



U.S. ENVIRONMENTAL PROTECTION AGENCY

OFFICE OF INSPECTOR GENERAL

EXPECTED REPORT ISSUANCE

May 2020

This document reflects the time frames within which we currently expect to issue our ongoing audit and evaluation reports. The status of these time frames is subject to change.

PROJECT	OIG OBJECTIVE(S)	EXPECTED REPORT ISSUANCE
<u>Salary Overpayment and Debt Forgiveness Process</u> 2018, OA-FY18-0084	Determine whether the Agency is properly collecting salary overpayments from its employees. The following objectives were added to address concerns related to the number of salary overpayments: (1) whether the Agency is properly forgiving debts owed by its employees through a waiver or compromise process, and (2) identify the activities that are causing salary overpayments.	Spring 2020
<u>Superfund Site Visits: Verification of the Effectiveness of Institutional Controls. Results from Fort Ord, California.</u> 2019, OA&E-FY19-0090	Determine whether Superfund institutional controls achieve their stated goal of preventing human exposure at Superfund sites.	Spring 2020
<u>Implementation of the EPA's Scientific Integrity Policy</u> 2018, OA&E-FY18-0272	Determine whether the EPA's Scientific Integrity Policy is being implemented as intended to assure scientific integrity throughout the EPA.	Spring 2020
<u>EPA Fiscal Year 2019 Improper Payments Elimination and Recovery Act</u> 2020, OA&E-FY20-0025	Determine whether the EPA complied with the Improper Payments Elimination and Recovery Act of 2010 and the Improper Payments Elimination of Recovery Improvement Act of 2012, and assess the accuracy and completeness of Agency reporting.	Spring 2020

<u>Fiscal Year 2018 Financial Statements: Pesticides Reregistration and Expedited Processing Fund and Pesticide Registration Improvement Act Fund</u> 2019, OA&E-FY19-0122, OA&E-FY19-0121	Determine whether (1) the financial statements are fairly presented in all material respects in accordance with generally accepted accounting principles, (2) the EPA's internal controls over financial reporting are in place, and (3) EPA management has complied with applicable laws and regulations.	Spring 2020
<u>Cape Fear North Carolina GenX Contamination</u> 2019, OA&E-FY19-0348	Determine what actions the EPA took to verify compliance with requirements of the 2009 Toxic Substances Control Act Premanufacture Notice Consent Order with DuPont [Chemours] to prevent release of the chemical GenX into the Cape Fear River basin.	Spring 2020
<u>Region 2: Hurricane Response for 2017 Storms</u> 2018, OPE-FY18-0005	Determine how the EPA's preparedness and response efforts during the three major hurricanes of 2017 (Harvey, Irma, and Maria) protected human health and water resources from storm-related drinking water and surface water contamination. Two other reports on Hurricane Harvey have already been issued.	Spring 2020
<u>Landfill Emissions</u> 2018, OA&E-FY18-0273	Determine (1) what federal laws and regulations govern municipal solid waste landfill air emissions, (2) the number of active municipal solid waste landfills subject to federal air-permitting requirements, (3) how many active municipal solid waste landfills subject to air-permitting requirements follow those requirements, and (4) procedures or systems in place to identify municipal solid waste landfills that are potentially subject to federal air permitting and emission requirements, but have not obtained an appropriate air permit.	Summer 2020
<u>EPA's Safer Choice Program</u> 2019, OA&E-FY19-0324	Identify and assess controls the EPA has in place to verify that the Safer Choice program meets its goals and achieves quality standards through its product qualification, renewal, and required audit process.	Summer 2020
<u>Hotline: EPA Office of Mission Support Controls for Carrying Out Its Responsibility to Develop and Coordinate an Agencywide Quality System</u> 2019, OA&E-FY19-0329	Determine whether the EPA Office of Mission Support has controls in place to develop and coordinate an agencywide quality system.	Summer 2020

<u>Lautenberg Act Resource Planning and Management</u> 2019, OA&E-FY19-0127	Determine whether the EPA has (1) met the Frank R. Lautenberg Chemical Safety for the 21st Century Act deadlines and (2) the staff, resources, and management controls in place to meet future statutory deadlines.	Summer 2020
<u>EPA's Use of Intergovernmental Personnel Act Agreements and Details</u> 2019, OA&E-FY19-0271	Determine whether (1) the EPA's use of assignments under the Intergovernmental Personnel Act is in accordance with federal requirements and EPA-established policy and procedures and (2) the assignments achieved their intended results.	Summer 2020
CSB FY 2020 Management Challenges 2020, OA&E-FY20-0031	As required by the Reports Consolidation Act of 2000, the OIG identifies the issues we consider to be the Chemical Safety and Hazard Investigation Board's major management challenges each fiscal year.	Summer 2020
EPA FY 2020 Management Challenges 2020, OA&E-FY20-0030	As required by the Reports Consolidation Act of 2000, the OIG identifies the issues we consider to be the EPA's major management challenges each fiscal year.	Summer 2020
<u>Beaches Environmental Assessment and Coastal Health Act Follow-Up</u> 2020, OA&E-FY20-0062	Determine whether the EPA's implementation of a recommendation in OIG Report No. <u>18-P-0071</u> , <i>EPA Has Not Reported to Congress on BEACH Act Progress as Statutorily Required or Fully Documented Budget Decisions</i> , issued January 18, 2018, met the requirements of the BEACH Act. Specifically, the OIG will conduct follow-up work to determine the extent to which the completed corrective action addressed Recommendation 1: "Submit the mandated reports to Congress on progress under the Beaches Environmental Assessment and Coastal Health Act of 2000."	Summer 2020
<u>Analysis of EPA Enforcement Results (2006–2018)</u> 2019, OA&E-FY19-0030	Determine (1) the trends in enforcement results from fiscal years 2006 through 2018 for EPA-led enforcement actions and (2) key factors explaining enforcement result trends over time, and differences in enforcement result trends among EPA regions and environmental statutes.	Summer 2020
<u>Hotline Complaint: OCFO Contract Award</u> 2019, OA&E-FY19-0035	Determine whether (1) EPA Contracts EP-W-18-007 and EP-W-18-008 were properly awarded in accordance with Federal Acquisition Regulation competition requirements and (2) equipment purchased under EPA Contract EP-W-07-024 was properly purchased under the contract and transferred to current contracts in accordance with Federal Acquisition Regulation requirements.	Summer 2020

<u>EPA's Oversight of Office of Research and Development Grants to Universities</u> 2018, OA&E-FY18-0248	Determine whether (1) the EPA Office of Research and Development oversees and monitors grants awarded to universities in accordance with applicable laws, regulations, policies, and procedures and (2) university grantees are completing agreed-upon work that meets the defined purpose of the grant.	Summer 2020
<u>Fiscal Year 2018 Financial Statements: Hazardous Waste Electronic Manifest System Fund</u> 2019, OA&E-FY19-0120	Determine whether (1) the financial statements are fairly presented in all material respects in accordance with generally accepted accounting principles, (2) the EPA's internal controls over financial reporting are in place, and (3) EPA management has complied with applicable laws and regulations.	Summer 2020
<u>EPA's Risk Assessment Process</u> 2019, OA&E-FY19-0034	Determine whether the EPA follows federal criteria in preparing risk assessments for EPA programs.	Summer 2020
<u>Hotline Complaint: Contract Compliance for EPA Contract EPC16001</u> 2018, OA&E-FY18-0234	Determine whether (1) the EPA's funding actions are allowable and pose risks to the Agency, and (2) invoices are being approved and paid without proper review of costs. The OIG plans to conduct work at both EPA headquarters and regional offices.	Summer 2020
<u>Region 5 Processes for Investigating Potential Loss of Records</u> 2020, OA&E-FY20-0032	Determine whether (1) Region 5 lost federal records when transferring computer files during its annual data backup process and (2) Region 5 followed its processes to investigate the potential loss of records.	Summer 2020
<u>Military Pay-Setting for Reservist Differential and Active Duty Pay</u> 2019, OA&E-FY19-0286	Determine whether the EPA (1) is following federal laws and regulations and (2) has established controls related to its employees on military leave.	Summer 2020
<u>EPA's External Civil Rights Compliance Office's Response to Title VI Air Complaints</u> 2019, OA&E-FY19-0357	Determine whether the External Civil Rights Compliance Office has implemented an oversight system to provide reasonable assurance that organizations receiving EPA Clean Air Act funds comply with Title VI requirements.	Summer 2020
<u>Air Toxics Residual Risk and Technology Review Program</u> 2019, OA&E-FY19-0091	Determine whether the EPA's Air Toxics Residual Risk and Technology Review process has sufficiently identified and addressed any elevated cancer risks from air toxics emitted by facilities.	Summer 2020

<u>Communication of Human Health Risk at Sites in Office of Land and Emergency Management Programs</u> 2019, OA&E-FY19-0031	Determine whether the EPA is communicating sampling results or other indicators of human health risk at select sites in the EPA Office of Land and Emergency Management programs in a manner that allows impacted communities to avoid exposure to harmful contaminants or substances.	Summer 2020
<u>Costs Claimed Under Indian Tribal Grants</u> 2019, OA&E-FY19-0314	Determine whether the costs claimed under selected individual Indian tribal grants are reasonable, allocable, and allowable in accordance with applicable laws, regulations, and grant terms and conditions.	Summer 2020
<u>EPA's Enforcement of Toxic Release Inventory Late Reporters</u> 2018, OA&E-FY18-0002	Determine whether the EPA is taking enforcement actions against companies that delay required reporting of chemical release data to the EPA's Toxic Release Inventory.	Summer 2020
<u>EPA's Fiscal Year 2019 Hazardous Waste Electronic Manifest System Fund Financial Statements</u> 2020, OA&E-FY20-0115	Determine whether (1) the financial statements are fairly presented in all material respects in accordance with generally accepted accounting principles, (2) the EPA's internal controls over financial reporting are in place, and (3) EPA management has complied with applicable laws and regulations.	Summer 2020
<u>EPA's National Pollutant Discharge Elimination System Permit Review</u> 2019, OA&E-FY19-0340	Determine whether the EPA's reviews of state-proposed National Pollutant Discharge Elimination System permits verify that the permits adhere to Clean Water Act requirements.	Summer 2020
<u>EPA's Lab Consolidation Efforts at Three of Its Laboratory Facilities</u> 2020, OA&E-FY20-0353	Determine whether EPA consolidation efforts at laboratories in Athens, Georgia; Corvallis, Oregon; and Grosse Ile, Michigan, are within cost and on schedule.	Summer 2020
<u>Post-Closure Care at Hazardous Waste Units Closed with Waste in Place</u> 2019, OA&E-FY-10-0323	Determine whether the EPA's oversight of hazardous waste units closed with waste in place verifies continued protection of human health and the environment.	Fall 2020
<u>Status of Clean Air Act State Implementation Plan Submittals and Approvals</u> 2020, OA&E-FY20-0125	Determine the (1) number of Clean Air Act State Implementation Plans awaiting EPA approval, (2) factors causing delays in SIP approvals, (3) extent to which states have not submitted the required SIPs to the EPA, (4) potential impact of delays in SIP processing on achieving the EPA's National Ambient Air Quality Standards, and (5) steps the EPA is taking to address delays in SIP processing.	Fall 2020

<u>Office of Air and Radiation's National Center for Radiation Field Operations Preparedness</u> 2020, OA&E-FY20-0037	Determine whether the National Center for Radiation Field Operations has the capability to perform its current roles and responsibilities in preparing for and responding to radiological emergencies.	Fall 2020
<u>Fiscal Year 2019 Financial Statements: Pesticides Reregistration and Expedited Processing Fund and Pesticide Registration Improvement Act Fund</u> 2020, OA&E-FY20-0114, OA&E-FY20-0113	Determine whether (1) the financial statements are fairly presented in all material respects in accordance with generally accepted accounting principles, (2) the EPA's internal controls over financial reporting are in place, and (3) EPA management has complied with applicable laws and regulations.	Fall 2020
<u>EPA's Toxic Substances Control Act Service Fee Fund Financial Statements for the Period from Inception, June 22, 2016, to September 30, 2018</u> 2020, OA&E-FY20-0127	Determine whether (1) the financial statements are fairly presented in all material respects in accordance with generally accepted accounting principles, (2) the EPA's internal controls over financial reporting are in place, and (3) EPA management has complied with applicable laws and regulations.	Fall 2020
<u>Information Technology Support Services Performed Under the ATAIN Contract</u> 2020, OA&E-FY20-0107	Determine whether the EPA paid ATAIN, its contractor for information technology support, for services that were either not performed or performed by other EPA contractors.	Fall 2020
<u>EPA's Oversight of Synthetic Minor Sources</u> 2019, OA&E-FY19-0093	Determine whether oversight is sufficient within the EPA and state and local agencies to assure that synthetic minor sources of air emissions comply with the emission or operational limits in their air permits.	Winter 2020
<u>EPA's Adherence to Pesticide Registration Risk Assessment Regulations, Policies, and Procedures</u> 2020, OA&E-FY20-0095	Determine the EPA's adherence to applicable regulations, policies, and procedures in assessing risks to human health and the environment in the pesticide registration process.	Winter 2020
<u>EPA's Response to Findings from Audits and Inspections Related to Its National Enforcement Investigations Center</u> 2020, OA&E-FY20-0099	Determine whether the Office of Criminal Enforcement, Forensics and Training and the National Enforcement Investigations Center are addressing findings and implementing corrective actions from audits, inspections, and documented concerns related to the NEIC.	Winter 2020

<u>Practices for Identifying Potential Information Technology Vulnerabilities that Could Compromise EPA Systems and Data</u> 2020, OA&E-FY20-0111	Evaluate the completeness of EPA processes for testing its network to identify potential vulnerabilities that could compromise the Agency's systems and data. Also, conduct independent automated vulnerability testing of information technology resources connected to the EPA's network to identify vulnerabilities that could be used to compromise the confidentiality, integrity, and availability of Agency information systems and data.	Winter 2020
<u>EPA's Registration Decisions for Uses of Dicamba on Dicamba-Tolerant Cotton and Soybean</u> 2020, OA&E-FY20-0122	Determine whether EPA policies and procedures were effective in addressing stakeholder risks in the EPA's Dicamba pesticide registration decisions in 2016 and 2018.	Winter 2021
<u>EPA's Oversight of Special Local Need Registrations Under the Federal Insecticide, Fungicide, and Rodenticide Act</u> 2020, OA&E-FY20-0123	Determine whether management controls within the 24(c) registration program effectively promote the EPA's goals of risk reduction and pollution prevention	Winter 2021
<u>EPA's Working Capital Fund Operations, Expenditures, and Rate Establishment</u> 2020, OA&E-FY-20-0035	Determine whether (1) the EPA's Working Capital Fund is operating efficiently by keeping expenditures low, (2) the rates established for various services are properly supported, and (3) allocations of costs to the Working Capital Fund are reasonable, allowable, and proper.	Spring 2021