions. Later, if you wanted to operate the emission unit at 50-100 percent of its range, you must conduct another RA test and statistical tests, as applicable, to verify that the new conditions of 50-100 percent of range are functional. These tests must demonstrate that your PEMS provides acceptable data when operating in the new range or with the new critical PEMS parameter(s). The requirements of section 8.1 must be completed by the earlier of 60 unit operating days or 180 calendar days after the failed RATA or after the change that caused a significant change in emission rate.

### 9.0 Quality Control

You must incorporate a QA plan beyond the initial PEMS certification test to verify that your system is generating quality-assured data. The QA plan must include the components of this section.

9.1 QA/QC Summary. Conduct the applicable ongoing tests listed below.

#### ONGOING QUALITY ASSURANCE TESTS

Test	PEMS regulatory purpose	Acceptability	Frequency
Sensor Evaluation	All		Daily.
RAA	Compliance	3-test avg $\leq 10\%$ of simultaneous analyzer or RM average	Each quarter except quarter when RATA performed.
RATA	All	Same as for RA in Sec. 13.1	Yearly in quarter when RAA not performed.
Bias Correction	All	If $d_{avg} \leq  cc $	Bias test passed (no correction factor needed).
PEMS Training	All	If $F_{critical} \geq F$ $r \geq 0.8$	Optional after initial and subsequent RATAs.
Sensor Evaluation Alert Test (optional)	All	See Section 6.1.8	After each PEMS training.

9.2 Daily Sensor Evaluation Check. Your sensor evaluation system must check the integrity of each PEMS input at least daily.

9.3 Quarterly Relative Accuracy Audits. In the first year of operation after the initial certification, perform a RAA consisting of at least three 30-minute portable analyzer or RM determinations each quarter a RATA is not performed. To conduct a RAA, follow the procedures in Section 8.2 for the relative accuracy test, except that only three sets of measurement data are required, and the statistical tests are not required. The average of the three or more portable

analyzer or RM determinations must not exceed the limits given in Section 13.5. Report the data from all sets of measurement data. If a PEMS passes all quarterly RAAs in the first year and also passes the subsequent yearly RATA in the second year, you may elect to perform a single mid-year RAA in the second year in place of the quarterly RAAs. This option may be repeated, but only until the PEMS fails either a mid-year RAA or a yearly RATA. When such a failure occurs, you must resume quarterly RAAs in the quarter following the failure and continue conducting quarterly RAAs until the PEMS successfully passes both a year of quarterly RAAs and a subsequent RATA.

9.4 Yearly Relative Accuracy Test. Perform a minimum 9-run RATA at the normal operating level on a yearly basis in the quarter that the RAA is not performed. The statistical tests in Section 8.3 are not required for the yearly RATA.

*10.0 Calibration and Standardization [Reserved]*

*11.0 Analytical Procedure [Reserved]*

*12.0 Calculations and Data Analysis*

12.1 Nomenclature

B = PEMS bias adjustment factor.

cc = Confidence coefficient.

$d_i$  = Difference between each RM and PEMS run.

d = Arithmetic mean of differences for all runs.

$e_i$  = Individual measurement provided by the PEMS or RM at a particular level.

$e_m$  = Mean of the PEMS or RM measurements at a particular level.

$e_p$  = Individual measurement provided by the PEMS.

$e_v$  = Individual measurement provided by the RM.

F = Calculated F-value.

n = Number of RM runs.

$PEMS_i$  = Individual measurement provided by the PEMS.

$PEMS_{iAdjusted}$  = Individual measurement provided by the PEMS adjusted for bias.  $PEMS = \text{Mean of the values provided by the PEMS at the normal operating range during the bias test.}$

r = Coefficient of correlation.

RA = Relative accuracy.

RAA = Relative accuracy audit. RM = Average RM value (or in the case of the RAA, the average portable analyzer value). In cases where the average emissions for the test are less than 50 percent of the applicable standard, substitute the emission standard value here in place of the average RM value.

$S_d$  = Standard deviation of differences.

$S^2$  = Variance of your PEMS or RM.

$t_{0.025}$  = t-value for a one-sided, 97.5 percent confidence interval (see Table 16-1).

12.2 Relative Accuracy Calculations. Calculate the mean of the RM values. Calculate the differences between the pairs of observations for the RM and the PEMS output sets. Finally, calculate the mean of the differences, standard deviation, confidence coefficient, and PEMS RA, using Equations 16-1, 16-2, 16-3, and 16-4, respectively. For compliance PEMS, calculate the RA at each test level. The PEMS must pass the RA criterion at each test level.

12.2.1 Arithmetic Mean. Calculate the arithmetic mean of the differences between paired RM and PEMS observations using Equation 16-1.

$$\bar{d} = \frac{1}{n} \sum_{i=1}^n d_i \quad \text{Eq. 16-1}$$

12.2.2 Standard Deviation. Calculate the standard deviation of the differences using Equation 16-2 (positive square root).

$$s_d = \sqrt{\frac{\sum_{i=1}^n d_i^2 - \frac{\left(\sum_{i=1}^n d_i\right)^2}{n}}{n-1}} \quad \text{Eq. 16-2}$$

12.2.3 Confidence Coefficient. Calculate the confidence coefficient using Equation 16-3 and Table 16-1 for n-1 degrees of freedom.

$$cc = t_{0.025} \frac{S_d}{\sqrt{n}} \quad \text{Eq. 16-3}$$

12.2.4 Relative Accuracy. Calculate the RA of your data using Equation 16-4.

$$RA = \frac{|\bar{d}| + |cc|}{RM} \times 100 \quad \text{Eq. 16-4}$$

12.3 Compliance PEMS Statistical Tests. If your PEMS will be used for continual compliance purposes, conduct the following tests using the information obtained during the RA tests. For the pollutant measurements at any one test level, if the mean value of the RM is less than either 10 ppm or 5 percent of the emission standard, all statistical tests are waived at that specific test

level. For diluent measurements at any one test level, if the mean value of the RM is less than 3 percent of span, all statistical tests are waived for that specific test level.

12.3.1 Bias Test. Conduct a bias test to determine if your PEMS is biased relative to the RM. Determine the PEMS bias by comparing the confidence coefficient obtained from Equation 16-3 to the arithmetic mean of the differences determined in Equation 16-1. If the arithmetic mean of the differences ( $\bar{d}$ ) is greater than the absolute value of the confidence coefficient (cc), your PEMS must incorporate a bias factor to adjust future PEMS values as in Equation 16-5.

$$PEMS_{Adjusted} = PEMS_i \times B \quad \text{Eq. 16-5}$$

Where:

$$B = 1 + \frac{|\bar{d}|}{PEMS} \quad \text{Eq. 16-6a}$$

12.3.2 F-test. Conduct an F-test for each of the three RA data sets collected at different test levels. Calculate the variances of the PEMS and the RM using Equation 16-6.

$$S^2 = \frac{\sum_{i=1}^n (e_i - e_m)^2}{n-1} \quad \text{Eq. 16-6}$$

Determine if the variance of the PEMS data is significantly different from that of the RM data at each level by calculating the F-value using Equation 16-7.

$$F = \frac{S^2_{PEMS}}{S^2_{RM}} \quad \text{Eq. 16-7}$$

Compare the calculated F-value with the critical value of F at the 95 percent confidence level with n-1 degrees of freedom. The critical value is obtained from Table 16-2 or a similar table for F-distribution. If the calculated F-value is greater than the critical value at any level, your proposed PEMS is unacceptable. For pollutant PEMS measurements, if the standard deviation of the RM is less than either 3 percent of the span or 5 ppm, use a RM standard deviation of either 5 ppm or 3 percent of span. For diluent PEMS measurements, if the standard deviation of the reference method is less than 3 percent of span, use a RM standard deviation of 3 percent of span.

12.3.3 Correlation Analysis. Calculate the correlation coefficient either manually using Eq. 16-8, on a graph, or by computer using all of the paired data points from all operating levels. Your PEMS correlation must be 0.8 or greater to be acceptable. If during the initial certification test, your PEMS data are determined to be auto-correlated according to the procedures in 40 CFR 75.41(b)(2), or if the signal-to-noise ratio of the data is less than 4, then the correlation analysis is permanently waived.

$$r = \frac{\sum ep_{ev} - (\sum ep)(\sum ev)/n}{\sqrt{[(\sum ep^2 - (\sum ep)^2/n)(\sum ev^2 - (\sum ev)^2/n)]}} \quad \text{Eq. 16-8}$$

12.4 Relative Accuracy Audit. Calculate the quarterly RAA using Equation 16-9.

$$RAA = \frac{\overline{PEMS} - \overline{RM}}{\overline{RM}} \times 100 \quad \text{Eq. 16-9}$$

### 13.0 Method Performance

13.1 PEMS Relative Accuracy. The RA must not exceed 10 percent if the PEMS measurements are greater than 100 ppm or 0.2 lbs/mm Btu. The RA must not exceed 20 percent if the PEMS measurements are between 100 ppm (or 0.2 lb/mm Btu) and 10 ppm (or 0.05 lb/mm Btu). For measurements below 10 ppm, the absolute mean difference between the PEMS measurements and the RM measurements must not exceed 2 ppm. For diluent PEMS, an alternative criterion of  $\pm 1$  percent absolute difference between the PEMS and RM may be used if less stringent.

13.2 PEMS Bias. Your PEMS data is considered biased and must be adjusted if the arithmetic mean ( $d$ ) is greater than the absolute value of the confidence coefficient ( $cc$ ) in Equations 16.1 and 16.3. In such cases, a bias factor must be used to correct your PEMS data.

13.3 PEMS Variance. Your calculated F-value must not be greater than the critical F-value at the 95-percent confidence level for your PEMS to be acceptable.

13.4 PEMS Correlation. Your calculated r-value must be greater than or equal to 0.8 for your PEMS to be acceptable.

13.5 Relative Accuracy Audits. The average of the three portable analyzer or RM determinations must not differ from the simultaneous PEMS average value by more than 10 percent of the analyzer or RM for concentrations greater than 100 ppm or 20 percent for concentrations between 100 and 20 ppm, or the test is failed. For measurements at 20 ppm or less, this difference must not exceed 2 ppm for a pollutant PEMS and 1 percent absolute for a diluents PEMS.

14.0 Pollution Prevention [Reserved]

15.0 Waste Management [Reserved]

16.0 References [Reserved]

17.0 Tables, Diagrams, Flowcharts, and Validation Data

**TABLE 16-1-t-VALUES FOR ONE-SIDED, 97.5 PERCENT CONFIDENCE INTERVALS FOR  
SELECTED SAMPLE SIZES\***

<b>n-1*</b>	<b>t-value</b>	<b>n-1</b>	<b>t-value</b>
1	12.706	15	2.131
2	4.303	16	2.120
3	3.182	17	2.110
4	2.776	18	2.101
5	2.571	19	2.093
6	2.447	20	2.086
7	2.365	21	2.080
8	2.306	22	2.074
9	2.262	23	2.069
10	2.228	24	2.064
11	2.201	25	2.060
12	2.179	26	2.056
13	2.160	27	2.052
14	2.145	>28	t-Table

\* The value n is the number of RM runs; n-1 equals the degrees of freedom.

Table 16-2. F-Values for  
Critical Value of F at the 95 Percent Confidence Level

d.f. for $S^2_{\text{BE}}$	d.f. for $S^2_{\text{FIDUC}}$											
	1	2	3	4	5	6	7	8	9	10	11	12
1	161 .4	199 .5	215 .7	224 .6	230 .2	234 .0	236 .8	238 .9	240 .5	241 .8	243 .0	243 .9
2	18. 51	19. 00	19. 16	19. 25	19. 30	19. 33	19. 35	19. 37	19. 38	19. 50	19. 40	19. 41
3	10. 13	9.5 52	9.2 77	9.1 17	9.0 14	8.9 41	8.8 87	8.8 45	8.8 12	8.7 86	8.7 63	8.7 45
4	7.7 09	6.9 44	6.5 91	6.3 88	6.2 56	6.1 63	6.0 94	6.0 41	5.9 99	5.9 64	5.9 35	5.9 12
5	6.6 08	5.7 86	5.4 10	5.1 92	5.0 50	4.9 50	4.8 76	4.8 18	4.7 73	4.7 35	4.7 03	4.6 78
6	5.9 87	5.1 43	4.7 57	4.5 34	4.3 87	4.2 84	4.2 07	4.1 47	4.0 99	4.0 60	4.0 27	4.0 00
7	5.5 91	4.7 34	4.3 47	4.1 20	3.9 71	3.8 66	3.7 87	3.7 26	3.6 77	3.6 37	3.6 03	3.5 75
8	5.3 18	4.4 59	4.0 66	3.8 38	3.6 88	3.5 81	3.5 01	3.4 38	3.3 88	3.3 47	3.3 12	3.2 84
9	5.1 17	4.2 57	3.8 63	3.6 33	3.4 82	3.3 74	3.2 93	3.2 30	3.1 97	3.1 37	3.1 02	3.0 73
10	4.9 65	4.1 03	3.7 09	3.4 78	3.3 26	3.2 17	3.1 36	3.0 72	3.0 20	2.9 78	2.9 42	2.9 13
11	4.8 44	3.9 82	3.5 87	3.3 57	3.2 04	3.0 95	3.0 12	2.9 48	2.8 96	2.8 54	2.8 17	2.7 88
12	4.7 47	3.8 85	3.4 90	3.2 59	3.1 06	2.9 96	2.9 13	2.8 49	2.7 96	2.7 53	2.7 17	2.6 87