Health Effects of Particulate Matter Air Pollution

C. Arden Pope III

Mary Lou Fulton Professor of Economics



Presented at
EPA Wood Smoke Health Effects Webinar
July 28, 2011

What we breath impacts our health

➤ Pure Air--nitrogen (78%),Oxygen (21%), Argon, CO₂...



- > Various gaseous pollutants including:
 - SO₂, NO₂, CO, O₃...

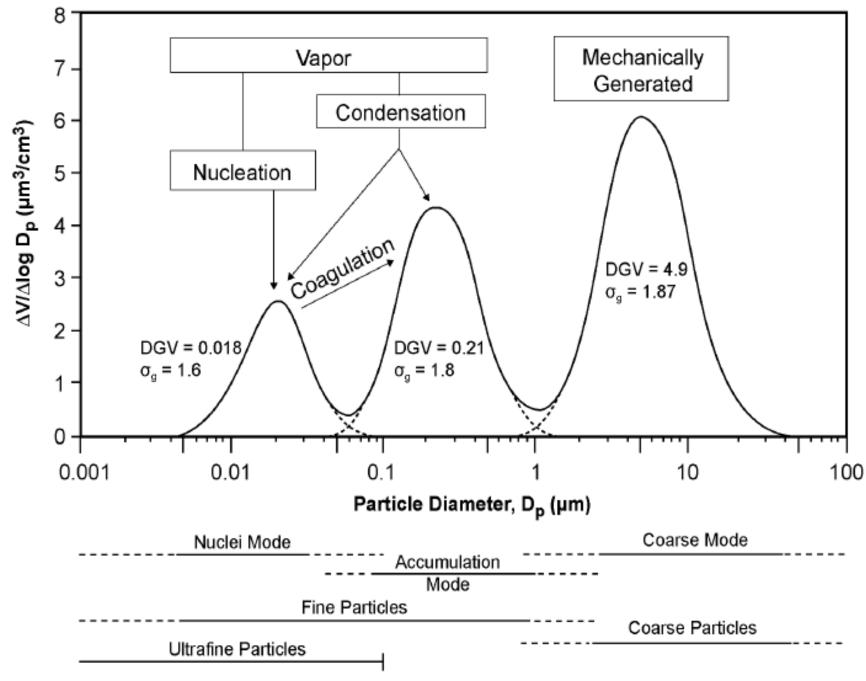


- > Particulate matter:
 - Course particles (> 2.5 μm in diameter)
 - Fine particles (< 2.5 μm in diameter)

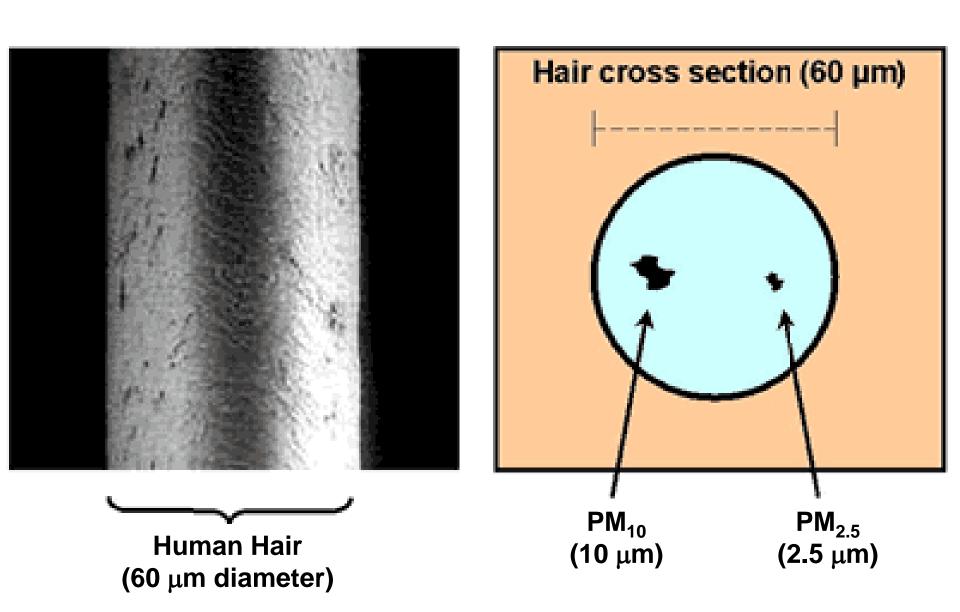


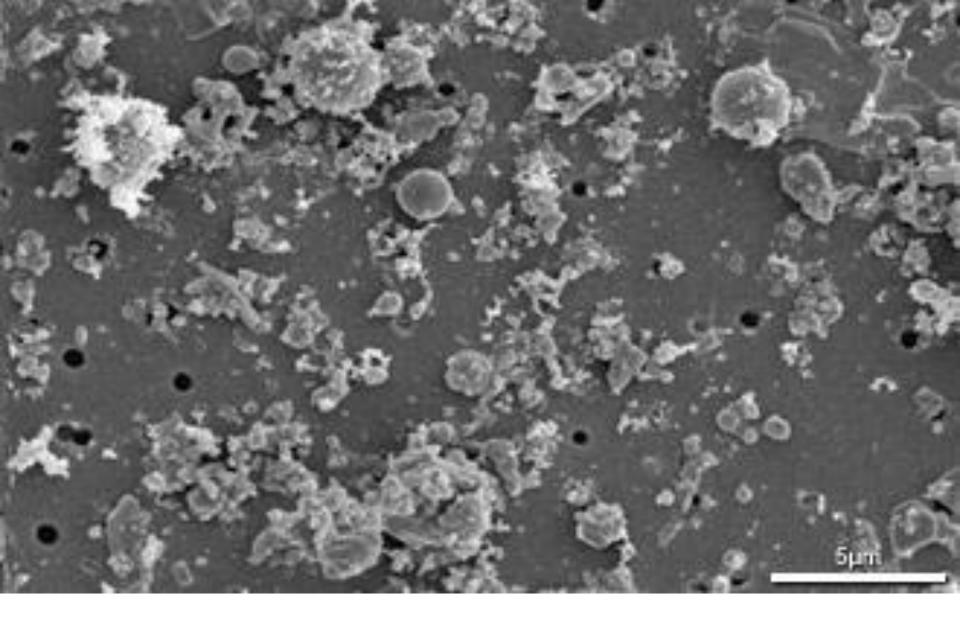
> Other air toxics

Wood Smoke



How small are fine particles?





Magnified ambient particles (www.nasa.gov/vision/earth/environment)



Studies of short-term exposure (hours-days)

- Episode
- Population-based daily time-series
- Panel-based acute exposure
- Case-crossover

Studies of long-term exposure (years-decades)

- Population-based cross-sectional
- Cohort-based mortality
- Cohort- and panel-based morbidity
- Case-control studies

Intervention/natural experiment (months-years)

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Controlled experimental human and animal

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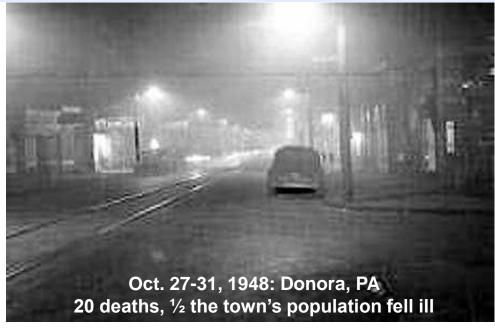
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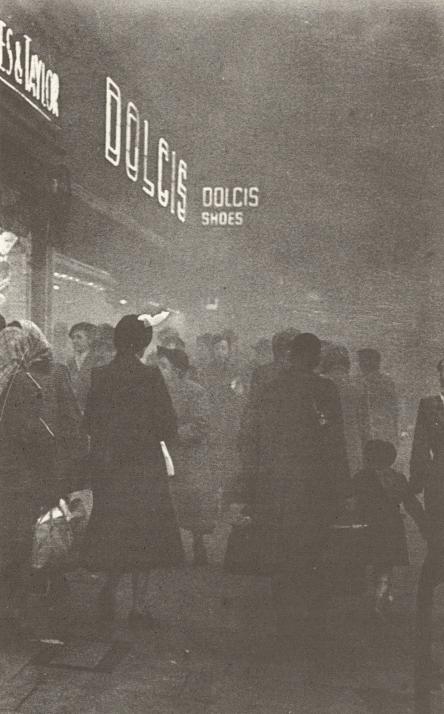
Early "Killer smog" episodes demonstrated that air pollution at extreme levels can contribute to respiratory and cardiovascular disease and death





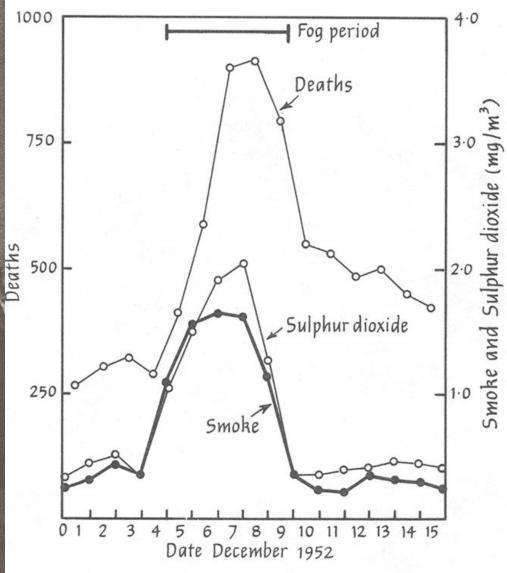






London Fog Episode, Dec. 1952

THE BIG SMOKE



From: Brimblecombe P. The Big Smoke, Methu

Utah Valley, 1980s

- Winter inversions trap local pollution
- Natural test chamber



- Local Steel mill contributed ~50% PM_{2.5}
- Shut down July 1986-August 1987
- Natural Experiment



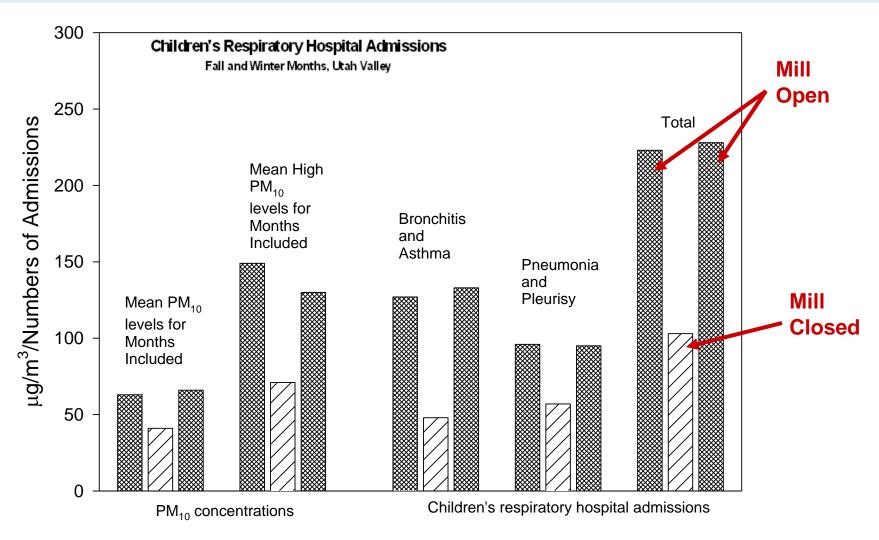


Large difference in air quality when inversions trap air pollution in valley

Utah Valley: Clean day



When the steel mill was open, total children's hospital admissions for respiratory conditions approx. doubled.



Sources: Pope. Am J Pub Health.1989; Pope. Arch Environ Health. 1991

Studies of short-term exposure (hours-days)

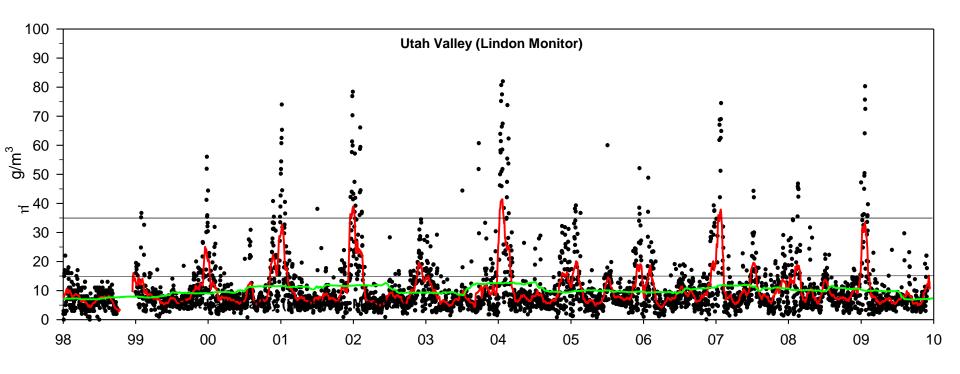
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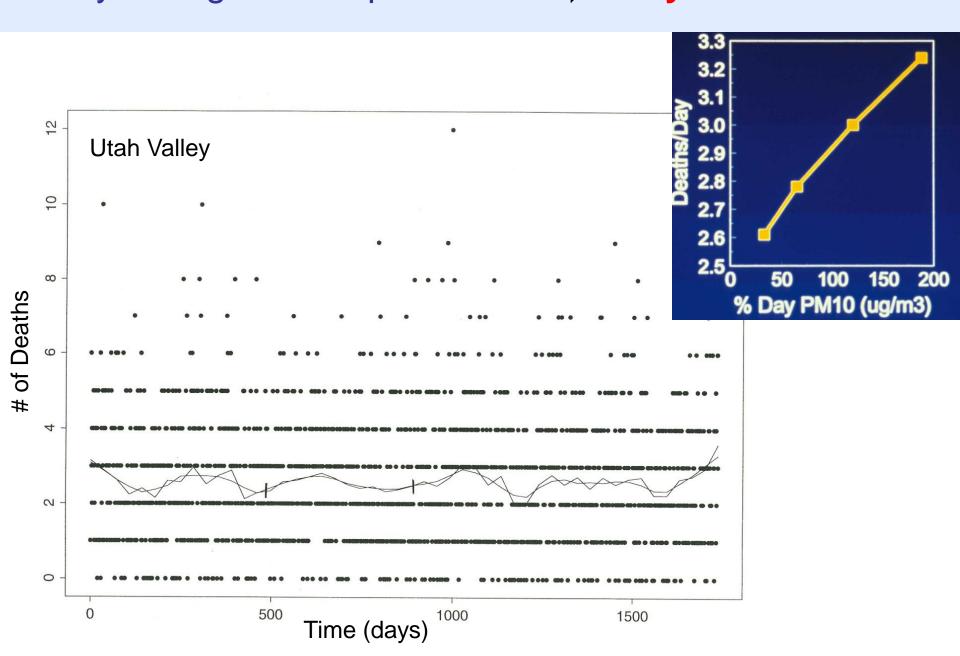
Intervention/natural experiment (months-years)

Health studies take advantage of **highly variable** air pollution levels that result from inversions.



 $PM_{2.5}$ concentrations January 1 1998-December 12 2009. Black dots, 24-hr $PM_{2.5}$; Red line, 30-day moving average $PM_{2.5}$; Green line, 1-yr moving average $PM_{2.5}$

Daily changes in air pollution —— daily death counts



Poisson Regression

Count data (non-negative integer values). Counts of independent and random occurrences classically modeled as being generated by a Poisson process with a Poisson distribution:

Prob
$$(Y = r) = e^{(-\lambda)} \frac{\lambda^r}{r!}$$

Note: λ = mean and variance. If λ is constant across time, we have a stationary Poisson process. If λ changes over time due to changes in pollution (P), time trends, temperature, etc., this non-stationary Poisson process can model as:

In
$$\lambda_t = \alpha + \beta(w_0P_t + w_1P_{t-1} + w_2P_{t-2} + ...) + s^1(t) + s^2(temp_t) + ...$$

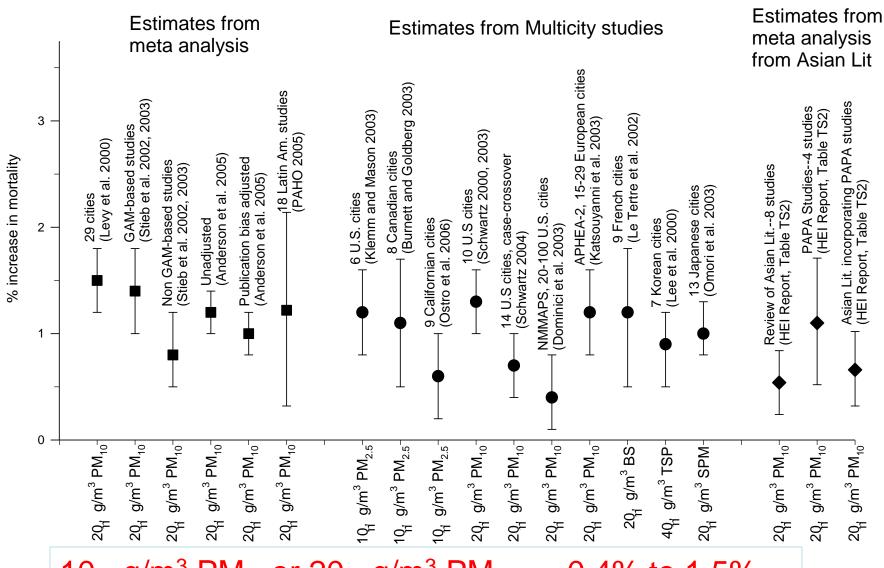
Modeling controversies

How to construct the lag structure? (MA, PDL, etc.)

How aggressive do you fit time? (harmonics vs GAMs, df, span, loess, cubic spline, etc.)

How to control for weather? (smooths of temp & RH, synoptic weather, etc.)

Also: How to combine or integrate information from multiple cities



10 $\mu g/m^3$ PM_{2.} or 20 $\mu g/m^3$ PM₁₀ \rightarrow 0.4% to 1.5% increase in relative risk of mortality—Small but remarkably consistent across meta-analyses and multi-city studies.

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Intervention/natural experiment (months-years)

Panel studies of asthmatics and non-asthmatics





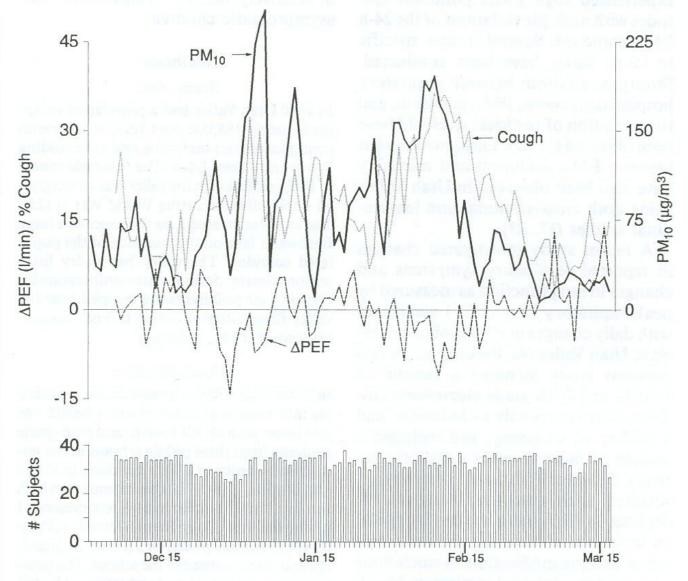
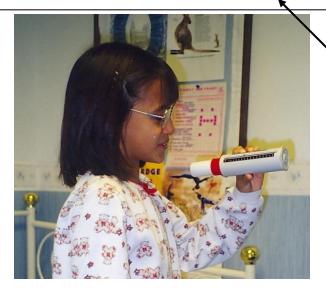


Fig. 1. Daily PM_{10} levels, mean peak expiratory flow deviations (ΔPEF), percentage who reported cough, and number of participants for the symptomatic sample.

Summary of early Utah Valley epidemiological studies

Health effects

- Increased hospital admissions
- Increased respiratory symptoms
- Reduced lung function
- Increased school absences,
- Increased respiratory and cardiovascular deaths



Study References

Pope (1989) Am. J. Public Health Pope (1991) Arch. Environ. Health

Pope, Dockery, Spengler, Raizenne (1991) Am. Rev. Resp. Dis.

Pope, Dockery (1992) Am. Rev. Resp. Dis.

Pope, Kanner (1993) Am. Rev. Resp. Dis.

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Pope, Schwartz, Ransom (1992)
Arch. Environ. Health
Pope, Kalkstein (1996)
Environ. Health Perspect.
Pope, Hill, Villegas (1999)
Environ. Health Perspect.

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Intervention/natural experiment (months-years)

Ischemic Heart Disease Events Triggered by Short-Term **Exposure to Fine Particulate Air Pollution**

C. Arden Pope III, PhD; Joseph B. Muhlestein, MD; Heidi T. May, MSPH; Dale G. Renlund, MD; Jeffrey L. Anderson, MD; Benjamin D. Horne, PhD, MPH

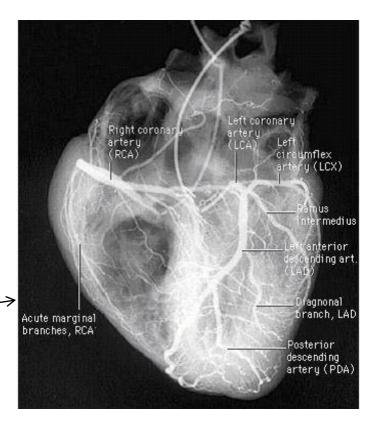


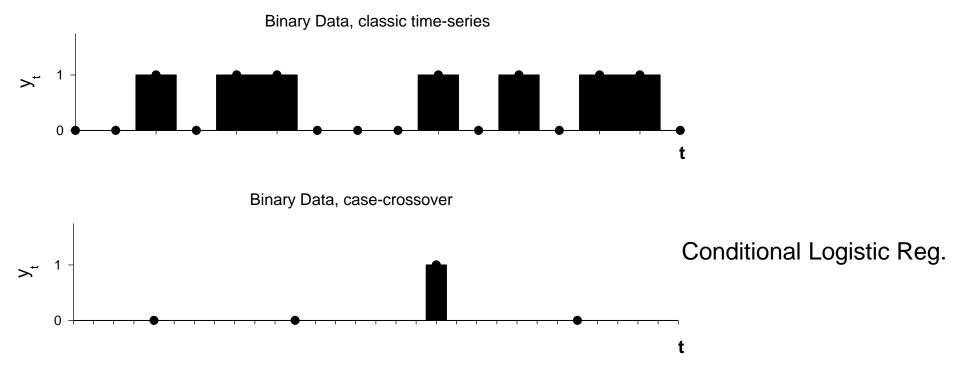
Jeffrey Anderson

Methods:

Case-crossover study of acute ischemic coronary events (heart attacks and unstable angina) in 12,865 well-defined and followed up cardiac patients who lived on Utah's Wasatch Front

...and who underwent coronary angiography





Each subject serves as his/her own control.

Control for subject-specific effects, day of week, season, time-trends, etc.—by matching

Conditional logistic regression:

$$\ln \left(\frac{\text{Prob } (Y_{\underline{t}} = 1)}{1 - \text{Prob } (Y_{\underline{t}} = 1)} \right) =$$

$$\alpha_1 + \alpha_2 + \alpha_3 + \ldots + \alpha_{12.865} + \beta(w_0P_t + w_1P_{t-1} + w_2P_{t-2} + \ldots)$$

Control by matching for:

All cross-subject differences

(in this case, 12,865 subject-level fixed effects),

Season and/or month of year,

Time trends,

Day of week

Modeling controversies: How to select control or referent periods. Time stratified referent selection approach (avoids bias that can occur due to time trends in exposure) (**Holly Janes, Lianne Sheppard, Thomas Lumley** Statistics in Medicine and Epidemiology 2005)

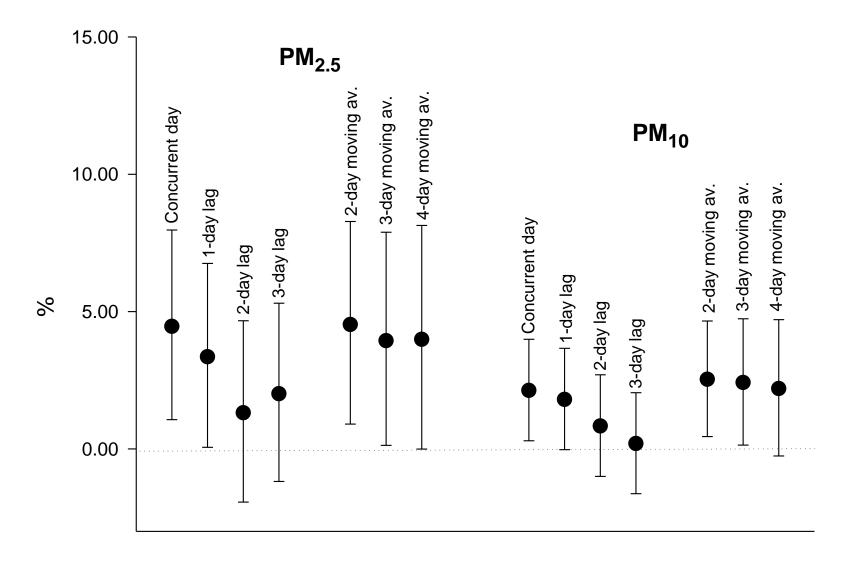


Figure 1. Percent increase in risk (and 95% CI) of acute coronary events associated with 10 μ g/m³ of PM_{2.5}, or PM₁₀ for different lag structures.

Short-term PM exposures contributed to acute coronary events, especially among patients with underlying coronary artery disease. Family history, yes # of # of Hyperlipidemia,no 20.00 Hypertension, yes Hyperlipidemia, yes **Risk Factors** Diseased Subsequent MI HUnstable Angina Smoking HDiabetes, yes All acute coronary Vessels Hypertension, no Family history,no 1Diabetes,no 1BMI>=30 15.00 H Non Smoking **IBMI**<30 Age<65 1CHF,no 10.00 Female 5.00 0.00 -5.00 -10.00

Figure 2. Percent increase in risk (and 95% CI) of acute coronary events associated with $10~\mu g/m^3$ of $PM_{2.5}$, stratified by various characteristics.

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Any Questions?

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Intervention/natural experiment (months-years)

Studies of short-term exposure (hours-days)

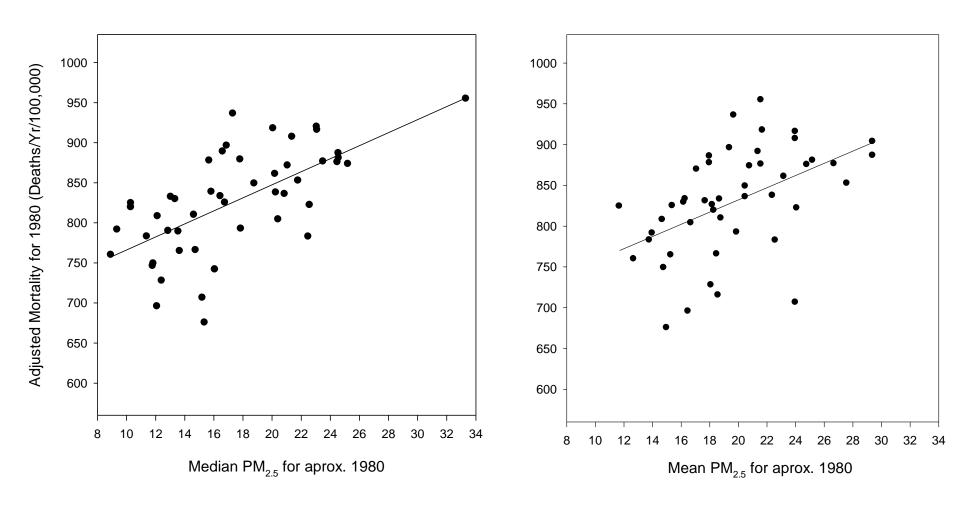
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Age-, sex-, and race- adjusted population-based mortality rates in U.S. cities for 1980 plotted over various indices of particulate air pollution (From Pope 2000).



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An Association Between Air Pollution and

Mortality in Six U.S. Cities

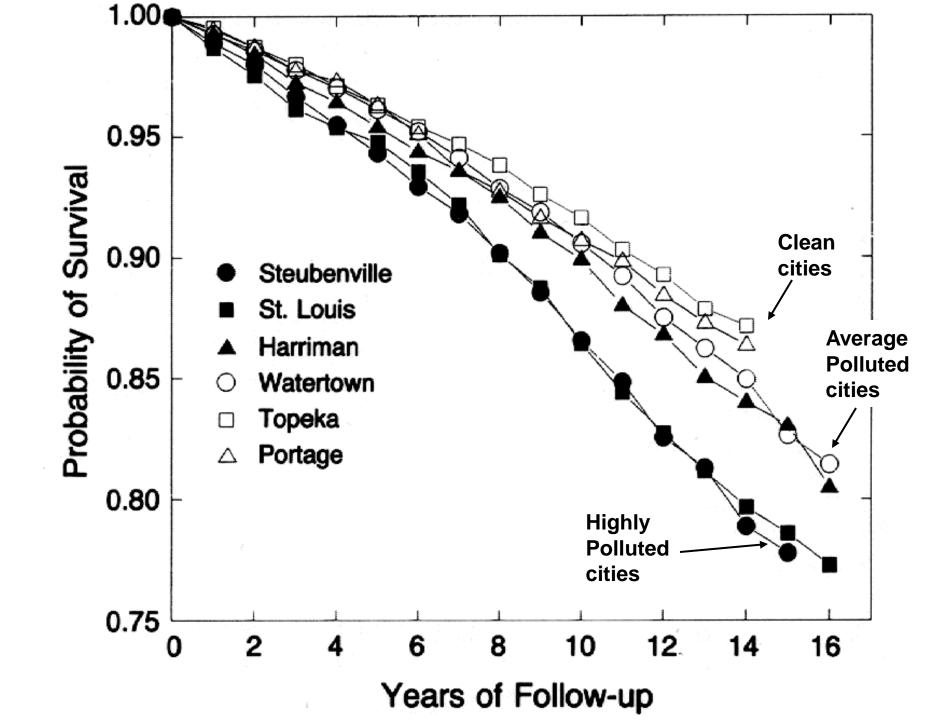




Dockery DW, Pope CA III, Xu X, Spengler JD, Ware JH, Fay ME, Ferris BG Jr, Speizer FE.

Methods:

- ➤ 14-16 yr prospective follow-up of 8,111 adults living in six U.S. cities.
- ➤ Monitoring of TSP PM₁₀, PM_{2.5}, SO₄, H⁺, SO₂, NO₂, O₃.
- ➤ Data analyzed using survival analysis, including Cox Proportional Hazards Models.
- Controlled for individual differences in: age, sex, smoking, BMI, education, occupational exposure.



Cox Proportional Hazards Survival Model

Cohort studies of outdoor air pollution have commonly used the CPH Model to relate survival experience to exposure while simultaneously controlling for other well known mortality risk factors. The model has the form

$$\lambda_i^{(l)}(t) = \lambda_0^{(l)}(t) \exp\left(\beta^T x_i^{(l)}(t)\right)$$

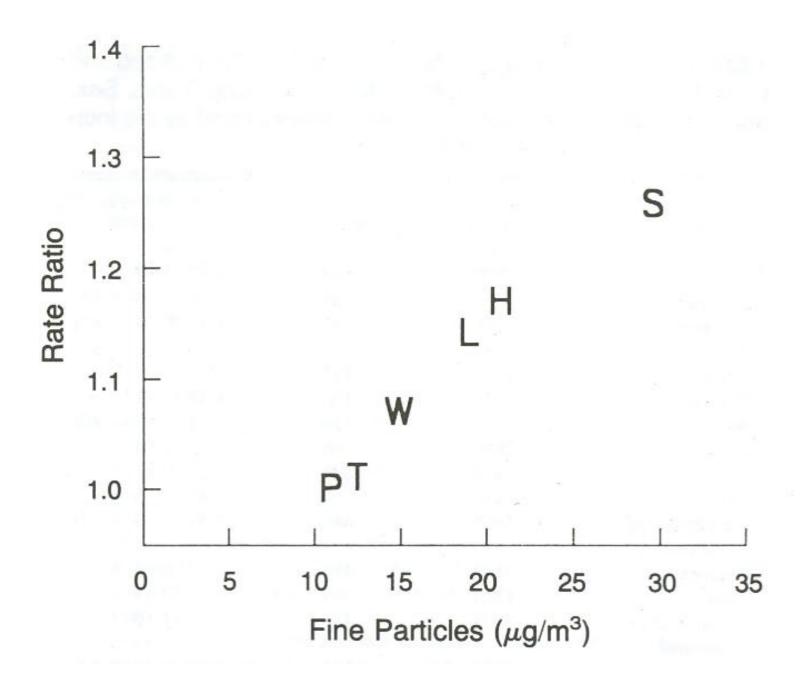
Hazard function or instantaneous probability of death for the *i*th subject in the *I*th strata.

Baseline hazard function, common to all subjects within a strata.

Regression equation that modulates the baseline hazard. The vector $X_i^{(l)}$ contains the risk factor information related to the hazard function by the regression vector β which can vary in time.

Adjusted risk ratios (and 95% CIs) for cigarette smoking and PM_{2.5}

Cause of Death	Current Smoker, 25 Pack years	Most vs. Least Polluted City
All	2.00 (1.51-2.65)	1.26 (1.08-1.47)
Lung Cancer	8.00 (2.97-21.6)	1.37 (0.81-2.31)
Cardio- pulmonary	2.30 (1.56-3.41)	1.37 (1.11-1.68)
All other	1.46 (0.89-2.39)	1.01 (0.79-1.30)



Particulate Air Pollution as a Predictor of Mortality in a Prospective Study of U.S. Adults

Michael Thun

Pope CA III, Thun MJ, Namboodiri MM, Dockery DW, Evans JS, Speizer FE, Heath CW Jr.

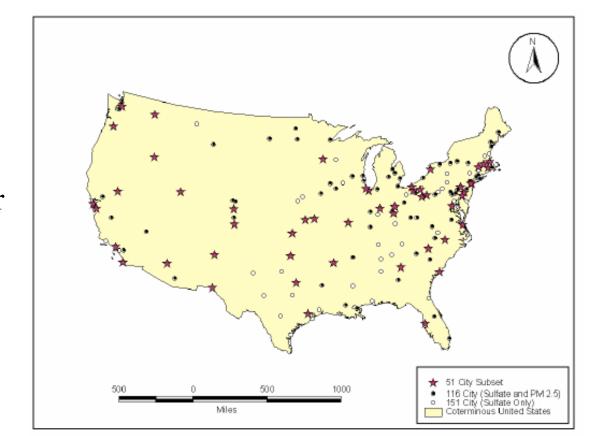


1995



Clark Heath

Methods: Linked and analyzed ambient air pollution data from 51-151 U.S. metro areas with risk factor data for over 500,000 adults enrolled in the ACS-CPSII cohort.



Adjusted mortality risk ratios (and 95% CIs) for cigarette smoking the range of sulfates and fine particles

Cause of Death	Current Smoker	Sulfates	Fine Particles
All	2.07 (1.75-2.43)	1.15 (1.09-1.22)	1.17 (1.09-1.26)
Lung Cancer	9.73 (5.96-15.9)	1.36 (1.11-1.66)	1.03 (0.80-1.33)
Cardio- Pulmonary	2.28 (1.79-2.91)	1.26 (1.16-1.37)	1.31 (1.17-1.46)
All other	1.54 (1.19-1.99)	1.01 (0.92-1.11)	1.07 (0.92-1.24)









Dan Krewski Rick Burnett Mark Goldberg and 28 others

SPECIAL REPORT

HEALTH EFFECTS INSTITUTE

July 2000

Reanalysis of the Harvard Six Cities Study and the American Cancer Society Study of Particulate Air Pollution and Mortality

A Special Report of the Institute's Particle Epidemiology Reanalysis Project



Lung Cancer, Cardiopulmonary Mortality, and Long-term Exposure to Fine Particulate Air Pollution

C. Arden Pope III, PhD Richard T. Burnett, PhD Michael J. Thun, MD Eugenia E. Calle, PhD Daniel Krewski, PhD Kazuhiko Ito, PhD

George D. Thurston, ScD

Context Associations have been found between day-to-day particulate ai and increased risk of various adverse health outcomes, including cardiopulmo tality. However, studies of health effects of long-term particulate air pollu been less conclusive.

Objective To assess the relationship between long-term exposure to fir late air pollution and all-cause, lung cancer, and cardiopulmonary mortalit

Design, Setting, and Participants Vital status and cause of death data lected by the American Cancer Society as part of the Cancer Prevention II stu going prospective mortality study, which enrolled approximately 1.2 million adu







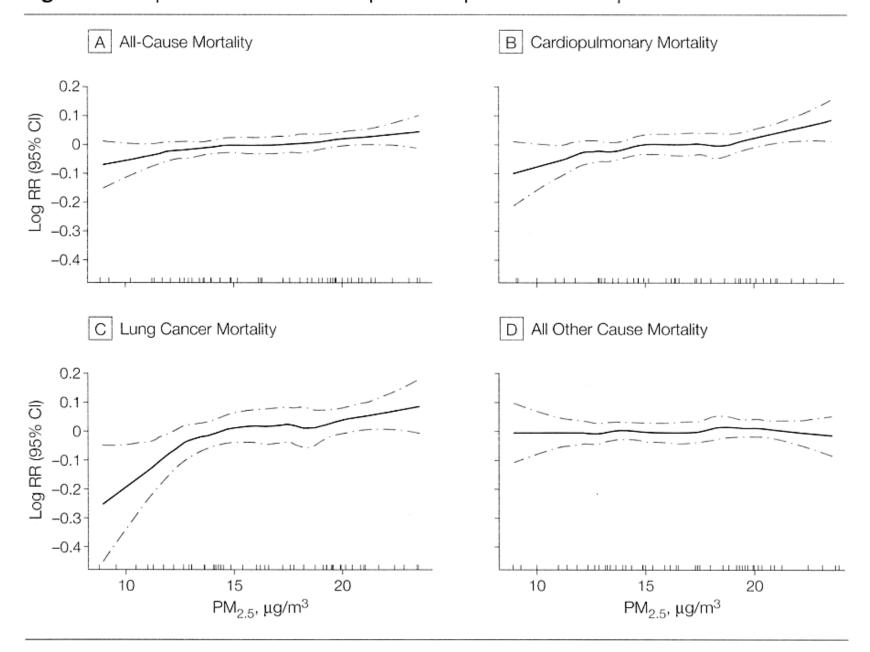








Figure 2. Nonparametric Smoothed Exposure Response Relationship





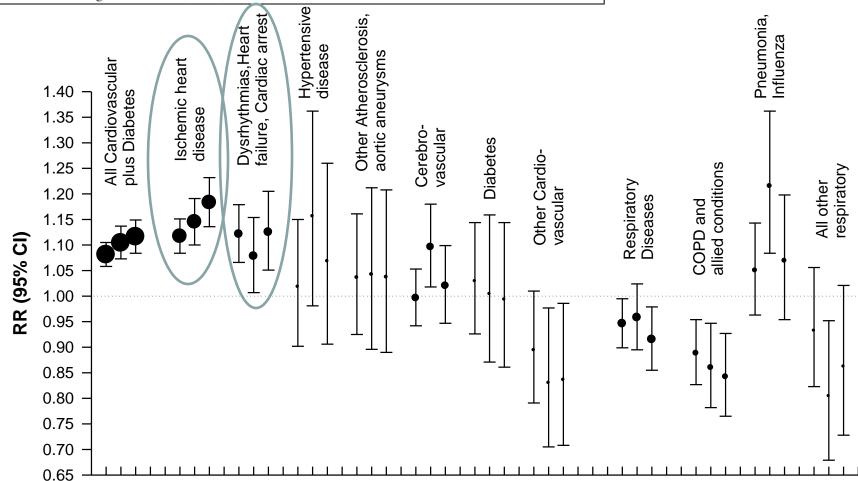
Cardiovascular Mortality and Long-Term Exposure to Particulate Air Pollution

Epidemiological Evidence of General Pathophysiological Pathways of Disease

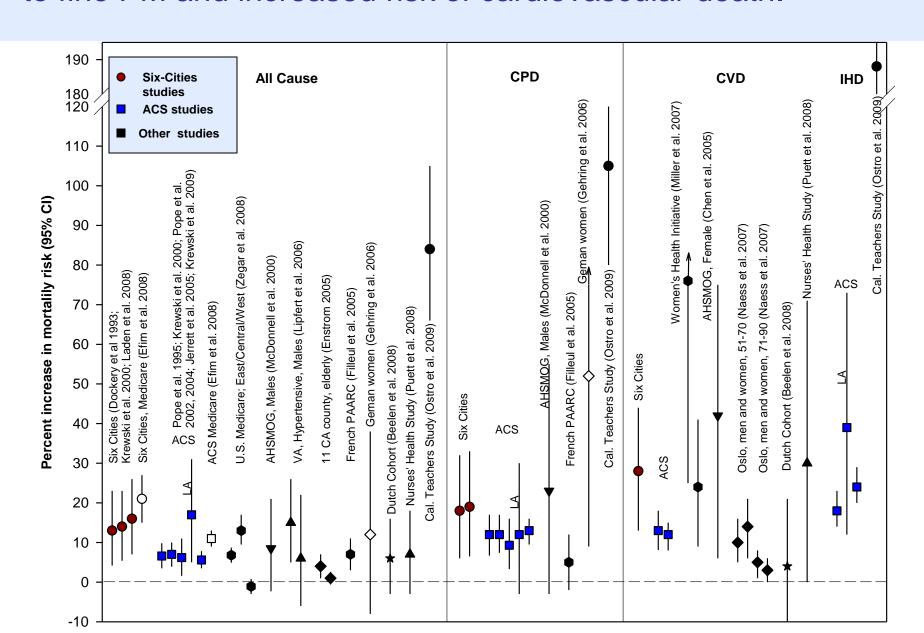
C. Arden Pope III, PhD; Richard T. Burnett, PhD; George D. Thurston, ScD; Michael J. Thun, MD; Eugenia E. Calle, PhD; Daniel Krewski, PhD; John J. Godleski, MD



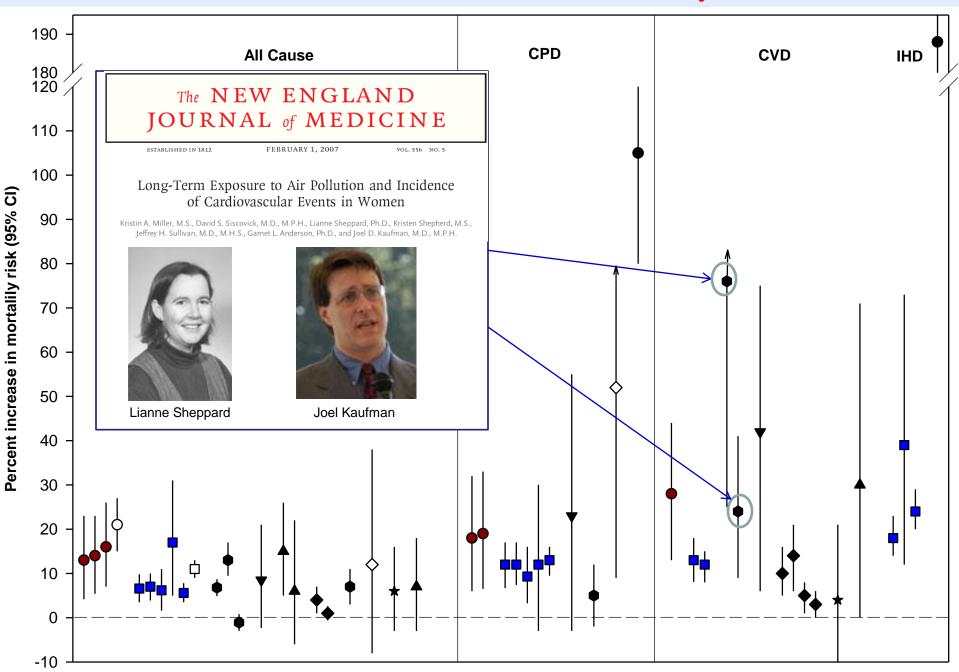
John Godleski

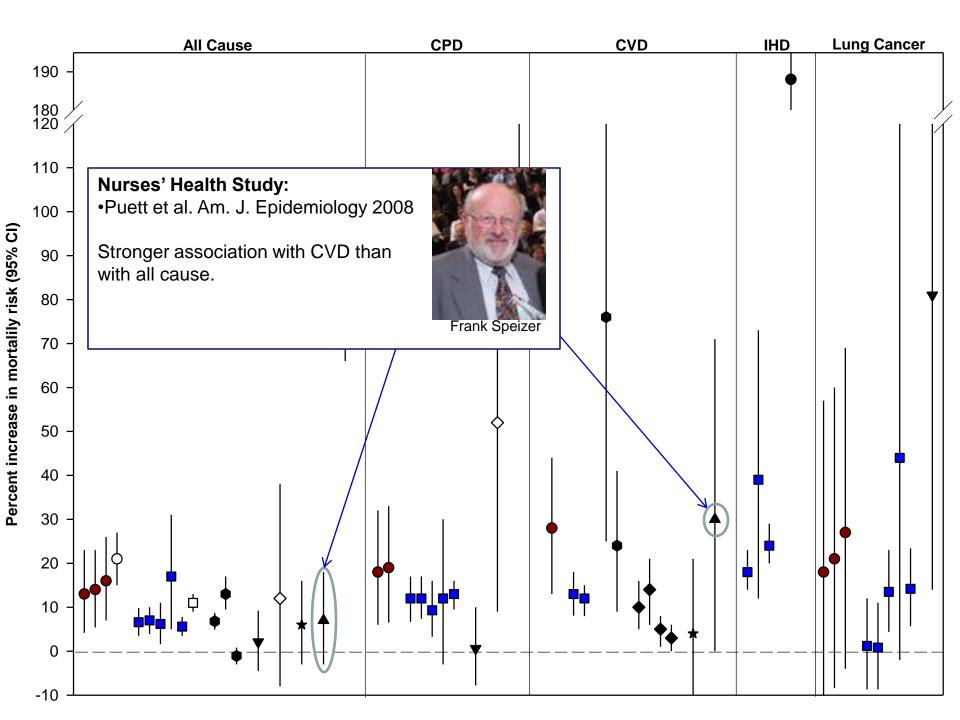


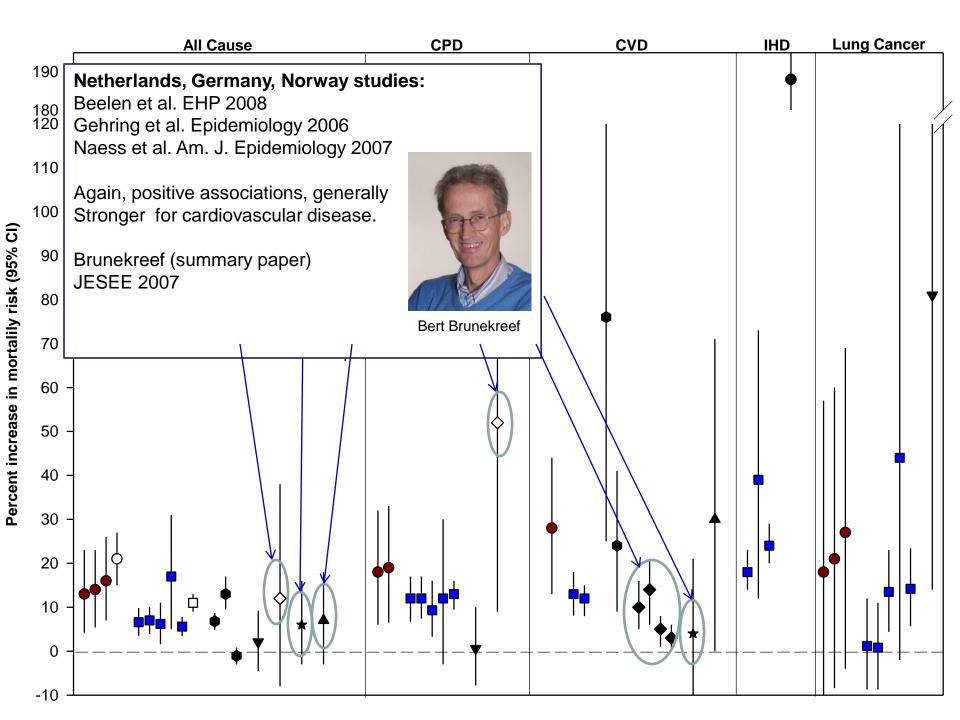
Other cohort studies have shown associations between exposure to fine PM and increased risk of cardiovascular death.



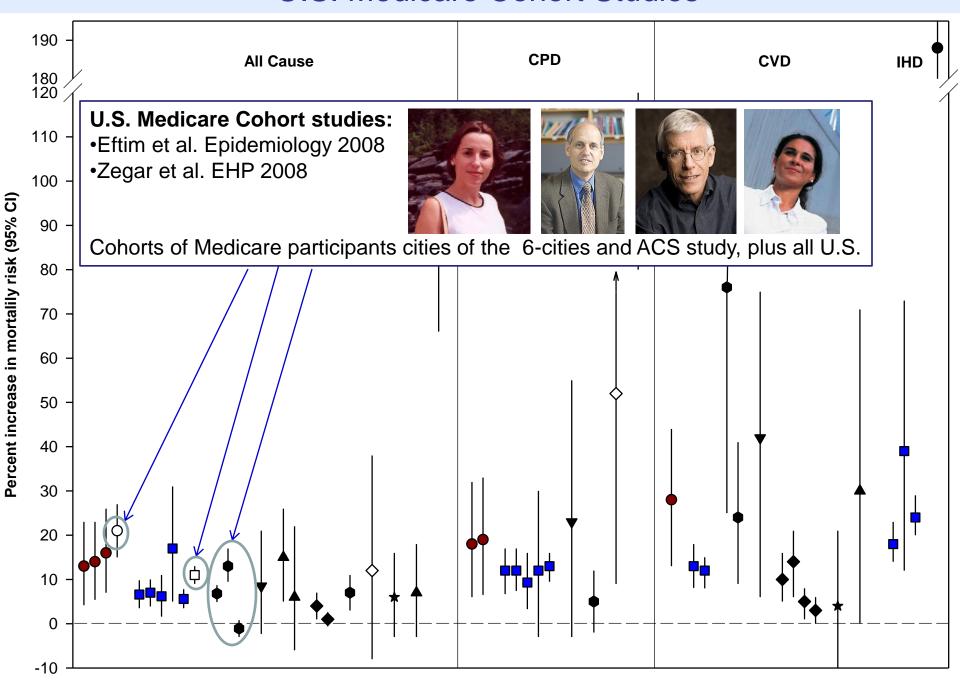
Women's Health Initiative Study







U.S. Medicare Cohort Studies



This presentation not organized chronologically, but methodologically

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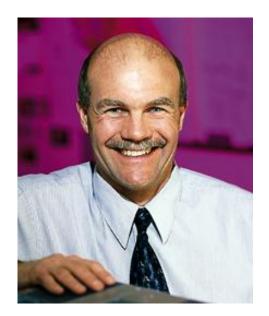
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Southern California Children's Health Study

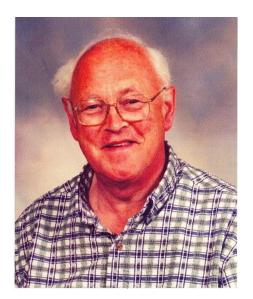
Effects of air pollution on children's health, especially lung function growth.



W. James Gauderman



John Peters

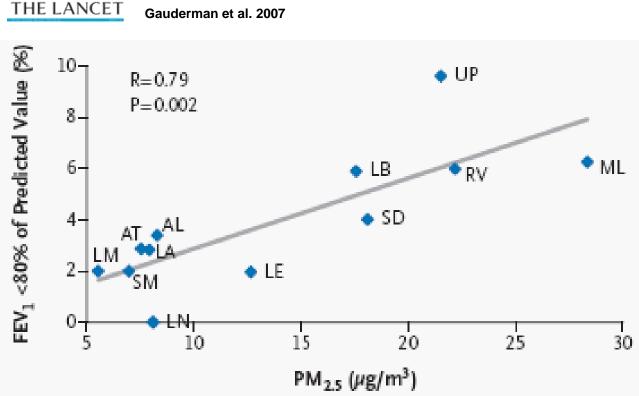


David Bates, Advisor

Southern California Children's Health Study, has shown that air pollution impacts lung development in children.







Children living in cities with higher air pollution and living near major traffic sources showed greater deficits in lung function growth.

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Fine-Particulate Air Pollution and Life Expectancy in the United States

C. Arden Pope, III, Ph.D., Majid Ezzati, Ph.D., and Douglas W. Dockery, Sc.D.

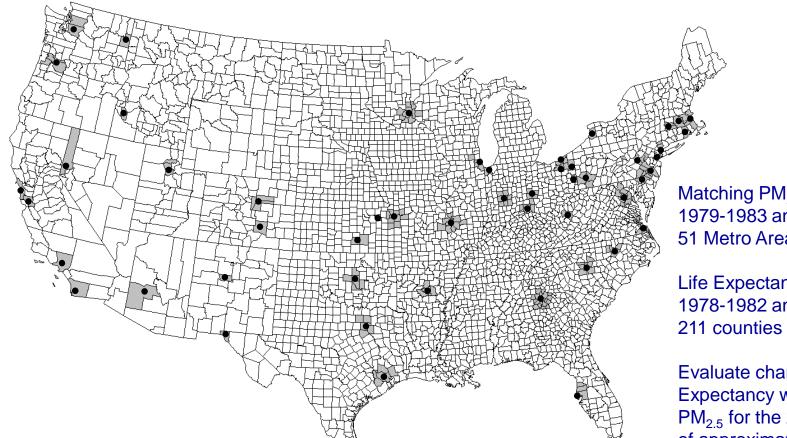
January 22, 2009





Majid Ezzati

Doug Dockery



Matching PM_{2.5} data for 1979-1983 and 1999-2000 in 51 Metro Areas

Life Expectancy data for 1978-1982 and 1997-2001 in 211 counties in 51 Metro areas

Evaluate changes in Life Expectancy with changes in PM_{2.5} for the 2-decade period of approximately 1980-2000.

Covariates included in the regression models

Changes in socio-economic and demographic variables (from U.S. Census Data):

- ➤ Per capita income
- **≻**Population
- ➤5-yr in-migration
- ➤ High-school graduates
- ➤ Urban population
- ➤ Black proportion of population
- ➤ Hispanic proportion of population

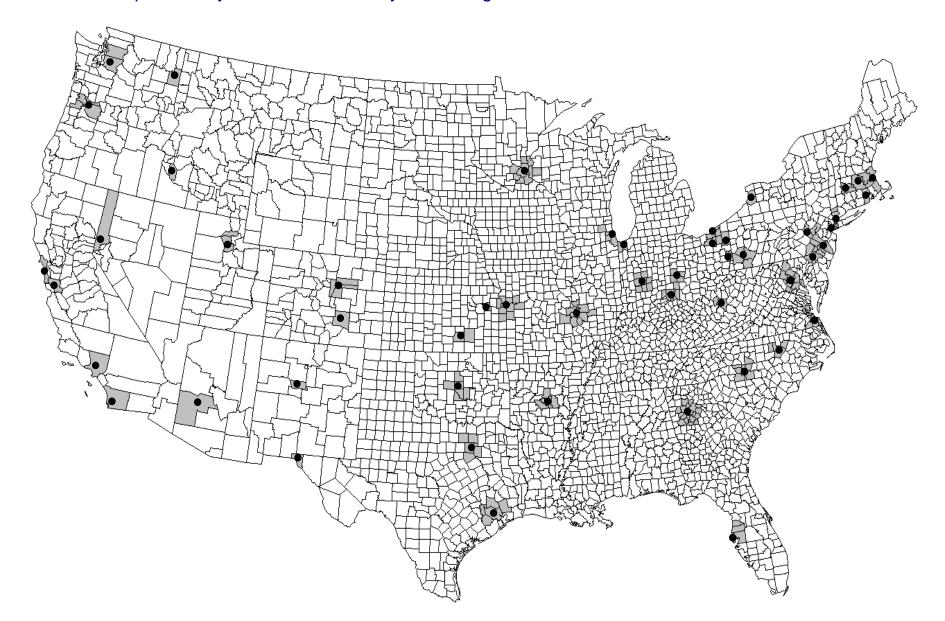
Proxy cigarette smoking variables—available for all 211 counties

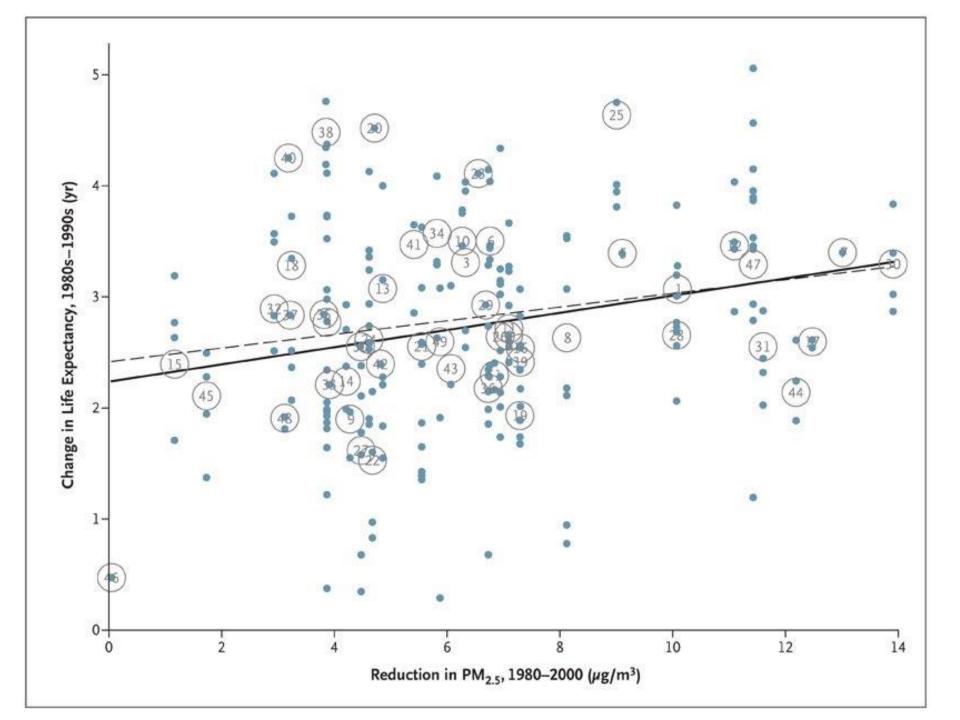
- ➤ COPD mortality rates
- ➤ Lung Cancer mortality rates

Survey-based metro-area estimates of smoking prevalence

- National Health Interview Survey (1978-1980)
- ■Behavioral Risk Factor Surveillance System (1998-2000)
- •Matching data available for only 24 of 51 metro areas

Clustered standard errors (clustered by the 51 metro areas) were estimated for all models except for analysis that included only the 51 largest counties in each metro area.

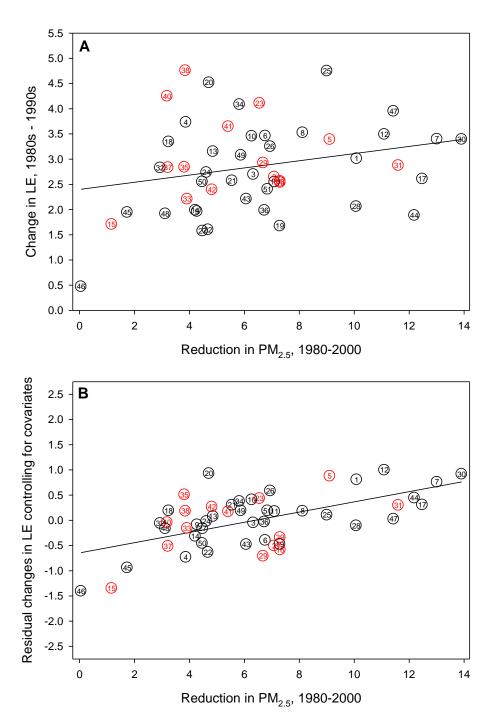




A 10 μ g/m³ decrease in PM_{2.5} was associated with a 7.3 (± 2.4) month increase in life expectancy.

Variable	Model 1	Model 2	Model 3	Model 4	Model 5†	Model 6‡	Model 7‡
				years			
Intercept	2.25±0.21§	0.80±0.19§	1.78±0.27§	1.75±0.27§	2.02±0.34§	1.71±0.51§	2.09±0.36
Reduction in PM _{2.5} (10 µg/m³)	0.72±0.29¶	0.83±0.20§	0.60±0.20§	0.61±0.20§	0.55±0.24¶	1.01±0.25§	0.95±0.239
Change in income (in thousands of \$)	_	0.17±0.02§	0.13±0.02§	0.13±0.01§	0.11±0.02§	0.15±0.04§	0.11±0.02
Change in population (in hundreds of thousands)	_	0.08±0.02§	0.05±0.02§	0.06±0.02§	0.05±0.02§	0.04±0.02	0.05±0.02
Change in 5-yr in-migration (proportion of population) **	_	0.19±0.79	1.28±0.80	_	_	-0.02±1.83	_
Change in high-school graduates (proportion of population)	_	0.17±0.56	-0.11±0.53	_	_	-0.90±0.86	_
Change in urban residence (proportion of population)	_	-0/6±0.32¶	-0.40±0.25	_	_	0.03±1.88	_
Change in black population (proportion of population) ††	_	-1.94±0.58§	-2.74±0.58§	-2.70±0.64§	-2.95±0.78§	-5.06±2.12§	-5.98±1.99
Change in Hispanic population (proportion of population) ††	- /	1.46±1.23	1.33±1.10	_	_	2.44±2.22	_
Change in lung-cancer mortality rate (no./10,000 population)	- /	_	-0.07±0.02§	-0.06±0.02§	-0.07±0.03¶	0.01±0.03	0.02±0.03
Change in COPD mortality rate (no./10,000 population)	_/	-	-0.07±0.02§	-0.08±0.02§	-0.09±0.03§	-0.15±0.06§	-0.19±0.05
No. of county units	2/1	211	211	211	127	51	51
R ² ++	0.05	0.47	0.55	0.53	0.60	0.76	0.74

This increase in life expectancy persisted even after controlling for socio-economic, demographic, or smoking variables



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- Cohort- and panel-based morbidity
- Case-control studies

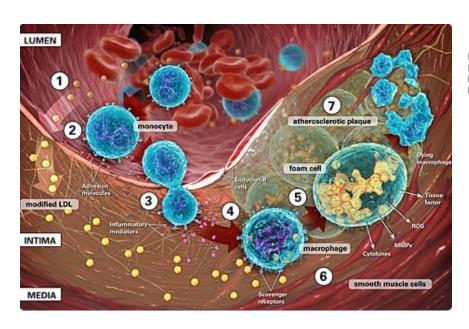
Intervention/natural experiment (months-years)

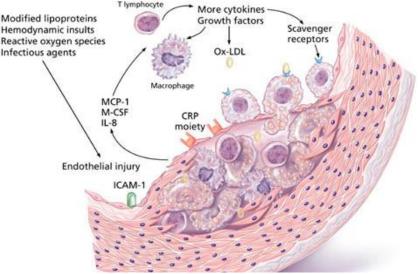
Controlled experimental human and animal

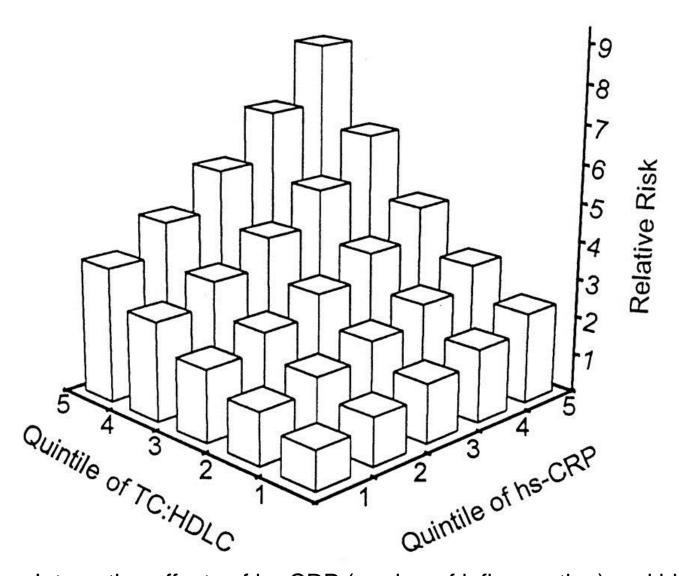
Cardiovascular disease as part of chronic and acute inflammatory processes.

By the early 2000s, there was increasingly compelling evidence that inflammation is a major accomplice with LDL cholesterol in the initiation and progression of atherosclerosis.

Furthermore, inflammation contributes to acute thrombotic complications of atherosclerosis, increasing the risk of making atherosclerotic plaques more vulnerable to rupture, clotting, and precipitating acute cardiovascular or cerebrovascular events (MI or ischemic stroke).









Interactive effects of hs-CRP (marker of inflammation) and blood lipids.

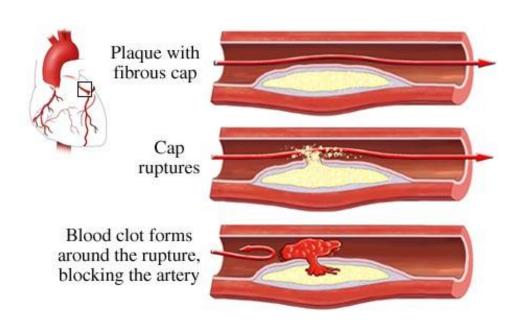


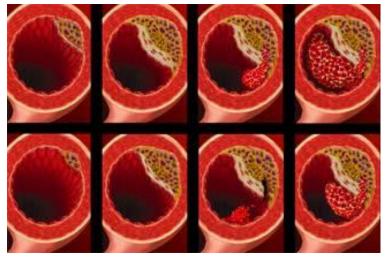
Fine Particulate exposure

Pulmonary and systemic inflammation and oxidative stress

(along with blood lipids)

Progression and destabilization of atherosclerotic plaques







Experimental evidence of biological effects of PM extracted from filters (Ghio, Costa, Devlin, Kennedy, Frampton, Dye, et al. 1998-2004)

- Acute airway injury and inflammation in rats and humans
- In vitro oxidative stress and release of proinflammatory mediators by cultured respiratory epithelial cells
- Differential toxicities of PM when the mill was operating versus when it was not (metals content and mixtures?)

A series of studies by van Eeden, Hogg, Suwa et al. (1997-2002) suggest:

PM exposure

Pulmonary inflammation

Systemic inflammatory responses (including release of inflammatory mediators, bone marrow stimulation and release of leukocytes and platelets)

Progression and destabilization of atherosclerotic plaques

In rabbits naturally prone to develop atherosclerosis they found that:

PM exposure

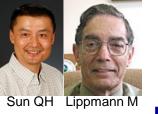
Accelerated progression of atherosclerotic plaques with greater vulnerability to plaque rupture



Stephan van Eeden



James Hogg



Sun et al. (*JAMA* 2005)

Representative Photomicrographs



of Aortic Arch Sections

High-Fat Chow



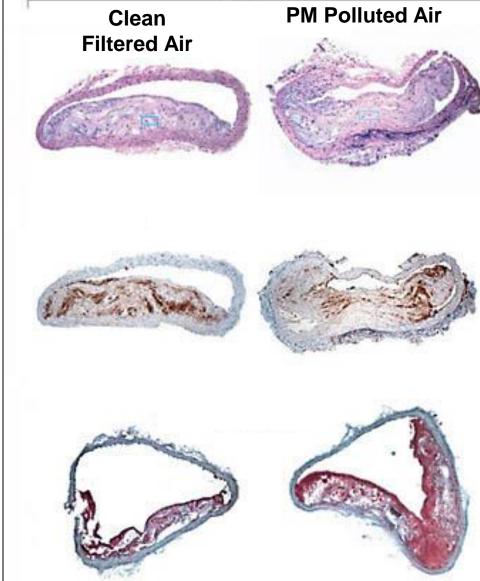


Table 1. Stylized outline	Common Statistical						
General Study Designs	Examples	Time-scale	Selected Sample of Effects of Elevate	d E xpos ure	Modeling Approaches		
Episode	Meuse Valley 1930 ⁷³ Donora 1948 ⁷⁴ London 1952 ^{75,76}	respiratory illness and death		e and	Simple Comparative Stats, Graphs		
Population-based time-series	Meta analysis ²⁷ Large multi-city mortality ²⁸⁻³¹ Large multi-city hospitalizations ⁷⁷	nulti-city mortality ²⁸⁻³¹ mortality and hospitalization co		iratory	Poisson reg., (GAMs, smooths for time, weather etc.)		
Panel-based acute exposure	Review resp. effects ¹⁹ Review CVD effects ⁵⁴ HRV/Inflammation ^{78,79}	Hours-days	↑ respiratory symptoms ↓ pulmonary function ↑ markers of inflammation L beart rate variability	1	Linear and Logistic Reg., (fixed effects, temporal autocorr., etc.)		
Case-crossover	Multi-c Many stu	dies using	various	ar disease	Conditional Logistic Reg.		
Population-based cross-sectional	us.m study des	signs and a	approaches		Linear regression		
Cohort-based mortality	Americ Wome Wedica	with companion statistical					
Cohort- and panel-based morbidity	Harvar So. Cal Athero	Various regression modeling strategies (fixed effects, mixed models)					
Case-control studies	Czech Lung C AMI tr. Italy DVT94	ly coherer	nt evidence.		Conditional Logistic Reg.		
Intervention/natural experiment	Utah Valley, steel mill ¹⁸ Dublin coal ban ⁶⁵ Hong Kong Sulfur ⁶⁶ Copper smelter strike ⁶⁴ Cook stove intervention ⁹⁵ U.S. Life Expectancy ⁶⁷	ley, steel mill ¹⁸ Months to years Various intervention-related improvements in morbidity, mortality and/or life expectancy and Sulfur ⁶⁶ smelter strike ⁶⁴ ve intervention ⁹⁵		Various comparative stats and regression models			
Controlled experimental human studies and animal toxicology	Human instillation ⁹⁶ Human chamber ^{97,98} Tox, rabbits ⁹⁹ Tox, hamsters ^{100,101} Tox, dogs ¹⁰² lox, mice ¹⁰³⁻¹⁰⁵	man chamber ^{97,98} cardiopulmonary health effects of air pollution , rabbits ⁹⁹ , hamsters ^{100,101} , dogs ¹⁰²		Various comparative stats and regression models			

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Table 6. Overall Summary of Epidemiological Evidence of the Cardiovascular Effects of PM_{2.5}, Traffic-Related, or Combustion-Related Air Pollution Exposure at Ambient Levels

Health Outcomes	Short-Term Exposure (Days)	Longer-Term Exposure (Months to Years)
Clinical cardiovascular end points from epidemiological studies at ambient pollution concentrations		
Cardiovascular mortality	$\uparrow \uparrow \uparrow$	$\uparrow\uparrow\uparrow$
Cardiovascular hospitalizations	$\uparrow\uparrow\uparrow$	↑
Ischemic heart disease*	$\uparrow\uparrow\uparrow$	$\uparrow\uparrow\uparrow$
Heart failure*	↑ ↑	↑
Ischemic stroke*	↑ ↑	↑
Vascular diseases	1	↑†
Cardiac arrhythmia/cardiac arrest	1	↑
Subclinical cardiovascular end points and/or surrogate measures in human studies		
Surrogate markers of atherosclerosis	N/A	1
Systemic inflammation	↑ ↑	↑
Systemic oxidative stress	↑	
Endothelial cell activation/ blood coagulation	↑ ↑	1
Vascular/endothelial dysfunction	↑ ↑	
BP	↑ ↑	
Altered HRV	$\uparrow \uparrow \uparrow$	↑
Cardiac ischemia	↑	
Arrhythmias	●↑	

Table 7. Summary of Level of Evidence Supporting Global Biological Pathways and Specific Mechanisms Whereby PM_{2.5}, Traffic-Related, or Combustion-Related Air Pollution Exposure Can Affect the Cardiovascular System

	Animal Studies	Human Studies
General "intermediary" pathways whereby PM inhalation can instigate extrapulmonary effects on the cardiovascular system		
Pathway 1: Instigation of systemic proinflammatory responses	$\uparrow\uparrow\uparrow$	$\uparrow\uparrow\uparrow$
Pathway 2: Alterations in systemic ANS balance/activity	1	1 1
Pathway 3: PM and/or associated constituents directly reaching the systemic circulation	1	1
Specific biological mechanisms directly responsible for triggering cardiovascular events		
Vascular dysfunction or vasoconstriction	$\uparrow\uparrow\uparrow$	1 1
Enhanced thrombosis or coagulation potential	1 1	1 1
Elevated arterial BP	↑ ↑	↑ ↑
Enhanced atherosclerosis or plaque vulnerability	nn Heart	1
Arrhythmias	sociation.	↑

This presentation not organized chronologically, but methodologically

Studies of short-term exposure (hours-days)

- Episode
- Population-based daily time-series
- Panel-based acute exposure
- Case-crossover

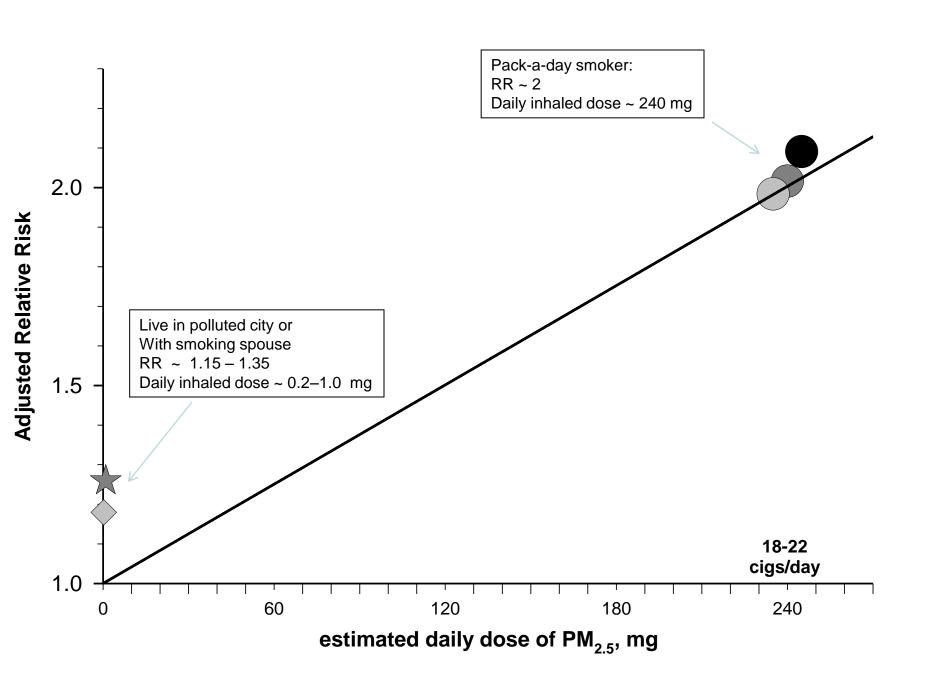
Studies of long-term exposure (years-decades)

- Population-based cross-sectional
- Cohort-based mortality
- Cohort- and panel-based morbidity
- Case-control studies

Intervention/natural experiment (months-years)

Controlled experimental human and animal

Any Questions?



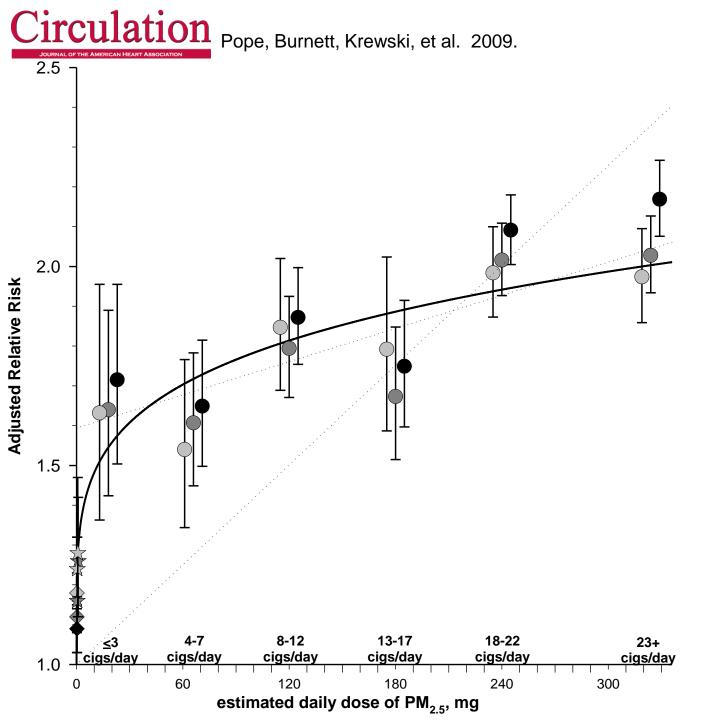


Figure 1. Adjusted relative risks (and 95% CIs) of IHD (light gray), CVD (dark gray), and CPD (black) mortality plotted over estimated daily dose of PM_{2.5} from different increments of current cigarette smoking. Diamonds represent comparable mortality risk estimates for PM_{2.5} from air pollution. Stars represent comparable pooled relative risk estimates associated with SHS exposure from the 2006 Surgeon General's report and from the INTERHEART study.

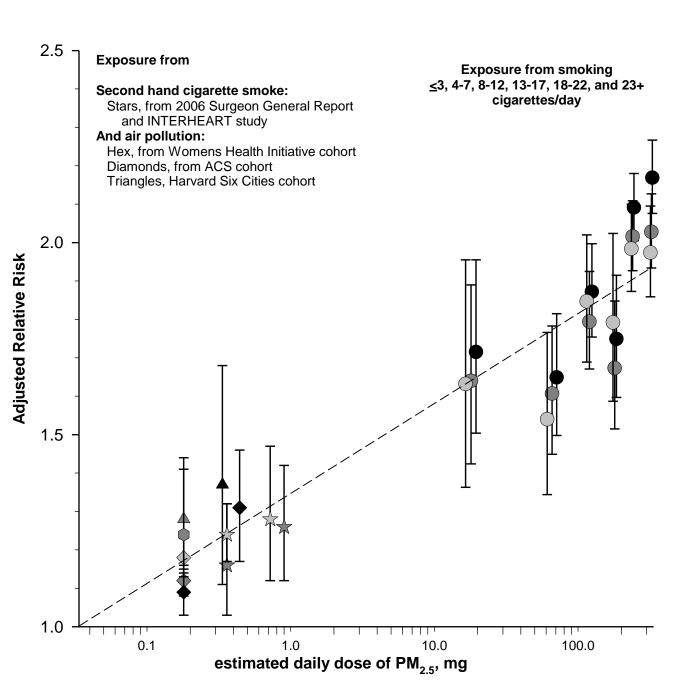


Figure 2. Adjusted relative risks (and 95% CIs) of ischemic heart disease (light gray), cardiovascular (dark gray), and cardiopulmonary (black) mortality plotted over baseline estimated daily dose (using a log scale) of PM_{2.5} from current cigarette smoking (relative to never smokers), SHS, and air pollution.