# Identifying and Characterizing a Categorical Industrial User

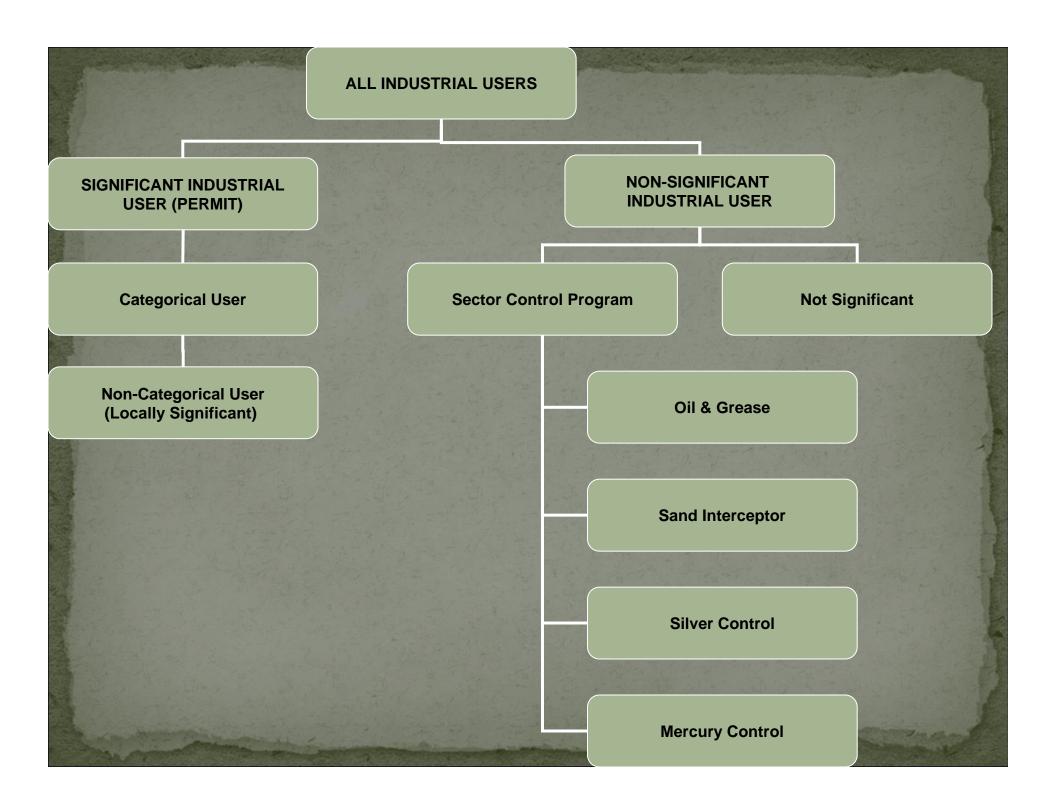
Metal Finishing – 40 CFR 433 CWT – 40 CFR 437

Al Garcia
EPA Pretreatment Workshop
Cody, WY
May 13, 2009

## Characterizing Industrial Users

Based on information and data gathered from:

- Industrial Waste Survey
- Facility Inspection
- Follow Up Activities
- Notification of IUs of applicable Pretreatment Standards or Requirements



## Useful Tools for Characterizing CIUs

- Code of Federal Regulations
  - Metal Finishing 40 CFR 433
  - Centralized Waste Treatment 40 CFR 437
- http://www.epa.gov/waterscience/guide/industry.html
- Development Documents
- Preambles to proposed and final rulemaking
- Rulemaking response to comments
- Guidance Manuals
- Peer programs
- State and EPA Pretreatment Coordinators

## Permitting Process

Statement of Basis

- Characterization of facility
  - Raw materials and storage
  - Process Use
  - Wastestreams Generation and Management
- Categorical Determination
- Permit Conditions and Limitations
  - Federal Regulations
  - Local Authority

# Metal Finishing Categorical Regulations

40 CFR 433

- 6 core processes
  - Electroplating
  - Electroless Plating
  - Etching and Chemical Milling
  - Anodizing
  - Coating (chromating, phosphating, passivation, metal coloring)
  - Circuit Board MFG
- 40 ancillary operations

## 40 MF Ancillary Operations

- Cleaning,
- Machining,
- Grinding,
- Polishing,
- Tumbling,
- Burnishing,
- Impact Deformation,
- Pressure Deformation,
- Shearing,
- Heat Treating,
- Thermal Cutting,
- Welding,
- Brazing, Soldering,
- Flame Spraying,
- Sand Blasting,
- Other Abrasive Jet Machining,
- Electric Discharge Machining,
- Electrochemical Machining,
- Electron Beam Machining,

- Laser Beam Machining,
- Plasma Arc Machining,
- Ultrasonic Machining,
- Sintering, Laminating,
- Hot Dip Coating,
- Sputtering,
- Vapor Plating,
- Thermal Infusion,
- Salt Bath Descaling,
- Solvent Degreasing,
- Paint Stripping,
- Painting,
- Electrostatic Painting,
- Electropainting,
- Vacuum Metalizing,
- Assembly,
- Calibration,
- Testing, and
- Mechanical Plating.

## Exemptions from MF Regulation

- Metallic plate making and gravure cylinder preparation conducted within or for printing and publishing facilities; and
- Existing indirect discharging job shops and independent printed circuit board manufacturers which are covered by 40 CFR part 413.
- Aug 31, 1982

### MF Pollutants of Concern

- Heavy Metals
- pH
- Total Toxic Organics
  - Volatile and Semi-volatile Organics
- Cyanide (total and amenable)

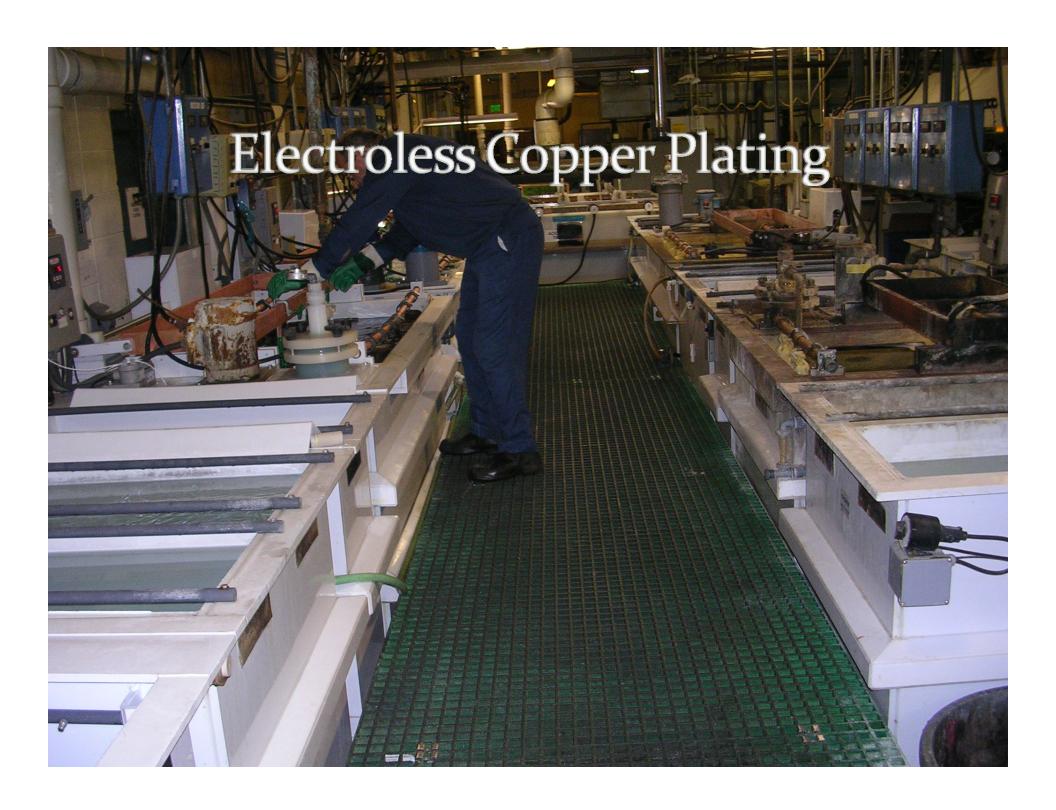
## Potential Facilities

- Machine Shops
- MFG facilities with painting operations
- Circuit Board Assembly and MFG
- Auto Dealerships
- Jewelry stores

# Metal Finishing v Electroplating

Basis	Metal Finishing	Electroplating
Regulation	40 CFR 433	40 CFR 413
Applicability	<ul> <li>Captive Shop MF</li> <li>New Source Job Shops</li> <li>August 31, 1982 –job shop platers and independent Circuit Board MFG</li> </ul>	8 subparts
Limits	<ul><li>To metals</li><li>Cyanide</li><li>TO (TOMP)</li><li>pH</li></ul>	<ul> <li>•6 metals</li> <li>•Total metals (Cu, Ni, Cr, Zn)</li> <li>•Cyanide</li> <li>•pH</li> <li>•TTO (TOMP)</li> </ul>
Long Term Average	Monthly	4-day

















#### **EPA Documents**

- Metal Finishing Effluent Guidelines Home Page http://www.epa.gov/waterscience/guide/metalfinishing
- Guidance Manual Electroplating and MF

http://www.epa.gov/waterscience/guide/electroplating/files/guidance.pdf

• Development Document

http://www.epa.gov/waterscience/guide/electroplating/files/guidance.pdf

## Zero Discharge CIUs

- Categorical defined processes
  - Wastewater generation
- Wastewater Management
- Notification
- Certification
- Enforcement of permit violations

#### 40 CFR 437

- Does the discharging facility accept wastes, wastewater, or used materials from off-site for treatment and/or recovery?
- Is the activity appropriately considered treatment and recovery?
- Are the off-site wastes generated from industrial applications?
- Are the types of pollutant removed and the treatment technologies employed similar to those evaluated during development of the CWT rule?
- Are resulting wastewaters discharged directly to waters of the U.S. or indirectly to a POTW?

## Centralized Waste Treatment Facility

A facility that accepts for treatment (for disposal, recycling or recovery of material) any hazardous or non-hazardous industrial wastes, hazardous or non-hazardous industrial wastewater, and/or used material received from off-site.

Regulated Wastewaters

Materials received from off-site (waste receipts) and wastewater generated from CWT operations

Effluent Limitations and standards developed for the CWT operations depending on type of wastewater received (4 Subparts)

- Metals
- Oily
- Organic
- Mixed

- Categorical Limitations developed based on specific technologies.
- No specific treatment technology required
- Exception: Mixed Subcategory
  - Comply with each set of restrictions separately or,
  - Demonstrate equivalent treatment

Non-Regulated Process Operations

- POTWs
- Thermal Drying of POTW biosolids
- Sanitary/Toilet wastes
- Food Processing wastes
- Grease interceptors not sand/oil
- Traditional Solvent Recovery
- Scrap Metal Recyclers
- Transfer Stations and Recycling Centers
- Product Stewardship

Regulated Wastewaters

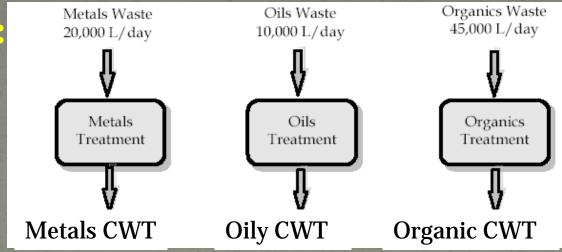
- Listed wastes *Handout 1*
- Characteristic Wastes-wastes from non-specific sources or not listed in Table 1
  - 1. OG greater than 100mg/L Oily Subpart
  - 2. OG less than 100mg/L but metals greater than the 4 indicator metals Metals subpart
    - Cadmium (Cd) 0.2mg/L
    - Chromium (Cr) 8.9mg/L
    - Copper (Cu) 4.9 mg/L
    - Nickel (Ni) 37.5 mg/L
  - 3. Any other wastes Organics Subpart

#### Mixed Subpart

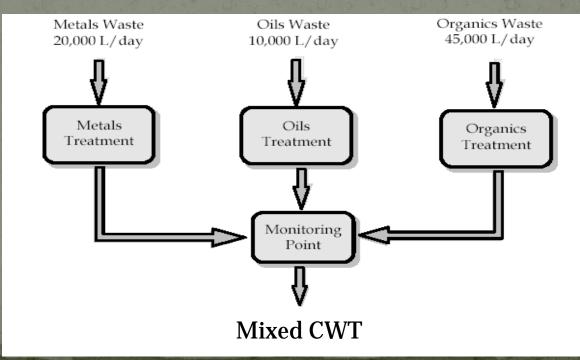
- Receipt of wastes from any combination of metal, oily, or organic subparts.
- Treatment options:
  - Segregate wastes
  - Commingle wastes must demonstrate equivalent treatment

#### Mixed Subpart Options

#### **Option 1:**



#### Option 2:



Demonstrated for each applicable subpart

- 1. Submit an initial certification statement
  - Description of categorized wastes
  - Description of treatment technologies
  - Supporting data/information for equivalent treatment
- 2. Submit periodic certification statements
- 3. Maintain on-site compliance documentation

- CWTs are not typical no product produced
- Only factor for sub categorization of the CWT industry is type of waste received for treatment or recovery
- CWT does not mandate specific treatment technologies
- Therefore, receipt of offsite wastewater determines if a facility is a CWT

#### **EPA Documents**

• Small Entity Compliance Guide

http://www.epa.gov/waterscience/guide/cwt/CWTcomplianc e\_guide.pdf

• Development Document

http://www.epa.gov/waterscience/guide/cwt/final/develop/in dex.html

CWT Effluent Guidelines Home Page

http://www.epa.gov/waterscience/guide/cwt/