

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLORADO**

Civil Action No. 1:19-897

WILDEARTH GUARDIANS

Plaintiffs,

v.

ANDREW WHEELER, in his official capacity as Administrator
of the United States Environmental Protection Agency,

Defendant.

COMPLAINT FOR DECLARATORY AND INJUNCTIVE RELIEF

INTRODUCTION

1. Under the Clean Air Act, all areas of the country are legally entitled to healthy, clean air. For more than a decade, however, high ozone pollution levels in the Denver Metro-North Front Range Area in Colorado have exceeded federal air quality standards and threatened public health. WildEarth Guardians brings this action for declaratory and injunctive relief to enforce a mandatory deadline set forth in the Clean Air Act. Specifically, WildEarth Guardians (“Guardians”) seeks to compel Defendant Andrew Wheeler (“Administrator” or “Defendant”) in his official capacity as Administrator of the U.S. Environmental Protection Agency (“EPA”), to carry out his overdue legal obligation to formally determine whether the Denver Metro-North Front Range Area complied with the 2008 National Ambient Air Quality Standards for ozone by the applicable attainment deadline. By law, the Administrator was required to make this determination by January 20, 2019, six months after the Denver Metro-North Front Range Area’s July 20, 2018 attainment deadline. 42 U.S.C. §§ 7511(a)(1), (b)(1), (b)(2)(A).

2. Ground-level ozone, the primary component of smog, is a poisonous gas created when volatile organic compounds (“VOCs”) and nitrogen oxides (“NOx”), emitted from tailpipes, smokestacks, and oil and gas production, react with sunlight. Ozone poses numerous adverse health and environmental impacts. Public health impacts from ozone exposure range from respiratory irritation and impairment of breathing to hospitalization and an increased risk of premature death. Ground-level ozone further harms growing plants, causes defoliation of trees and crops, and can impair the healthy functioning of entire ecosystems.

3. The Clean Air Act requires EPA to establish health- and welfare-based air quality standards (known as National Ambient Air Quality Standards or “NAAQS”) to limit the amount of ozone allowed in the outdoor air. 42 U.S.C. §§ 7409(a), (b). Areas with ozone pollution levels that violate the standards must clean up their air, and areas whose emissions contribute to poor air quality in downwind communities must reduce those emissions.

4. EPA promulgated the 2008 Ozone Standards relevant to this case on March 27, 2008 pursuant to its authority under the Clean Air Act. U.S. Env'tl. Prot. Agency, National Ambient Air Quality Standards for Ozone, 73 Fed. Reg. 16,436 (Mar. 27, 2008). EPA designated the Denver Metro-North Front Range Area of Colorado as in marginal nonattainment with the 2008 Ozone Standards effective July 20, 2012. EPA, Air Quality Designations for the 2008 Ozone NAAQS, 77 Fed. Reg. 30,088, 30,110 (May 21, 2012). Yet nearly seven years later, air quality in the Denver Metro-North Front Range Area has failed to substantially improve and continues to violate this critical public health standard.

5. By January 20, 2019, the Administrator was required to make a formal determination regarding Denver’s current attainment status with this air quality regulation. By

failing to do so, the Administrator is allowing air quality in the region to continue jeopardizing the health and welfare of millions of Coloradoans and regional visitors, including Guardians' staff and members.

6. Guardians seeks a declaration that the Administrator has violated a mandatory duty by failing to make a timely determination regarding the attainment status of the Denver Metro-North Front Range Area with respect to the 2008 Ozone Standards as required by the Clean Air Act, as well as an order compelling the Administrator to fulfill his statutorily-mandated duty to make such a formal determination by a date certain.

JURISDICTION, VENUE, AND NOTICE

7. This is a Clean Air Act citizen suit against the Administrator alleging a failure of the Administrator to perform a nondiscretionary act or duty under the Clean Air Act. This Court has subject matter jurisdiction over this action pursuant to: 42 U.S.C. § 7604(a)(2) (Clean Air Act citizen suit provision) and 28 U.S.C. § 1331 (federal question).

8. The requested declaratory and injunctive relief is authorized by 28 U.S.C. § 2201(a) (declaratory relief), 28 U.S.C. § 2202 (injunctive relief), 42 U.S.C. § 7604(a)(2) (providing District Court jurisdiction to order Administrator to perform nondiscretionary duty), and 42 U.S.C. § 7604(d) (costs and attorney fees).

9. Venue is proper in this judicial district pursuant to 28 U.S.C. § 1391(e). EPA maintains a Regional Office in Denver, Colorado, which oversees air quality in Colorado, including monitoring compliance with the Ozone Standards in the Denver Metro-North Front Range Area. The Denver Regional Office is, in part, responsible for ensuring the Administrator performs the nondiscretionary duty at issue in this Complaint, and a substantial part of the events

or omissions giving rise to Guardians' claims occurred in EPA's Denver office. Guardians also maintains a major office in Denver, Colorado.

10. On January 22, 2019, by letter served by certified mail, with a courtesy copy sent by electronic mail, Guardians provided the Administrator with written notice of the claims stated in this action. Guardians provided this notice pursuant to 42 U.S.C. § 7604(b)(2), and 40 C.F.R. §§ 54.2 and 54.3. More than 60 days have elapsed since Guardians gave notice, and the Administrator has not yet taken the non-discretionary duty demanded by Guardians. There exists between the parties an actual, justiciable controversy within the meaning of the Declaratory Judgment Act, 28 U.S.C. § 2201.

PARTIES

11. Plaintiff WILDEARTH GUARDIANS is a non-profit conservation organization dedicated to protecting and restoring wildlife, wild rivers, and wild places in the American West, and to safeguarding the Earth's climate and air quality. Guardians and its members work to reduce harmful air pollution in order to safeguard public health, welfare, and the environment.

12. As a non-profit corporation, Guardians is a "person" within the meaning of 42 U.S.C. § 7602(e), and is entitled to bring a citizen suit under the Clean Air Act, 42 U.S.C. § 7604(a).

13. Guardians has approximately 223,000 activists and members, many of whom live, work, or recreate in the Denver Metro-North Front Range Area affected by the ozone pollution at issue in this case. These members recreate outdoors frequently, commute to work on bicycle, and depend on clean air for their quality of life. Their ability to fully enjoy the outdoor amenities offered by the Denver Metro-North Front Range Area, including hiking, biking, wildlife

viewing, camping, picnicking, and outdoor sports, are harmed by the failure of the Administrator to perform his nondiscretionary duty. They are also reasonably concerned regarding the short- and long-term health consequences of repeated exposure to high levels of ozone pollution. This harm stems primarily from the Administrator's failure to ensure that air quality is sufficiently protected in a timely manner, as mandated by the Clean Air Act. This harm would be eliminated or reduced if the Administrator performed his nondiscretionary duty to determine the Denver Metro-North Front Range current attainment status, as of July 20, 2018, with respect to the 2008 Ozone Standards.

14. The violation at issue in this complaint relates to the Administrator's failure to determine whether the Denver Metro-North Front Range Area has attained compliance with the 2008 Ozone Standards in a timely manner. The Administrator has failed to meet the statutory deadline for making this determination. The Administrator's failure to make this legally-required determination is delaying clean air for the Denver Metro-North Front Range Area. Given continuing high levels of ozone pollution in the region, if the Administrator finalized his determination that the area failed to attain compliance with the 2008 Ozone Standards by July 20, 2018, this determination would trigger additional pollution abatement requirements for the area. Specifically, the State of Colorado would need to adopt more stringent clean air safeguards to reduce air pollution, submit a plan to clean up the region's unhealthy air, and set a new deadline for the area to finally come into attainment with the 2008 Ozone Standards.

15. The Administrator's unlawful delay is forcing the Denver Metro-North Front Range Area – including Guardians' staff and members – to endure greater air pollution and public health risks than permitted by the Clean Air Act.

16. The violations alleged in this Complaint have injured and continue to injure the recreational, aesthetic, and health interests of Guardians and its members. These injuries are traceable to the Administrator's failure to perform a nondiscretionary duty. Granting the requested relief would redress these injuries by compelling action by the Administrator that Congress determined to be an integral part of the regulatory scheme for attaining the 2008 Ozone Standards.

17. Defendant, ANDREW WHEELER, is the Administrator of the United States Environmental Protection Agency. As Administrator, Mr. Wheeler is charged with implementation and enforcement of the Clean Air Act, including carrying out the nondiscretionary duty at issue in this case. Mr. Wheeler is sued in his official capacity.

LEGAL BACKGROUND

18. Congress enacted the Clean Air Act to "speed up, expand, and intensify the war against air pollution in the United States with a view to assuring that the air we breathe throughout the Nation is wholesome once again." H.R. Rep. No. 91-1146, at 1 (1970), reprinted in 1970 U.S.C.C.A.N. 5356, 5356. The Clean Air Act was intended "to protect and enhance the quality of the Nation's air resources so as to promote the public health and welfare and the productive capacity of its population." 42 U.S.C. § 7401(b).

19. Consistent with these goals, the Act requires EPA to set National Ambient Air Quality Standards for certain pollutants, including ozone, "the attainment and maintenance of which . . . are requisite to protect the public health" with "an adequate margin of safety," 42 U.S.C. §§ 7409(a)-(b), and to designate areas with air pollution levels that exceed the national standards as "nonattainment" areas, 42 U.S.C. § 7407(d)(1).

20. Section 109(d)(1) of the Clean Air Act requires the Administrator to complete a “thorough review” of air quality criteria and the National Ambient Air Quality Standards every five years, and to “make such revisions in such criteria and standards and promulgate such new standards as may be appropriate” under the Act. 42 U.S.C. § 7409(d).

21. After EPA establishes or revises a National Ambient Air Quality Standard, the Clean Air Act “requires EPA and States to begin taking steps to ensure that the new or revised standards are met.” National Ambient Air Quality Standards for Ozone, 73 Fed. Reg. at 16503. “The first step is to identify areas of the country that do not attain the new or revised standards, or that contribute to violations of the new or revised standards,” and to designate such areas as in a state of “nonattainment.” *Id.* The Administrator classifies ozone nonattainment areas as Marginal, Moderate, Serious, Severe, or Extreme, based on the level of ozone pollution monitored in the area. 42 U.S.C. § 7511.

22. States in which ozone nonattainment areas are located are required to adopt State Implementation Plans to reduce ozone pollution to below the applicable National Ambient Air Quality Standards, in this case the 2008 Ozone Standards. 42 U.S.C. 7511a. State and local air quality management agencies develop these plans and submit them to EPA for approval. To receive EPA approval, State Implementation Plans must identify the specific emissions control requirements the state will rely on to attain and/or maintain compliance with the applicable National Ambient Air Quality Standards. 42 U.S.C. §§ 7502, 7511a.

23. Baseline federal regulatory requirements for State Implementation Plans vary depending on the nonattainment area’s classification level, with areas experiencing more severe ozone pollution mandated to do more to improve air quality. For example, plans for all ozone

nonattainment areas must require any increase in VOC emissions (an ozone precursor) to be “offset” by reductions in VOC emissions, but the offset ratio (emissions reductions to increases) ratchets up for more serious nonattainment classifications. 42 U.S.C. § 7511a. For Marginal Areas, the offset ratio is 1.1, which increases to 1.15 for Moderate nonattainment areas, up to 1.2 to 1 for Serious Areas, up to 1.3 to 1 for Severe Areas, and finally up to 1.5 to 1 for Extreme Areas. *Id.*

24. In Serious Areas, the level of VOC emissions at which a source is treated as a “major source” also drops from 100 to 50 tons per year. *Compare* 40 C.F.R. § 70.2 (generally defining “major source”) *with* 42 U.S.C. § 7511a(c) (defining “major source” for Serious Areas). Thus, unlike in Marginal and Moderate Areas, existing sources in Serious Areas with the potential to emit between 50 and 100 tons of VOCs per year must apply for a Title V operating permit as a major source, 40 C.F.R. § 70.3(a)(1), and new or modified major sources with potential emissions between 50 and 100 tons per year also face stringent preconstruction New Source Review permitting requirements. 42 U.S.C. § 7502(c)(5).

25. State implementation plans for ozone nonattainment areas are generally due within 3 years of EPA’s nonattainment designation. 42 U.S.C. § 7502(b).

26. EPA promulgated the 2008 Ozone Standards on March 27, 2008, setting a limit on ozone concentrations in the air of no more than 0.075 ppm on an eight-hour basis. EPA, NAAQS for Ozone, 73 Fed. Reg. 16,436 (Mar. 27, 2008). A violation occurs at a monitoring site when the three-year average of the annual fourth highest eight-hour ozone concentration exceeds 0.075 ppm, or 75 ppb. 40 C.F.R. § 50.15(b). The 2008 Ozone Standards provided a higher level

of air quality protection than the [superseded] 1997 Ozone Standards, based on EPA's determination of public health requirements.¹

27. After the Administrator's designation of nonattainment areas for the 2008 Ozone Standards, Marginal Areas were given three years to sufficiently improve air quality to meet the new ozone limits. For these Marginal Areas, the attainment deadline was set for July 20, 2015. 42 U.S.C. §§ 7511(a)(1), (b)(1); EPA, Air Quality Designations for the 2008 Ozone NAAQS, 77 Fed. Reg. 30,088, 30,110 n. 1 (May 21, 2012). Moderate Areas were given six years to comply with the 2008 Ozone Standards, and the attainment deadline for such areas was set for July 20, 2018. *Id.*

FACTUAL BACKGROUND

28. In May 2012, the Administrator officially designated the Denver Metro-North Front Range Area of Colorado as in marginal nonattainment with the 2008 Ozone Standards, effective July 20, 2012. EPA, Air Quality designations for the 2008 Ozone Standards, 77 Fed. Reg. 30,088, 30,110 (May 21, 2012).

29. After this nonattainment designation, Colorado was required to adopt and implement air quality regulations to bring the Denver Metro-North Front Range Area into attainment with the 2008 Ozone Standards by July 20, 2015. 42 U.S.C. §§ 7511(a)(1), (b)(1); Air Quality Designations for the 2008 Ozone National Ambient Air Quality Standards, 77 Fed. Reg.

¹ Note that although EPA finalized a new, even stricter ozone NAAQS in 2015, limiting concentrations to no more than 0.070 ppm over an eight hour period, *see* U.S. Env'tl. Prot. Agency, National Ambient Air Quality Standards for Ozone, 80 Fed. Reg. 65,292 (Oct. 26, 2015), EPA has retained the 2008 Ozone Standards in addition to the new 2015 standard. U.S. Env'tl. Prot. Agency, Implementation of the 2015 National Ambient Air Quality Standards for Ozone: Nonattainment Area Classifications and State Implementation Plan Requirements, 83 Fed. Reg. 62,998, 63,000 (Dec. 6, 2018).

30,088, 30,110 n. 1; *see also* U.S. Env'tl. Prot. Agency, Reclassification of Several Areas for the 2008 Ozone NAAQS, 81 Fed. Reg. 26,697, 26,699 (May 4, 2016).

30. The State of Colorado, however, failed to take sufficient action between 2012 and 2015 to improve air quality as needed to protect public health and welfare in the Denver Metro-North Front Range area. Accordingly, air quality in the Denver Metro-North Front Range Area failed to meet the 2008 Ozone Standards by the July 20, 2015 attainment deadline. Because of this continued nonattainment, the Administrator was legally required to reclassify the region and “bump up” its nonattainment status from Marginal to Moderate, which then-Administrator McCarthy did by rule on May 4, 2016, effective June 3, 2016. *See* Reclassification of Several Areas for the 2008 Ozone NAAQS, 81 Fed. Reg. at 26,699. The current Denver Metro-North Front Range nonattainment area includes the entirety of the counties of Adams, Arapahoe, Boulder, Broomfield, Denver, Douglas, and Jefferson, and portions of the counties of Larimer and Weld. *See* 40 C.F.R. § 81.306.

31. After the 2016 Moderate nonattainment designation, the State of Colorado was then required to bring the Denver Metro-North Front Range Area into compliance with the 2008 Ozone Standards within six years after the effective date of EPA’s initial designation of nonattainment, or by July 20, 2018. *See* 42 U.S.C. § 7511(a)(1); 81 Fed. Reg. at 26698 (stating “[t]he reclassified areas must attain the standard as expeditiously as practicable, but in any event no later than July 20, 2018.”). Within six months after the July 20, 2018 attainment deadline, or by January 20, 2019, the Administrator was then required to determine whether the area attained the NAAQS. *Id.* § 7511(b)(2)(A). The Administrator’s determination is a nondiscretionary duty. As of the date of this complaint, the Administrator has yet to make such a determination.

32. The Administrator’s failure to make this legally-required determination is delaying clean air for the Denver Metro-North Front Range Area. Existing publicly-available data indicates that the Denver Metro-North Front Range Area likely failed to meet the 2008 Ozone Standards by the attainment date. If the Administrator finalized his determination that the area failed to attain compliance with the 2008 Ozone Standards, EPA would be required as a matter of law to “bump up” the classification of the area from Moderate to Serious. *See id.* § 7511(b)(2)(A)(i). This change in classification would require the State of Colorado to adopt more stringent clean air safeguards to reduce ozone pollution, to submit a revised State Implementation Plan to clean up the region’s unhealthy air, and would set a new deadline for the area to finally come into attainment with the 2008 Ozone Standards. In essence, the Administrator’s delay is forcing the residents of and visitors to the Denver Metro-North Front Range Area to endure greater air pollution and public health risks than the law allows.

33. Although EPA has proposed to grant the State of Colorado a one-year extension to demonstrate attainment, *see* EPA, Reclassification of Several Areas Classified as Moderate for the 2008 Ozone National Ambient Air Quality Standards, 83 Fed. Reg. 56,781 (Nov. 14, 2018), EPA has not finalized this rule. Proposed Rule, NAAQS: Determinations of Attainment, Extensions of the Attainment Date, and Reclassification of Several Areas Classified as Moderate for the 2008 Ozone Standards, <https://www.regulations.gov/document?D=EPA-HQ-OAR-2018-0226-0001> (last visited March 26, 2019). Thus, by January 20, 2019, the Administrator was required to make a formal determination as to whether the Denver Metro-North Front Range Area attained compliance with the 2008 Ozone Standards, as of July 20, 2018. The Administrator failed to do so by the applicable deadline, and has not done so since.

CLAIM FOR RELIEF

Clean Air Act - Failure to Make a Finding Regarding the Denver Metro-North Front Range Area's Compliance or Non-Compliance with the 2008 Ozone Standards by the Mandatory Deadline of January 20, 2019

34. Guardians hereby incorporates by reference all allegations in the proceeding paragraphs.

35. EPA initially designated the Denver Metro-North Front Range area as in a state of nonattainment with the 2008 Ozone Standards effective July 20, 2012.

36. After this initial nonattainment designation, the Denver Metro-North Front Range Area again failed to attain compliance with the 2008 Ozone Standards by its new July 20, 2015 deadline. Accordingly, as required by the Clean Air Act, EPA “bumped up” the classification of the Denver Metro-North Front Range area’s nonattainment with the 2008 Ozone Standards from Marginal to Moderate, effective June 3, 2016.

37. After being “bumped up” to a Moderate Area, the Denver Metro-North Front Range Area was legally required to attain compliance with the 2008 Ozone Standards by the attainment deadline of July 20, 2018. 42 U.S.C. § 7511(a)(1), (b)(1); 81 Fed. Reg. at 26,698.

38. EPA has not extended Denver’s attainment date beyond July 20, 2018.

39. Within six months of the July 20, 2018 attainment deadline, or by January 20, 2019, the Administrator was required by the Clean Air Act to make a formal determination as to whether the Denver Metro-North Front Range Area attained compliance with the 2008 Ozone Standards by the attainment date. 42 U.S.C. § 7511(b)(2)(A).

40. The Administrator failed to make the required attainment determination for the Denver Metro-North Front Range Area by the mandatory deadline of January 20, 2019. The

Administrator has not yet made the required attainment determination.

41. Accordingly, the Administrator has violated and continues to violate its mandatory, nondiscretionary duty under 42 U.S.C. § 7511(b)(2)(A).

42. This violation constitutes a “failure of the Administrator to perform any act or duty under this chapter which is not discretionary with the Administrator” within the meaning of the Clean Air Act’s citizen suit provision. 42 U.S.C. § 7604(a)(2). The Administrator’s violation is ongoing and will continue unless this Court grants the requested relief.

PRAYER FOR RELIEF

WHEREFORE, Plaintiff respectfully requests that this Court:

A. Declare that Defendant has violated and is in violation of his mandatory, nondiscretionary duty under 42 U.S.C. § 7511(b)(2)(A) to make a timely determination by January 20, 2019 regarding whether the Denver Metro-North Front Range Area attained compliance with the 2008 Ozone Standards by July 20, 2018;

B. Order Defendant to perform his mandatory, nondiscretionary duty under 42 U.S.C. § 7511(b)(2)(A) by a date certain to make a formal determination regarding whether or not the Denver Metro-North Front Range Area achieved attainment with the 2008 Ozone Standards by July 20, 2018;

C. Retain jurisdiction to ensure compliance with the Court’s injunctive orders;

C. Grant Plaintiff’s costs of litigation, including reasonable attorneys’ fees pursuant to 42 U.S.C. § 7604(d); and

D. Grant Plaintiff such other and further relief as this Court deems just and proper.

Respectfully submitted this 26th day of March, 2019.

/s/ Daniel L. Timmons

Daniel L. Timmons

WildEarth Guardians

516 Alto Street

Santa Fe, NM 87501

(505) 570-7014

dtimmons@wildearthguardians.org

/s/ Samantha Ruscavage-Barz

Samantha Ruscavage-Barz

WildEarth Guardians

516 Alto Street

Santa Fe, NM 87501

(505) 401-4180

ruscavagebarz@wildearthguardians.org

Attorneys for Plaintiff WildEarth Guardians

Civil Action No. _____

PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))

This summons for *(name of individual and title, if any)* _____
was received by me on *(date)* _____.

I personally served the summons on the individual at *(place)* _____
_____ on *(date)* _____; or

I left the summons at the individual's residence or usual place of abode with *(name)* _____
_____, a person of suitable age and discretion who resides there,
on *(date)* _____, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* _____, who is
designated by law to accept service of process on behalf of *(name of organization)* _____
_____ on *(date)* _____; or

I returned the summons unexecuted because _____; or

Other *(specify)*: _____.

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ _____.

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc:

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

_____ District of _____

Plaintiff(s)

v.

Civil Action No.

Defendant(s)

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)*

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT

Date: _____

Signature of Clerk or Deputy Clerk

Civil Action No. _____

PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))

This summons for *(name of individual and title, if any)* _____
was received by me on *(date)* _____.

I personally served the summons on the individual at *(place)* _____
_____ on *(date)* _____; or

I left the summons at the individual's residence or usual place of abode with *(name)* _____
_____, a person of suitable age and discretion who resides there,
on *(date)* _____, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* _____, who is
designated by law to accept service of process on behalf of *(name of organization)* _____
_____ on *(date)* _____; or

I returned the summons unexecuted because _____; or

Other *(specify)*: _____

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ _____.

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc:

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

_____ District of _____

_____)
Plaintiff(s))

v.)

) Civil Action No.)

_____)
Defendant(s))

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)*

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT

Date: _____

Signature of Clerk or Deputy Clerk

Civil Action No. _____

PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))

This summons for *(name of individual and title, if any)* _____
was received by me on *(date)* _____.

I personally served the summons on the individual at *(place)* _____
_____ on *(date)* _____; or

I left the summons at the individual's residence or usual place of abode with *(name)* _____
_____, a person of suitable age and discretion who resides there,
on *(date)* _____, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* _____, who is
designated by law to accept service of process on behalf of *(name of organization)* _____
_____ on *(date)* _____; or

I returned the summons unexecuted because _____; or

Other *(specify)*: _____

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ _____.

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc:

CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

I. (a) PLAINTIFFS
(b) County of Residence of First Listed Plaintiff
(c) Attorneys (Firm Name, Address, and Telephone Number)

DEFENDANTS
County of Residence of First Listed Defendant
NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.
Attorneys (If Known)

II. BASIS OF JURISDICTION (Place an "X" in One Box Only)
1 U.S. Government Plaintiff
2 U.S. Government Defendant
3 Federal Question (U.S. Government Not a Party)
4 Diversity (Indicate Citizenship of Parties in Item III)

III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff and One Box for Defendant)
PTF DEF
Citizen of This State
Citizen of Another State
Citizen or Subject of a Foreign Country
Incorporated or Principal Place of Business In This State
Incorporated and Principal Place of Business In Another State
Foreign Nation

IV. NATURE OF SUIT (Place an "X" in One Box Only)
CONTRACT
PERSONAL INJURY
REAL PROPERTY
CIVIL RIGHTS
PRISONER PETITIONS
FORFEITURE/PENALTY
LABOR
IMMIGRATION
BANKRUPTCY
PROPERTY RIGHTS
SOCIAL SECURITY
FEDERAL TAX SUITS
OTHER STATUTES

V. ORIGIN (Place an "X" in One Box Only)
1 Original Proceeding
2 Removed from State Court
3 Remanded from Appellate Court
4 Reinstated or Reopened
5 Transferred from Another District (specify)
6 Multidistrict Litigation - Transfer
8 Multidistrict Litigation - Direct File

VI. CAUSE OF ACTION
Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity):
Brief description of cause: AP Docket

VII. REQUESTED IN COMPLAINT:
CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, F.R.Cv.P. DEMAND \$
CHECK YES only if demanded in complaint: JURY DEMAND: Yes No

VIII. RELATED CASE(S) IF ANY (See instructions):
JUDGE DOCKET NUMBER

DATE SIGNATURE OF ATTORNEY OF RECORD

FOR OFFICE USE ONLY
RECEIPT # AMOUNT APPLYING IFP JUDGE MAG. JUDGE

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- I.(a) Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b) County of Residence.** For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys.** Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".
- II. Jurisdiction.** The basis of jurisdiction is set forth under Rule 8(a), F.R.Cv.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.
 United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here.
 United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.
 Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.
 Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; **NOTE: federal question actions take precedence over diversity cases.**)
- III. Residence (citizenship) of Principal Parties.** This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit.** Place an "X" in the appropriate box. If there are multiple nature of suit codes associated with the case, pick the nature of suit code that is most applicable. Click here for: [Nature of Suit Code Descriptions](#).
- V. Origin.** Place an "X" in one of the seven boxes.
 Original Proceedings. (1) Cases which originate in the United States district courts.
 Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.
 Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.
 Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.
 Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.
 Multidistrict Litigation – Transfer. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407.
 Multidistrict Litigation – Direct File. (8) Check this box when a multidistrict case is filed in the same district as the Master MDL docket.
PLEASE NOTE THAT THERE IS NOT AN ORIGIN CODE 7. Origin Code 7 was used for historical records and is no longer relevant due to changes in statute.
- VI. Cause of Action.** Report the civil statute directly related to the cause of action and give a brief description of the cause. **Do not cite jurisdictional statutes unless diversity.** Example: U.S. Civil Statute: 47 USC 553 Brief Description: Unauthorized reception of cable service
- VII. Requested in Complaint.** Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.
 Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction.
 Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases.** This section of the JS 44 is used to reference related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.