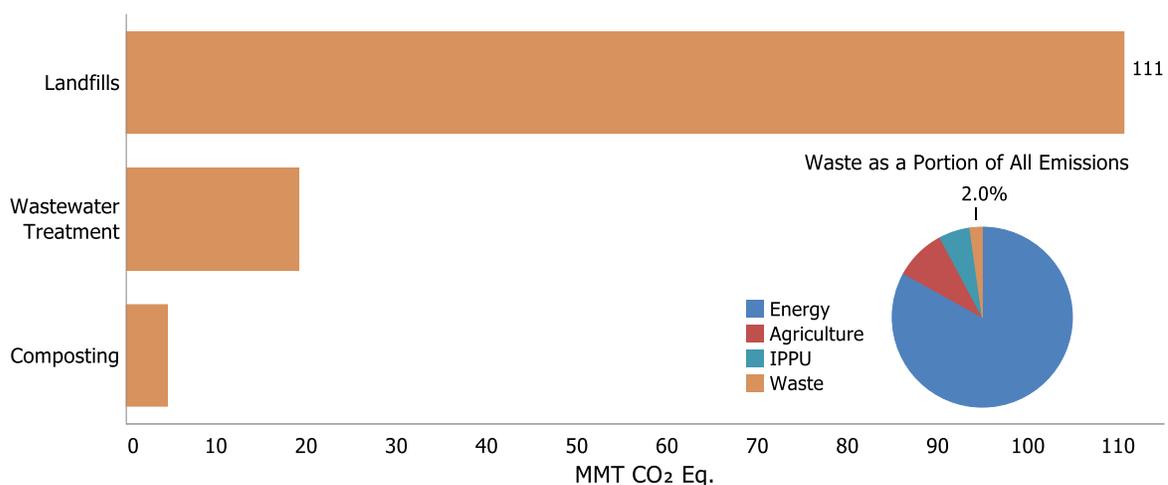


## 7. Waste

Waste management and treatment activities are sources of greenhouse gas emissions (see Figure 7-1). Landfills accounted for approximately 17.4 percent of total U.S. anthropogenic methane (CH<sub>4</sub>) emissions in 2018, the third largest contribution of any CH<sub>4</sub> source in the United States. Additionally, wastewater treatment and composting of organic waste accounted for approximately 2.2 percent and 0.4 percent of U.S. CH<sub>4</sub> emissions, respectively. Nitrous oxide (N<sub>2</sub>O) emissions from the discharge of wastewater treatment effluents into aquatic environments were estimated, as were N<sub>2</sub>O emissions from the treatment process itself. Nitrous oxide emissions from composting were also estimated. Together, these waste activities account for 1.7 percent of total U.S. N<sub>2</sub>O emissions. Nitrogen oxides (NO<sub>x</sub>), carbon monoxide (CO), and non-CH<sub>4</sub> volatile organic compounds (NMVOCs) are emitted by waste activities and are addressed separately at the end of this chapter. A summary of greenhouse gas emissions from the Waste chapter is presented in Table 7-1 and Table 7-2.

**Figure 7-1: 2018 Waste Chapter Greenhouse Gas Sources (MMT CO<sub>2</sub> Eq.)**



Overall, in 2018, waste activities generated emissions of 134.4 MMT CO<sub>2</sub> Eq., or 2.0 percent of total U.S. greenhouse gas emissions.<sup>1</sup>

<sup>1</sup> Emissions reported in the Waste chapter for landfills and wastewater treatment include those from all 50 states, including Hawaii and Alaska, as well as from U.S. Territories to the extent those waste management activities are occurring. Emissions for composting include all 50 states, including Hawaii and Alaska, but not U.S. Territories. Composting emissions from U.S. Territories are assumed to be small.

**Table 7-1: Emissions from Waste (MMT CO<sub>2</sub> Eq.)**

Gas/Source	1990	2005	2014	2015	2016	2017	2018
<b>CH<sub>4</sub></b>	<b>195.3</b>	<b>148.6</b>	<b>129.0</b>	<b>128.0</b>	<b>124.7</b>	<b>124.3</b>	<b>127.2</b>
Landfills	179.6	131.3	112.6	111.3	108.0	107.7	110.6
Wastewater Treatment	15.3	15.4	14.3	14.6	14.4	14.1	14.2
Composting	0.4	1.9	2.1	2.1	2.3	2.4	2.5
<b>N<sub>2</sub>O</b>	<b>3.7</b>	<b>6.1</b>	<b>6.6</b>	<b>6.7</b>	<b>6.9</b>	<b>7.2</b>	<b>7.2</b>
Wastewater Treatment	3.4	4.4	4.8	4.8	4.9	5.0	5.0
Composting	0.3	1.7	1.9	1.9	2.0	2.2	2.2
<b>Total</b>	<b>199.0</b>	<b>154.7</b>	<b>135.6</b>	<b>134.7</b>	<b>131.6</b>	<b>131.4</b>	<b>134.4</b>

Note: Totals may not sum due to independent rounding.

**Table 7-2: Emissions from Waste (kt)**

Gas/Source	1990	2005	2014	2015	2016	2017	2018
<b>CH<sub>4</sub></b>	<b>7,811</b>	<b>5,945</b>	<b>5,160</b>	<b>5,120</b>	<b>4,988</b>	<b>4,971</b>	<b>5,089</b>
Landfills	7,182	5,253	4,503	4,452	4,322	4,308	4,422
Wastewater Treatment	614	618	573	583	575	566	569
Composting	15	75	84	85	91	98	98
<b>N<sub>2</sub>O</b>	<b>12</b>	<b>20</b>	<b>22</b>	<b>22</b>	<b>23</b>	<b>24</b>	<b>24</b>
Wastewater Treatment	11	15	16	16	16	17	17
Composting	1	6	6	6	7	7	7

Note: Totals may not sum due to independent rounding.

Carbon dioxide (CO<sub>2</sub>), CH<sub>4</sub>, and N<sub>2</sub>O emissions from the incineration of waste are accounted for in the Energy sector rather than in the Waste sector because almost all incineration of municipal solid waste (MSW) in the United States occurs at waste-to-energy facilities where useful energy is recovered. Similarly, the Energy sector also includes an estimate of emissions from burning waste tires and hazardous industrial waste, because virtually all of the combustion occurs in industrial and utility boilers that recover energy. The incineration of waste in the United States in 2018 resulted in 11.4 MMT CO<sub>2</sub> Eq. emissions, more than half of which is attributable to the combustion of plastics. For more details on emissions from the incineration of waste, see Section 7.4.

Each year, some emission and sink estimates in the Inventory are recalculated and revised with improved methods and/or data. In general, recalculations are made to the U.S. greenhouse gas emission estimates either to incorporate new methodologies or, most commonly, to update recent historical data. These improvements are implemented consistently across the previous Inventory's time series (i.e., 1990 to 2017) to ensure that the trend is accurate. Revisions to Wastewater Treatment included updated population data, revised pulp and paper wastewater generation data, and methodology updates for estimating ethanol production resulting in 0.25 percent increase from the previous inventory. For more information on specific methodological updates, please see the Recalculations for each category, in this chapter.

### Box 7-1: Methodological Approach for Estimating and Reporting U.S. Emissions and Removals, including Relationship to Greenhouse Gas Reporting Data

In following the United Nations Framework Convention on Climate Change (UNFCCC) requirement under Article 4.1 to develop and submit national greenhouse gas emission inventories, the emissions and removals presented in this report and this chapter, are organized by source and sink categories and calculated using internationally-accepted methods provided by the Intergovernmental Panel on Climate Change (IPCC) in the *2006 IPCC Guidelines for National Greenhouse Gas Inventories (2006 IPCC Guidelines)*. Additionally, the calculated emissions and removals in a given year for the United States are presented in a common manner in line with the UNFCCC reporting guidelines for the reporting of inventories under this international agreement. The use of consistent methods to calculate emissions and removals by all nations providing their inventories to the UNFCCC ensures that these reports are comparable. The presentation of emissions and sinks provided in the Waste chapter do not preclude alternative examinations, but rather, this Chapter presents emissions and removals in a common format consistent with how countries are to report Inventories under the UNFCCC. The report itself, and this chapter, follows this standardized format, and provides an explanation of the application of methods used to calculate emissions and removals from waste management and treatment activities.

EPA also collects greenhouse gas emissions data from individual facilities and suppliers of certain fossil fuels and industrial gases through its Greenhouse Gas Reporting Program (GHGRP). The GHGRP applies to direct greenhouse gas emitters, fossil fuel suppliers, industrial gas suppliers, and facilities that inject CO<sub>2</sub> underground for sequestration or other reasons and requires reporting by sources or suppliers in 41 industrial categories. Annual reporting is at the facility level, except for certain suppliers of fossil fuels and industrial greenhouse gases. In general, the threshold for reporting is 25,000 metric tons or more of CO<sub>2</sub> Eq. per year.

#### **Waste Data from EPA's Greenhouse Gas Reporting Program**

EPA's Greenhouse Gas Reporting Program (GHGRP)<sup>2</sup> dataset and the data presented in this Inventory are complementary. The Inventory was used to guide the development of the GHGRP, particularly in terms of scope and coverage of both sources and gases. The GHGRP dataset continues to be an important resource for the Inventory, providing not only annual emissions information, but also other annual information, such as activity data and emission factors that can improve and refine national emission estimates and trends over time. GHGRP data also allow EPA to disaggregate national inventory estimates in new ways that can highlight differences across regions and sub-categories of emissions, along with enhancing application of QA/QC procedures and assessment of uncertainties.

EPA uses annual GHGRP data in the Landfills category facility level data to compile the national estimate of emissions from Municipal Solid Waste (MSW) landfills (see section 7.1). EPA uses directly reported GHGRP data for net CH<sub>4</sub> emissions from MSW landfills for the years 2010 to 2018 of the Inventory. MSW landfills subject to the GHGRP began collecting data in 2010. This data is also used to recalculate emissions from MSW landfills for the years 2005 to 2009 to ensure time series consistency. See Annex 9 for more information on use of EPA's GHGRP in the Inventory.

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<sup>2</sup> On October 30, 2009, the U.S. Environmental Protection Agency (EPA) published a rule requiring annual reporting of greenhouse gas data from large greenhouse gas emission sources in the United States. Implementation of the rule, codified at 40 CFR Part 98, is referred to as EPA's Greenhouse Gas Reporting Program (GHGRP).

## 7.1 Landfills (CRF Source Category 5A1)

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In the United States, solid waste is managed by landfilling, recovery through recycling or composting, and combustion through waste-to-energy facilities. Disposing of solid waste in modern, managed landfills is the most commonly used waste management technique in the United States. More information on how solid waste data are collected and managed in the United States is provided in Box 7-3. The municipal solid waste (MSW) and industrial waste landfills referred to in this section are all modern landfills that must comply with a variety of regulations as discussed in Box 7-2. Disposing of waste in illegal dumping sites is not considered to have occurred in years later than 1980 and these sites are not considered to contribute to net emissions in this section for the timeframe of 1990 to the current Inventory year. MSW landfills, or sanitary landfills, are sites where MSW is managed to prevent or minimize health, safety, and environmental impacts. Waste is deposited in different cells and covered daily with soil; many have environmental monitoring systems to track performance, collect leachate, and collect landfill gas. Industrial waste landfills are constructed in a similar way as MSW landfills, but are used to dispose of industrial solid waste, such as RCRA Subtitle D wastes (e.g., non-hazardous industrial solid waste defined in Title 40 of the Code of Federal Regulations or CFR in section 257.2), commercial solid wastes, or conditionally exempt small-quantity generator wastes (EPA 2016).

After being placed in a landfill, organic waste (such as paper, food scraps, and yard trimmings) is initially decomposed by aerobic bacteria. After the oxygen has been depleted, the remaining waste is available for consumption by anaerobic bacteria, which break down organic matter into substances such as cellulose, amino acids, and sugars. These substances are further broken down through fermentation into gases and short-chain organic compounds that form the substrates for the growth of methanogenic bacteria. These methane (CH<sub>4</sub>) producing anaerobic bacteria convert the fermentation products into stabilized organic materials and biogas consisting of approximately 50 percent biogenic carbon dioxide (CO<sub>2</sub>) and 50 percent CH<sub>4</sub>, by volume. Landfill biogas also contains trace amounts of non-methane organic compounds (NMOC) and volatile organic compounds (VOC) that either result from decomposition byproducts or volatilization of biodegradable wastes (EPA 2008).

### Box 7-2: Description of a Modern, Managed Landfill

Modern, managed landfills are well-engineered facilities that are located, designed, operated, and monitored to ensure compliance with federal, state, and tribal regulations. Municipal solid waste (MSW) landfills must be designed to protect the environment from contaminants which may be present in the solid waste stream. Additionally, many new landfills collect and destroy landfill gas through flares or landfill gas-to-energy projects. Requirements for affected MSW landfills may include:

- Siting requirements to protect sensitive areas (e.g., airports, floodplains, wetlands, fault areas, seismic impact zones, and unstable areas);
- Design requirements for new landfills to ensure that Maximum Contaminant Levels (MCLs) will not be exceeded in the uppermost aquifer (e.g., composite liners and leachate collection systems);
- Leachate collection and removal systems;
- Operating practices (e.g., daily and intermediate cover, receipt of regulated hazardous wastes, use of landfill cover material, access options to prevent illegal dumping, use of a collection system to prevent stormwater run-on/run-off, record-keeping);
- Air monitoring requirements (explosive gases);
- Groundwater monitoring requirements;
- Closure and post-closure care requirements (e.g., final cover construction); and
- Corrective action provisions.

Specific federal regulations that affected MSW landfills must comply with include the 40 CFR Part 258 (Subtitle D of RCRA), or equivalent state regulations and the NSPS 40 CFR Part 60 Subpart WWW. Additionally, state and

Methane and CO<sub>2</sub> are the primary constituents of landfill gas generation and emissions. However, the *2006 IPCC Guidelines* set an international convention to not report biogenic CO<sub>2</sub> from activities in the Waste sector (IPCC 2006). Net carbon dioxide flux from carbon stock changes in landfills are estimated and reported under the Land Use, Land-Use Change, and Forestry (LULUCF) sector (see Chapter 6 of this Inventory). Additionally, emissions of NMOC and VOC are not estimated because they are emitted in trace amounts. Nitrous oxide (N<sub>2</sub>O) emissions from the disposal and application of sewage sludge on landfills are also not explicitly modeled as part of greenhouse gas emissions from landfills. Nitrous oxide emissions from sewage sludge applied to landfills as a daily cover or for disposal are expected to be relatively small because the microbial environment in an anaerobic landfill is not very conducive to the nitrification and denitrification processes that result in N<sub>2</sub>O emissions. Furthermore, the *2006 IPCC Guidelines* did not include a methodology for estimating N<sub>2</sub>O emissions from solid waste disposal sites “because they are not significant.” Therefore, only CH<sub>4</sub> generation and emissions are estimated for landfills under the Waste sector.

Methane generation and emissions from landfills are a function of several factors, including: (1) the total amount and composition of waste-in-place, which is the total waste landfilled annually over the operational lifetime of a landfill; (2) the characteristics of the landfill receiving waste (e.g., size, climate, cover material); (3) the amount of CH<sub>4</sub> that is recovered and either flared or used for energy purposes; and (4) the amount of CH<sub>4</sub> oxidized as the landfill gas – that is not collected by a gas collection system – passes through the cover material into the atmosphere. Each landfill has unique characteristics, but all managed landfills employ similar operating practices, including the application of a daily and intermediate cover material over the waste being disposed of in the landfill to prevent odor and reduce risks to public health. Based on recent literature, the specific type of cover material used can affect the rate of oxidation of landfill gas (RTI 2011). The most commonly used cover materials are soil, clay, and sand. Some states also permit the use of green waste, tarps, waste derived materials, sewage sludge or biosolids, and contaminated soil as a daily cover. Methane production typically begins within the first year after the waste is disposed of in a landfill and will continue for 10 to 60 years or longer as the degradable waste decomposes over time.

In 2018, landfill CH<sub>4</sub> emissions were approximately 110.6 MMT CO<sub>2</sub> Eq. (4,422 kt), representing the third largest source of CH<sub>4</sub> emissions in the United States, behind enteric fermentation and natural gas systems. Emissions from MSW landfills accounted for approximately 95 percent of total landfill emissions (95.6 MMT CO<sub>2</sub> Eq.), while industrial waste landfills accounted for the remainder (15.0 CO<sub>2</sub> Eq). Estimates of operational MSW landfills in the United States have ranged from 1,700 to 2,000 facilities (EPA 2019a; EPA 2019c; Waste Business Journal [WBJ] 2016; WBJ 2010). More recently, the Environment Research & Education Foundation (EREF) conducted a nationwide analysis of MSW management and counted 1,540 operational MSW landfills in 2013 (EREF 2016). Conversely, there are approximately 3,200 MSW landfills in the United States that have been closed since 1980 (for which a closure data is known, (EPA 2019a; WBJ 2010). While the number of active MSW landfills has decreased significantly over the past 20 years, from approximately 6,326 in 1990 to as few as 1,540 in 2013, the average landfill size has increased (EREF 2016; EPA 2019b; BioCycle 2010). With regard to industrial waste landfills, the WBJ database (WBJ 2016) includes approximately 1,200 landfills accepting industrial and/or construction and demolition debris for 2016 (WBJ 2016). Only 169 facilities with industrial waste landfills met the reporting threshold under Subpart TT (Industrial Waste Landfills) of EPA’s Greenhouse Gas Reporting Program (GHGRP codified in 40 CFR Part 98), indicating that there may be several hundred industrial waste landfills that are not required to report under EPA’s GHGRP.

The annual amount of MSW generated and subsequently disposed in MSW landfills varies annually and depends on several factors (e.g., the economy, consumer patterns, recycling and composting programs, inclusion in a

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<sup>3</sup> For more information regarding federal MSW landfill regulations, see <[http://www.epa.gov/osw/nonhaz/municipal/landfill/msw\\_regs.htm](http://www.epa.gov/osw/nonhaz/municipal/landfill/msw_regs.htm)>.

garbage collection service). The estimated annual quantity of waste placed in MSW landfills increased 10 percent from approximately 205 MMT in 1990 to 226 MMT in 2000 and then decreased by 8.8 percent to 212 MMT in 2018 (see Annex 3.14, Table A-236). The total amount of MSW generated is expected to increase as the U.S. population continues to grow, but the percentage of waste landfilled may decline due to increased recycling and composting practices. Net CH<sub>4</sub> emissions from MSW landfills have decreased since 1990 (see Table 7-3 and Table 7-4).

The estimated quantity of waste placed in industrial waste landfills (from the pulp and paper, and food processing sectors) has remained relatively steady since 1990, ranging from 9.7 MMT in 1990 to 10.1 MMT in 2018 (see Annex 3.14, Table A-236). CH<sub>4</sub> emissions from industrial waste landfills have also remained at similar levels recently, ranging from 14.3 MMT CO<sub>2</sub> Eq. in 2005 to 15.0 MMT CO<sub>2</sub> Eq. in 2018 when accounting for both CH<sub>4</sub> generation and oxidation.

EPA's Landfill Methane Outreach Program (LMOP) collects information on landfill gas energy projects currently operational or under construction throughout the United States. LMOP's project and technical database contains certain information on the gas collection and control systems in place at landfills that are a part of the program, which can include the amount of landfill gas collected and flared. In 2019, LMOP identified 22 new landfill gas-to-energy (LFGTE) projects (EPA 2019a) that began operation. While the amount of landfill gas collected and combusted continues to increase, the rate of increase in collection and combustion no longer exceeds the rate of additional CH<sub>4</sub> generation from the amount of organic MSW landfilled as the U.S. population grows (EPA 2019b).

Landfill gas collection and control is not accounted for at industrial waste landfills in this chapter (see the Methodology discussion for more information).

**Table 7-3: CH<sub>4</sub> Emissions from Landfills (MMT CO<sub>2</sub> Eq.)**

Activity	1990	2005	2014	2015	2016	2017	2018
MSW CH <sub>4</sub> Generation	205.3	-	-	-	-	-	-
Industrial CH <sub>4</sub> Generation	12.1	15.9	16.6	16.6	16.6	16.6	16.7
MSW CH <sub>4</sub> Recovered	(17.9)	-	-	-	-	-	-
MSW CH <sub>4</sub> Oxidized	(18.7)	-	-	-	-	-	-
Industrial CH <sub>4</sub> Oxidized	(1.2)	(1.6)	(1.7)	(1.7)	(1.7)	(1.7)	(1.7)
MSW net CH <sub>4</sub> Emissions (GHGRP)	-	117.0	97.7	96.4	93.1	92.7	95.6
Industrial CH <sub>4</sub> Emissions <sup>a</sup>	10.9	14.3	14.9	14.9	14.9	15.0	15.0
<b>Total</b>	<b>179.6</b>	<b>131.3</b>	<b>112.6</b>	<b>111.3</b>	<b>108.0</b>	<b>107.7</b>	<b>110.6</b>

<sup>a</sup> Methane recovery is not calculated for industrial landfills because this is not a common practice in the United States. Only 1 landfill of 169 that report to Subpart TT (Industrial Waste Landfills) of the GHGRP had an active gas collection and control system during the year 2018 (EPA 2019b).

"-" Not applicable due to methodology change.

Note: Totals may not sum due to independent rounding. Parentheses indicate negative values. For years 1990 to 2004, the Inventory methodology for MSW landfills uses the first order decay methodology. A methodological change occurs in year 2005. For years 2005 to 2018, directly reported net CH<sub>4</sub> emissions from the GHGRP data plus a scale-up factor are used to account for emissions from landfill facilities that are not subject to the GHGRP. These data incorporate CH<sub>4</sub> recovered and oxidized for MSW landfills. As such, CH<sub>4</sub> generation and CH<sub>4</sub> recovery are not calculated separately. See the Time-Series Consistency section of this chapter for more information.

**Table 7-4: CH<sub>4</sub> Emissions from Landfills (kt)**

Activity	1990	2005	2014	2015	2016	2017	2018
MSW CH <sub>4</sub> Generation	8,214	-	-	-	-	-	-
Industrial CH <sub>4</sub> Generation	484	636	662	663	664	665	666
MSW CH <sub>4</sub> Recovered	(718)	-	-	-	-	-	-
MSW CH <sub>4</sub> Oxidized	(750)	-	-	-	-	-	-
Industrial CH <sub>4</sub> Oxidized	(48)	(64)	(66)	(66)	(66)	(67)	(67)
MSW net CH <sub>4</sub> Emissions (GHGRP)	-	4,681	3,907	3,855	3,724	3,709	3,823
Industrial CH <sub>4</sub> Emissions <sup>a</sup>	436	572	596	597	598	599	599
<b>Total</b>	<b>7,182</b>	<b>5,253</b>	<b>4,503</b>	<b>4,452</b>	<b>4,322</b>	<b>4,308</b>	<b>4,422</b>

<sup>a</sup> Methane recovery is not calculated for industrial landfills because this is not a common practice in the United States. Only 1 landfill of 169 that report to Subpart TT (Industrial Waste Landfills) of the GHGRP had an active gas collection and control system during the year 2018 (EPA 2019b).

“-” Not applicable due to methodology change.

Note: Totals may not sum due to independent rounding. Parentheses indicate negative values. For years 1990 to 2004, the Inventory methodology for MSW landfills uses the first order decay methodology. A methodological change occurs in year 2005. For years 2005 to 2018, directly reported net CH<sub>4</sub> emissions from the GHGRP data plus a scale-up factor are used to account for emissions from landfill facilities that are not subject to the GHGRP. These data incorporate CH<sub>4</sub> recovered and oxidized for MSW landfills. As such, CH<sub>4</sub> generation and CH<sub>4</sub> recovery are not calculated separately. See the Time-Series Consistency section of this chapter for more information.

## Methodology

### Methodology Applied for MSW Landfills

Methane emissions from landfills can be estimated using two primary methods. The first method uses the first order decay (FOD) model as described by the *2006 IPCC Guidelines* to estimate CH<sub>4</sub> generation. The amount of CH<sub>4</sub> recovered and combusted from MSW landfills is subtracted from the CH<sub>4</sub> generation and is then adjusted with an oxidation factor. The oxidation factor represents the amount of CH<sub>4</sub> in a landfill that is oxidized to CO<sub>2</sub> as it passes through the landfill cover (e.g., soil, clay, geomembrane). This method is presented below and is similar to Equation HH-5 in 40 CFR Part 98.343 for MSW landfills, and Equation TT-6 in 40 CFR Part 98.463 for industrial waste landfills.

$$CH_{4,Solid\ Waste} = [CH_{4,MSW} + CH_{4,Ind} - R] - Ox$$

where,

CH <sub>4,Solid Waste</sub>	= Net CH <sub>4</sub> emissions from solid waste
CH <sub>4,MSW</sub>	= CH <sub>4</sub> generation from MSW landfills
CH <sub>4,Ind</sub>	= CH <sub>4</sub> generation from industrial waste landfills
R	= CH <sub>4</sub> recovered and combusted (only for MSW landfills)
Ox	= CH <sub>4</sub> oxidized from MSW and industrial waste landfills before release to the atmosphere

The second method used to calculate CH<sub>4</sub> emissions from landfills, also called the back-calculation method, is based on directly measured amounts of recovered CH<sub>4</sub> from the landfill gas and is expressed below and by Equation HH-8 in 40 CFR Part 98.343. The two parts of the equation consider the portion of CH<sub>4</sub> in the landfill gas that is not collected by the landfill gas collection system, and the portion that is collected. First, the recovered CH<sub>4</sub> is adjusted with the collection efficiency of the gas collection and control system and the fraction of hours the recovery system operated in the calendar year. This quantity represents the amount of CH<sub>4</sub> in the landfill gas that is

not captured by the collection system; this amount is then adjusted for oxidation. The second portion of the equation adjusts the portion of CH<sub>4</sub> in the collected landfill gas with the efficiency of the destruction device(s), and the fraction of hours the destruction device(s) operated during the year.

$$CH_{4, \text{Solid Waste}} = \left[ \left( \frac{R}{CE \times f_{REC}} - R \right) \times (1 - OX) + R \times (1 - (DE \times f_{Dest})) \right]$$

where,

CH<sub>4,Solid Waste</sub> = Net CH<sub>4</sub> emissions from solid waste

R = Quantity of recovered CH<sub>4</sub> from Equation HH-4 of EPA's GHGRP

CE = Collection efficiency estimated at the landfill, considering system coverage, operation, and cover system materials from Table HH-3 of EPA's GHGRP. If area by soil cover type information is not available, the default value of 0.75 should be used. (percent)

f<sub>REC</sub> = fraction of hours the recovery system was operating (percent)

OX = oxidation factor (percent)

DE = destruction efficiency (percent)

f<sub>Dest</sub> = fraction of hours the destruction device was operating (fraction)

The current Inventory uses both methods to estimate CH<sub>4</sub> emissions across the time series within EPA's Waste Model, as summarized in Figure 7-2 below. This chapter provides a summary of the methods, activity data, and parameters used. Additional step-wise explanations to generate the net emissions are provided in Annex 3.14.

**Figure 7-2: Methodologies Used Across the Time Series to Compile the U.S. Inventory of Emission Estimates for MSW Landfills**

	Annex Steps 1-3	Annex Step 4	Annex Step 5
<b>Method</b>	Country-specific First-order decay (FOD) model	Back-casted EPA Greenhouse Gas Reporting Program (GHGRP) Reported Net Emissions	EPA GHGRP Reported Net Emissions
	1990-2004	2005-2009	2010-Present
<b>Parameters</b>	IPCC 2006 Emission factors: <ul style="list-style-type: none"> <li>• DOC = 0.20</li> <li>• MCF = 0.5</li> <li>• DOC<sub>f</sub> = 0.5</li> <li>• OX = 0.10</li> <li>• DE = 0.99</li> </ul> Activity data: <ul style="list-style-type: none"> <li>• National waste generation data multiplied by the national disposal factor</li> </ul>	<ul style="list-style-type: none"> <li>• Net GHGRP Emissions (metric tons)</li> <li>• Scale-up factor (9%)</li> <li>• Emission factors are facility-specific</li> </ul>	<ul style="list-style-type: none"> <li>• Net GHGRP Emissions (metric tons)</li> <li>• Scale-up factor (9%)</li> <li>• Emission factors are facility-specific</li> </ul>

The Waste Model is a spreadsheet developed by the IPCC for purposes of estimating methane emissions from solid waste disposal sites, adapted to the United States by the inclusion and usage of U.S.-specific parameters. The Waste Model contains activity and waste generation information from both the MSW and Industrial landfill sectors and estimates the amount of CH<sub>4</sub> emissions from each sector for each year of the time series, using both of the aforementioned methods. Prior to the 1990 through 2015 Inventory, only the FOD method was used.

Methodological changes were made to the 1990 through 2015 Inventory to incorporate higher tier data (i.e., CH<sub>4</sub> emissions as directly reported to EPA's GHGRP), which cannot be directly applied to earlier years in the time series without significant bias. The technique used to merge the directly reported GHGRP data with the previous methodology is described as the overlap technique in the Time-Series Consistency chapter of the *2006 IPCC Guidelines*. Additional details on the technique used is included in the Time Series Consistency section of this chapter, Annex 3.14, and a technical memorandum (RTI 2017).

A summary of the methodology used to generate the current 1990 through 2018 Inventory estimates for MSW landfills is as follows and is also illustrated in Annex Figure A-18:

- **1940 through 1989:** These years are included for historical waste disposal amounts. Estimates of the annual quantity of waste landfilled for 1960 through 1988 were obtained from EPA's *Anthropogenic Methane Emissions in the United States, Estimates for 1990: Report to Congress* (EPA 1993) and an extensive landfill survey by the EPA's Office of Solid Waste in 1986 (EPA 1988). Although waste placed in landfills in the 1940s and 1950s contributes very little to current CH<sub>4</sub> generation, estimates for those years were included in the FOD model for completeness in accounting for CH<sub>4</sub> generation rates and are based on the population in those years and the per capita rate for land disposal for the 1960s. For the Inventory calculations, wastes landfilled prior to 1980 were broken into two groups: wastes disposed in managed, anaerobic landfills (Methane Conversion Factor, MCF, of 1) and those disposed in uncategorized solid waste disposal waste sites (MCF of 0.6) (IPCC 2006). Uncategorized sites represent those sites for which limited information is known about the management practices. All calculations after 1980 assume waste is disposed in managed, anaerobic landfills. The FOD method was applied to estimate annual CH<sub>4</sub> generation. Methane recovery amounts were then subtracted and the result was then adjusted with a 10 percent oxidation factor to derive the net emissions estimates.
- **1990 through 2004:** The Inventory time series begins in 1990. The FOD method is exclusively used for this group of years. The national total of waste generated (based on state-specific landfill waste generation data) and a national average disposal factor for 1989 through 2004 were obtained from the State of Garbage (SOG) survey every two years (i.e., 2002, 2004 as published in BioCycle 2006). In-between years were interpolated based on population growth. For years 1989 to 2000, directly reported total MSW generation data were used; for other years, the estimated MSW generation (excluding construction and demolition waste and inerts) were presented in the reports and used in the Inventory. The FOD method was applied to estimate annual CH<sub>4</sub> generation. Landfill-specific CH<sub>4</sub> recovery amounts were then subtracted from CH<sub>4</sub> generation and the result was adjusted with a 10 percent oxidation factor to derive the net emissions estimates.
- **2005 through 2009:** Emissions for these years are estimated using net CH<sub>4</sub> emissions that are reported by landfill facilities under EPA's GHGRP. Because not all landfills in the United States are required to report to EPA's GHGRP, a 9 percent scale-up factor is applied to the GHGRP emissions for completeness. Supporting information, including details on the technique used to estimate emissions for 2005 to 2009, to develop the scale-up factor, and to ensure time-series consistency by incorporating the directly reported GHGRP emissions is presented in Annex 3.14 and in RTI 2018a. A single oxidation factor is not applied to the annual CH<sub>4</sub> generated as is done for 1990 to 2004 because the GHGRP emissions data are used, which already take oxidation into account. The GHGRP allows facilities to use varying oxidation factors (i.e., 0, 10, 25, or 35 percent) depending on their facility-specific calculated CH<sub>4</sub> flux rate. The average oxidation factor from the GHGRP facilities is 19.5 percent (from reporting years 2011 to 2017).
- **2010 through 2018:** Net CH<sub>4</sub> emissions as directly reported to the GHGRP are used with a 9 percent scale-up factor to account for landfills that are not required to report to the GHGRP. A combination of the FOD method and the back-calculated CH<sub>4</sub> emissions were used by the facilities reporting to the GHGRP. Landfills reporting to the GHGRP without gas collection and control apply the FOD method, while most landfills with landfill gas collection and control apply the back-calculation method. As noted above, GHGRP facilities use a variety of oxidation factors. The average oxidation factor from the GHGRP facilities is 19.5 percent.

A detailed discussion of the data sources and methodology used to calculate CH<sub>4</sub> generation and recovery is provided below. Supporting information, including details on the technique used to ensure time-series consistency

by incorporating the directly reported GHGRP emissions is presented in the Time-Series Consistency section of this chapter and in Annex 3.14.

## Methodology Applied for Industrial Waste Landfills

Emissions from industrial waste landfills are estimated from industrial production data (ERG 2019), waste disposal factors, and the FOD method. There are currently no data sources that track and report the amount and type of waste disposed of in the universe of industrial waste landfills in the United States. EPA's GHGRP provides some insight into waste disposal in industrial waste landfills, but is not comprehensive. Data reported to the GHGRP on industrial waste landfills suggests that most of the organic waste which would result in methane emissions is disposed at pulp and paper and food processing facilities. Of the 169 facilities that reported to Subpart TT of the GHGRP in 2018, 92 (54 percent) are in the North American Industrial Classification System (NAICS) for Pulp, Paper, and Wood Products (NAICS 321 and 322) and 12 (7 percent) are in Food Manufacturing (NAICS 311). Based on this limited information, the Inventory methodology assumes most of the organic waste placed in industrial waste landfills originates from the food processing (meat, vegetables, fruits) and pulp and paper sectors, thus estimates of industrial landfill emissions focused on these two sectors. To validate this assumption, EPA recently conducted an analysis of data reported to Subpart TT of the GHGRP in the 2016 reporting year. Waste streams of facilities reporting to Subpart TT were designated as either relating to food and beverage, pulp and paper, or other based on their primary NAICS code. The total waste disposed by facilities under each primary NAICS reported in 2016 were calculated in order to determine that 93 percent of the total organic waste quantity reported under Subpart TT is originating from either the pulp and paper or food and beverage sector (RTI 2018b). Although this memo concluded that Subpart TT data reported to the GHGRP are able to confirm the Inventory methodological assumption that most organic waste placed in industrial waste landfills is from pulp and paper or food processing facilities, EPA is currently unable to use these net emissions directly reported to the GHGRP for industrial landfills. While Subpart TT waste disposal information for pulp and paper facilities correlates well with the production data currently used to estimate Inventory emissions, the same cannot be said for food and beverage facilities. Waste disposal data prior to 1990 does not correlate well between the two data sources, and no waste disposal data are reported for these facilities through Subpart TT of the GHGRP prior to 1960. GHGRP data for food and beverage facilities in the 1960s are an order of magnitude smaller than production data currently used to estimate emissions for this sector in the Inventory. Because of these discrepancies, EPA is maintaining its current approach to estimating emissions from industrial landfills using production data from the pulp and paper and food and beverage sectors.

The composition of waste disposed of in industrial waste landfills is expected to be more consistent in terms of composition and quantity than that disposed of in MSW landfills. The amount of waste landfilled is assumed to be a fraction of production that is held constant over the time series as explained in Annex 3.14.

Landfill CH<sub>4</sub> recovery is not accounted for in industrial waste landfills. Data collected through EPA's GHGRP for industrial waste landfills (Subpart TT) show that only one of the 169 facilities, or 1 percent of facilities, have active gas collection systems (EPA 2019b). However, because EPA's GHGRP is not a national database and comprehensive data regarding gas collection systems have not been published for industrial waste landfills, assumptions regarding a percentage of landfill gas collection systems, or a total annual amount of landfill gas collected for the non-reporting industrial waste landfills have not been made for the Inventory methodology.

The amount of CH<sub>4</sub> oxidized by the landfill cover at industrial waste landfills was assumed to be 10 percent of the CH<sub>4</sub> generated (IPCC 2006; Mancinelli and McKay 1985; Czepiel et al. 1996) for all years.

### Box 7-3: Nationwide Municipal Solid Waste Data Sources

Municipal solid waste generated in the United States can be managed through landfilling, recycling, composting, and combustion with energy recovery. There have been three main sources for nationwide solid waste management data in the United States:

- The *BioCycle* and Earth Engineering Center of Columbia University's SOG in America surveys [no longer published];
- The EPA's *Advancing Sustainable Materials Management: Facts and Figures* reports; and
- The EREF's *MSW Generation in the United States* reports.

The SOG surveys and, now EREF, collected state-reported data on the amount of waste generated and the amount of waste managed via different management options: landfilling, recycling, composting, and combustion. The survey asked for actual tonnages instead of percentages in each waste category (e.g., residential, commercial, industrial, construction and demolition, organics, tires) for each waste management option. If such a breakdown is not available, the survey asked for total tons landfilled. The data are adjusted for imports and exports across state lines so that the principles of mass balance are adhered to, whereby the amount of waste managed does not exceed the amount of waste generated. The SOG and EREF reports present survey data aggregated to the state level.

The EPA *Advancing Sustainable Materials Management: Facts and Figures* reports use a materials flow methodology, which relies heavily on a mass balance approach. Data are gathered from industry associations, key businesses, similar industry sources, and government agencies (e.g., the Department of Commerce and the U.S. Census Bureau) and are used to estimate tons of materials and products generated, recycled, combusted with energy recovery or landfilled nationwide. The amount of MSW generated is estimated by estimating production and then adjusting these values by addressing the imports and exports of produced materials to other countries. MSW that is not recycled, composted, or combusted is assumed to be landfilled. The data presented in the report are nationwide totals.

In this Inventory, emissions from solid waste management are presented separately by waste management option, except for recycling of waste materials. Emissions from recycling are attributed to the stationary combustion of fossil fuels that may be used to power on-site recycling machinery, and are presented in the stationary combustion chapter in the Energy sector, although the emissions estimates are not called out separately. Emissions from solid waste disposal in landfills and the composting of solid waste materials are presented in the Landfills and Composting sections in the Waste sector of this report. In the United States, almost all incineration of MSW occurs at waste-to-energy (WTE) facilities or industrial facilities where useful energy is recovered, and thus emissions from waste incineration are accounted for in the Incineration chapter of the Energy sector of this report.

## Uncertainty and Time-Series Consistency

Several types of uncertainty are associated with the estimates of CH<sub>4</sub> emissions from MSW and industrial waste landfills when the FOD method is applied directly for 1990 to 2004 in the Waste Model and, to some extent, in the GHGRP methodology. The approach used in the MSW emission estimates assumes that the CH<sub>4</sub> generation potential (L<sub>0</sub>) and the rate of decay that produces CH<sub>4</sub> from MSW, as determined from several studies of CH<sub>4</sub> recovery at MSW landfills, are representative of conditions at U.S. MSW landfills. When this top-down approach is applied at the nationwide level, the uncertainties are assumed to be less than when applying this approach to individual landfills and then aggregating the results to the national level. In other words, the FOD method as applied in this Inventory is not facility-specific modeling and while this approach may over- or under-estimate CH<sub>4</sub> generation at some landfills if used at the facility-level, the result is expected to balance out because it is being applied nationwide.

There is a high degree of uncertainty associated with the FOD model, particularly when a homogeneous waste composition and hypothetical decomposition rates are applied to heterogeneous landfills (IPCC 2006). There is less uncertainty in EPA's GHGRP data because this methodology is facility-specific, uses directly measured CH<sub>4</sub> recovery data (when applicable), and allows for a variety of landfill gas collection efficiencies, destruction efficiencies, and/or oxidation factors to be used.

Uncertainty also exists in the scale-up factor applied for years 2005 to 2009 and in the back-casted emissions estimates for 2005 to 2009. As detailed in RTI (2018a), limited information is available for landfills that do not report to the GHGRP. RTI developed an initial list of landfills that do not report to the GHGRP with the intent of quantifying the total waste-in-place for these landfills that would add up to the scale-up factor. Input was provided by industry, LMOP, and additional EPA support. However, many gaps still exist and assumptions were made for many landfills in order to estimate the scale-up factor. Additionally, a simple methodology was used to back-cast emissions for 2005 to 2009 using the GHGRP-reported emissions from 2010 to 2018. This methodology does not factor in annual landfill to landfill changes in landfill CH<sub>4</sub> generation and recovery. Because of this, an uncertainty factor of 25 percent is applied to emissions for 2005 to 2009.

With regard to the time series and as stated in *2006 IPCC Guidelines Volume 1: Chapter 5 Time-Series Consistency* (IPCC 2006), "the time series is a central component of the greenhouse gas inventory because it provides information on historical emissions trends and tracks the effects of strategies to reduce emissions at the national level. All emissions in a time series should be estimated consistently, which means that as far as possible, the time series should be calculated using the same method and data sources in all years" (IPCC 2006). This chapter however, recommends against back-casting emissions back to 1990 with a limited set of data and instead provides guidance on techniques to splice, or join methodologies together. One of those techniques is referred to as the overlap technique. The overlap technique is recommended when new data becomes available for multiple years. This was the case with the GHGRP data for MSW landfills, where directly reported CH<sub>4</sub> emissions data became available for more than 1,200 MSW landfills beginning in 2010. The GHGRP emissions data had to be merged with emissions from the FOD method to avoid a drastic change in emissions in 2010, when the datasets were combined. EPA also had to consider that according to IPCC's good practice, efforts should be made to reduce uncertainty in Inventory calculations and that, when compared to the GHGRP data, the FOD method presents greater uncertainty.

In evaluating the best way to combine the two datasets, EPA considered either using the FOD method from 1990 to 2009, or using the FOD method for a portion of that time and back-casting the GHGRP emissions data to a year where emissions from the two methodologies aligned. Plotting the back-casted GHGRP emissions against the emissions estimates from the FOD method showed an alignment of the data in 2004 and later years which facilitated the use of the overlap technique while also reducing uncertainty. Therefore, EPA decided to back-cast the GHGRP emissions from 2009 to 2005 only, in order to merge the datasets and adhere to the IPCC *Good Practice Guidance* for ensuring time series consistency.

Aside from the uncertainty in estimating landfill CH<sub>4</sub> generation, uncertainty also exists in the estimates of the landfill gas oxidized at MSW landfills. Facilities directly reporting to EPA's GHGRP can use oxidation factors ranging from 0 to 35 percent, depending on their facility-specific CH<sub>4</sub> flux. As recommended by the *2006 IPCC Guidelines* for managed landfills, a 10 percent default oxidation factor is applied in the Inventory for both MSW landfills (those not reporting to the GHGRP and for the years 1990 to 2004 when GHGRP data are not available) and industrial waste landfills regardless of climate, the type of cover material, and/or presence of a gas collection system.

Another significant source of uncertainty lies with the estimates of CH<sub>4</sub> recovered by flaring and gas-to-energy projects at MSW landfills that are sourced from the Inventory's CH<sub>4</sub> recovery databases (used for years 1990 to 2004). Four CH<sub>4</sub> recovery databases are used to estimate nationwide CH<sub>4</sub> recovery for MSW landfills for 1990 to 2004; whereas directly reported CH<sub>4</sub> emissions, which accounts for CH<sub>4</sub> recovery, is used for facilities reporting to the GHGRP for years 2005 to 2018. The GHGRP MSW landfills database was added as a fourth recovery database starting with the 1990 through 2013 Inventory report (two years before the full GHGRP data set started being used for net CH<sub>4</sub> emissions for the Inventory). Relying on multiple databases for a complete picture introduces uncertainty because the coverage and characteristics of each database differs, which increases the chance of

double counting avoided emissions. Additionally, the methodology and assumptions that go into each database differ. For example, the flare database assumes the midpoint of each flare capacity at the time it is sold and installed at a landfill; the flare may be achieving a higher capacity, in which case the flare database would underestimate the amount of CH<sub>4</sub> recovered.

The LFGE database was updated annually until 2015. The flare database was populated annually until 2015 by the voluntary sharing of flare sales data by select vendors, which likely underestimated recovery for landfills not included in the three other recovery databases used by the Inventory. The EIA database has not been updated since 2006 and has, for the most part, been replaced by the GHGRP MSW landfills database. To avoid double counting and to use the most relevant estimate of CH<sub>4</sub> recovery for a given landfill, a hierarchical approach is used among the four databases. GHGRP data and the EIA data are given precedence because facility data were directly reported; the LFGE data are given second priority because CH<sub>4</sub> recovery is estimated from facility-reported LFGE system characteristics; and the flare data are given the lowest priority because this database contains minimal information about the flare, no site-specific operating characteristics, and includes smaller landfills not included in the other three databases (Bronstein et al. 2012). The coverage provided across the databases most likely represents the complete universe of landfill CH<sub>4</sub> gas recovery; however, the number of unique landfills between the four databases does differ.

The 2006 IPCC Guidelines default value of 10 percent for uncertainty in recovery estimates was used for two of the four recovery databases in the uncertainty analysis where metering of landfill gas was in place (for about 64 percent of the CH<sub>4</sub> estimated to be recovered). This 10 percent uncertainty factor applies to the LFGE database; 12 percent to the EIA database; and 1 percent for the GHGRP MSW landfills dataset because of the supporting information provided and rigorous verification process. For flaring without metered recovery data (the flare database), a much higher uncertainty value of 50 percent is used. The compounding uncertainties associated with the four databases in addition to the uncertainties associated with the FOD method and annual waste disposal quantities leads to the large upper and lower bounds for MSW landfills presented in Table 7-5.

The lack of landfill-specific information regarding the number and type of industrial waste landfills in the United States is a primary source of uncertainty with respect to the industrial waste generation and emission estimates. The approach used here assumes that most of the organic waste disposed of in industrial waste landfills that would result in CH<sub>4</sub> emissions consists of waste from the pulp and paper and food processing sectors. However, because waste generation and disposal data are not available in an existing data source for all U.S. industrial waste landfills, a straight disposal factor is applied over the entire time series to the amount produced to determine the amounts disposed. Industrial waste facilities reporting under EPA's GHGRP do report detailed waste stream information, and these data have been used to improve, for example, the DOC value used in the Inventory methodology for the pulp and paper sector. A 10 percent oxidation factor is also applied to CH<sub>4</sub> generation estimates for industrial waste landfills, and carries the same amount of uncertainty as with the factor applied to CH<sub>4</sub> generation for MSW landfills.

The results of the 2006 IPCC Guidelines Approach 2 quantitative uncertainty analysis are summarized in Table 7-5. There is considerable uncertainty for the MSW landfills estimates due to the many data sources used, each with its own uncertainty factor.

**Table 7-5: Approach 2 Quantitative Uncertainty Estimates for CH<sub>4</sub> Emissions from Landfills (MMT CO<sub>2</sub> Eq. and Percent)**

Source	Gas	2018 Emission Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emission Estimate <sup>a</sup>			
			Lower Bound	Upper Bound	Lower Bound (%)	Upper Bound (%)
<b>Total Landfills</b>	<b>CH<sub>4</sub></b>	<b>110.6</b>	<b>85.0</b>	<b>135.0</b>	<b>-23%</b>	<b>+22%</b>
MSW	CH <sub>4</sub>	95.6	71.8	119.6	-25%	+25%
Industrial	CH <sub>4</sub>	15.0	10.3	18.8	-31%	+25%

<sup>a</sup> Range of emission estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.

## QA/QC and Verification

General quality assurance/quality control (QA/QC) procedures were applied consistent with the U.S. *Inventory* QA/QC plan, which is in accordance with Vol. 1, Chapter 6 of *2006 IPCC Guidelines* (see Annex 8 for more details). QA/QC checks are performed for the transcription of the published data set (e.g., EPA's GHGRP dataset) used to populate the Inventory data set in terms of completeness and accuracy against the reference source. Additionally, all datasets used for this category have been checked to ensure they are of appropriate quality and are representative of U.S. conditions. The primary calculation spreadsheet is tailored from the *2006 IPCC Guidelines* waste model and has been verified previously using the original, peer-reviewed IPCC waste model. All model input values and calculations were verified by secondary QA/QC review. Stakeholder engagements sessions in 2016 and 2017 were used to gather input on methodological improvements and facilitate an external expert review on the methodology, activity data, and emission factors.

Category-specific checks include the following:

- Evaluation of the secondary data sources used as inputs to the Inventory dataset to ensure they are appropriately collected and are reliable;
- Cross-checking the data (activity data and emissions estimates) with previous years to ensure the data are reasonable, and that any significant variation can be explained through the activity data;
- Conducting literature reviews to evaluate the appropriateness of country-specific emission factors (e.g., DOC values, precipitation zones with respect to the application of the k values) given findings from recent peer-reviewed studies; and
- Reviewing secondary datasets to ensure they are nationally complete and supplementing where necessary (e.g., using a scale-up factor to account for emissions from landfills that do not report to EPA's GHGRP).

A primary focus of the QA/QC checks in past Inventories was to ensure that CH<sub>4</sub> recovery estimates were not double-counted and that all LFGE projects and flares were included in the respective project databases. QA/QC checks performed in the past for the recovery databases were not performed in this Inventory, because new data were not added to the recovery databases in this Inventory year.

For the GHGRP data, EPA verifies annual facility-level reports through a multi-step process (e.g., combination of electronic checks and manual reviews by staff) to identify potential errors and ensure that data submitted to EPA are accurate, complete, and consistent.<sup>4</sup> Based on the results of the verification process, EPA follows up with facilities to resolve mistakes that may have occurred. The post-submittals checks are consistent with a number of general and category-specific QC procedures, including range checks, statistical checks, algorithm checks, and year-to-year checks of reported data and emissions. For the MSW Landfills sector, under Subpart HH of the GHGRP, MSW Landfills with gas collection are required to report emissions from their site using both a forward- (using a first order decay model as a basis) and back-calculating (using parameters specific to the landfill itself, such as measured recovery and collection efficiency of the landfill gas) methodology. Reporters can choose which of these two methodologies they believe best represents the emissions at their landfill and are required to submit that value as their total Subpart HH emissions. Facilities are generally not expected to switch between the two equations each year, as the emissions calculated using each method can vary greatly and can have a significant effect on emission trends for that landfill, and potentially the entire MSW Landfill sector under the GHGRP. Key checks are in place to assure that emissions are trending in a sensible way year over year for each reporting landfill.

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<sup>4</sup> See <[https://www.epa.gov/sites/production/files/2015-07/documents/ghgrp\\_verification\\_factsheet.pdf](https://www.epa.gov/sites/production/files/2015-07/documents/ghgrp_verification_factsheet.pdf)>.

## Recalculations Discussion

Revisions to the individual facility reports submitted to EPA's GHGRP can be made at any time and a portion of facilities have revised their reports since 2010 for various reasons, resulting in changes to the total net CH<sub>4</sub> emissions for MSW landfills. These recalculations increased net emissions for MSW landfills from 2005 to 2015 by less than 0.5 percent when compared to the previous Inventory report. Each Inventory year, the back-casted emissions for 2005 to 2009 will be recalculated using the most recently verified data from the GHGRP. Changes in these data result in changes to the back-casted emissions. The impact of the revisions to the GHGRP Subpart HH annual GHG reports resubmitted for 2010 to 2017 slightly increased or decreased total Subpart HH reported net emissions by +/-0.1 percent or less in the years the Subpart HH data are applied (i.e., 2005 to 2017). These changes resulted in changes to the net Inventory emissions by +/-0.1 percent. An increase in net Subpart HH reported emissions resulted in an increase in the Inventory emissions for that year, and vice versa. For example, in 2017, the changes in net Subpart HH reported emissions decreased by 0.04 MMT CO<sub>2</sub> Eq. from the previous Inventory, which resulted in a net decrease in landfill emissions in this year's Inventory by -0.04 percent.

## Planned Improvements

EPA has received recommendations from industry stakeholders regarding the DOC values and decay rates (k value) required to be used in the GHGRP calculations based on recent trends in the composition of waste disposed in MSW landfills. Stakeholders have suggested that newer, more up-to-date default values for both k and DOC in the GHGRP could then be reflected in the 2005 and later years of the Inventory. In response, EPA is developing a multivariate analysis using publicly available Subpart HH GHGRP data, solving for optimized DOC and k values across the more than 1,100 landfills reporting to the program. The results of this analysis could help inform future GHGRP rulemaking where changes could be made to the default DOC and k values contained within Subpart HH, which could then be carried over to the Inventory emissions estimates for MSW landfills upon promulgation of any revisions to 40 CFR Part 98.

EPA is investigating the k values for the three climate types (dry, moderate, and wet) against new data and other landfill gas models, and how they are applied to the percentage of the population assigned to these climate types. EPA will also assess the uncertainty factor applied to these k values in the Waste Model. With respect to the scale-up factor, EPA will periodically assess the impact to the waste-in-place and emissions data from facilities that have resubmitted annual reports during any reporting years, are new reporting facilities, and from facilities that have stopped reporting to the GHGRP to ensure national estimates are as complete as possible. Facilities may stop reporting to the GHGRP when they meet the "off-ramp" provisions (reported less than 15,000 metric tons of CO<sub>2</sub> equivalent for 3 consecutive years or less than 25,000 metric tons of CO<sub>2</sub> equivalent for 5 consecutive years). If warranted, EPA will revise the scale-up factor to reflect newly acquired information to ensure completeness of the Inventory.

EPA has received comments from industry stakeholders requesting that the default oxidation factor of 10 percent applied in the 1990 to 2004 time series be updated to a higher value to correspond with findings in recent literature and facility-specific methane flux-derived oxidation factors from the GHGRP. Upon consideration of available data, EPA has decided not to revise the oxidation factor applied in the 1990 to 2004 time series on the basis that emissions estimates from the earlier part of the time series are not being used to inform policy. EPA has increased the oxidation factor applied in the latter half of the time series by incorporating the GHGRP data and will focus available resources on planned improvements that directly impact and improve the accuracy, comprehensiveness, and completeness of net emissions from 2005 and later. In the next (1990 to 2019) Inventory cycle, EPA will also begin investigating the prevalence of food-related waste deposited into industrial waste landfills. EPA will record the findings from this exercise in a memorandum and if any changes to the methodology or assumptions for industrial waste landfills are warranted, EPA will implement the changes during the following Inventory cycle.

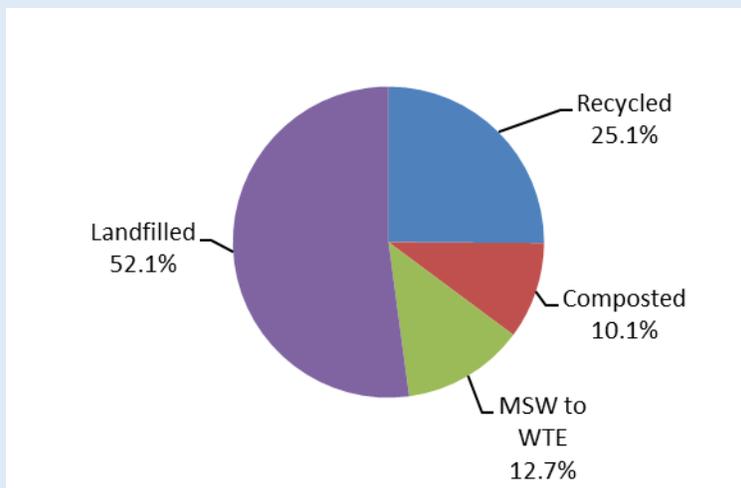
Additionally, with the recent publication of the *2019 Refinement to the 2006 IPCC Guidelines for National Greenhouse Gas Inventories* (2019 Refinement), EPA will begin to review and update applicable emission factors,

methodologies, and assumptions underlying emission estimates for landfills and make any applicable changes during the next (1990 to 2019) Inventory cycle per the 2019 Refinement.

#### Box 7-4: Overview of U.S. Solid Waste Management Trends

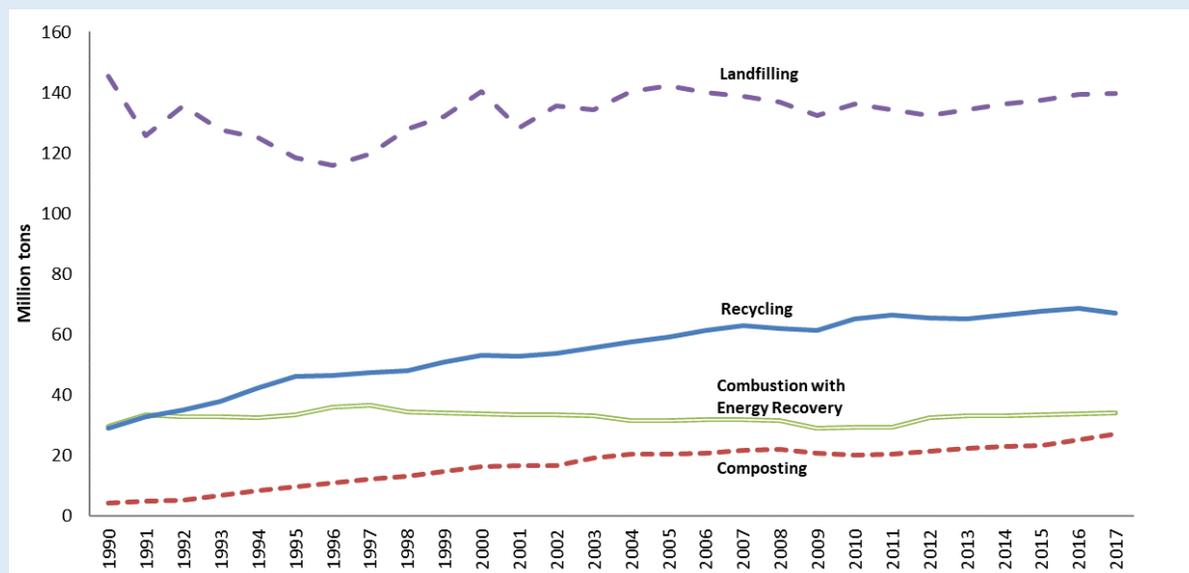
As shown in Figure 7-3 and Figure 7-4, landfilling of MSW is currently and has been the most common waste management practice. A large portion of materials in the waste stream are recovered for recycling and composting, which is becoming an increasingly prevalent trend throughout the country. Materials that are composted and recycled would have previously been disposed in a landfill.

**Figure 7-3: Management of Municipal Solid Waste in the United States, 2017**



Note: 2017 is the latest year of available data.  
Source: EPA (2019c)

**Figure 7-4: MSW Management Trends from 1990 to 2017**



Note: 2017 is the latest year of available data.  
Source: EPA (2019c).

Table 7-6 presents a typical composition of waste disposed of at a typical MSW landfill in the United States over time. It is important to note that the actual composition of waste entering each landfill will vary from that

presented in Table 7-6. Due to China’s recent ban on accepting certain kinds of solid waste by the end of 2017 (WTO 2017), inclusive of some paper and paperboard waste, plastic waste, and other miscellaneous inorganic wastes, there has been a slight increase in the disposal of paper and paperboard and plastic wastes in 2017 (Table 7-6). EPA expects these numbers to continuing increasing until new markets for recycling of these goods are identified.

Understanding how the waste composition changes over time, specifically for the degradable waste types (i.e., those types known to generate CH<sub>4</sub> as they break down in a modern MSW landfill), is important for estimating greenhouse gas emissions. Increased diversion of degradable materials so that they are not disposed of in landfills reduces the CH<sub>4</sub> generation potential and CH<sub>4</sub> emissions from landfills. For certain degradable waste types (i.e., paper and paperboard), the amounts discarded have decreased over time due to an increase in waste diversion through recycling and composting (see Table 7-6 and Figure 7-5). As shown in Figure 7-5, the diversion of food scraps has been consistently low since 1990 because most cities and counties do not practice curbside collection of these materials, although the quantity has been slowly increasing in recent years. Neither Table 7-6 nor Figure 7-5 reflect the frequency of backyard composting of yard trimmings and food waste because this information is largely not collected nationwide and is hard to estimate.

**Table 7-6: Materials Discarded<sup>a</sup> in the Municipal Waste Stream by Waste Type from 1990 to 2017 (Percent)<sup>b</sup>**

Waste Type	1990	2005	2014	2015	2016	2017
Paper and Paperboard	30.0%	24.7%	14.3%	13.3%	12.7%	13.1%
Glass	6.0%	5.8%	5.2%	5.0%	4.9%	4.9%
Metals	7.2%	7.9%	9.5%	9.5%	9.8%	9.9%
Plastics	9.5%	16.4%	18.5%	18.9%	18.9%	19.2%
Rubber and Leather	3.2%	2.9%	3.0%	3.3%	3.4%	3.5%
Textiles	2.9%	5.3%	7.3%	7.7%	8.0%	8.0%
Wood	6.9%	7.5%	8.1%	8.0%	8.8%	8.7%
Other <sup>c</sup>	1.4%	1.8%	2.2%	2.2%	2.2%	2.2%
Food Scraps	13.6%	18.5%	21.7%	22.0%	22.1%	22.0%
Yard Trimmings	17.6%	7.0%	7.9%	7.8%	6.9%	6.2%
Miscellaneous Inorganic Wastes	1.7%	2.2%	2.3%	2.3%	2.3%	2.3%

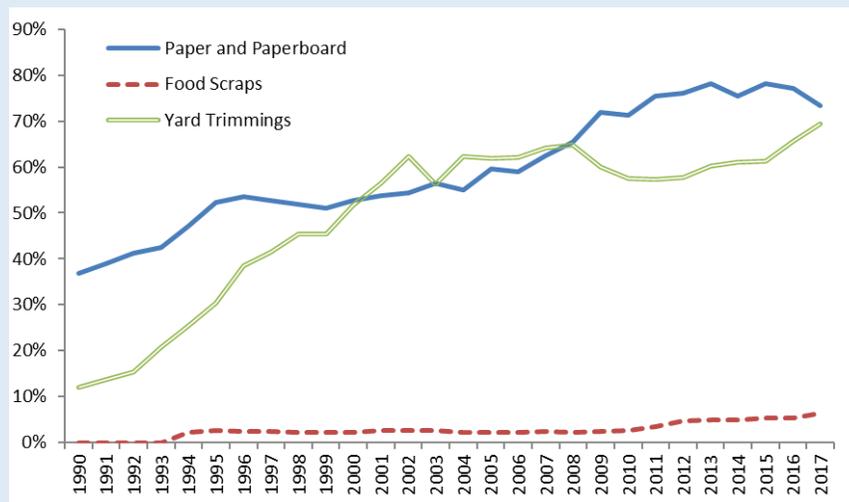
<sup>a</sup> Discards after materials and compost recovery. In this table, discards include combustion with energy recovery. Does not include construction & demolition debris, industrial process wastes, or certain other wastes.

<sup>b</sup> Data for all years are from the EPA’s *Advancing Sustainable Materials Management: Facts and Figures 2016 and 2017 Tables and Figures* report (Table 4) published in November 2019 (EPA 2019c).

<sup>c</sup> Includes electrolytes in batteries and fluff pulp, feces, and urine in disposable diapers. Details may not add to totals due to rounding.

Note: 2017 is the latest year of available data.

**Figure 7-5: Percent of Degradable Materials Diverted from Landfills from 1990 to 2017 (Percent)**



Source: (EPA 2019c). Note: 2017 is the latest year of available data.

## 7.2 Wastewater Treatment (CRF Source Category 5D)

Wastewater treatment processes can produce anthropogenic methane (CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O) emissions. Wastewater from domestic and industrial sources is treated to remove soluble organic matter, suspended solids, pathogenic organisms, and chemical contaminants.<sup>5</sup> Treatment may either occur on site, most commonly through septic systems or package plants, or off site at centralized treatment systems. In the United States, approximately 19 percent of domestic wastewater is treated in septic systems or other on-site systems, while the rest is collected and treated centrally (U.S. Census Bureau 2017). Centralized wastewater treatment systems may include a variety of processes, ranging from physical separation of material that readily settles out, to treatment operations that use biological processes to convert and remove contaminants, to advanced treatment for removal of targeted pollutants, such as nutrients. Some wastewater may also be treated through the use of constructed (or semi-natural) wetland systems, though it is much less common in the United States (ERG 2016). Constructed wetlands may be used as the primary method of wastewater treatment, or as a later treatment step following settling and biological treatment. Constructed wetlands develop natural processes that involve vegetation, soil, and associated microbial assemblages to trap and treat incoming contaminants (IPCC 2014).

Soluble organic matter is generally removed using biological processes in which microorganisms consume the organic matter for maintenance and growth. The resulting biomass (sludge) is removed from the effluent prior to discharge to the receiving stream. Microorganisms can biodegrade soluble organic material in wastewater under aerobic or anaerobic conditions, where the latter condition produces CH<sub>4</sub>. During collection and treatment, wastewater may be accidentally or deliberately managed under anaerobic conditions. In addition, the sludge may be further biodegraded under aerobic or anaerobic conditions. The generation of N<sub>2</sub>O may also result from the

<sup>5</sup> Throughout the Inventory, emissions from domestic wastewater also include any commercial and industrial wastewater collected and co-treated with domestic wastewater.

treatment of domestic wastewater during both nitrification and denitrification of the nitrogen (N) present, usually in the form of urea, ammonia, and proteins. These compounds are converted to nitrate (NO<sub>3</sub>) through the aerobic process of nitrification. Denitrification occurs under anoxic conditions (without free oxygen) and involves the biological conversion of nitrate into dinitrogen gas (N<sub>2</sub>). Nitrous oxide can be an intermediate product of both processes but has typically been associated with denitrification. More recent research suggests that higher emissions of N<sub>2</sub>O may in fact originate from nitrification (Ahn et al. 2010), while other research suggests that N<sub>2</sub>O may also result from other types of wastewater treatment operations (Chandran 2012).

The principal factor in determining the CH<sub>4</sub> generation potential of wastewater is the amount of degradable organic material in the wastewater. Common parameters used to measure the organic component of the wastewater are the biochemical oxygen demand (BOD) and chemical oxygen demand (COD). Under the same conditions, wastewater with higher COD (or BOD) concentrations will generally yield more CH<sub>4</sub> than wastewater with lower COD (or BOD) concentrations. BOD represents the amount of oxygen that would be required to completely consume the organic matter contained in the wastewater through aerobic decomposition processes, while COD measures the total material available for chemical oxidation (both biodegradable and non-biodegradable). The BOD value is most commonly expressed in milligrams of oxygen consumed per liter of sample during 5 days of incubation at 20°C, or BOD<sub>5</sub>. Because BOD is an aerobic parameter, it is preferable to use COD to estimate CH<sub>4</sub> production, since CH<sub>4</sub> is produced only in anaerobic conditions. The principal factor in determining the N<sub>2</sub>O generation potential of wastewater is the amount of N in the wastewater. The variability of N in the influent to the treatment system, as well as the operating conditions of the treatment system itself, also impact the N<sub>2</sub>O generation potential.

In 2018, CH<sub>4</sub> emissions from domestic wastewater treatment were 8.4 MMT CO<sub>2</sub> Eq. (334 kt CH<sub>4</sub>). Emissions remained fairly steady from 1990 through 1999 but have decreased since that time due to decreasing percentages of wastewater being treated in anaerobic systems, generally including reduced use of on-site septic systems and central anaerobic treatment systems (EPA 1992, 1996, 2000, and 2004; U.S. Census Bureau 2017). In 2018, CH<sub>4</sub> emissions from industrial wastewater treatment were estimated to be 5.9 MMT CO<sub>2</sub> Eq. (235 kt CH<sub>4</sub>). Industrial emission sources have generally increased across the time series through 1999 and then fluctuated up and down with production changes associated with the treatment of wastewater from the pulp and paper manufacturing, meat and poultry processing, fruit and vegetable processing, starch-based ethanol production, petroleum refining, and brewery industries. Table 7-7 and Table 7-8 provide CH<sub>4</sub> emission estimates from domestic and industrial wastewater treatment.

With respect to N<sub>2</sub>O, the United States identifies two distinct sources for N<sub>2</sub>O emissions from domestic wastewater: emissions from centralized wastewater treatment processes, and emissions from effluent from centralized treatment systems that has been discharged into aquatic environments. The 2018 emissions of N<sub>2</sub>O from centralized wastewater treatment processes and from effluent were estimated to be 0.4 MMT CO<sub>2</sub> Eq. (1.2 kt N<sub>2</sub>O) and 4.6 MMT CO<sub>2</sub> Eq. (15.6 kt N<sub>2</sub>O), respectively. Total N<sub>2</sub>O emissions from domestic wastewater were estimated to be 5.0 MMT CO<sub>2</sub> Eq. (16.8 kt N<sub>2</sub>O). Nitrous oxide emissions from wastewater treatment processes gradually increased across the time series as a result of increasing U.S. population and protein consumption. Nitrous oxide emissions are not estimated from industrial wastewater treatment because there is no IPCC methodology provided or industrial wastewater emission factors available. Table 7-7 and Table 7-8 provide N<sub>2</sub>O emission estimates from domestic wastewater treatment.

**Table 7-7: CH<sub>4</sub> and N<sub>2</sub>O Emissions from Domestic and Industrial Wastewater Treatment (MMT CO<sub>2</sub> Eq.)**

Activity	1990	2005	2014	2015	2016	2017	2018
<b>CH<sub>4</sub></b>	<b>15.3</b>	<b>15.4</b>	<b>14.3</b>	<b>14.6</b>	<b>14.4</b>	<b>14.1</b>	<b>14.2</b>
Domestic	10.4	10.0	8.9	9.0	8.7	8.3	8.4
Industrial <sup>a</sup>	4.9	5.5	5.4	5.5	5.7	5.8	5.9
<b>N<sub>2</sub>O</b>	<b>3.4</b>	<b>4.4</b>	<b>4.8</b>	<b>4.8</b>	<b>4.9</b>	<b>5.0</b>	<b>5.0</b>
Centralized WWTP	0.2	0.3	0.3	0.3	0.4	0.4	0.4

Domestic Effluent	3.2	4.1	4.4	4.4	4.5	4.6	4.6
<b>Total</b>	<b>18.7</b>	<b>19.8</b>	<b>19.1</b>	<b>19.3</b>	<b>19.2</b>	<b>19.1</b>	<b>19.2</b>

Note: Totals may not sum due to independent rounding.

<sup>a</sup> Industrial activity includes the pulp and paper manufacturing, meat and poultry processing, fruit and vegetable processing, starch-based ethanol production, petroleum refining, and breweries industries.

**Table 7-8: CH<sub>4</sub> and N<sub>2</sub>O Emissions from Domestic and Industrial Wastewater Treatment (kt)**

Activity	1990	2005	2014	2015	2016	2017	2018
<b>CH<sub>4</sub></b>	<b>614</b>	<b>618</b>	<b>573</b>	<b>583</b>	<b>575</b>	<b>566</b>	<b>569</b>
Domestic	417	398	356	361	348	334	334
Industrial <sup>a</sup>	197	219	217	221	227	232	235
<b>N<sub>2</sub>O</b>	<b>11</b>	<b>15</b>	<b>16</b>	<b>16</b>	<b>16</b>	<b>17</b>	<b>17</b>
Centralized WWTP	1	1	1	1	1	1	1
Domestic Effluent	11	14	15	15	15	15	16

Note: Totals may not sum due to independent rounding.

<sup>a</sup> Industrial activity includes the pulp and paper manufacturing, meat and poultry processing, fruit and vegetable processing, starch-based ethanol production, petroleum refining, and breweries industries.

## Methodology

### Domestic Wastewater CH<sub>4</sub> Emission Estimates

Domestic wastewater CH<sub>4</sub> emissions originate from both septic systems and from centralized treatment systems, such as publicly owned treatment works (POTWs). Within these centralized systems, CH<sub>4</sub> emissions can arise from aerobic systems that are not well managed or that are designed to have periods of anaerobic activity (e.g., constructed wetlands and facultative lagoons), anaerobic systems (anaerobic lagoons and anaerobic reactors), and from anaerobic digesters when the captured biogas is not completely combusted. The methodological equations are:

$$\begin{aligned} \text{Emissions from Septic Systems} &= A \\ &= \text{US}_{\text{POP}} \times (\% \text{ onsite}) \times (\text{EF}_{\text{SEPTIC}}) \times 1/10^9 \times 365.25 \end{aligned}$$

$$\begin{aligned} &= \text{Emissions from Centrally Treated Aerobic Systems (other than Constructed Wetlands)} + \text{Emissions from} \\ &\text{Centrally Treated Aerobic Systems (Constructed Wetlands Only)} + \text{Emissions from Centrally Treated Aerobic} \\ &\text{Systems (Constructed Wetlands used as Tertiary Treatment)} = B \end{aligned}$$

where,

$$\begin{aligned} &\text{Emissions from Centrally Treated Aerobic Systems (other than Constructed Wetlands)} \\ &= \{[(\% \text{ collected}) \times (\text{total BOD}_5 \text{ produced}) \times (\% \text{ aerobic}_{\text{COTCW}}) \times (\% \text{ aerobic w/out primary})] + [(\% \\ &\text{collected}) \times (\text{total BOD}_5 \text{ produced}) \times (\% \text{ aerobic}_{\text{COTCW}}) \times (\% \text{ aerobic w/primary}) \times (1 - \% \text{ BOD removed in} \\ &\text{prim. treat.})]\} \times (\% \text{ operations not well managed}) \times (\text{B}_o) \times (\text{MCF-aerobic\_not\_well\_man}) \end{aligned}$$

$$\begin{aligned} &\text{Emissions from Centrally Treated Aerobic Systems (Constructed Wetlands Only)} \\ &= [(\% \text{ collected}) \times (\text{total BOD}_5 \text{ produced}) \times (\% \text{ aerobic}_{\text{COTCW}})] \times (\text{B}_o) \times (\text{MCF-constructed wetlands}) \end{aligned}$$

$$\begin{aligned} &\text{Emissions from Centrally Treated Aerobic Systems (Constructed Wetlands used as Tertiary Treatment)} \\ &= [(\text{POTW\_flow\_CW}) \times (\text{BOD}_{\text{CW,INF}}) \times 3.79 \times (\text{B}_o) \times (\text{MCF-constructed wetlands})] \times 1/10^6 \times 365.25 \end{aligned}$$

$$\text{Emissions from Centrally Treated Anaerobic Systems} = C$$

$$= \{[(\% \text{ collected}) \times (\text{total BOD}_5 \text{ produced}) \times (\% \text{ anaerobic}) \times (\% \text{ anaerobic w/out primary})] + [(\% \text{ collected}) \times (\text{total BOD}_5 \text{ produced}) \times (\% \text{ anaerobic}) \times (\% \text{ anaerobic w/primary}) \times (1 - \% \text{ BOD removed in prim. treat.})]\} \times (B_o) \times (\text{MCF-anaerobic})$$

$$\text{Emissions from Anaerobic Digesters} = D$$

$$= [(\text{POTW\_flow\_AD}) \times (\text{digester gas}) / (100)] \times 0.0283 \times (\text{FRAC\_CH}_4) \times 365.25 \times (662) \times (1 - \text{DE}) \times 1/10^9$$

$$\text{Total Domestic CH}_4 \text{ Emissions from Wastewater (kt)} = A + B + C + D$$

where,

US <sub>POP</sub>	= U.S. population
% onsite	= Flow to septic systems / total flow
% collected	= Flow to POTWs / total flow
% aerobic <sub>COTCW</sub>	= Flow to aerobic systems, other than wetlands only / total flow to POTWs
% aerobic <sub>CW</sub>	= Flow to aerobic systems, constructed wetlands used as sole treatment / total flow to POTWs
% anaerobic	= Flow to anaerobic systems / total flow to POTWs
% aerobic w/out primary	= Percent of aerobic systems that do not employ primary treatment
% aerobic w/primary	= Percent of aerobic systems that employ primary treatment
% BOD removed in prim. treat.	= Percent of BOD removed in primary treatment
% operations not well managed	= Percent of aerobic systems that are not well managed and in which some anaerobic degradation occurs
% anaerobic w/out primary	= Percent of anaerobic systems that do not employ primary treatment
% anaerobic w/primary	= Percent of anaerobic systems that employ primary treatment
EF <sub>SEPTIC</sub>	= Methane emission factor – septic systems
Total BOD <sub>5</sub> produced	= kg BOD/capita/day × U.S. population × 365.25 days/yr
BOD <sub>CW,INF</sub>	= BOD concentration in wastewater entering the constructed wetland
B <sub>o</sub>	= Maximum CH <sub>4</sub> -producing capacity for domestic wastewater
1/10 <sup>6</sup>	= Conversion factor, kg to kt
365.25	= Days in a year
3.79	= Conversion factor, gallons to liters
MCF-aerobic_not_well_man.	= CH <sub>4</sub> correction factor for aerobic systems that are not well managed
MCF-anaerobic	= CH <sub>4</sub> correction factor for anaerobic systems
MCF-constructed wetlands	= CH <sub>4</sub> correction factor for surface flow constructed wetlands
DE	= CH <sub>4</sub> destruction efficiency from flaring or burning in engine
POTW_flow_CW	= Wastewater flow to POTWs that use constructed wetlands as tertiary treatment (MGD)
POTW_flow_AD	= Wastewater influent flow to POTWs that have anaerobic digesters (MGD)
digester gas	= Cubic feet of digester gas produced per person per day
100	= Wastewater flow to POTW (gallons/person/day)
0.0283	= Conversion factor, ft <sup>3</sup> to m <sup>3</sup>
FRAC_CH <sub>4</sub>	= Proportion of CH <sub>4</sub> in biogas
662	= Density of CH <sub>4</sub> (g CH <sub>4</sub> /m <sup>3</sup> CH <sub>4</sub> )
1/10 <sup>9</sup>	= Conversion factor, g to kt

#### Emissions from Septic Systems:

Methane emissions from septic systems were estimated by multiplying the U.S. population by the percent of wastewater treated in septic systems (about 18 percent) and an emission factor (10.7 g CH<sub>4</sub>/capita/day) (Leverenz et al. 2010), and then converting the result to kt/year. U.S. population data were taken from the U.S. Census Bureau International Database (U.S. Census Bureau 2019) and include the populations of the United States,

American Samoa, Guam, Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands. Table 7-9 presents U.S. population for 1990 through 2018.

**Emissions from Centrally Treated Aerobic and Anaerobic Systems:**

Methane emissions from POTWs were estimated by multiplying the total BOD<sub>5</sub> produced in the United States by the percent of wastewater treated centrally, or percent collected (about 82 percent) (U.S. Census Bureau 2017), the relative percentage of wastewater treated by aerobic and anaerobic systems (other than constructed wetlands), the relative percentage of aerobic systems at wastewater facilities with and without primary treatment (EPA 1992, 1996, 2000, and 2004), the relative percentage of anaerobic systems at wastewater facilities with and without primary treatment (EPA 1992, 1996, 2000, and 2004), the percentage of BOD<sub>5</sub> treated after primary treatment (67.5 percent, 32.5 percent removed in primary treatment) (Metcalf & Eddy 2014), the maximum CH<sub>4</sub>-producing capacity of domestic wastewater (B<sub>0</sub>, 0.6 kg CH<sub>4</sub>/kg BOD) (IPCC 2006), and the relative methane correction factors (MCF) for not well-managed aerobic (0.3) (IPCC 2006), and anaerobic (0.8) (IPCC 2006) systems. All aerobic systems are assumed to be well-managed as there are currently no data available to quantify the number of systems that are not well-managed.

Table 7-9 presents total BOD<sub>5</sub> produced for 1990 through 2018. The proportions of domestic wastewater treated onsite versus at centralized treatment plants were based on data from the 1989, 1991, 1993, 1995, 1997, 1999, 2001, 2003, 2005, 2007, 2009, 2011, 2013, 2015 and 2017 *American Housing Surveys* conducted by the U.S. Census Bureau (U.S. Census Bureau 2017), with data for intervening years obtained by linear interpolation and 2018 forecasted using 1990 to 2017 data. The BOD<sub>5</sub> production rate was determined using BOD generation rates per capita both with and without kitchen scraps (Metcalf & Eddy 2003; Metcalf & Eddy 2014) as well as an estimated percent of housing units that utilize kitchen garbage disposals (ERG 2018a). The percent BOD<sub>5</sub> removed by primary treatment for domestic wastewater was obtained from Metcalf & Eddy (2014). The percent of wastewater flow to aerobic and anaerobic systems, the percent of aerobic and anaerobic systems that do and do not employ primary treatment, and the wastewater flow to POTWs that have anaerobic digesters were obtained from the 1992, 1996, 2000, and 2004 *Clean Watersheds Needs Survey* (CWNS) (EPA 1992, 1996, 2000, and 2004). Data for intervening years were obtained by linear interpolation and the years 2005 through 2018 were forecasted from the rest of the time series. The percent of wastewater flow to aerobic systems that use only constructed wetlands and wastewater flow to POTWs that use constructed wetlands as tertiary treatment were obtained from the 1992, 1996, 2000, 2004, 2008, and 2012 CWNS (EPA 1992, 1996, 2000, 2004, 2008b, and 2012). Data for intervening years were obtained by linear interpolation and the years 2013 through 2018 were forecasted from the rest of the time series.

**Table 7-9: U.S. Population (Millions) and Domestic Wastewater BOD<sub>5</sub> Produced (kt)**

Year	Population	BOD <sub>5</sub>
1990	253	8,131
2005	300	9,624
2014	323	9,657
2015	325	9,743
2016	327	9,828
2017	329	9,911
2018	333	10,032

Sources: U.S. Census Bureau (2019); ERG (2019a).

For constructed wetlands, an MCF of 0.4 was used, which is the IPCC suggested MCF for surface flow wetlands. This is the most conservative factor for constructed wetlands and was recommended by IPCC (2014) when the type of constructed wetland is not known. A BOD<sub>5</sub> concentration of 30 mg/L was used for wastewater entering

constructed wetlands used as tertiary treatment based on U.S. secondary treatment standards for POTWs. These standards are based on plants generally utilizing simple settling and biological treatment (EPA 2013).

In addition, methane emissions were calculated for systems that treat wastewater with constructed wetlands and systems that use constructed wetlands as tertiary treatment; however, constructed wetlands are a relatively small portion of wastewater treated centrally (<0.1 percent).

#### Emissions from Anaerobic Digesters:

Total CH<sub>4</sub> emissions from anaerobic digesters were estimated by multiplying the wastewater influent flow to POTWs with anaerobic digesters, the cubic feet of digester gas generated per person per day divided by the flow to POTWs, the fraction of CH<sub>4</sub> in biogas (0.65), the density of CH<sub>4</sub> (662 g CH<sub>4</sub>/m<sup>3</sup> CH<sub>4</sub>) (EPA 1993a), one minus the destruction efficiency from burning the biogas in an energy/thermal device (0.99 for enclosed flares) and then converting the results to kt/year.

The CH<sub>4</sub> destruction efficiency for CH<sub>4</sub> recovered from sludge digestion operations, 99 percent, was selected based on the range of efficiencies (98 to 100 percent) recommended for flares in *AP-42 Compilation of Air Pollutant Emission Factors*, Chapter 2.4 (EPA 1998), along with data from CAR (2011), Sullivan (2007), Sullivan (2010), and UNFCCC (2012). The cubic feet of digester gas produced per person per day (1.0 ft<sup>3</sup>/person/day) and the proportion of CH<sub>4</sub> in biogas (0.65) come from Metcalf & Eddy (2014). The wastewater flow to a POTW (100 gal/person/day) was taken from the Great Lakes-Upper Mississippi River Board of State and Provincial Public Health and Environmental Managers, "*Recommended Standards for Wastewater Facilities (Ten-State Standards)*" (2004).

Table 7-10 presents domestic wastewater CH<sub>4</sub> emissions for both septic and centralized systems, including anaerobic digesters, in 2018.

**Table 7-10: Domestic Wastewater CH<sub>4</sub> Emissions from Septic and Centralized Systems (2018, MMT CO<sub>2</sub> Eq. and Percent)**

	CH <sub>4</sub> Emissions (MMT CO <sub>2</sub> Eq.)	% of Domestic Wastewater CH <sub>4</sub>
Septic Systems	5.9	70.4%
Centrally-Treated Aerobic Systems	0.03	0.4%
Centrally-Treated Anaerobic Systems	2.2	26.8%
Anaerobic Digesters	0.2	2.4%
<b>Total</b>	<b>8.4</b>	<b>100%</b>

Note: Totals may not sum due to independent rounding.

## Industrial Wastewater CH<sub>4</sub> Emission Estimates

Methane emission estimates from industrial wastewater were developed according to the methodology described in the *2006 IPCC Guidelines*. Industry categories that are likely to produce significant CH<sub>4</sub> emissions from wastewater treatment were identified and included in the Inventory. The main criteria used to identify these industries are whether they generate high volumes of wastewater, whether there is a high organic wastewater load, and whether the wastewater is treated using methods that result in CH<sub>4</sub> emissions. The top six industries that meet these criteria are pulp and paper manufacturing; meat and poultry processing; vegetables, fruits, and juices processing; starch-based ethanol production; petroleum refining; and breweries. Wastewater treatment emissions for these sectors for 2018 are displayed in Table 7-11 below. Table 7-12 contains production data for these industries.

**Table 7-11: Industrial Wastewater CH<sub>4</sub> Emissions by Sector (2018, MMT CO<sub>2</sub> Eq. and Percent)**

	CH <sub>4</sub> Emissions (MMT CO <sub>2</sub> Eq.)	% of Industrial Wastewater CH <sub>4</sub>
Meat & Poultry	4.8	81.3%
Pulp & Paper	0.6	9.8%
Fruit & Vegetables	0.2	3.0%
Petroleum Refineries	0.2	2.6%
Ethanol Refineries	0.1	2.4%
Breweries	0.05	1%
<b>Total</b>	<b>5.9</b>	<b>100%</b>

Note: Totals may not sum due to independent rounding.

**Table 7-12: U.S. Pulp and Paper, Meat, Poultry, Vegetables, Fruits and Juices, Ethanol, Breweries, and Petroleum Refining Production (MMT)**

Year	Pulp and Paper <sup>a</sup>	Meat (Live Weight Killed)	Poultry (Live Weight Killed)	Vegetables, Fruits and Juices	Ethanol	Breweries	Petroleum Refining
1990	83.6	27.3	14.6	38.7	2.5	23.9	702.4
2005	92.4	31.4	25.1	42.9	11.7	23.2	818.6
2014	80.9	32.2	26.9	45.3	42.8	22.5	903.9
2015	80.9	32.8	27.7	44.6	44.2	22.4	914.5
2016	79.9	34.2	28.3	43.2	45.8	22.3	926.0
2017	80.0	35.4	28.9	42.7	47.2	21.8	933.5
2018	75.7	36.4	29.4	42.1	48.0	21.5	951.4

<sup>a</sup> Pulp and paper production is the sum of market pulp production plus paper and paperboard production.

Sources: FAO (2019a) and FAO (2019b); USDA (2019a); Cooper (2018) and RFA (2019a and 2019b); Beer Institute (2011) and TTB (2019); EIA (2019).

Methane emissions from these categories were estimated by multiplying the annual product output by the average outflow, the organics loading (in COD) in the outflow, the maximum CH<sub>4</sub> producing potential of industrial wastewater (B<sub>0</sub>), and the percentage of organic loading assumed to degrade anaerobically in a given treatment system (MCF). Ratios of BOD:COD in various industrial wastewaters were obtained from EPA (1997a) and used to estimate COD loadings. The B<sub>0</sub> value used for all industries is the IPCC default value of 0.25 kg CH<sub>4</sub>/kg COD (IPCC 2006).

For each industry, the percent of plants in the industry that treat wastewater on site, the percent of plants that have a primary treatment step prior to biological treatment, and the percent of plants that treat wastewater anaerobically were defined. The percent of wastewater treated anaerobically onsite (TA) was estimated for both primary treatment (%TA<sub>p</sub>) and secondary treatment (%TA<sub>s</sub>). For plants that have primary treatment in place, an estimate of COD that is removed prior to wastewater treatment in the anaerobic treatment units was incorporated. The values used in the %TA calculations are presented in Table 7-13 below.

The methodological equations are:

$$\text{CH}_4 (\text{industrial wastewater}) = [P \times W \times \text{COD} \times \%TA_p \times B_0 \times \text{MCF}] + [P \times W \times \text{COD} \times \%TA_s \times B_0 \times \text{MCF}]$$

$$\%TA_p = [\%Plants_o \times \%WW_{a,p} \times \%COD_p]$$

$$\%TA_s = [\%Plants_a \times \%WW_{a,s} \times \%COD_s] + [\%Plants_t \times \%WW_{a,t} \times \%COD_s]$$

where,

CH <sub>4</sub> (industrial wastewater)	= Total CH <sub>4</sub> emissions from industrial wastewater (kg/year)
P	= Industry output (metric tons/year)
W	= Wastewater generated (m <sup>3</sup> /metric ton of product)
COD	= Organics loading in wastewater (kg/m <sup>3</sup> )
%TA <sub>p</sub>	= Percent of wastewater treated anaerobically on site in primary treatment
%TA <sub>s</sub>	= Percent of wastewater treated anaerobically on site in secondary treatment
%Plants <sub>o</sub>	= Percent of plants with onsite treatment
%WW <sub>a,p</sub>	= Percent of wastewater treated anaerobically in primary treatment
%COD <sub>p</sub>	= Percent of COD entering primary treatment
%Plants <sub>a</sub>	= Percent of plants with anaerobic secondary treatment
%Plants <sub>t</sub>	= Percent of plants with other secondary treatment
%WW <sub>a,s</sub>	= Percent of wastewater treated anaerobically in anaerobic secondary treatment
%WW <sub>a,t</sub>	= Percent of wastewater treated anaerobically in other secondary treatment
%COD <sub>s</sub>	= Percent of COD entering secondary treatment
B <sub>o</sub>	= Maximum CH <sub>4</sub> producing potential of industrial wastewater (kg CH <sub>4</sub> /kg COD)
MCF	= CH <sub>4</sub> correction factor, indicating the extent to which the organic content (measured as COD) degrades anaerobically

Alternate methodological equations for calculating %TA were used for secondary treatment in the pulp and paper industry to account for aerobic systems with anaerobic portions. These equations are:

$$\%TA_a = [\%Plants_a \times \%WW_{a,s} \times \%COD_s] + [\%Plants_{a,t} \times \%WW_{a,t} \times \%COD_s]$$

$$\%TA_{a,t} = [\%Plants_{a,t} \times \%WW_{a,s} \times \%COD_s]$$

where,

%TA <sub>a</sub>	= Percent of wastewater treated anaerobically on site in secondary treatment
%TA <sub>a,t</sub>	= Percent of wastewater treated in aerobic systems with anaerobic portions on site in secondary treatment
%Plants <sub>a</sub>	= Percent of plants with anaerobic secondary treatment
%Plants <sub>a,t</sub>	= Percent of plants with partially anaerobic secondary treatment
%WW <sub>a,s</sub>	= Percent of wastewater treated anaerobically in anaerobic secondary treatment
%WW <sub>a,t</sub>	= Percent of wastewater treated anaerobically in other secondary treatment
%COD <sub>s</sub>	= Percent of COD entering secondary treatment

As described below, the values presented in Table 7-13: were used in the emission calculations and are described in detail in ERG (2008), ERG (2013a), and ERG (2013b).

**Table 7-13: Variables Used to Calculate Percent Wastewater Treated Anaerobically by Industry (Percent)**

Variable	Industry								
	Pulp and Paper	Meat Processing	Poultry Processing	Fruit/Vegetable Processing	Ethanol Production – Wet Mill	Ethanol Production – Dry Mill	Petroleum Refining	Breweries – Craft	Breweries – Non-Craft
%TA <sub>p</sub>	0	0	0	0	0	0	0	0	0
%TA <sub>s</sub>	0	33	25	4.2	33.3	75	23.6	0	0
%TA <sub>a</sub>	2.2	0	0	0	0	0	0	0	0
%TA <sub>a,t</sub>	11.8	0	0	0	0	0	0	0	0

%Plants <sub>o</sub>	60	100	100	11	100	100	100	100	100	1
%Plants <sub>a</sub>	5	33	25	5.5	33.3	75	23.6	0	0	0
%Plants <sub>a,t</sub>	28	0	0	0	0	0	0	0	0	0
%Plants <sub>t</sub>	35	67	75	5.5	66.7	25	0	0	0	0
%WW <sub>a,p</sub>	0	0	0	0	0	0	0	0	0	0
%WW <sub>a,s</sub>	100	100	100	100	100	100	100	100	0	0
%WW <sub>a,t</sub>	0	0	0	0	0	0	0	0	0	0
%COD <sub>p</sub>	100	100	100	100	100	100	100	100	0	0
%COD <sub>s</sub>	42	100	100	77	100	100	100	100	0	0

Note: Due to differences in data availability and methodology, zero values in the table are for calculation purposes only and may indicate unavailable data.

Sources: ERG (2008); ERG (2013a); and ERG (2013b).

*Pulp and Paper.* Wastewater treatment for the pulp and paper industry typically includes neutralization, screening, sedimentation, and flotation/hydrocycloning to remove solids (World Bank 1999; Nemerow and Dasgupta 1991). Secondary treatment (storage, settling, and biological treatment) mainly consists of lagooning. In determining the percent that degrades anaerobically, both primary and secondary treatment were considered. In the United States, primary treatment is focused on solids removal, equalization, neutralization, and color reduction (EPA 1993b). The vast majority of pulp and paper mills with on-site treatment systems use mechanical clarifiers to remove suspended solids from the wastewater. About 10 percent of pulp and paper mills with treatment systems use settling ponds for primary treatment and these are more likely to be located at mills that do not perform secondary treatment (EPA 1993b). However, because the vast majority of primary treatment operations at U.S. pulp and paper mills use mechanical clarifiers, and less than 10 percent of pulp and paper wastewater is managed in primary settling ponds that are not expected to have anaerobic conditions, negligible emissions are assumed to occur during primary treatment.

Approximately 42 percent of the BOD passes on to secondary treatment, which consists of activated sludge, aerated stabilization basins, or non-aerated stabilization basins. Based on EPA's *OAQPS Pulp and Paper Sector Survey*, 5.3 percent of pulp and paper mills reported using anaerobic secondary treatment for wastewater and/or pulp condensates (ERG 2013a). Twenty-eight percent of mills also reported the use of quiescent settling ponds. Using engineering judgment, these systems were determined to be aerobic with possible anaerobic portions. For the truly anaerobic systems, an MCF of 0.8 is used, as these are typically deep stabilization basins. For the partially anaerobic systems, an MCF of 0.2 is used, which is the *2006 IPCC Guidelines*-suggested MCF for shallow lagoons.

A time series of CH<sub>4</sub> emissions for 1990 through 2018 was developed based on paper and paperboard production data from the Food and Agricultural Organization of the United Nations (FAO) database FAOSTAT. (FAO 2019a) and market pulp production data from FAO Pulp and Paper Capacities Reports (FAO 2019b). Market pulp production values were available directly for 1998, 2000 through 2003, and 2010 through 2017. Where market pulp data were unavailable, a percent of woodpulp that is market pulp was applied to woodpulp production values from FAOSTAT to estimate market pulp production (FAO 2019a). The percent of woodpulp that is market pulp for 1990 to 1997 was assumed to be the same as 1998, 1999 was interpolated between values for 1998 and 2000, 2000 through 2009 were interpolated between values for 2003 and 2010, and 2018 was forecasted from the rest of the time series. A time series of the overall wastewater outflow for 1990 through 1994 varies based on data outlined in ERG (2013a) to reflect historical wastewater flow. Wastewater generation rates for 1995, 2000, and 2002 were estimated from the 2014 *American Forest and Paper Association (AF&PA) Sustainability Report* (AF&PA 2014). Wastewater generation rates for 2004, 2006, 2008, 2010, 2012, and 2014 were estimated from the 2016 AF&PA Sustainability Report (AF&PA 2016). Data for 2005 and 2016 were obtained from the 2018 AF&PA Sustainability Report (AF&PA 2018). Data for intervening years were obtained by linear interpolation, while 2015, 2017 and 2018 were forecasted from the rest of the time series. The average BOD concentrations in raw wastewater was estimated to be 0.4 grams BOD/liter for 1990 to 1998, while 0.3 grams BOD/liter was estimated for 2014 through 2018 (EPA 1997b; EPA 1993b; World Bank 1999; Malmberg 2018). Data for intervening years were obtained by linear interpolation. The COD:BOD ratio used to convert the organic loading to COD for pulp and paper mills was 2.5 for the entire time series (Malmberg 2018).

*Meat and Poultry Processing.* The meat and poultry processing industry makes extensive use of anaerobic lagoons in sequence with screening, fat traps, and dissolved air flotation when treating wastewater on site. About 33 percent of meat processing operations (EPA 2002) and 25 percent of poultry processing operations (U.S. Poultry 2006) perform on-site treatment in anaerobic lagoons. The IPCC default  $B_0$  of 0.25 kg CH<sub>4</sub>/kg COD and default MCF of 0.8 for anaerobic lagoons were used to estimate the CH<sub>4</sub> produced from these on-site treatment systems. Production data on carcass weight and live weight killed for the meat and poultry industry were obtained from the USDA *Agricultural Statistics Database and the Agricultural Statistics Annual Reports* (USDA 2019a). Data collected by EPA's Office of Water provided estimates for wastewater flows into anaerobic lagoons: 5.3 and 12.5 m<sup>3</sup>/metric ton for meat and poultry production (live weight killed), respectively (EPA 2002). The loadings are 2.8 and 1.5 g BOD/liter for meat and poultry, respectively (EPA 2002). The COD:BOD ratio used to convert the organic loading to COD for both meat and poultry facilities was 3 (EPA 1997a).

*Vegetables, Fruits, and Juices Processing.* Treatment of wastewater from fruits, vegetables, and juices processing includes screening, coagulation/settling, and biological treatment (lagooning). The flows are frequently seasonal, and robust treatment systems are preferred for on-site treatment. Effluent is suitable for discharge to POTWs. This industry is likely to use lagoons intended for aerobic operation, but the large seasonal loadings may develop limited anaerobic zones. In addition, some anaerobic lagoons may also be used (Nemerow and Dasgupta 1991). Consequently, 4.2 percent of these wastewater organics are assumed to degrade anaerobically (ERG 2008). The IPCC default  $B_0$  of 0.25 kg CH<sub>4</sub>/kg COD and default MCF of 0.8 for anaerobic treatment were used to estimate the CH<sub>4</sub> produced from these on-site treatment systems. The USDA National Agricultural Statistics Service (USDA 2019a, 2019c) provided production data for potatoes, other vegetables, citrus fruit, non-citrus fruit, and grapes processed for wine. Outflow and BOD data, presented in Table 7-14 were obtained from CAST (1995) for apples, apricots, asparagus, broccoli, carrots, cauliflower, cucumbers (for pickles), green peas, pineapples, snap beans, and spinach; EPA (1974) for potato and citrus fruit processing; and EPA (1975) for all other commodities. The COD:BOD ratio used to convert the organic loading to COD for all fruit, vegetable, and juice facilities was 1.5 (EPA 1997a).

**Table 7-14: Wastewater Flow (m<sup>3</sup>/ton) and BOD Production (g/L) for U.S. Vegetables, Fruits, and Juices Production**

Commodity	Wastewater Outflow (m <sup>3</sup> /ton)	BOD (g/L)
<b>Vegetables</b>		
Potatoes	10.27	1.765
Other Vegetables	9.93	0.755
<b>Fruit</b>		
Apples	9.09	8.17
Citrus Fruits	10.11	0.317
Non-citrus Fruits	12.59	1.226
Grapes (for wine)	2.78	1.831

Sources: CAST (1995); EPA (1974); EPA (1975).

*Ethanol Production.* Ethanol, or ethyl alcohol, is produced primarily for use as a fuel component, but is also used in industrial applications and in the manufacture of beverage alcohol. Ethanol can be produced from the fermentation of sugar-based feedstocks (e.g., molasses and beets), starch- or grain-based feedstocks (e.g., corn, sorghum, and beverage waste), and cellulosic biomass feedstocks (e.g., agricultural wastes, wood, and bagasse). Ethanol can also be produced synthetically from ethylene or hydrogen and carbon monoxide. However, synthetic ethanol comprises only about 2 percent of ethanol production and is only in an experimental stage in the United States. Currently, ethanol is mostly made from sugar and starch crops, but with advances in technology, cellulosic biomass is increasingly used as ethanol feedstock (DOE 2013).

Ethanol is produced from corn (or other starch-based feedstocks) primarily by two methods: wet milling and dry milling. Historically, the majority of ethanol was produced by the wet milling process, but now the majority is produced by the dry milling process. The dry milling process is cheaper to implement and is more efficient in terms

of actual ethanol production (Rendleman and Shapouri 2007). The wastewater generated at ethanol production facilities is handled in a variety of ways. Dry milling facilities often combine the resulting evaporator condensate with other process wastewaters, such as equipment wash water, scrubber water, and boiler blowdown and anaerobically treat this wastewater using various types of digesters. Wet milling facilities often treat their steepwater condensate in anaerobic systems followed by aerobic polishing systems. Wet milling facilities may treat the stillage (or processed stillage) from the ethanol fermentation/distillation process separately or together with steepwater and/or wash water. Methane generated in anaerobic digesters is commonly collected and either flared or used as fuel in the ethanol production process (ERG 2006).

Available information was compiled from the industry on wastewater generation rates, which ranged from 1.25 gallons per gallon ethanol produced (for dry milling) to 10 gallons per gallon ethanol produced (for wet milling) (Ruocco 2006a; Ruocco 2006b; Merrick 1998; Donovan 1996; NRBP 2001). COD concentrations were found to be about 3 g/L (Ruocco 2006a; Merrick 1998; White and Johnson 2003). One hundred percent of plants were estimated to have on-site wastewater treatment, and the variables used to calculate percent wastewater treated anaerobically are presented in Table 7-13. A default MCF of 0.8 for anaerobic treatment was used to estimate the CH<sub>4</sub> produced from these on-site treatment systems. The amount of CH<sub>4</sub> recovered through the use of biomethanators was estimated, and a 99 percent destruction efficiency was used. Biomethanators are anaerobic reactors that use microorganisms under anaerobic conditions to reduce COD and organic acids and recover biogas from wastewater (ERG 2006). Methane emissions for dry milling and wet milling processes were then estimated as follows:

$$\text{Methane} = [\text{Production} \times \text{Flow} \times \text{COD} \times 3.785 \times ([\% \text{Plants}_o \times \% \text{WW}_{a,p} \times \% \text{COD}_p] + [\% \text{Plants}_a \times \% \text{WW}_{a,s} \times \% \text{COD}_s] + [\% \text{Plants}_t \times \% \text{WW}_{a,t} \times \% \text{COD}_s]) \times B_o \times \text{MCF} \times \% \text{ Not Recovered}] + [\text{Production} \times \text{Flow} \times 3.785 \times \text{COD} \times ([\% \text{Plants}_o \times \% \text{WW}_{a,p} \times \% \text{COD}_p] + [\% \text{Plants}_a \times \% \text{WW}_{a,s} \times \% \text{COD}_s] + [\% \text{Plants}_t \times \% \text{WW}_{a,t} \times \% \text{COD}_s]) \times B_o \times \text{MCF} \times (\% \text{ Recovered}) \times (1 - \text{DE})] \times 1/10^9$$

where,

Production	= Gallons ethanol produced (wet milling or dry milling)
Flow	= Gallons wastewater generated per gallon ethanol produced
COD	= COD concentration in influent (g/l)
3.785	= Conversion factor, gallons to liters
%Plants <sub>o</sub>	= Percent of plants with onsite treatment
%WW <sub>a,p</sub>	= Percent of wastewater treated anaerobically in primary treatment
%COD <sub>p</sub>	= Percent of COD entering primary treatment
%Plants <sub>a</sub>	= Percent of plants with anaerobic secondary treatment
%Plants <sub>t</sub>	= Percent of plants with other secondary treatment
%WW <sub>a,s</sub>	= Percent of wastewater treated anaerobically in anaerobic secondary treatment
%WW <sub>a,t</sub>	= Percent of wastewater treated anaerobically in other secondary treatment
%COD <sub>s</sub>	= Percent of COD entering secondary treatment
B <sub>o</sub>	= Maximum methane producing capacity (g CH <sub>4</sub> /g COD)
MCF	= Methane correction factor
% Recovered	= Percent of wastewater treated in system with emission recovery
% Not Recovered	= 1 - percent of wastewater treated in system with emission recovery
DE	= Destruction efficiency of recovery system
1/10 <sup>9</sup>	= Conversion factor, g to kt

A time series of CH<sub>4</sub> emissions for 1990 through 2017 was developed based on dry and wet milling production data from the Renewable Fuels Association (RFA) (Cooper 2018). In 2018, production for dry and wet milling was based on total production data and the average monthly grain-use for dry and wet milling (RFA 2019a; RFA 2019b).

*Petroleum Refining.* Petroleum refining wastewater treatment operations have the potential to produce CH<sub>4</sub> emissions from anaerobic wastewater treatment. EPA's Office of Air and Radiation performed an Information

Collection Request (ICR) for petroleum refineries in 2011.<sup>6</sup> Of the responding facilities, 23.6 percent reported using non-aerated surface impoundments or other biological treatment units, both of which have the potential to lead to anaerobic conditions (ERG 2013b). In addition, the wastewater generation rate was determined to be 26.4 gallons per barrel of finished product (ERG 2013b). An average COD value in the wastewater was estimated at 0.45 kg/m<sup>3</sup> (Benyahia et al. 2006). A default MCF of 0.3 was used for partially aerobic systems.

The equation used to calculate CH<sub>4</sub> generation at petroleum refining wastewater treatment systems is presented below:

$$\text{Methane} = \text{Flow} \times \text{COD} \times \%TA \times B_o \times \text{MCF}$$

where,

Flow	= Annual flow treated through anaerobic treatment system (m <sup>3</sup> /year)
COD	= COD loading in wastewater entering anaerobic treatment system (kg/m <sup>3</sup> )
%TA	= Percent of wastewater treated anaerobically on site
B <sub>o</sub>	= Maximum methane producing potential of industrial wastewater (kg CH <sub>4</sub> /kg COD)
MCF	= Methane correction factor

A time series of CH<sub>4</sub> emissions for 1990 through 2018 was developed based on production data from the EIA 2019.

*Breweries.* Since 2010, the number of breweries has increased from less than 2,000 to more than 7,000 (Brewers Association 2019). This increase has primarily been driven by craft breweries, which have increased by over 250 percent during that period. Craft breweries were defined as breweries producing less than six million barrels of beer per year, and non-craft breweries produce greater than six million barrels. With their large amount of water use and high strength wastewater, breweries generate considerable CH<sub>4</sub> emissions from anaerobic wastewater treatment. However, because many breweries recover their CH<sub>4</sub>, their emissions are much lower.

The Alcohol and Tobacco Tax and Trade Bureau (TTB) provides total beer production in barrels per year for different facility size categories from 2007 to the present (TTB 2019). For years prior to 2007 where TTB data were not readily available, the Brewers Almanac (Beer Institute 2011) was used, along with an estimated percent of craft and non-craft breweries based on the breakdown of craft and non-craft for the years 2007 through 2018.

The amount of water usage by craft breweries was estimated using the Brewers Association's 2015 Sustainability Benchmarking Report (Brewers Association 2016a) and the 2016 Benchmarking Update (Brewers Association 2017; ERG 2018b). Non-craft brewery water usage values were from the Beverage Industry Environmental Roundtable (BIER) benchmarking study (BIER 2017).

To determine the overall amount of wastewater produced, data on water use per unit of production and a wastewater-to-water ratio were used from the Benchmarking Report (Brewers Association 2016a) for both craft and non-craft breweries. Since brewing is a batch process, and different operations have varying organic loads, full-strength brewery wastewater can vary widely on a day to day basis. However, the organic content of brewery wastewater does not substantially change between craft and non-craft breweries. On average, full-strength wastewater is about 10,600 mg/L BOD, with a typical BOD:COD ratio of 0.6 (Brewers Association 2016b). Some breweries may collect and discharge high-strength wastewater from particular brewing processes (known as "side streaming") to a POTW, greatly reducing the organics content of the wastewater that is treated on site. Subsequently, the MCF for discharge to a POTW was assumed to be zero (ERG 2018b).

Breweries may treat some or all of their wastewater on site prior to discharge to a POTW or receiving water. On-site treatment operations can include physical treatment (e.g., screening, settling) which are not expected to contribute to CH<sub>4</sub> emissions, or biological treatment, which may include aerobic treatment or pretreatment in anaerobic reactors (ERG 2018b). The IPCC default B<sub>o</sub> of 0.25 kg CH<sub>4</sub>/kg COD and default MCFs of 0.8 for anaerobic treatment and 0 for aerobic treatment were used to estimate the CH<sub>4</sub> produced from these on-site treatment

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<sup>6</sup> Available online at <<https://www.epa.gov/stationary-sources-air-pollution/comprehensive-data-collected-petroleum-refining-sector>>.

systems (IPCC 2006). The amount of CH<sub>4</sub> recovered through anaerobic wastewater treatment was estimated, and a 99 percent destruction efficiency was used (ERG 2018b; Stier J. 2018). Very limited activity data are available on the number of U.S. breweries that are performing side streaming or pretreatment of wastewater prior to discharge.

The assumed distribution of wastewater treatment for craft and non-craft breweries are shown in Table 7-15.

**Table 7-15: Wastewater Treatment Distribution for Breweries**

Treatment Type	Operation Type	
	Non-Craft	Craft
Discharge to POTW with no pretreatment	0%	99%
Discharge to POTW following side streaming	0%	0.5%
Pretreatment with aerobic biological treatment	1%	0%
Pretreatment with anaerobic reactor	99%	0.5%

Source: Stier, J. (2018)

Methane emissions were then estimated for non-craft breweries and for craft breweries as follows:

$$\text{Methane} = \left[ \left( \text{Production} \times \text{Water Usage} \times \text{WW:W} \times 31 \right) / 264.172 \right] \times \text{COD} \times \left( \left[ \% \text{Plants}_{\text{potw}} \times \text{MCF}_{\text{potw}} \right] + \left[ \% \text{Plants}_{\text{ss}} \times \text{MCF}_{\text{potw}} \right] + \left[ \% \text{Plants}_{\text{aer}} \times \text{MCF}_{\text{aer}} \right] + \left[ \% \text{Plants}_{\text{a}} \times \text{MCF}_{\text{a}} \right] \right) \times B_o \times \% \text{ Not Recovered} \right] + \left[ \left( \text{Production} \times \text{Water Usage} \times \text{WW:W} \times 31 \right) / 264.172 \right] \times \text{COD} \times \left( \left[ \% \text{Plants}_{\text{potw}} \times \text{MCF}_{\text{potw}} \right] + \left[ \% \text{Plants}_{\text{ss}} \times \text{MCF}_{\text{potw}} \right] + \left[ \% \text{Plants}_{\text{aer}} \times \text{MCF}_{\text{aer}} \right] + \left[ \% \text{Plants}_{\text{a}} \times \text{MCF}_{\text{a}} \right] \right) \times B_o \times (\% \text{ Recovered}) \times (1 - \text{DE}) \right] \times 1/10^6$$

where,

- Production = Barrels beer produced (non-craft breweries or craft breweries)
- Water Usage = Barrels water utilized per barrels beer produced
- WW:W = Ratio, barrels of wastewater generated per barrels of water utilized
- COD = COD concentration in influent (kg/m<sup>3</sup>)
- 31 = Conversion factor, gallons to barrels beer
- 264.172 = Conversion factor, gallons to m<sup>3</sup>
- %Plants<sub>potw</sub> = Percent of plants that discharge to POTW without pretreatment
- MCF<sub>potw</sub> = Methane correction factor, discharge to POTW
- %Plants<sub>ss</sub> = Percent of plants with sidestreaming prior to POTW discharge
- %Plants<sub>aer</sub> = Percent of plants with primary aerobic treatment
- MCF<sub>aer</sub> = Methane correction factor, aerobic systems
- %Plants<sub>a</sub> = Percent of plants with anaerobic treatment
- MCF<sub>a</sub> = Methane correction factor, anaerobic systems
- B<sub>o</sub> = Maximum methane producing capacity (g CH<sub>4</sub>/g COD)
- % Recovered = Percent of wastewater treated in system with emission recovery
- % Not Recovered = 1 - percent of wastewater treated in system with emission recovery
- DE = Destruction efficiency of recovery system
- 1/10<sup>6</sup> = Conversion factor, kg to Gg

## Domestic Wastewater N<sub>2</sub>O Emission Estimates

Nitrous oxide emissions from domestic wastewater (wastewater treatment) were estimated using the IPCC (2006) methodology and supplemented with IPCC (2014) methodology to include constructed wetland emissions, including calculations that take into account N removal with biosolids, non-consumption and industrial/commercial wastewater N, and emissions from advanced and constructed wetlands at centralized wastewater treatment plants:

In the United States, a certain amount of N is removed with biosolids, which is applied to land, incinerated, or landfilled ( $N_{\text{SLUDGE}}$ ). The value for N discharged into aquatic environments as effluent is reduced to account for the biosolids application.

The *2006 IPCC Guidelines* use annual, per capita protein consumption (kg protein/person-year). For this Inventory, the amount of protein available to be consumed is estimated based on per capita annual food availability data and its protein content. Those data are then adjusted using a factor to account for the fraction of protein actually consumed.

Small amounts of gaseous nitrogen oxides are formed as byproducts in the conversion of nitrate to N gas in anoxic biological treatment systems. Approximately 7 g  $N_2O$  is generated per capita per year if wastewater treatment includes intentional nitrification and denitrification (Scheehle and Doorn 2001). Analysis of the use of treatment systems in the United States that include denitrification has shown a significant increase in the time period between 2004 and 2012, from serving populations totaling 2.4 million people to 21.3 million people (EPA 2004 and EPA 2012). This is consistent with efforts throughout the United States to improve nutrient removal at centralized treatment systems in response to specific water quality concerns. Based on an emission factor of 7 g per capita per year, and data from CWNS 2004, 2008, and 2012, approximately 21.2 metric tons of additional  $N_2O$  may have been emitted via denitrification in 2004, while about 186 metric tons may have been emitted via denitrification in both 2008 and 2012. Similar analyses were completed for each year in the Inventory using data from CWNS on the amount of wastewater in centralized systems treated in denitrification units. Plants without intentional nitrification or denitrification are assumed to generate 3.2 g  $N_2O$  per capita per year.

Constructed wetlands may be used as the sole treatment unit at a centralized wastewater treatment plant or may serve as tertiary treatment after simple settling and biological treatment. Emissions from all constructed wetland systems were included in the estimates of emissions from centralized wastewater treatment plant processes and effluent from these plants. The emission factor of 0.0013 kg  $N_2O$ -N/kg N produced for constructed wetlands is from IPCC (2014).

$N_2O$  emissions from wastewater treatment plants are estimated, and as such, the N associated with these emissions is subtracted from the amount of N estimated to be discharged into aquatic environments as effluent, consistent with the *2006 IPCC Guidelines*.

Nitrous oxide emissions from domestic wastewater were estimated using the following methodology:

$$N_2O_{\text{TOTAL}} = N_2O_{\text{PLANT}} + N_2O_{\text{EFFLUENT}}$$

$$N_2O_{\text{PLANT}} = N_2O_{\text{NIT/DENIT}} + N_2O_{\text{WOUT NIT/DENIT}} + N_2O_{\text{CW ONLY}} + N_2O_{\text{CW TERTIARY}}$$

$$N_2O_{\text{NIT/DENIT}} = [(US_{\text{POPND}}) \times EF_2 \times F_{\text{IND-COM}}] \times 1/10^9$$

$$N_2O_{\text{WOUT NIT/DENIT}} = \{[(US_{\text{POP}} \times WWTP) - US_{\text{POPND}} - US_{\text{POPCW}}] \times 10^6 \times F_{\text{IND-COM}} \times EF_1\} \times 1/10^9$$

$$N_2O_{\text{CW ONLY}} = \{[(US_{\text{POPCW}} \times 10^6 \times \text{Protein} \times F_{\text{NPR}} \times F_{\text{NON-CON}} \times F_{\text{IND-COM}}) \times EF_4] \times 44/28\} \times 1/10^6$$

$$N_2O_{\text{CW TERTIARY}} = \{[(N_{\text{CW,INF}} \times \text{POTW\_flow\_CW} \times 3.79 \times 365.25) \times EF_4] \times 44/28\} \times 1/10^6$$

$$N_2O_{\text{EFFLUENT}} = [(US_{\text{POP}} \times WWTP \times \text{Protein} \times F_{\text{NPR}} \times F_{\text{NON-CON}} \times F_{\text{IND-COM}}) - N_{\text{SLUDGE}} - (N_2O_{\text{PLANT}} \times 10^6 \times 28/44)] \times EF_3 \times 44/28 \times 1/10^6$$

where,

$N_2O_{\text{TOTAL}}$	= Annual emissions of $N_2O$ (kt)
$N_2O_{\text{PLANT}}$	= $N_2O$ emissions from centralized wastewater treatment plants (kt)
$N_2O_{\text{NIT/DENIT}}$	= $N_2O$ emissions from centralized wastewater treatment plants with nitrification/denitrification (kt)
$N_2O_{\text{WOUT NIT/DENIT}}$	= $N_2O$ emissions from centralized wastewater treatment plants without nitrification/denitrification (kt)
$N_2O_{\text{CW ONLY}}$	= $N_2O$ emissions from centralized wastewater treatment plants with constructed wetlands only (kt)

$N_2O_{CW\_TERTIARY}$	= $N_2O$ emissions from centralized wastewater treatment plants with constructed wetlands used as tertiary treatment (kt)
$N_2O_{EFFLUENT}$	= $N_2O$ emissions from wastewater effluent discharged to aquatic environments (kt)
$US_{POP}$	= U.S. population
$US_{POPND}$	= U.S. population that is served by biological denitrification
$US_{POPWC}$	= U.S. population that is served by only constructed wetland systems
WWTP	= Fraction of population using WWTP (as opposed to septic systems)
$POTW\_flow\_CW$	= Wastewater flow to POTWs that use constructed wetlands as tertiary treatment (MGD)
EF <sub>1</sub>	= Emission factor – plants without intentional denitrification
EF <sub>2</sub>	= Emission factor – plant with intentional nitrification or denitrification
Protein	= Annual per capita protein consumption (kg/person/year)
$N_{CW,INF}$	= Influent nitrogen concentration to constructed wetlands used as tertiary treatment (mg/L)
$F_{NPR}$	= Fraction of N in protein (kg N/kg protein)
$F_{NON-CON}$	= Factor for non-consumed protein added to wastewater
$F_{IND-COM}$	= Factor for industrial and commercial co-discharged protein into the sewer
$N_{SLUDGE}$	= N removed with sludge, kg N/year
EF <sub>3</sub>	= Emission factor (kg $N_2O$ -N/kg sewage-N produced) – from effluent
EF <sub>4</sub>	= Emission factor (kg $N_2O$ -N/kg N produced) – constructed wetlands
3.79	= Conversion factor, gallons to liters
44/28	= Molecular weight ratio of $N_2O$ to $N_2$
28/44	= Molecular weight ratio of $N_2$ to $N_2O$
$1/10^6$	= Conversion factor, kg to Gg
$1/10^9$	= Conversion factor, g to Gg

U.S. population data were taken from the U.S. Census Bureau International Database (U.S. Census Bureau 2019) and include the populations of the United States, American Samoa, Guam, Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands. The fraction of the U.S. population using wastewater treatment plants is based on data from the 1989, 1991, 1993, 1995, 1997, 1999, 2001, 2003, 2005, 2007, 2009, 2011, 2013, 2015 and 2017 *American Housing Survey* (U.S. Census Bureau 2017). Data for intervening years were obtained by linear interpolation and 2018 was forecasted using 1990 to 2017 data. The emission factor (EF<sub>1</sub>) used to estimate emissions from wastewater treatment for plants without intentional nitrification or denitrification was taken from IPCC (2006), while the emission factor (EF<sub>2</sub>) used to estimate emissions from wastewater treatment for plants with intentional nitrification or denitrification was taken from Scheehle and Doorn (2001). The emission factor (EF<sub>4</sub>) used to estimate emissions from surface flow constructed wetlands (0.0013 kg  $N_2O$  -N/kg N produced) was taken from IPCC (2014). Data on annual per capita protein intake were provided by the U.S. Department of Agriculture Economic Research Service (USDA 2019b) and FAO (2019c). Protein consumption data was used directly from USDA for 1990 to 2010 and 2011 through 2013 was calculated using FAO data and a scaling factor. 2014 through 2018 were forecasted from data for 1990 through 2013. An emission factor to estimate emissions from effluent (EF<sub>3</sub>) has not been specifically estimated for the United States, thus the default IPCC value (0.005 kg  $N_2O$ -N/kg sewage-N produced) was applied (IPCC 2006). The fraction of N in protein (0.16 kg N/kg protein) was also obtained from IPCC (2006). The factor for non-consumed protein (1.2) and the factor for industrial and commercial co-discharged protein (1.25) were obtained from IPCC (2006). The amount of nitrogen removed by denitrification systems was taken from EPA (2008a), while the population served by denitrification systems was estimated from Clean Watersheds Needs Survey (EPA 1992, 1996, 2000, 2004, 2008b, and 2012). Sludge generation was obtained from EPA (1999) for 1988, 1996, and 1998 and from Beecher et al. (2007) for 2004. Intervening years were interpolated and estimates for 2005 through 2018 were forecasted from the rest of the time series. The influent nitrogen concentration to constructed wetlands used as tertiary treatment (25 mg/L) was obtained from Metcalf & Eddy (2014). An estimate for the N removed as sludge ( $N_{SLUDGE}$ ) was obtained by determining the amount of sludge disposed by incineration, by land application (agriculture or other), through surface disposal, in landfills, or through ocean dumping (EPA 1993b; Beecher et al. 2007; McFarland 2001; EPA 1999). In 2018, 301 kt N was removed with

sludge. Table 7-16 presents the data for U.S. population, population served by biological denitrification, population served by wastewater treatment plants, available protein, protein consumed, and nitrogen removed with sludge.

**Table 7-16: U.S. Population (Millions), Population Served by Biological Denitrification (Millions), Fraction of Population Served by Wastewater Treatment (percent), Available Protein (kg/person-year), Protein Consumed (kg/person-year), and Nitrogen Removed with Sludge (kt-N/year)**

Year	Population	Population <sub>ND</sub>	WWTP Population	Available Protein	Protein Consumed	N Removed with Sludge
1990	253	2.0	75.6	43.1	33.2	214.2
2005	300	7.1	78.8	44.9	34.7	261.1
2014	323	20.8	80.8	44.3	34.1	288.7
2015	325	21.8	80.1	44.3	34.1	291.8
2016	327	22.8	81.1	44.3	34.1	294.8
2017	329	23.8	82.1	44.3	34.1	297.9
2018	333	24.8	81.9	44.3	34.1	300.9

Sources: Population: U.S. Census Bureau (2019); Population<sub>ND</sub>: EPA (1992), EPA (1996), EPA (2000), EPA (2004), EPA (2008b), EPA (2012); WWTP Population: U.S. Census Bureau (2017); Available Protein: USDA (2019b); N Removed with sludge: Beecher et al. (2007), McFarland (2001), EPA (1999), EPA (1993c).

## Uncertainty and Time-Series Consistency

The overall uncertainty associated with both the 2018 CH<sub>4</sub> and N<sub>2</sub>O emission estimates from wastewater treatment and discharge was calculated using the 2006 IPCC Guidelines Approach 2 methodology (IPCC 2006). Uncertainty associated with the parameters used to estimate CH<sub>4</sub> emissions include that of numerous input variables used to model emissions from domestic wastewater, and wastewater from pulp and paper manufacturing, meat and poultry processing, fruits and vegetable processing, ethanol production, petroleum refining, and breweries. Uncertainty associated with the parameters used to estimate N<sub>2</sub>O emissions include that of biosolids disposal, total U.S. population, average protein consumed per person, fraction of N in protein, non-consumption nitrogen factor, emission factors per capita and per mass of sewage-N, and for the percentage of total population using centralized wastewater treatment plants. Uncertainty associated with constructed wetlands parameters including U.S. population served by constructed wetlands, and emission and conversion factors are from IPCC (2014), whereas uncertainty associated with POTW flow to constructed wetlands and influent BOD and nitrogen concentrations were based on expert judgment.

The results of this Approach 2 quantitative uncertainty analysis are summarized in Table 7-17. Methane emissions from wastewater treatment were estimated to be between 10.2 and 17.4 MMT CO<sub>2</sub> Eq. at the 95 percent confidence level (or in 19 out of 20 Monte Carlo Stochastic Simulations). This indicates a range of approximately 28 percent below to 23 percent above the 2018 emissions estimate of 14.2 MMT CO<sub>2</sub> Eq. Nitrous oxide emissions from wastewater treatment were estimated to be between 1.3 and 10.5 MMT CO<sub>2</sub> Eq., which indicates a range of approximately 74 percent below to 109 percent above the 2018 emissions estimate of 5.0 MMT CO<sub>2</sub> Eq.

**Table 7-17: Approach 2 Quantitative Uncertainty Estimates for CH<sub>4</sub> Emissions from Wastewater Treatment (MMT CO<sub>2</sub> Eq. and Percent)**

Source	Gas	2018 Emission Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emission Estimate <sup>a</sup>			
			Lower Bound	Upper Bound	Lower Bound (%)	Upper Bound (%)
Wastewater Treatment	CH <sub>4</sub>	14.2	10.2	17.4	-28%	+23%
Domestic	CH <sub>4</sub>	8.4	6.0	10.2	-28%	+22%

Industrial	CH <sub>4</sub>	5.9	3.0	8.8	-48%	+50%
<b>Wastewater Treatment</b>	<b>N<sub>2</sub>O</b>	<b>5.0</b>	<b>1.3</b>	<b>10.5</b>	<b>-74%</b>	<b>+109%</b>

<sup>a</sup> Range of emission estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.

## QA/QC and Verification

General QA/QC procedures were applied to activity data, documentation, and emission calculations consistent with the U.S. *Inventory* QA/QC plan, which is in accordance with Vol. 1 Chapter 6 of *2006 IPCC Guidelines* (see Annex 8 for more details). This effort included a general or Tier 1 analysis, including the following checks:

- Checked for transcription errors in data input;
- Ensured references were specified for all activity data used in the calculations;
- Checked a sample of each emission calculation used for the source category;
- Checked that parameter and emission units were correctly recorded and that appropriate conversion factors were used;
- Checked for temporal consistency in time series input data for each portion of the source category;
- Confirmed that estimates were calculated and reported for all portions of the source category and for all years;
- Investigated data gaps that affected trends of emissions estimates; and
- Compared estimates to previous estimates to identify significant changes.

All transcription errors identified were corrected and documented. The QA/QC analysis did not reveal any systemic inaccuracies or incorrect input values.

## Recalculations Discussion

Population data were updated to reflect revised U.S. Census Bureau datasets which resulted in changes to 2010 through 2017 values (U.S. Census Bureau 2019). *American Housing Survey* data were updated for percent of wastewater treated centrally which affected 2016 and 2017 (U.S. Census Bureau 2017). EPA also updated the percent calculation for centrally treated aerobic systems without primary sedimentation which affected the entire time series.

EPA evaluated pulp and paper wastewater generation data and updated values for 2005 and 2016 which affected emissions calculations for 2005 and 2015 through 2017 (AF&PA 2018). Market pulp production values were updated to include “pulp of other fiber and paper and paperboard” and “dissolving pulp, wood and other raw materials” after confirmation with NCASI that these values were appropriate to include in the market pulp production (Malmberg 2019). This update affected emissions calculations for 1998 and 2000 through 2003.

EPA investigated updated sources for fruits, vegetables, and juices wastewater characteristics and outflow. EPA evaluated a source that includes updated BOD and wastewater outflow information for some fruits and vegetables included in the Inventory and determined updates to activity data were appropriate (CAST 1995). This update affected industrial emissions calculations for the entire time series.

EPA updated the methodology used to estimate ethanol production for wet and dry milling as the source used in previous Inventories is no longer readily available. EPA conferred with RFA and determined publicly available production data used in conjunction with monthly grain-use data are an appropriate surrogate for calculating the ethanol production at wet and dry mills (Lewis 2019; RFA 2019a; RFA 2019b).

The cumulative effect of these recalculations had minimal impact on the overall wastewater treatment emissions estimates. Over the time series, the average total emissions increased by 0.25 percent from the previous Inventory cycle. The changes ranged from the largest decrease, 0.19 percent (0.05 MMT CO<sub>2</sub> Eq.), in 2017, to the largest increase, 0.93 percent (0.16 MMT CO<sub>2</sub> Eq.), in 2016.

## Planned Improvements

IPCC recently announced the availability of the *2019 Refinement to the 2006 Guidelines for National Greenhouse Gas Inventories*. EPA is planning to incorporate the following improvements to the Inventory based on the 2019 Refinement:

- Restructure the activity data on treatment systems in use at domestic and industrial treatment plants to mirror the types of systems provided in the 2019 Refinement and incorporate updated emission factors, including incorporating nitrous oxide emission estimates for septic systems.
- Although there are insufficient data to capture emissions from collection systems, EPA plans to update emission factors for centralized aerobic treatment based on the 2019 Refinement. The revised emission factors account for incoming dissolved methane that is formed in the collection system and liberated during aerobic treatment.
- Develop the activity data to estimate methane and nitrous oxide emissions associated with wastewater discharge using the new IPCC emission factors and updated U.S. activity data on BOD and N discharged from domestic and industrial wastewater treatment plants.
- Review and update the estimate of total organics in the wastewater, total organics and N removed during treatment, and sludge produced, using updated default factors where necessary.
- Identify key industries that have potential to generate nitrous oxide emissions for inclusion in the Inventory. EPA expects that this improvement may take more than one cycle to fully incorporate into the Inventory.

EPA is continuing to monitor the following potential sources for updating inventory data, including:

- Anaerobic sludge digester and biogas data compiled by the Water Environment Federation (WEF) in collaboration with other entities *as a potential source of updated activity data*;
- Reports based on international research and other countries' inventory submissions to inform potential updates to the Inventory's emission factors, methodologies, or included industries; and
- Additional data sources for improving the uncertainty of the estimate of N entering municipal treatment systems.

EPA also investigated data collected under the EPA's Greenhouse Gas Reporting Program (GHGRP) Subpart II, Industrial Wastewater Treatment for use in improving the emission estimates for the industrial wastewater category and for identifying whether anaerobic sludge digesters are in use. Because reporting data from the GHGRP are not available for all inventory years and because only a few industrial facilities are required to report, GHGRP data are not able to be used to improve estimates in the Inventory.

The inclusion of wastewater treatment emissions from dairy products processing into inventory estimates was investigated. To date, there are insufficient data to determine if this industry constitutes a key source for the United States. EPA will continue focusing on collecting wastewater treatment system data and wastewater characteristics data. Anecdotal information obtained during previous investigations into the dairy products processing industry noted that wastewater is often discharged to the sewer. EPA therefore reviewed the factor used to reflect the contribution of nitrogen to domestic wastewater treatment systems from industrial and commercial wastewater ( $F_{\text{IND-COM}} = 1.25$ ) to determine if it is appropriate for U.S. emissions estimates (and thereby captures the vast majority of dairy products processing wastewater). EPA reviewed available industrial and commercial flow contributions to POTWs using the CWNS data. After evaluating CWNS flow data for all available years (1992, 1996, 2000, 2004, 2008, and 2012), EPA determined the default IPCC factor of 1.25 appropriately reflects the contributions of industrial and commercial wastewater flow to POTWs across the time series.

EPA will continue to look for methods to improve the transparency of the fate of sludge produced in wastewater treatment.

## 7.3 Composting (CRF Source Category 5B1)

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Composting of organic waste, such as food waste, garden (yard) and park waste, and wastewater treatment sludge and/or biosolids, is common in the United States. Composting reduces the amount of methane-generating waste entering landfills, destroys pathogens in the waste, sequesters carbon, and provides a source of organic matter. Composting can also generate a saleable product and reduce the need for chemical fertilizers when the end product is used as a fertilizer or soil amendment. If the end product is of lesser quality, it can be disposed of in a landfill.

Composting naturally converts a large fraction of the degradable organic carbon in the waste material into carbon dioxide (CO<sub>2</sub>) through aerobic processes without anthropogenic influence. With anthropogenic influences (e.g., at commercial or large on-site composting operations), anaerobic conditions can be created in sections of the compost pile when there is excessive moisture or inadequate aeration (or mixing) of the compost pile, resulting in the formation of methane (CH<sub>4</sub>). This CH<sub>4</sub> is then oxidized to a large extent in the aerobic sections of the compost. The estimated CH<sub>4</sub> released into the atmosphere ranges from less than 1 percent to a few percent of the initial C content in the material (IPCC 2006). Depending on how well the compost pile is managed, nitrous oxide (N<sub>2</sub>O) emissions can also be produced. The formation of N<sub>2</sub>O depends on the initial nitrogen content of the material and is mostly due to nitrogen oxide (NO<sub>x</sub>) denitrification during the thermophilic and secondary mesophilic stages of composting (Cornell 2007). Emissions vary and range from less than 0.5 percent to 5 percent of the initial nitrogen content of the material (IPCC 2006). Animal manures are typically expected to generate more N<sub>2</sub>O than, for example, yard waste, however data are limited.

Even though CO<sub>2</sub> emissions are generated, they are not included in net greenhouse gas emissions for composting because they are considered biogenic, or natural occurring. In accordance with the *2006 IPCC Guidelines*, only anthropogenic emissions are included in the emission estimates for composting.

From 1990 to 2018, the amount of waste composted in the United States increased from 3,810 kt to 24,594 kt. There was some fluctuation in the amount of waste composted between 2006 to 2009. A peak of 20,049 kt composted was observed in 2008, followed by a steep drop the following year to 18,824 kt composted, presumably driven by the economic crisis of 2009. Since then, the amount of waste composted has gradually increased, and when comparing 2010 to 2018, a 34 percent increase in waste composted is observed. Emissions of CH<sub>4</sub> and N<sub>2</sub>O from composting from 2010 to 2018 have increased by the same percentage. In 2018, CH<sub>4</sub> emissions from composting (see Table 7-18 and Table 7-19) were 2.5 MMT CO<sub>2</sub> Eq. (98 kt), and N<sub>2</sub>O emissions from composting were 2.2 MMT CO<sub>2</sub> Eq. (7 kt), representing consistent emissions trends when compared to 2017. The wastes composted primarily include yard trimmings (grass, leaves, and tree and brush trimmings) and food scraps from the residential and commercial sectors (such as grocery stores; restaurants; and school, business, and factory cafeterias). The composted waste quantities reported here do not include small-scale backyard composting and agricultural composting mainly due to lack of consistent and comprehensive national data. Additionally, it is assumed that backyard composting tends to be a more naturally-managed process with less chance of generating anaerobic conditions and CH<sub>4</sub> and N<sub>2</sub>O emissions. Agricultural composting is accounted for in Volume 4, Chapter 5 (Cropland) of this Inventory, as most agricultural composting operations are assumed to then land-apply the resultant compost to soils.

The growth in composting since the 1990s and specifically over the past decade is attributable primarily to the following factors: (1) the enactment of legislation by state and local governments that discouraged the disposal of yard trimmings and food waste in landfills, (2) yard trimming collection and yard trimming drop off sites provided by local solid waste management districts/divisions, (3) an increased awareness of the environmental benefits of composting, and (4) loans or grant programs to establish or expand composting infrastructure.

Most bans or diversion laws on the disposal of yard trimmings were initiated in the early 1990s by state or local governments (U.S. Composting Council 2010). California, for example, enacted a waste diversion law for organics including yard trimmings and food scraps in 1999 (AB939) that required jurisdictions to divert 50 percent of the waste stream by 2000, or be subjected to fines. By 2010, 25 states, representing about 50 percent of the nation's

population, had enacted such legislation (ILSR 2014; BioCycle 2010). There are many more initiatives at the metro and municipal level across the United States. More than 3,280 composting facilities exist in the United States with most (71 percent) composting yard trimmings only (ISLR 2014).

In more recent years, bans and diversions have become more common for food wastes as well. As of September 2018, five states (California, Connecticut, Massachusetts, Rhode Island, Vermont) and six municipalities (Austin, TX; Boulder, CO; New York City, NY; San Francisco, CA; Seattle, WA) had implemented organic waste bans or mandatory recycling laws, most having taken effect after 2013 (BioCycle 2018a). In 2017, *BioCycle* released a report in which 27 of 43 states that responded to their organics recycling survey noted that food waste (collected residential, commercial, institutional, and industrial food waste) was recycled via anaerobic digestion and/or composting. These 27 states reported an estimated total of 1.8 million tons of food waste diverted from landfills in 2016 (BioCycle 2018b). There are a growing number of initiatives to encourage households and businesses to compost or beneficially reuse food waste, although many states and municipalities currently have limited resources to address this directly.

**Table 7-18: CH<sub>4</sub> and N<sub>2</sub>O Emissions from Composting (MMT CO<sub>2</sub> Eq.)**

Activity	1990	2005	2014	2015	2016	2017	2018
CH <sub>4</sub>	0.4	1.9	2.1	2.1	2.3	2.4	2.5
N <sub>2</sub> O	0.3	1.7	1.9	1.9	2.0	2.2	2.2
<b>Total</b>	<b>0.7</b>	<b>3.5</b>	<b>4.0</b>	<b>4.0</b>	<b>4.3</b>	<b>4.6</b>	<b>4.7</b>

**Table 7-19: CH<sub>4</sub> and N<sub>2</sub>O Emissions from Composting (kt)**

Activity	1990	2005	2014	2015	2016	2017	2018
CH <sub>4</sub>	15	75	84	85	91	98	98
N <sub>2</sub> O	1	6	6	6	7	7	7

## Methodology

Methane and N<sub>2</sub>O emissions from composting depend on factors such as the type of waste composted, the amount and type of supporting material (such as wood chips and peat) used, temperature, moisture content (e.g., wet and fluid versus dry and crumbly), and aeration during the composting process.

The emissions shown in Table 7-18 and Table 7-19 were estimated using the IPCC default (Tier 1) methodology (IPCC 2006), which is the product of an emission factor and the mass of organic waste composted (note: no CH<sub>4</sub> recovery is expected to occur at composting operations in the emission estimates presented):

$$E_i = M \times EF_i$$

where,

- E<sub>i</sub> = CH<sub>4</sub> or N<sub>2</sub>O emissions from composting, kt CH<sub>4</sub> or N<sub>2</sub>O,
- M = mass of organic waste composted in kt,
- EF<sub>i</sub> = emission factor for composting, 4 t CH<sub>4</sub>/kt of waste treated (wet basis) and 0.3 t N<sub>2</sub>O/kt of waste treated (wet basis) (IPCC 2006), and
- i = designates either CH<sub>4</sub> or N<sub>2</sub>O.

Per IPCC Tier 1 methodology defaults, the emission factors for CH<sub>4</sub> and N<sub>2</sub>O assume a moisture content of 60 percent in the wet waste. (IPCC 2006). While the moisture content of composting feedstock can vary significantly by type, composting as a process ideally proceeds between 40 to 65 percent moisture (University of Maine 2016 and Cornell 1996).

Estimates of the quantity of waste composted (M, wet weight as generated) are presented in Table 7-20 for select years. Estimates of the quantity composted for 1990, 2005, 2010, and 2014 to 2015 were taken from EPA's *Advancing Sustainable Materials Management: Facts and Figures 2015* (EPA 2018); the estimates of the quantities

composted for 2016 and 2017 were taken from EPA’s *Advancing Sustainable Materials Management: 2016 and 2017 Tables and Figures* (EPA 2019); the estimate of the quantity composted for 2018 was extrapolated using the 2017 quantity composted and a ratio of the U.S. population growth between 2017 to 2018 (U.S. Census Bureau 2019).

**Table 7-20: U.S. Waste Composted (kt)**

Activity	1990	2005	2014	2015	2016	2017	2018
Waste Composted	3,810	18,643	20,884	21,219	22,780	24,485	24,594

## Uncertainty and Time-Series Consistency

The estimated uncertainty from the *2006 IPCC Guidelines* is ±50 percent for the Tier 1 methodology.

Emissions from composting in 2018 were estimated to be between 2.3 and 7.0 MMT CO<sub>2</sub> Eq., which indicates a range of 50 percent below to 50 percent above the 2018 emission estimate of each gas (see Table 7-21).

**Table 7-21: Tier 1 Quantitative Uncertainty Estimates for Emissions from Composting (MMT CO<sub>2</sub> Eq. and Percent)**

Source	Gas	2018 Emission Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emission Estimate (MMT CO <sub>2</sub> Eq.)			
			Lower Bound	Upper Bound	Lower Bound (%)	Upper Bound (%)
Composting	CH <sub>4</sub>	2.5	1.2	3.7	-50%	+50%
	N <sub>2</sub> O	2.2	1.1	3.3	-50%	+50%

## QA/QC and Verification

General QA/QC procedures were applied to data gathering and input, documentation, and calculations consistent with the *U.S. Inventory QA/QC Plan*, which is in accordance with Vol. 1 Chapter 6 of *2006 IPCC Guidelines* (see Annex 8 for more details). No errors were found for the current Inventory.

## Recalculations Discussion

Composting estimates for 2016 and 2017 were revised with the November 2019 publication of EPA’s *Advancing Sustainable Materials Management: 2016 and 2017 Tables and Figures* report. These revisions resulted in changes to the quantity of waste composted and the estimated emissions. The quantity of waste composted increased from 23.7 million tons in the previous Inventory report to 27.0 million tons (or 14 percent) in the current Inventory report for 2017; and increased from 23.5 million tons in the previous inventory report to 25.1 million tons (or 7 percent) in the current inventory report for 2016. This change increased total emissions by 28 percent or 0.6 MMT CO<sub>2</sub> Eq. for 2017, and by 13 percent or 0.3 MMT CO<sub>2</sub> Eq. for 2016.

## Planned Improvements

EPA completed a literature search on emission factors and composting systems and management techniques that will be documented in a technical memorandum for the next (1990 to 2019) Inventory. The purpose of this literature review was to compile all published emission factors specific to various composting systems and composted materials in the United States. This information will be used to determine whether the emission factors used in the current methodology can be revised or expanded to account for geographical differences and/or

differences in composting systems used. For example, outdoor composting processes in arid regions typically require the addition of moisture compared to similar composting processes in wetter climates. Additionally, composting systems that primarily compost food waste may generate CH<sub>4</sub> at different rates than those that compost yard trimmings because the food waste may have a higher moisture content and more readily degradable material. This information will also be used to reassess the variance in emissions and associated uncertainty factors applied to each greenhouse gas (CH<sub>4</sub> and N<sub>2</sub>O).

Relatedly, EPA has received comments during previous Inventory cycles recommending that calculations for the composting sector be based on waste subcategories (i.e., leaves, grass and garden debris, food waste) and category-specific moisture contents. At this time, EPA is not aware of any available datasets which would enable estimations to be performed at this level of granularity. EPA will continue to search for data which could lead to the development of subcategory-specific composting emission factors to be used in future Inventory cycles.

Efforts are also being made to improve the completeness of the composting Inventory by incorporating composted waste from U.S. territories. In 2016, EPA conducted a desk-based investigation into industrial/commercial composting facilities in the U.S. territories and identified facilities in Puerto Rico. Additional efforts are being made to collect information on the year the identified facilities began operating, an estimate of the quantity of waste composted, and approximate land area or population (or households) the facilities serve. This data may be incorporated into the current or future Inventories as a methodological improvement.

Additionally, EPA is actively collecting information on stand-alone anaerobic digesters in the United States so that this source may be included in future Inventory estimates. In 2018, EPA conducted a review of publicly available information on anaerobic digestion in the United States. While many primary sources were evaluated, EPA determined that a report by the Environmental Research and Education Foundation (EREF) and data from an information collection request (ICR) by EPA Region 5 provided the most relevant data; however, the data provided by each report were not detailed enough to allow for the creation of a time series of waste sent to anaerobic digesters in the United States for purposes of including this source in future Inventory emissions estimates. EPA is aware of a new ICR report which is expected to be published in Fall 2019 which could potentially be used to construct an emissions time series for this source. Once this ICR is published, EPA will determine if a time series for emissions from stand-alone anaerobic digesters can indeed be created for Inventory purposes, and if so, will incorporate this emission source within the next two Inventory cycles.

## 7.4 Waste Incineration (CRF Source Category 5C1)

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As stated earlier in this chapter, carbon dioxide (CO<sub>2</sub>), nitrous oxide (N<sub>2</sub>O), and methane (CH<sub>4</sub>) emissions from the incineration of waste are accounted for in the Energy sector rather than in the Waste sector because almost all incineration of municipal solid waste (MSW) in the United States occurs at waste-to-energy facilities where useful energy is recovered. Similarly, the Energy sector also includes an estimate of emissions from burning waste tires and hazardous industrial waste, because virtually all of the combustion occurs in industrial and utility boilers that recover energy. The incineration of waste in the United States in 2018 resulted in 11.4 MMT CO<sub>2</sub> Eq. of emissions, over half of which (6.4 MMT CO<sub>2</sub> Eq.) is attributable to the combustion of plastics. For more details on emissions from the incineration of waste, see Section 3.3 of the Energy chapter.

Additional sources of emissions from waste incineration include non-hazardous industrial waste incineration and medical waste incineration. As described in Annex 5 of this report, data are not readily available for these sources and emission estimates are not provided. An analysis of the likely level of emissions was conducted based on a 2009 study of hospital/ medical/ infectious waste incinerator (HMIWI) facilities in the United States (RTI 2009). Based on that study's information of waste throughput and an analysis of the fossil-based composition of the waste, it was determined that annual greenhouse gas emissions for medical waste incineration would be below

500 kt CO<sub>2</sub> Eq. per year and considered insignificant for the purposes of Inventory reporting under the UNFCCC. More information on this analysis is provided in Annex 5.

## 7.5 Waste Sources of Precursor Greenhouse Gases

In addition to the main greenhouse gases addressed above, waste generating and handling processes are also sources of precursor gases. The reporting requirements of the UNFCCC<sup>7</sup> request that information be provided on precursor greenhouse gases, which include carbon monoxide (CO), nitrogen oxides (NO<sub>x</sub>), non-CH<sub>4</sub> volatile organic compounds (NMVOCs), and sulfur dioxide (SO<sub>2</sub>). These gases are not direct greenhouse gases, but indirectly affect terrestrial radiation absorption by influencing the formation and destruction of tropospheric and stratospheric ozone, or, in the case of SO<sub>2</sub>, by affecting the absorptive characteristics of the atmosphere. Additionally, some of these gases may react with other chemical compounds in the atmosphere to form compounds that are greenhouse gases. Total emissions of NO<sub>x</sub>, CO, and NMVOCs from waste sources for the years 1990 through 2018 are provided in Table 7-22. Sulfur dioxide emissions are presented in Section 2.3 of the Trends chapter and Annex 6.3.

**Table 7-22: Emissions of NO<sub>x</sub>, CO, and NMVOC from Waste (kt)**

Gas/Source	1990	2005	2014	2015	2016	2017	2018
<b>NO<sub>x</sub></b>	<b>+</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>
Landfills	+	2	2	2	2	2	2
Wastewater Treatment	+	0	0	0	0	0	0
Miscellaneous <sup>a</sup>	+	0	0	0	0	0	0
<b>CO</b>	<b>1</b>	<b>7</b>	<b>8</b>	<b>8</b>	<b>8</b>	<b>8</b>	<b>8</b>
Landfills	1	6	8	8	8	8	8
Wastewater Treatment	+	+	1	1	1	1	1
Miscellaneous <sup>a</sup>	+	0	0	0	0	0	0
<b>NMVOCs</b>	<b>673</b>	<b>114</b>	<b>68</b>	<b>68</b>	<b>68</b>	<b>68</b>	<b>68</b>
Wastewater Treatment	57	49	29	29	29	29	29
Miscellaneous <sup>a</sup>	557	43	26	26	26	26	26
Landfills	58	22	13	13	13	13	13

Note: Totals may not sum due to independent rounding.

+ Does not exceed 0.5 kt.

<sup>a</sup> Miscellaneous includes TSDFs (Treatment, Storage, and Disposal Facilities under the Resource Conservation and Recovery Act [42 U.S.C. § 6924, SWDA § 3004]) and other waste categories.

## Methodology

Emission estimates for 1990 through 2018 were obtained from data published on the National Emission Inventory (NEI) Air Pollutant Emission Trends web site (EPA 2019) and disaggregated based on EPA (2003). Emission estimates of these gases were provided by sector, using a “top down” estimating procedure—emissions were calculated either for individual sources or for many sources combined, using basic activity data (e.g., the amount of raw material processed) as an indicator of emissions. National activity data were collected for individual categories from various agencies. Depending on the category, these basic activity data may include data on production, fuel deliveries, raw material processed, etc.

<sup>7</sup> See <<http://unfccc.int/resource/docs/2013/cop19/eng/10a03.pdf>>.

## Uncertainty and Time-Series Consistency

No quantitative estimates of uncertainty were calculated for this source category. Methodological recalculations were applied to the entire time series to ensure time-series consistency from 1990 through 2018. Details on the emission trends through time are described in more detail in the Methodology section, above.