



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
WASHINGTON, D.C. 20460

05 January 2021

Office of Chemical Safety  
and Pollution Prevention

**THIS LETTER MAY CONTAIN INFORMATION CLAIMED AS CONFIDENTIAL  
BUSINESS INFORMATION (CBI) AND SHOULD BE HANDLED IN ACCORDANCE  
WITH APPROPRIATE CBI PROCEDURES**

Katherine Vega  
DSM BioProducts & Services  
45 Waterview Blvd  
Parsippany, New Jersey 07054

J-20-0005

Dear Katherine Vega:

This letter responds to the above-referenced Microbial Commercial Activity Notice (MCAN), received by the Environmental Protection Agency (EPA) on October 16, 2020. The MCAN described the microorganism generically as *Saccharomyces cerevisiae* modified.

EPA has determined in accordance with section 5(a)(3)(C) that this MCAN microorganism is unlikely to present an unreasonable risk of injury to health or the environment under the conditions of use. Attached to this letter is a summary of the basis of EPA's determination.

Under TSCA section 5(g), you may begin manufacture of this MCAN microorganism immediately and do not need to wait for the 90-day review period to expire.

Please note that 40 CFR 720.102 requires you, within the first 30 days of commencement of manufacture (which includes import) of an MCAN microorganism, to submit a Notice

of Commencement (NOC) to EPA. If you wish the chemical identity to be listed on the Confidential Inventory, any prior claim of confidentiality must be reasserted and substantiated in accordance with 40 CFR 720.85(b) and 720.90(b).

Because you submitted your MCAN to EPA after the effective date of the electronic-PMN ("eTSCA/ePMN") final rule (75 FR 773), you must use the eTSCA/ePMN software to submit your NOCs. After April 6, 2012, all submissions are required to be submitted electronically via the internet using the Central Data Exchange (CDX). Please see [www.epa.gov/reviewing-new-chemicals-under-toxic-substances-control-act-tsca/how-submit-e-pmn](http://www.epa.gov/reviewing-new-chemicals-under-toxic-substances-control-act-tsca/how-submit-e-pmn) for more information on the e-PMN software and directions on how to register and submit notices via CDX.

**Number: J-20-0005**

**TSCA Section 5(a)(3) Determination:** The microorganism is not likely to present an unreasonable risk (5(a)(3)(C))

**Chemical Name:**

Specific: [*Saccharomyces cerevisiae* YD71613]

Generic: *Saccharomyces cerevisiae* modified

**Conditions of Use (intended, known, or reasonably foreseen)[1]:**

Intended use(s) (specific): Manufacture for use in [ethanol production from the fermentation of C-5 and C-6 sugars], consistent with the manufacturing, processing, use, distribution, and disposal information described in the MCAN.

Intended use(s) (generic): Manufacture for use in ethanol production, consistent with the manufacturing, processing, use, distribution, and disposal information described in the MCAN.

Known conditions of use: Applying such factors as described in footnote 1, EPA evaluated whether there are known conditions of use and found none.

Reasonably foreseen conditions of use(s): Applying such factors as described in footnote 1, EPA evaluated whether there are reasonably foreseen conditions of use and found none.

**Summary:** The microorganism is not likely to present an unreasonable risk based on low human health hazard and low environmental hazard associated with the recipient microorganism and introduced genetic material. *S. cerevisiae* is not pathogenic to humans or animals and has an extensive history of safe use in food processing. The introduced genetic modifications pose low concern for health and environmental hazard and do not include antibiotic resistance markers.

**Human Health Hazard[2]:** Human health hazard is relevant to whether a new microorganism is likely to present an unreasonable risk because the significance of the risk is dependent upon both the hazard (e.g., pathogenicity/toxicity) of the microorganism and the extent of exposure to the microorganism. EPA estimated the human health hazard of this microorganism based on data for the recipient parental strain as well as the genetic modifications. There is low concern for human health hazard for the microorganism based on the recipient strain not being a human pathogen and the introduced genetic material encoding common enzymes found in many microorganisms.

**Environmental Hazard[3]:** Environmental hazard is relevant to whether a new microorganism is likely to present unreasonable risks because the significance of the risk is dependent upon both the hazard (e.g., pathogenicity/toxicity) of the microorganism and the extent of exposure to the microorganism. EPA estimated the environmental hazard of this microorganism based on data for the recipient parental strain as well as information on the genetic modifications. There is low concern for environmental hazard for the microorganism based on the recipient strain not being an animal or plant pathogen and the introduced genetic material encoding for common enzymes found in many microorganisms.

**Exposure and Risk Characterization:** The exposure to a new microorganism is potentially relevant to whether a new microorganism is likely to present unreasonable risks because the significance of the risk is dependent upon both the hazard (e.g., pathogenicity/toxicity) of the microorganism and the nature and extent of exposure to the substance.

EPA considers workers to be a potentially exposed or susceptible subpopulation (PESS) on the basis of greater exposure potential compared to the general population. EPA also considers PESS in conducting general population drinking water exposures by evaluating risks associated with water intake rates for multiple age groups, ranging from infants to adults. EPA considers consumers of specific products to be a potentially exposed or susceptible subpopulation on the basis of greater exposure potential compared to the general population who do not use specific products.

EPA did not estimate the occupational or environmental exposures because EPA determined that the microorganism presents both low human health hazard and low environmental hazard. No consumer use was identified, so risks to consumers were not assessed.

Due to low hazard, EPA believes that this microorganism would be not likely to present an unreasonable risk even if exposures were high. Therefore, EPA concludes that the new microorganism is not likely to present unreasonable risk under the conditions of use.

Madison H. Le, Director

New Chemicals Division

Office of Pollution Prevention and Toxics

U.S. Environmental Protection Agency

[1] Under TSCA § 3(4), the term "conditions of use" means "the circumstances, as determined by the Administrator, under which a chemical substance (including an intergeneric microorganism) is intended, known, or reasonably foreseen to be manufactured, processed, distributed in commerce, used, or disposed of." In general, EPA considers the intended conditions of use of a new chemical substance to be those identified in the section 5(a) notification. Known conditions of use include activities within the United States that result from manufacture that is exempt from MCAN submission requirements. Reasonably foreseen conditions of use are future circumstances, distinct from known or intended conditions of use, under which the Administrator expects the MCAN microorganism to be manufactured, processed, distributed, used, or disposed of. The identification of "reasonably foreseen" conditions of use will necessarily be a case-by-case determination and will be highly fact-specific. Reasonably foreseen conditions of use will not be based on hypotheticals or conjecture. Accordingly, EPA will apply its professional judgment, experience, and discretion when considering such factors as evidence of current use of the new microorganism outside the United States, evidence that the MCAN microorganism is sufficiently likely to be used for the same purposes as existing microorganisms that are similar, and conditions of use identified in an initial MCAN submission that the submitter omits in a revised MCAN. The sources EPA uses to identify reasonably foreseen conditions of use include searches of internal confidential EPA MCAN databases (containing use information on analogous microorganisms), other U.S. government public sources, and Internet searches.

[2] A microorganism is considered to have low human health hazard if it is not known to be a frank human pathogen that causes disease in healthy adults, and/or animal studies have demonstrated a lack of pathogenicity or toxicity; a microorganism is considered to have high human health hazard if there is evidence of adverse effects in humans or conclusive evidence of severe effects in animal studies. In the absence of animal data on a microorganism, EPA may use other data or information obtained through literature searches.

[3] A microorganism is considered to be of low ecological hazard if it is not known to be an animal or plant pathogen, and the genetic modifications do not impart pathogenic or toxigenic traits, and the introduced genetic material does not provide a selective growth advantage in outcompeting indigenous microbial communities in the environment.