



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OFFICE OF
INSPECTOR GENERAL

December 5, 2017

MEMORANDUM

SUBJECT: Project Notification:
Audit of Information System Security Controls for EPA's Budget Systems
Project No. OA-FY18-0065

FROM: Rudolph M. Brevard, Director *Rudolph M. Brevard*
Information Resources Management Audits
Office of Audit

TO: David Bloom, Acting Chief Financial Officer
Office of the Chief Financial Officer

The U.S. Environmental Protection Agency's (EPA's) Office of Inspector General (OIG) plans to conduct fieldwork on the subject audit. Our audit objectives are to determine whether the Office of the Chief Financial Officer (OCFO) identified and tested all information system security controls as required by the National Institute of Standards and Technology (NIST). We will determine whether OCFO did the following:

- a. Identified and tested all inherited security controls for the EPA's Budget Automation System (BAS).
- b. Took steps to require the contractor for the replacement budget system to comply with NIST requirements for testing information systems security controls.

The OIG plans to conduct work at EPA headquarters and at Research Triangle Park. Applicable generally accepted government auditing standards will be used in conducting our project. The anticipated benefit of this project is improved implementation of required information system security controls in anticipation of the OCFO replacing the BAS.

We will contact you to arrange a mutually agreeable time to discuss our objectives and the purpose of this project. We would also be particularly interested in any areas of concern that you may have. We will answer any of your questions about the project process, reporting procedures, methods used to gather and analyze data, and what we should expect of each other during the course of the project. Throughout the project, we will provide updates on a regular basis via email and/or meetings with the OCFO, the Office of Environmental Information, and with other EPA program and regional audit liaisons as appropriate.

We are requesting that your office provide us with information on the new Budget Formulation System, which will replace the BAS. We are specifically asking for contract documents and knowledgeable

points of contact for the new system. Please provide this information before or during the kick-off meeting.

We respectfully note that the OIG is authorized by the Inspector General Act of 1978 to have timely access to personnel and all materials necessary to complete its objectives. We will request your resolution if an agency employee or contractor refuses to provide requested records to the OIG, or otherwise fails to cooperate with the OIG. We may report unresolved access matters to the Administrator and include the incident in the Semiannual Report to Congress.

I will supervise the project, and the Project Manager will be Albert Schmidt. Any information related to the project should be addressed to Albert Schmidt at (202) 566-0363 or Schmidt.Albert@epa.gov. You can also contact me at (202) 566-0893 or Brevard.Rudy@epa.gov.

cc: Ryan Jackson, Chief of Staff
Henry Darwin, Chief of Staff for Operations
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Bobbie Trent, Agency Follow-Up Coordinator
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