



DERA Grants

Midwest Clean Diesel Initiative Leadership Group Meeting
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EPA R5 DERA Grant Team

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Topics in this Presentation

- FY18 DERA Funding Summary
- Grants Management
- FY19 and Beyond





R5 FY 2018 Clean Diesel Programs

Total: \$7,997,005

- State Program
 - \$2,360,931 (base + incentive bonus)
 - 5 of 6 states provided a match equal to the base allocation, receiving match incentive equal to 50% of the base allocation
- National Program (mostly 2-year grants)
 - \$5,636,074 funded 8 competitive proposals
 - Matched by ~\$14.45M





FY18 Cost Share Breakdown

	Federal	State Matching	Other Matching	Total
State Program	\$2,360,931	\$1,389,101		\$3,750,032
National Competition	\$5,636,074		\$14,457,264	\$20,093,338
Total	\$7,997,005	\$1,389,101	\$14,457,264	\$23,843,370



Post-Award Phase

- The recipient demonstrates its commitment to carry out this award by either:
 - 1) drawing down funds within 21 days
 - 2) not filing a notice of disagreement within 21 days
- Terms & Conditions:
 - Please read!
 - Includes reporting requirements
- Drawing funds:
 - If you have any questions about ASAP, please call the Las Vegas Financial Center (LVFC) at 702-798-2485.



How to Fund Projects and Partnerships

- Direct Implementation
 - Target fleet is owned and operated by grant applicant
 - Applicant/recipient's budget reflects only those expenses incurred by the applicant/recipient
- Subawards
- Participant Support Costs (PSC)
 - Rebates!



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Subawards vs. Participant Support Costs

- Subawards:
 - Applicant is a pass-through entity
 - Subrecipient implements project
 - Administrative costs allowed
 - Special T&Cs
- Participant Support Costs (PSC):
 - Considered “program beneficiary”
 - Program beneficiaries only receive reimbursement for cost-share of eligible projects
 - Exempt from certain reporting requirements

Both: NOT considered contracts



Subawards & Participant Support Costs: Additional Resources

EPA Subaward Policy with attachments:

- <https://www.epa.gov/grants/grants-policy-issuance-gpi-16-01-epa-subaward-policy-epa-assistance-agreement-recipients>

Includes:

- EPA Subaward Policy
- Appendix A: Distinctions Between Subrecipients and Contractors
- Appendix B: National Term and Condition for Subawards
- Appendix C: Model Programmatic Subaward Reporting Requirement
- Appendix D: Subaward Agreement Template



Reporting Templates

<https://www.epa.gov/cleandiesel>

Reporting Templates for Grantees

FREE VIEWERS

- [Quarterly Reporting Template](#)
- [Final Reporting Template, P](#)
- [Final Reporting Template, P](#)

Reporting Templates for States and Territories

FREE VIEWERS

2017-2018

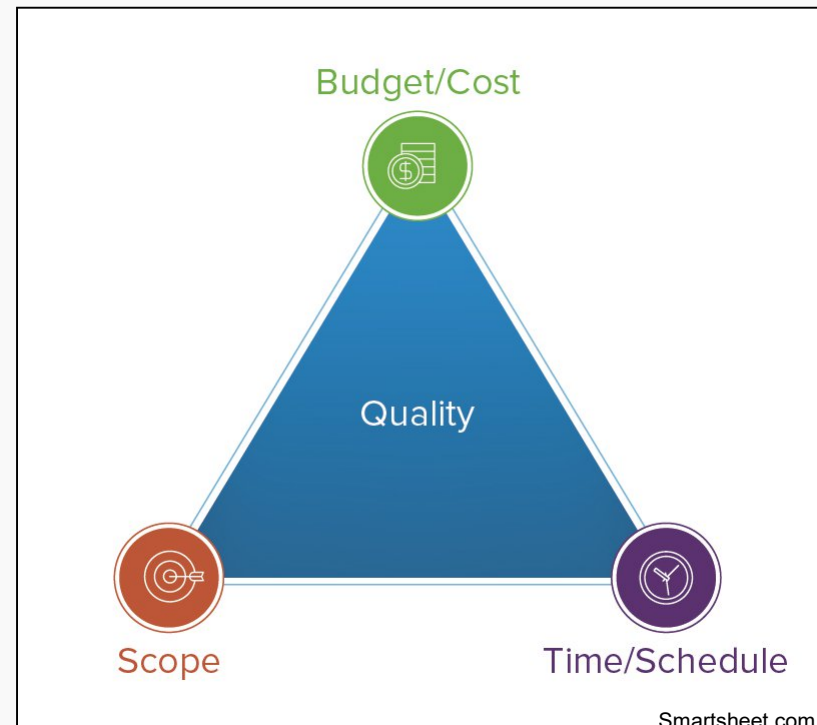
- [State Allocation Quarterly Reporting Template FY17-FY18 \(Excel\)](#) (1 MB, May 2018)
- [State Allocation Final Reporting Template FY17-FY18 \(Excel\)](#) (1 MB, April 2019)

**New! (kinda)
Table 3. Subaward
Reporting
Requirements**



Amendments: Modifying an Existing Grant

- Monetary Amendments
 - Incremental
 - Supplemental
 - Decrease
- Non-Monetary Amendments
 - Time Extension
 - Scope of Work Revision
 - Re-budgeting





Incremental Amendment (Not common with DERA)

- First award may be partial
- Often due to appropriations process
- No action required by grantee
- As Congress provides the EPA funds, the regional offices provide incrementals

First	Second	Third	Total Grant
\$2	\$1	\$1	\$4



Supplemental Amendment

- Two main instances:
 - Regional offices may “tack on” a new workplan to the previous year’s
 - New expenses (not typical with DERA)
- Grantee must provide:
 - SF424, SF424A for new amounts
 - Revised/supplemental workplan and budget narrative

	Grant	Supplement	Final Grant
Time	1 year	1 year	2 year
Funds	\$2	\$3	\$5



Decrease Amendment

- Final congressional appropriation is less than anticipated or grantee returns funds at end of project/budget period
- Submit revised SF424A, workplan, budget narrative
 - Decreases in parenthesis in SF424A
 - Adjust match
 - Need for signed SF424 depends (PO will inform)

Original Grant	Decrease	Final Grant
\$5	\$1	\$4



Time Extension Amendment

- Additional time needed to complete the workplan objectives.
- PO should be notified **well in advance** of end date
- Request
 - Reason
 - New timeline
- **May not be used to expend remaining balance!**



Re-budgeting Amendment

- Amount to re-budget exceeds 10%
- Revised SF424A
- NOTE:
 - Even re-budgets less than 10% must be documented
 - PO should be informed via email
 - Costs must always be allowable, allocable, reasonable, and necessary



Scope of Work Revision Amendment

- Grantee submits a revised workplan.
- Often occurs together with:
 - Supplemental
 - Re-budgeting
 - Decrease



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Advanced Monitoring

- Required to perform advanced monitoring on 10% of grants/CY
 - **Not an audit**
- Included in CY19 plan:
 - Three National Clean Diesel Grants
 - Grantees with multiple grants open
- Scope: Activities are eligible under existing federal statutes
- Time: Completion of grant deliverables within the project/budget period
- Cost: Drawdowns of funds are timely



Will my project be audited?

Work with the Regional Office & EPA Office of the Inspector General (OIG)

Documentation:

- Application, agreements, amendments, contracts and subcontracts
- Accounting records, including disbursement of funds, travel, records of in-kind contributions, etc.
- Copies of performance reports and any other reports or products developed under the agreement
- Copies of Financial Status Reports
- Copies of any requests for reimbursements



R5 FY19 Clean Diesel Programs

- State Program
 - FY17-18 grants project period ends September 30, 2019
- National Program
 - 12 eligible applications in R5
 - New awards expected start date: August 1, 2019
- DERA Reauthorization
 - Congress considering DERA through 2024 at \$100M/year



FY19 State Updates

- Dates:
 - April 29 - Notice of Intent to Participate (NOIP) due to HQ
 - May 28 - Submit draft workplan
 - June 18 - Final workplan in grants.gov
- Funding:
 - ~ \$25M total pot
 - ~ \$314,000 (base) + \$157,000 (bonus) = \$471,000
- New grant for FY19 –
 - Not supplementals to FY17-18 grants
 - Two-year project periods
 - FY20 funding will add as a supplemental to the FY19



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