

EPA Training Webinar: CADDIS – Causal Assessment & Stressor Identification



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Presentation outline



- Introduction to CADDIS EPA's Causal Analysis/ Diagnosis Decision Information System
 - Intro to causal assessment (including how CADDIS, causal assessment, and stressor identification are related)
 - CADDIS tour
 - How CADDIS can be used, in causal assessment and beyond





Causal assessment, Stressor Identification & CADDIS

- Causal assessment
 - Process to determine likely cause of an observed effect
- Stressor Identification (SI)
 - Method for determining most likely cause of observed biological impairments in aquatic systems

• CADDIS

- Causal Analysis/Diagnosis Decision Information System
- <u>Website</u> that provides information, methodology and tools to help users implement SI and conduct causal assessments of biological impairment



Three tiers of causal assessment

- General Can C cause E?
 - Can smoking cause lung cancer?
 - Can Chemical Z cause fish lesions?



- Contextual Under what conditions can C cause E?
 - Does smoking cause lung cancer when certain genetic factors are also present?
 - Does Chemical Z cause fish lesions only when it exceeds a particular concentration?
- Specific Did C cause E in this case?
 - Did smoking cause lung cancer in Ronald Fisher?
 - Did Chemical Z cause fish lesions in my stream?



Three tiers of causal assessment

- General Can C cause E?
 - Can smoking cause lung cancer?
 - Can Chemical Z cause fish lesions?



- Contextual Under what conditions can C cause E?
 - Does smoking cause lung cancer when certain genetic factors are also present?
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 - Did smoking cause lung cancer in Ronald Fisher?
 - Did Chemical Z cause fish lesions in my stream?



Why is specific causation important?

- Biological assessments are commonly used to identify if streams are impaired
- In many cases, causes of impairment are unknown
- To fix the problem, you have to know what to fix

Causes of Impairment for 303(d) Listed Waters

Rank	Impairment Group
1	Pathogens
2	Sediment
3	Nutrients
11	Cause unknown
12	Cause unknown: impaired biota
33	Cause unknown: fish kills

~ 90,000 river/stream miles



Why use a formal method?

Because we can make mistakes about causality, by...

• Forming initial impressions quickly, based on readily available information. This can result in:

Overweighting chance events

Having biases

Being "educationally" predisposed

Relying on intuition and past experience

Every time I wash my car it rains.

All pollution is caused by industry.

Hydrologists think hydrology.

I have a hunch that it's nitrogen. Last time I saw this, it was nitrogen.



Why use a formal method?

Because we can make mistakes about causality, by...

- Gathering information that supports our initial impression HYPOTHESIS TENACITY
- Confidently reaching conclusions based on incomplete information WYSIATI "what you see is all there is"

"Science is a way of trying not to fool yourself. The first principle is that you must not fool yourself – and you are the easiest person to fool." [Feynman 1964]



THE GOOD...

- Provides formal method that allows defensible & transparent evaluation
- Identifies causal relationships that may not be immediately apparent
- Minimizes biases and other lapses of logic
- Helps identify all available evidence
- Increases confidence that remedial or restoration actions can improve biological condition



The CADDIS causal assessment approach

...THE BAD...

- Conducting causal assessments is not necessarily easy or straightforward
- Mechanisms driving biological impacts can be complex
- The method relies on data quantity and quality matter
- Ultimately, a "smoking fish" may not be found, or multiple stressors may remain as likely causes





...AND BACK TO THE GOOD

- Even when one likely cause is not identified, a causal assessment can narrow the universe of possible causes and point to promising data and analyses
 - 1. Low dissolved oxygen
 - 2. Gill damage
 - 3. Nitrate exposure
 - 4. Infections
 - 5. High pH
 - 6. pH fluctuations
 - 7. Ammonia toxicity
 - 8. Other, unspecified toxic substances
 - 9. Inadequate food resources





- Volume 1: Stressor Identification
- Volume 2: Sources, Stressors & Responses
- Volume 3: Examples & Applications
- Volume 4: Data Analysis
- Volume 5: Causal Databases



CADDIS Literature Database (CADLink)





Vol 1: Stressor Identification





- Step-by-Step Guide
- Causal Assessment Background

Contact Us to ask a question, provide feedback, or report a problem.



5 Steps of Stressor Identification





Step 1 – Define the case



- What specific biological effects were observed?
- Where and when did they occur?
- Where are the effects absent or different (i.e., where are comparison sites located)?



Step 2 – List candidate causes



- Generate an initial list
- Gather information on potential sources, stressors, and exposures
- Develop conceptual model
- Develop the "final" list



Steps 3 & 4 – Evaluating the data





Let's talk about evidence...

• What is evidence?

- Available information that indicates whether belief or proposition is valid
- If Cause X produced Effect Y, then we would expect to observe Result Z
- Information used to determine whether we actually observe Result Z is a piece of evidence
- Individual pieces of evidence are combined into the overall body of evidence





- "From the case" = data collected from affected location and nearby comparison sites
 - Most relevant evidence
 - Best chance of isolating causal processes, minimizing confounding factors
- "From elsewhere" = data collected from other field locations, the laboratory, or model simulations
 - Compare data from the case to data from elsewhere to derive pieces of evidence



Types of evidence in CADDIS

Data from the case

- Spatial/temporal co-occurrence
- Evidence of exposure or biological mechanism
- Causal pathway
- Stressor-response relationships from the field
- Manipulation of exposure
- Laboratory tests of site media
- Temporal sequence
- Verified predictions
- Symptoms

Data from elsewhere

- Stressor-response relationships from other field studies
- Stressor-response relationships from laboratory studies
- Stressor-response relationships from ecological simulation models
- Mechanistically plausible cause
- Manipulation of exposure at other sites
- Verified predictions
- Analogous stressors

indicates commonly available types of evidence



Step 5 – Identify probable cause





CADDIS Scoring System

- +++ convincingly supports (or weakens – –)
- ++ strongly supports (or weakens –)
- + somewhat supports (or weakens –)
- 0 neither supports nor weakens
- R refutes
- D diagnoses
- NE no evidence



General principles for scoring evidence

- First + or or **0**
 - Based on logical implication of evidence that passes basic quality and relevance test
- Second + or
 - Based on strength of association (e.g., large differences)
- Third + or
 - Based on reliability of association (e.g., high sample sizes, excellent study design, control of confounders)
- Each type of evidence has strengths and weaknesses, which are reflected in the CADDIS scoring system



Weighing the evidence

- Weigh the body of evidence for each candidate cause
 - Evaluate quantity and quality of evidence
 - Identify compelling evidence
 - Evaluate consistency and credibility of evidence

	All available types of evidence support the case for the candidate cause.		
	All available types of evidence weaken the case for the candidate cause.		
Consistency of Evidence	All available types of evidence support the case for the candidate cause, but few types are available.		
	All available types of evidence weaken the case for the candidate cause, but few types are available.	-	
	The evidence is ambiguous or inadequate.		
	Some available types of evidence support and some weaken the case for the candidate cause.	-	



Comparing evidence and forming conclusions

- Compare the evidence across candidate causes, even when there is a "smoking gun"
 - Determine if there is more than one likely cause
 - Determine your level of confidence in the results
- Identify cause(s) best supported by the evidence
- Classify causes (e.g., likely, unlikely, uncertain)
- Refine and iterate, as needed



- Overview
- In-Depth Look
- Results and **Next Steps**



- Changes in organism behavior;
- Changes in population structure, such as population age or size distribution;
- Changes in ecosystem function, such as nutrient cycles, respiration, or photosynthetic rates;
- Changes in the area or pattern of different ecosystems, such as shrinking wetlands or increased sandbar habitats.

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As Necessary:

Acquire Data,

Iterate Process





· Supporting evidence (temporal co-occurrence) - Suspended solid concentrations are episodic, and



Environmental Topics

CADDIS Volume 1

Law

CADDIS Home

Vol	1.	Sti	res	sor	
lde	nti	ific	ati	on	

About Causal Assessment

Getting Started

Step 1. Define the Case

Step 2. List Candidate Causes

Step 3. Evaluate Data from the Case

Step 4. Evaluate Data from Elsewhere

Step 5. Identify Probable Causes

Summary Tables of Types of Evidence

Summary Tables of Scores

Vol 2. Sources, Stressors and Responses

Vol 3. Examples and Applications

Vol 4. Data Analysis

Vol 5. Causal Databases

Glossary

Summary Table of Scores

Type of Evidence	Finding	Interpretation	Score
Types of Evidence th	at Use Data from the Case		
Spatial/Temporal Co- occurrence	The effect occurs where or when the candidate cause occurs, OR the effect does not occur where or when the candidate cause does not occur.This finding somewhat supports the case for the 		+
	It is uncertain whether the candidate cause and the effect co-occur.	This finding <i>neither supports nor weakens</i> the case for the candidate cause, because the evidence is ambiguous.	0
	The effect does not occur where or when the candidate cause occurs, OR the effect occurs where or when the candidate cause does not occur.	This finding <i>convincingly weakens</i> the case for the candidate cause, because causes must co- occur with their effects.	
	The effect does not occur where and when the candidate cause occurs, OR the effect occurs where or when the candidate cause does not occur, and the evidence is indisputable.	This finding <i>refutes</i> the case for the candidate cause, because causes must co-occur with their effects.	R



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Causal Analysis/Diagnosis Decision Information System (CADDIS)

The Causal Analysis/Diagnosis Decision Information System, or CADDIS, is designed to help scientists and engineers in the Regions, States, and Tribes conduct causal assessments in equatic systems. It is organized into five volumes.

Learn About CADDIS



- <u>Basic Information</u>
 How To Cite CADDIS
- Frequent Questions
- Glossery
- Volume 2: Sources, Stressors and Responses



- <u>CADDIS Volume 2 Home</u>
- Learn About Sources
- Urbanization
- Learn About Stressors
 Learn About Responses
- Volume 4: Data Analysis



- <u>CADDIS Volume 4 Home</u>
- Selecting an Analysis Approach
- <u>Getting Started with Data Analysis</u>
- <u>Exploratory Data Analysis</u>
 Download Software
- _____



Volume 1: Stressor

- <u>CADDIS Volume 1 Home</u>
- Learn About Causal Assessment
 Getting Started
- <u>Tips for Candidate Causes</u>
- Types of Evidence Tables

Volume 3: Examples and Applications



- <u>CADDIS Volume 3 Home</u>
- <u>Analytical Examples</u>
 Wedeback Examples
- Worksheet Examples
 State Examples
- State Examples
 Core Studies | Galler
- <u>Case Studies</u> | <u>Galleries</u>

Volume 5: Causal Databases



- CADDIS Volume 5 Home
 - Learn About Interactive Conceptual Diagrams
 - (ICDs)
 - ICD Quick Start Instructions
 - Open the ICD Application
 CADDIS Literature Database (CADLink)

CADDIS Publications CADDIS Site Map

Helpful Links

CADDIS Recent Additions

Search CADDIS

By Volume, Topic, or Keyword

Search

Related Links

ICD Conceptual Diagram Application PECBO Appendic Technical Details and

Programs The Role of SI in Various Water Management Programs CADDIS Site References

More Related Links



- Volume 1: Stressor Identification
- Volume 2: Sources, Stressors
 & Responses
- Volume 3: Examples & Applications
- Volume 4: Data Analysis
 - Volume 5: Causal Databases



Vol 2: Sources, Stressors & Responses





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CADDIS Volume 2



Basic Information

- Learn About Sources
- Urbanization
- Learn About Stressors
- Learn About Responses
- Frequent Questions
- Glossary

Stressors (A-M)

- <u>Ammonia</u>
 <u>Dissolved Oxygen</u>
- Flow Alteration
- Herbicides
- Insecticides
- Ionic Strength
- Metals

Stressors (N-Z)

- Nutrients
- <u>pH</u>
- Physical Habitat
- Sediments
- <u>Temperature</u>
- Unspecified Toxics

Volume 2. Sources, Stressors, Responses

This volume of CADDIS provides useful information on common sources, stressors and responses. This information helps you decide which candidate causes to include in your assessment and develop cases for or against those causes.

Related Information

CADDIS Home

Vol 1. Stressor

Identification

and Responses

Applications

Vol 3. Examples and

Vol 4. Data Analysis

Vol 5. Causal Databases

Vol 2. Sources, Stressors

• Ammonia

- Dissolved oxygen
- Flow alteration
- Herbicides
- Insecticides
- Ionic Strength
- Metals
- Nutrients
- pH
- Physical Habitat
- Sediments
- Temperature
- Unspecified Toxics
- Urbanization





CADDIS Home Vol 1. Stressor

Glossary

Temperature

Physical

Identification						
Vol 2. Sources, Stressors and Responses	Overview	When to List	Ways to Measure	Conceptual Diagrams	References	
About Sources					1	anguana Cal
About Stressors	On this Pag	e				Relator
Ammonia	<u>Checklist of S</u>	ources, Site Evi	dence and	Solar Salaston Sharkaraj	2 Contraction	Trajantise ad Flow
Dissolved Oxygen	<u>Biological Eff</u>	<u>ects</u> isting Temperat	hure as a	111	throughout: Exchange servection, naportion	1144 A
Flow Alteration	Candidate	Cause			Attor	Enertester 192
Herbicides	• Consider (Contributing, Mo	odifying and			Appohek Enclarage
Insecticides	<u>Related Fa</u>	ctors as Candid	ate Causes	Brunder H Constantion Enterpy	· ····	
Ionic Strength	Temperature is t	he concentratio	on of thermal	- Star		
Metals	energy in a subs	tance such as w	ater. The phrase			
Nutrients	"thermal regime temporal and sp	" is used when atial distributio	emphasizing the n of	Figure 1. Major heat view a larger versior	: flux processes in stre 1.	ams. Click diagram to
рН	temperature.			Adapted from Moore et al.	(2005) and Johnson and Jon	nea (2000)
Physical Habitat	T				- hand a small broad as	
Sediments	affecting the mo	vement of heat	(see Figure 1). Ir	ed by many atmos n turn, temperature	pneric and nydro e plays a fundam	ental role in
Temperature	shaping the stru	cture and funct	ion of aquatic sy	stems (see Table 1). It is frequently	used as a basis for
Unspecified Toxic Chemicals	classifying strea	ms (e.g., coldwa	ater, warmwater)).		
About Responses	This module pro	vides advice for	r deciding wheth	er to include temp	erature in your li	ist of candidate
Vol 3. Examples and Applications	causes. You may	go directly to a	specific section	of interest by click	ing on the tabs a	bove.
Vol 4. Data Analysis	Table 1. Ex	ample Attri	butes of Aqua	itic Ecosystems	Affected by 1	Temperature
Vol 5. Causal Databases	Category	Example Attri	butes			

Water density, thermal stratification, solubility of oxygen and other chemicals

• Overview

- When to List
- Ways to Measure
- Conceptual Diagrams
- References





Vol 3: Examples & Applications



United States Environmental Protecti Agency	on				
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CADDIS Volum	ne 3		CONTACT US	SHARE (f) 🕑 🦗) 🖾
Examples and	d Application Golf Course k Waters	IS h e d o Shoppin		Volume 3. Example and Applications The examples in this see show how the CADDIS framework has been ap to both aquatic and terrestrial effects. They illustrate applications representing a variety of locations, stressors, and affected species or communities.	s tion plied f
Analytical	Case	Studios	and	an area paratera a tan	

Analytical Examples

- Analytical Examples
 - <u>Spatial Co-occurrence</u>
- Verified Prediction (PECBO)
- <u>Stressor-Response from the Field</u>
- <u>Stressor-Response from the Lab</u>
- Verified Prediction (Traits)

Case Studies and Examples

- Worksheet Examples
- State Examples
- Case Studies
- Galleries

Related Information

- <u>CADDIS Home</u>
- Vol 1. Stressor Identification
- Vol 2. Sources, Stressors and Responses
- Vol 3. Examples and Applications
- Vol 4. Data Analysis
- Vol 5. Causal Databases

Contact Us to ask a question, provide feedback, or report a problem.

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Analytical Examples

Example 1. Spatial Co-occurrence with Regional Reference Sites

On this Page

- Introduction
- <u>Data</u>
- <u>Analysis and Results</u>
- How Do I Score This Evidence?

Introduction

We would like to determine whether stream temperatures observed at an Oregon test site are higher than those at regional reference sites. If temperatures at the test site are higher than reference expectations, then we can conclude that increased temperature spatially cooccurs with the observed impairment. Conversely, temperatures at the test site that are comparable to temperatures at regional reference sites would suggest that increased temperature does not spatially co-occur with the observed impairment.

Data

The Oregon Department of Environment Quality (ORDEQ) deployed continuous temperature monitors in streams from 1997-2002. These temperature monitors recorded hourly temperature measurement which were then summarized as seven day average maximum temperatures in degrees C (7DAMT). Sites were also characterized by the geographic location (latitude and longitude), elevation, and catchment area. Reference sites were designated in Oregon based on land use characteristics.

Analysis and Results

1.1.1.1.1

Scatter plots are first used to examine the variation of stream temperature with different natural factors. The factors that are chosen (e.g., elevation, geographic location) must not be associated with local human activities. This initial data exploration suggests that stream temperature in reference sites are inversely related with both elevation and latitude (Figure 1). Next, regression analysis is used to model stream temperature as a function of elevation and latitude.

Overview	
Example 1	
Example 2	
Example 3	
Example 4	
Example 5	

- Introduction
- Data
- Analysis and Results
- How Do I Score this Evidence?





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Glossary

Applications to the Clean Water Act 303d/Total Maximum Daily Load (TMDL) Program



Figure 1. States that have used or are currently using methods from the Stressor Identification process, shown in green.

identification case studies.

Stressor Identification typically occurs after a water body is listed as impaired by biological or unknown causes. This is typically before the development of a TMDL or watershed management plan. U.S. EPA does not require documentation of how pollutants or

Criteria
Waste Site(s)
Endangered Species
References

TMDL Program

watershed management targets are identified. However, we have found some evidence of the adoption of our methods (see Figure 1). This list does not include states which have conducted full stressor



voutube.com/watch?v=K2x20Q1df48

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Environmental Protection

Agency

Connecticut and Maine: causal assessments provide input to restoration decisions California: collaborating with ORD to make causal assessments faster, cheaper and routine



Minnesota: causal assessment applied

systematically to watersheds across the

- Pennsylvania, West Virginia and Virginia:
 - efforts in fish health investigations

Google" Custom Search Minnesota Pollution Control Agency Assistance | Feedback | Site Map | Glossary Water Waste Regulations Living Green **Ouick Links** Data About MPCA Monitoring and Reporting Pollution Prevention Permits and rules Public ation Training tinyURL : rprk9fa | ID : 4193 **Biological Monitoring** of Water in Minneso How healthy are the IN THIS SECTION streams in your area? See if a stream stressor report our stream stressed is available for your area: · Go to watersheds map · Click on your watershed o

type in your city · Check "Watershed News" on the right.

Adapted for state-specific applications in 19 states





ndex of biological integrity Seasonal employment opportunities RELATED TOPICS Water Quality and Pollutants

Contaminated Sedimen

EDA: Environmental Data

Access »

Jolunteer Water Monitorin Is your stream stressed? Surface Water Data

> Identifying factors that harm fish and other stream life is a key part of the watershed restoration and protection projects being carried out by the MPCA under Minnesota's Clean Water Legacy

The MPCA will be working in several streams throughout Minnesota each year to gauge streams health. Many streams suffer from stressors that harm fish and other aquatic life. These stressors may also affect recreation such as swimming and fishing

How can you get involved?

Citizens can get involved by granting permission to the MPCA to access their land







- Selecting an Analysis Approach
- Getting Started
- Basic Principles & Issues
- Exploratory Data Analysis
- Basic & Advanced Analyses
- Download Software

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Getting Started with Data Analysis

- Assembling, matching, organizing data
- Data quality
- Links to relevant databases

Exploratory and Basic Data Analysis

- Variable distributions, scatterplots, correlation analysis, conditional probability, multivariate approaches
- Significance tests, regression analysis, quantile regression, classification and regression tree (CART) analysis

Download Software

- CADStat
- Species Sensitivity Distribution (SSD) Generator
- R Command Line Tutorial



Vol 5: Causal Databases



SEPA United States Environmental Protection



Interactive Conceptual Diagrams (ICDs)

Contact Us to ask a question, provide feedback, or report a problem.

- Learn about ICDs
- User Guide
- Quick Start Instructions
- Open the Application

Literature Database

- CADLink CADDIS Literature Database
- Glossary

Related Information

(🖂)

- CADDIS Home
- Vol 1. Stressor Identification
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- Vol 3. Examples and Applications
- Vol 4. Data Analysis

• CADLink

• ICD (*)







- Database of evidence extracted from published literature
- Focused on cause-effect associations
- Includes information on study design, location, analytical results
- "Public" users can search existing records, "registered" users can enter new/modify existing records



What's next for CADDIS?

- Develop methods for "rapid" causal assessment
- Develop evidence databases
 - CADLink
 - EcoEvidEx (Ecological Evidence Exchange)
- Link evidence databases to visualization applications
 - EcoDIVER (Ecological Database and Interactive Visualizations of Evidence Records)
- Other suggestions?
 - Let us know what would be most useful for you (via email or CADDIS Contact Us page)

Causal assessment (and CADDIS) can be applied more broadly...

Contact

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