

While we have taken steps to ensure the accuracy of this Internet version of the document, it is not the official version. To see a complete version including any recent edits, visit: <https://www.ecfr.gov/cgi-bin/ECFR?page=browse> and search under Title 40, Protection of Environment.

PERFORMANCE SPECIFICATION 4B—SPECIFICATIONS AND TEST PROCEDURES FOR CARBON MONOXIDE AND OXYGEN CONTINUOUS MONITORING SYSTEMS IN STATIONARY SOURCES

a. Applicability and Principle

1.1 Applicability. a. This specification is to be used for evaluating the acceptability of carbon monoxide (CO) and oxygen (O₂) continuous emission monitoring systems (CEMS) at the time of or soon after installation and whenever specified in the regulations. The CEMS may include, for certain stationary sources, (a) flow monitoring equipment to allow measurement of the dry volume of stack effluent sampled, and (b) an automatic sampling system.

b. This specification is not designed to evaluate the installed CEMS' performance over an extended period of time nor does it identify specific calibration techniques and auxiliary procedures to assess the CEMS' performance. The source owner or operator, however, is responsible to properly calibrate, maintain, and operate the CEMS. To evaluate the CEMS' performance, the Administrator may require, under section 114 of the Act, the operator to conduct CEMS performance evaluations at times other than the initial test.

c. The definitions, installation and measurement location specifications, test procedures, data reduction procedures, reporting requirements, and bibliography are the same as in PS 3 (for O₂) and PS 4A (for CO) except as otherwise noted below.

1.2 Principle. Installation and measurement location specifications, performance specifications, test procedures, and data reduction procedures are included in this specification. Reference method tests, calibration error tests, calibration drift tests, and interferant tests are conducted to determine conformance of the CEMS with the specification.

b. Definitions

2.1 *Continuous Emission Monitoring System (CEMS)*. This definition is the same as PS 2 section 2.1 with the following addition. A continuous monitor is one in which the sample to be analyzed passes the measurement section of the analyzer without interruption.

2.2 *Response Time*. The time interval between the start of a step change in the system input and when the pollutant analyzer output reaches 95 percent of the final value.

2.3 *Calibration Error (CE)*. The difference between the concentration indicated by the CEMS and the known concentration generated by a calibration source when the entire CEMS, including the sampling interface is challenged. A CE test procedure is performed to document the accuracy and linearity of the CEMS over the entire measurement range.

3. Installation and Measurement Location Specifications

3.1 *The CEMS Installation and Measurement Location.* This specification is the same as PS 2 section 3.1 with the following additions. Both the CO and O₂ monitors should be installed at the same general location. If this is not possible, they may be installed at different locations if the effluent gases at both sample locations are not stratified and there is no in-leakage of air between sampling locations.

3.1.1 *Measurement Location.* Same as PS 2 section 3.1.1.

3.1.2 *Point CEMS.* The measurement point should be within or centrally located over the centroidal area of the stack or duct cross section.

3.1.3 *Path CEMS.* The effective measurement path should: (1) Have at least 70 percent of the path within the inner 50 percent of the stack or duct cross sectional area, or (2) be centrally located over any part of the centroidal area.

3.2 *Reference Method (RM) Measurement Location and Traverse Points.* This specification is the same as PS 2 section 3.2 with the following additions. When pollutant concentration changes are due solely to diluent leakage and CO and O₂ are simultaneously measured at the same location, one half diameter may be used in place of two equivalent diameters.

3.3 *Stratification Test Procedure.* Stratification is defined as the difference in excess of 10 percent between the average concentration in the duct or stack and the concentration at any point more than 1.0 meter from the duct or stack wall. To determine whether effluent stratification exists, a dual probe system should be used to determine the average effluent concentration while measurements at each traverse point are being made. One probe, located at the stack or duct centroid, is used as a stationary reference point to indicate change in the effluent concentration over time. The second probe is used for sampling at the traverse points specified in Method 1 (40 CFR part 60 appendix A). The monitoring system samples sequentially at the reference and traverse points throughout the testing period for five minutes at each point.

d. Performance and Equipment Specifications

4.1 *Data Recorder Scale.* For O₂, same as specified in PS 3, except that the span must be 25 percent. The span of the O₂ may be higher if the O₂ concentration at the sampling point can be greater than 25 percent. For CO, same as specified in PS 4A, except that the low-range span must be 200 ppm and the high range span must be 3000 ppm. In addition, the scale for both CEMS must record all readings within a measurement range with a resolution of 0.5 percent.

4.2 *Calibration Drift.* For O₂, same as specified in PS 3. For CO, the same as specified in PS 4A except that the CEMS calibration must not drift from the reference value of the calibration standard by more than 3 percent of the span value on either the high or low range.

4.3 *Relative Accuracy (RA).* For O₂, same as specified in PS 3. For CO, the same as specified in PS 4A.

4.4 Calibration Error (CE). The mean difference between the CEMS and reference values at all three test points (see Table I) must be no greater than 5 percent of span value for CO monitors and 0.5 percent for O₂ monitors.

4.5 Response Time. The response time for the CO or O₂ monitor must not exceed 240 seconds.

e. Performance Specification Test Procedure

5.1 Calibration Error Test and Response Time Test Periods. Conduct the CE and response time tests during the CD test period.

F. The CEMS Calibration Drift and Response Time Test Procedures

The response time test procedure is given in PS 4A, and must be carried out for both the CO and O₂ monitors.

7. Relative Accuracy and Calibration Error Test Procedures

7.1 Calibration Error Test Procedure. Challenge each monitor (both low and high range CO and O₂) with zero gas and EPA Protocol 1 cylinder gases at three measurement points within the ranges specified in Table I.

TABLE I. CALIBRATION ERROR CONCENTRATION RANGES

Measurement point	CO Low range (ppm)	CO High range (ppm)	O ₂ (%)
1	0-40	0-600	0-2
2	60-80	900-1200	8-10
3	140-160	2100-2400	14-16

Operate each monitor in its normal sampling mode as nearly as possible. The calibration gas must be injected into the sample system as close to the sampling probe outlet as practical and should pass through all CEMS components used during normal sampling. Challenge the CEMS three non-consecutive times at each measurement point and record the responses. The duration of each gas injection should be sufficient to ensure that the CEMS surfaces are conditioned.

7.1.1 Calculations. Summarize the results on a data sheet. Average the differences between the instrument response and the certified cylinder gas value for each gas. Calculate the CE results for the CO monitor according to:

$$CE = |d/FS| \times 100 \quad (1)$$

Where d is the mean difference between the CEMS response and the known reference concentration, and FS is the span value. The CE for the O₂ monitor is the average percent O₂ difference between the O₂ monitor and the certified cylinder gas value for each gas.

7.2 Relative Accuracy Test Procedure. Follow the RA test procedures in PS 3 (for O₂) section 3 and PS 4A (for CO) section 4.

7.3 Alternative RA Procedure. Under some operating conditions, it may not be possible to obtain meaningful results using the RA test procedure. This includes conditions where consistent, very low CO emission or low CO emissions interrupted periodically by short duration, high level spikes are observed. It may be appropriate in these circumstances to waive the RA test and substitute the following procedure.

Conduct a complete CEMS status check following the manufacturer's written instructions. The check should include operation of the light source, signal receiver, timing mechanism functions, data acquisition and data reduction functions, data recorders, mechanically operated functions, sample filters, sample line heaters, moisture traps, and other related functions of the CEMS, as applicable. All parts of the CEMS must be functioning properly before the RA requirement can be waived. The instrument must also successfully passed the CE and CD specifications. Substitution of the alternate procedure requires approval of the Regional Administrator.

8. Bibliography

1. 40 CFR Part 266, Appendix IX, section 2, "Performance Specifications for Continuous Emission Monitoring Systems."